

Product Inequalities for \mathbb{T}^\times -Stabilized Scalar Curvature

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Abstract. We study metric invariants of Riemannian manifolds X defined via the \mathbb{T}^\times -stabilized scalar curvatures of manifolds Y mapped to X and prove in some cases additivity of these invariants under Riemannian products $X_1 \times X_2$.

Key words: scalar curvature; Riemannian manifold

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*To the 75th birthday
of Jean-Pierre Bourguignon*

1 \mathbb{T}^\times -stabilization

A “warped” \mathbb{T}^N -extension, $N = 0, 1, \dots$, of a Riemannian manifold $X = (X, g)$, possibly with a boundary, is

$$X_N^\times = X \times \mathbb{T}^N = (X \times \mathbb{T}^N, g^\times),$$

where \mathbb{T}^N is the (flat split) N -torus and where X_N^\times is endowed with a *warped metric*,

$$g^\times = g_N^\times = g_{N, \{\varphi_i\}}^\times = g + \sum_{i=1}^N \varphi_i^2 dt_i^2,$$

where $\varphi_i(x) \geq 0$ are smooth positive functions, which are strictly positive (> 0) in the interior $X \setminus \partial X$ of X .

Assume g is smooth and let $\text{Sc}_{\{\varphi_i\}}^\times(X)$ be the scalar curvature of g^\times , that is,

$$\text{Sc}_{\{\varphi_i\}}^\times(X) = \text{Sc}(g_{N, \{\varphi_i\}}^\times) = \text{Sc} \left(g + \sum_{i=1}^N \varphi_i^2 dt_i^2 \right),$$

where $\text{Sc}_{\{\varphi_i\}}^\times(X) = \text{Sc}_{\{\varphi_i\}}^\times(X, x)$ is a function on X , since g_N^\times is invariant under the obvious action of \mathbb{T}^N on $X_N^\times = X \times \mathbb{T}^N$.

Let $\text{Sc}^\times(X)$, $X = (X, g)$, be the supremum of the numbers σ such that $\text{Sc}_{N, \{\varphi_i\}}^\times(X) > \sigma$ for some N and φ_i .

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\supset -Monotonicity. Clearly,

$$\text{Sc}^\times(Y) \geq \text{Sc}^\times(X)$$

for all smooth domains $Y \subset X$.

1.A. Formulas. A computation shows¹ that

$$\text{Sc} \left(g + \sum_{i=1}^N \varphi_i^2 dt_i^2 \right) = \text{Sc}(g) - 2 \sum_{i=1}^N \frac{\Delta \varphi_i}{\varphi_i} - 2 \sum_{i < j} \langle \nabla_g \log \varphi_i, \nabla_g \log \varphi_j \rangle.$$

For instance, if $N = 1$ and φ_1 is a positive eigenfunction of the operator $-\Delta + \frac{1}{2}\sigma$, for

$$\sigma(x) = \text{Sc}(g, x),$$

that is,

$$\varphi(x) \mapsto -\Delta \varphi(x) + \frac{1}{2} \sigma(x) \varphi(x)$$

and

$$-\Delta \varphi_1 + \frac{1}{2} \sigma(x) \varphi_1 = \lambda_1 \varphi_1,$$

then

$$\text{Sc}(g + \varphi_1^2 dt^2) = \sigma - 2 \frac{\Delta \varphi_1}{\varphi_1} = \sigma - 2 \frac{\frac{1}{2} \sigma \varphi_1 - \lambda_1 \varphi_1}{\varphi_1} = 2\lambda_1.$$

Thus,

$$\text{Sc}^\times(X) \geq 2\lambda_1 = 2\lambda_1 \left(\Delta + \frac{1}{2} \text{Sc}(x) \right).$$

Recall at this point that if X is a compact connected manifold without boundary, then, for all functions $\sigma(x)$,

$$\begin{aligned} \lambda_1 &= \inf_{\varphi \neq 0} \left(\frac{-\int_X \varphi(x) \Delta \varphi(x) dy}{\int_X \varphi(x)^2 dy} + \frac{1}{2} \frac{\int_X \sigma(x) \varphi^2(x) dy}{\int_X \varphi(x)^2 dy} \right) \\ &\geq \inf_{\varphi \neq 0} \left(\frac{-\int_X \varphi(x) \Delta \varphi(x) dy}{\int_X \varphi(x)^2 dy} + \frac{1}{2} \inf_{x \in X} \sigma(x) \right) \geq \frac{1}{2} \inf_{x \in X} \sigma(x), \end{aligned}$$

where the latter inequality follows from positivity of $-\Delta$ and this inequality is strict ($>$) with $\varphi = \varphi_1$, unless $\sigma(x)$ is constant.

Also, the strict inequality $\lambda_1 > \inf_{x \in X} \text{Sc}(X, x)$ holds for the *Dirichlet eigenvalue* λ_1 on compact connected manifolds *with boundaries*, since the above relations are satisfied for functions $\varphi(x)$, which vanish on the boundary.

Next, now for all N and all φ_i , rewrite the above expression for $\text{Sc}(g + \sum_{i=1}^N \varphi_i^2 dt_i^2)$ with the function $\Phi(x) = \log(\varphi_1(x) \cdots \varphi_N(x))$ as follows:

$$\text{Sc} \left(g + \sum_{i=1}^N \varphi_i^2 dt_i^2 \right) = \text{Sc}(g) - 2\Delta \Phi - \|\nabla \Phi\|^2 - \sum_i \|\nabla \log \varphi_i\|^2.$$

¹See [10], [21, formulas (7.33) and (12.5)], also [46], and [17, Section 2.4.1].

This shows that $\text{Sc}(g + \sum_{i=1}^N \varphi_i^2 dt_i^2)$ increases under replacing all φ_i by their *geometric mean*, $\varphi_i \rightsquigarrow \phi = \sqrt[N]{\prod_i \varphi_i}$, i.e.,

$$\text{Sc}(g) - 2\Delta\Psi - \frac{N+1}{N} \|\nabla\Psi\|^2 \geq \text{Sc}(g) - 2\Delta\Phi - \|\nabla\Phi\|^2 - \sum_i \|\nabla \log \varphi_i\|^2$$

for $\Psi = \log \phi^N$, where the equality holds only if all $\nabla \log \varphi_i$ are mutually equal. Hence,

$$\sup_{\varphi_i > 0} \text{Sc} \left(g + \sum_{i=1}^N \varphi_i^2 dt_i^2 \right) = \sup_{\Psi} \text{Sc}(g) - 2\Delta\Psi - \frac{N+1}{N} \|\nabla\Psi\|^2,$$

where this “sup” increases with N ; thus, by letting $N \rightarrow \infty$, we see that

$$\begin{aligned} \text{Sc}^\times(X) &= \sup_{\Psi} \inf_{x \in X} \text{Sc}(X, x) - 2\Delta\Psi(x) - \|\nabla\Psi(x)\|^2 \\ &= \sup_{\psi(x) > 0} \inf_{x \in X} \text{Sc}(X, x) - 2\frac{\Delta\psi(x)}{\psi(x)} + \frac{\|\nabla\psi(x)\|^2}{\psi(x)^2}. \end{aligned}$$

Rewrite this equation with $\Psi = 2\Theta$ as follows:

$$\begin{aligned} \text{Sc}^\times(X) &= \sup_{\Theta} \inf_{x \in X} \text{Sc}(X, x) - 4(\Delta\Theta(x) + \|\nabla\Theta(x)\|^2) \\ &= \sup_{\theta} \inf_{x \in X} \text{Sc}(X, x) - 4\frac{\Delta\theta(x)}{\theta(x)} \quad \text{for } \theta = \exp \Theta. \end{aligned}$$

Therefore, if X is compact, then

$$\text{Sc}^\times(X) \geq 4\lambda_1^\times(X),$$

where $\lambda_1^\times(X)$ is the lowest eigenvalue of the operator $-\Delta + \frac{1}{4}\text{Sc}$ on X with the Dirichlet (vanishing on the boundary) condition.

(If a connected manifold X has no boundary and the scalar curvature of X is constant, then $\text{Sc}^\times(X) = \text{Sc}(X)$; otherwise

$$\text{Sc}^\times(X) > \inf_{x \in X} \text{Sc}(X, x).$$

Moreover,

$$\text{Sc}^\times(X) > \beta^{-1} \lambda_1(-\Delta + \beta \text{Sc}(X))$$

for all $\beta > 1/4$, since the operator $-\Delta$ is *strictly positive* on non constant functions on X .)

1.B. $\frac{1}{4}$ -Proposition. Let $X = (X, g)$ be a compact Riemannian manifold with a boundary. Then

$$\text{Sc}^\times(X) = 4\lambda_1^\times(X).$$

In fact, let $\theta_1(x) = \theta_1^\times(x) \geq 0$, be the first Dirichlet eigenfunction of the operator $-\Delta + \frac{1}{4}\text{Sc}$ and let $\theta(x) > 0$ be an arbitrary smooth strictly positive function on X .

Since θ_1 is strictly positive in the interior of X , the ratio $\frac{\theta_1(x)}{\theta(x)}$ assumes its maximum, call it a , at an interior point $x_0 \in X$, where

$$\theta_1(x_0) = a\theta(x), \quad a\nabla\theta_1(x_0) = \nabla\theta(x) \quad \text{and} \quad \Delta\theta_1(x) \leq a\Delta\theta(x),$$

and consequently

$$-\frac{\Delta\theta_1(x_0)}{\theta_1(x_0)} + \frac{1}{4} \text{Sc}(X, x_0) \geq -\frac{\Delta\theta(x_0)}{\theta(x_0)} + \frac{1}{4} \text{Sc}(X, x_0).$$

Since the sum $-\frac{\Delta\theta_1(x)}{\theta_1(x)} + \frac{1}{4} \text{Sc}(X, x)$ is constant ($= \lambda_1^\times$), this inequality holds at the minimum point $x_o \in X$ of the function $-\frac{\Delta\theta(x)}{\theta(x)} + \frac{1}{4} \text{Sc}(X, x)$; hence, $\inf_{x \in X} \text{Sc}(X, x) - 4 \frac{\Delta\theta^\times(x)}{\theta^\times(x)}$ majorizes $\inf_{x \in X} \text{Sc}(X, x) - 4 \frac{\Delta\theta_1(x)}{\theta_1(x)}$ for all smooth *strictly positive* functions on X .

Finally, by \supset -monotonicity of Sc^\times and the continuity of the first eigenvalue $\lambda_1(-\Delta_g + \text{Sc}(g)/4)$ in (the space of C^2 -metrics) g , this majorization holds for functions θ which is strictly positive *only* in the interior of X .² Then the proof of the $\frac{1}{4}$ -proposition follows.

$\frac{1}{4}$ -Remark. This $\frac{1}{4}$ agrees with that in the Schrödinger–Lichnerowicz formula $\mathcal{D}^2 = \nabla^* \nabla + \frac{1}{4} \text{Sc}$ via the Kato inequality for the squared Dirac operator on $X^\times = (X \times \mathbb{T}^N, g^\times)$, which, to make it index-wise more interesting, may be twisted with the canonical N -parametric family of flat unitary complex line bundles over X^\times . (Probably, there is much of what we do not understand about the relations between the two $\frac{1}{4}$.)

Corollaries/Examples

1.B₁. If X is *non-compact*, then

$$\text{Sc}^\times(X) = \lim_{i \rightarrow \infty} \text{Sc}^\times(X_i)$$

for compact equidimensional submanifolds $X_1 \subset \cdots \subset X_i \subset \cdots \subset X$, which *exhaust* X .

1.B₂. Sc^\times is additive under Riemannian products:

$$\text{Sc}^\times(X_1 \times X_2) = \text{Sc}^\times(X_1) + \text{Sc}^\times(X_2).$$

For instance, the rectangular solids satisfy

$$\text{Sc}^\times(\times_1^n [-a_i, b_i]) = 4 \sum_1^n \lambda_1[a_i, b_i] = \sum_1^n \frac{4\pi^2}{(b_i - a_i)^2}.$$

1.B₃. Manifolds X with constant scalar curvature σ satisfy

$$\text{Sc}^\times(X) = 4\lambda_1(X) + \sigma$$

for the first eigenvalue λ_1 of the Laplace operator on X .

For instance, unit hemispheres satisfy

$$\text{Sc}^\times(S_+^n) = n(n-1) + 4n = n(n+3)$$

and the unit balls $B^n = B^n(1) \subset \mathbb{R}^n$ satisfy

$$\text{Sc}^\times(B^n) = 4j_\nu^2,$$

for the first zero of the Bessel function J_ν , $\nu = \frac{n}{2} - 1$, where $j_{-1/2} = \frac{\pi}{2}$, $j_0 = 2.4042\dots$, $j_{1/2} = \pi$ and if $\nu > 1/2$, then

$$\nu + \frac{a\nu^{\frac{1}{3}}}{2^{\frac{1}{3}}} < j_\nu < \nu + \frac{a\nu^{\frac{1}{3}}}{2^{\frac{1}{3}}} + \frac{3}{20} \frac{2^{\frac{2}{3}} a^2}{\nu^{\frac{1}{2}}},$$

where $a = \left(\frac{9\pi}{8}\right)^{\frac{2}{3}}(1 + \varepsilon) \approx 2.32$ with $\varepsilon < 0.13\left(\frac{8}{2.847\pi}\right)^2$ [36].

²One needs to be slightly careful here, since $\Delta\theta/\theta$ may, a priori, blow up at the boundary of X .

Specifically,

$$\begin{aligned}\mathrm{Sc}^\times(B^2) &= 4(2.404\dots)^2 = 23.116\dots > 10 = \mathrm{Sc}^\times(S_+^2), \\ \mathrm{Sc}^\times(B^3) &> 36 > 18 = \mathrm{Sc}^\times(S_+^3), \\ \mathrm{Sc}^\times(B^4) &= 4(3,817\dots)^2 = 52.727\dots > 28 = \mathrm{Sc}^\times(S_+^4), \\ \mathrm{Sc}^\times(B^8) &= 4(6.380\dots)^2 = 162.827\dots > 88 = \mathrm{Sc}^\times(S_+^8).\end{aligned}$$

1.B₄. Ricci comparison inequality. Let X be a (metrically) complete Riemannian manifold with a boundary such that $\mathrm{Ricci}(X) \geq (n-1)\kappa$ and $\mathrm{mean.curv}(\partial x) \geq \mu$. Then

$$\mathrm{Sc}^\times(X) \geq \mathrm{Sc}^\times(\underline{B}_{\kappa,\mu}^n),$$

where $\underline{B}_{\kappa,\mu}^n$ is the ball in the complete simply connected n -space \underline{S}_κ^n with sectional curvature κ , and where the mean curvature of the boundary $\partial \underline{B}_{\kappa,\mu}^n$ is equal to μ .³

For instance, if $\mathrm{Ricci}(X) \geq 0$ and $\mathrm{mean.curv}(\partial X) \geq n-1$, then

$$\mathrm{Sc}^\times(X) \geq \mathrm{Sc}^\times(B^n = \underline{B}_{0,n-1}^n) = 4j_1^2.$$

In fact, let $\varphi(b) = \phi(d(b)) = \phi_{\kappa,\mu}(d)$ be the first Dirichlet eigenfunction in $B_{\rho,\mu}^n$ written as a function of $d = d(b) = \mathrm{dist}(b, \partial \underline{B}_{\kappa,\mu}^n)$ and let $\varphi(x) = \phi(\mathrm{dist}(X, \partial x))$. Then, by the Bishop comparison inequality,

$$\frac{\Delta_X \varphi(x)}{\varphi(x)} \geq \frac{\Delta_{\underline{S}_\kappa^n} \phi(d(b))}{\phi(d(b))} = \lambda_1(\Delta_{\underline{B}_\kappa^n}), \quad d(b) = \mathrm{dist}(X, \partial x),$$

and the proof follows.

1.B₅. If $B_{-1}^n(r)$ is the hyperbolic r -ball, then, clearly, $\mathrm{Sc}^\times(B_{-1}^n(r))$ is monotonically decreasing in r , asymptotically to $4\frac{j_2^2}{r^2}$ for $r \rightarrow 0$ and $\mathrm{Sc}^\times(B_{-1}^n(r)) \rightarrow -(n-1)$ for $r \rightarrow \infty$.

In fact, it follows from [1, Theorem 3.3] that

$$\mathrm{Sc}^\times(B_{-1}^n(r)) = -n(n-1) + (n-1)^2 \left(\frac{1}{r^2} + c(r) \right)$$

for a bounded positive function $c(r)$ such that $c(r) \rightarrow 1$ for $r \rightarrow \infty$, and

$$\frac{1}{6} \leq c(r) \leq 1 \quad \text{for } r \geq 1 \text{ and } n \geq 2.$$

Thus,

$$\mathrm{Sc}^\times(B_{-1}^n(r)) > 0 \quad \text{for } r \leq \sqrt{\frac{6(n-1)}{5n+1}}, \quad \mathrm{Sc}^\times(B_{-1}^n(r)) < 0 \quad \text{for } r \geq 3 \text{ and } n \geq 2$$

roughly.

1.C. General torical stabilizations. The most permissive torical ‘‘extension’’ of a Riemannian manifold X is a Riemannian manifold X^\natural with an isometric \mathbb{T}^N -action and an isometry $X^\natural/\mathbb{T}^N \leftrightarrow X$. Here, as earlier, one defines the number $\mathrm{Sc}^\natural(X)$, which is clearly $\geq \mathrm{Sc}^\times(X)$.

It seems, however – I did not honestly checked this – that the curvature formulas for Riemannian submersions [35] imply that $\mathrm{Sc}^\natural(X) \leq \mathrm{Sc}^\times(X)$.

³The corresponding, comparison inequality for the Dirichlet (Schrödinger) $\lambda_1(\Delta)$ (compare with [7] and [1]) has, undoubtedly, been known for at least 45 years and the relation $\lambda_1 = -\sup_{\varphi>0} \inf_{x \in X} \frac{\Delta \varphi(x)}{\varphi(x)}$ must be dated to the 19th century. My apologies to the author(s), whose paper(s) I failed to find on the web.

Alternatively, if the fibration $X^{\natural} \rightarrow X^{\natural}/\mathbb{T}^N = X$ admits a section, then the \times -rendition of the Schoen–Yau argument⁴ implies the equality

$$\mathrm{Sc}^{\natural}(X) = \mathrm{Sc}^{\times}(X)$$

for $\dim(X) = n \leq 8$,⁵ while for all n this may follow from [43], where both arguments apply not only to Riemannian submersions but to all distance non-increasing maps $(X \times \mathbb{T}^N, G) \rightarrow (X, g)$.

1.D. $\lambda_1(\beta)$ -Remarks. The formal properties of the operators $-\Delta + \beta \mathrm{Sc}$ are similar for all β , e.g., if a Riemannian manifold X_0 admits a locally isometric equidimensional map to X , then

$$\lambda_1(-\Delta_{X_0} + \beta \mathrm{Sc}(X_0)) \geq \lambda_1(-\Delta_X + \beta \mathrm{Sc}(X));$$

the spectra $\{\lambda_1, \lambda_2, \dots\}$ of the operators $-\Delta + \beta \mathrm{Sc}$ are additive under Riemannian products,

$$\mathrm{spec}(-\Delta_{X_1 \times X_2} + \beta \mathrm{Sc}(X_1 \times X_2)) = \mathrm{spec}(-\Delta_{X_1} + \beta \mathrm{Sc}(X_1)) + \mathrm{spec}(-\Delta_{X_2} + \beta \mathrm{Sc}(X_2)).$$

There are several special values of β :

- if $\beta = (N + 1)/4N$ ($> 1/4$), then the corresponding λ_1 is equal to the maximal constant scalar curvature of the warped metrics on $X \times \mathbb{T}^N$;
- if $\beta = (n - 1)/4n$ ($< 1/4$), $n = \dim(X)$, this implies positivity of the square of the Dirac operator by *refined Kato's inequality* [24].
- if $\beta = (n - 2)(4(n - 1))$ ($< (n - 1)/4n$), then the inequality $\lambda_1 > 0$ implies the existence of a conformal metric on X with $\mathrm{Sc} > 0$ by the Kazdan–Warner theorem.

The geometric meaning of other β , as well as of the higher eigenvalues $\lambda_i(X, \beta)$ of $-\Delta + \beta \mathrm{Sc}$ is unclear.⁶

2 $\mathrm{Sc}^{\times\downarrow}, \mathrm{Sc}_{\mathrm{sp}}^{\times\downarrow}, \dots, \mathrm{Sc}_{*}^{\times\downarrow}$ on homology

Let X be a metric space, e.g., a Riemannian manifold $\mathrm{Sc}^{\times\downarrow}(h) = \mathrm{Sc}_{\mathrm{dist}}^{\times\downarrow}(h)$, $h \in H_m(X, \partial X)$, denote the supremum of the numbers σ such that the homology class h is representable by a *distance decreasing* map f from an oriented Riemannian m -manifold Y with $\mathrm{Sc}^{\times}(Y) \geq \sigma$,

$$f: (Y, \partial Y) \rightarrow (X, \partial X), \quad f_*[Y, \partial Y] = h.$$

Smoothness remark. If X is a smooth Riemannian manifold, then an obvious approximation argument shows that requiring maps f to be smooth does not change the value of $\mathrm{Sc}_{\mathrm{dist}}^{\times\downarrow}(h)$. (However, smoothness of a *distance non-increasing* map f in the *extremal case*, where $\mathrm{Sc}^{\times}(Y) = \mathrm{Sc}^{\times\downarrow}(h)$ is a delicate matter, see [5].)

Below the are several versions of this definition with the generic notation $\mathrm{Sc}_{*}^{\times\downarrow}$.

I. Restrict/relax the topology of Y , e.g., by requiring that

- _{sp} Y is spin;⁷

⁴See [18] and references therein.

⁵The case $n = 8$ depends on [40].

⁶The second eigenvalue of $\lambda_2(-\Delta + \frac{1}{2} \mathrm{Sc})$ is used by Marques and Neves in the proof of the S^3 -min-max theorem, [33], but the role of $\lambda_i(X, \beta)$ remains problematic for $\dim(X) \geq 4$, $i \geq 2$ and all β .

⁷A referee suggested to constrain maps rather than manifolds Y , e.g., by allowing spin maps only (in the case, where X is a manifold), but I could not figure out what to do with it.

- $_{\text{sp}}$ the universal covering of Y is spin;⁸
- $_{\pi_2=0}$ the second homotopy group of Y is zero;
- $_{\text{st.par}}$ Y is stably parallelizable;
- $_{\odot}$ allow representation of h by *quasi-proper* maps from complete manifolds Y to X , where “*quasi-proper*” means *locally constant at infinity*.

Clearly,

$$\text{Sc}_{\odot}^{\times\downarrow} \geq \text{Sc}^{\times\downarrow} \geq \text{Sc}_{\text{sp}}^{\times\downarrow} \geq \text{Sc}_{\text{sp}}^{\times\downarrow} \geq \text{Sc}_{\text{st.par}}^{\times\downarrow} \quad \text{and} \quad \text{Sc}_{\text{sp}}^{\times\downarrow} \geq \text{Sc}_{\pi_2=0}^{\times\downarrow}.$$

II. Assuming X is a Riemannian manifold, relax the distance decreasing condition on f by the following

- $_{\text{area}}$ the map f *decreases the areas* of all surfaces in Y .⁹

Clearly, $\text{Sc}_{\text{area}}^{\times\downarrow} \geq \text{Sc}^{\times\downarrow}$ and we show in §2.E below that the ratio $\frac{\text{Sc}_{\text{area}}^{\times\downarrow}}{\text{Sc}^{\times\downarrow}}$ can be arbitrarily large.

III. Replace the integer homology by the rational homology $H_*(X; \mathbb{Q})$, which is essentially (but not quite) the same as allowing maps $f: Y \rightarrow X$, where f_* sends the fundamental class of Y to a *non-zero multiple of h* .

IV. Instead of homology, use a bordism group of X , e.g., the spin bordism group, which is well adapted to $\text{Sc} > 0$.

V. *Remarks on singular Y .*

(a) If $h_m \in H_m(X)$ is not representable as $f_*[Y]$ for a smooth manifold Y , it may be interesting to try pseudomanifolds Y with suitably defined singular Riemannian metrics g with $\text{Sc}(g) \geq \sigma$.

(b) *Conical example.* Here Y has an isolated singularity at a point $y_0 \in Y$, where g is a smooth Riemannian metric on the complement to y_0 such that $\text{Sc}(g) \geq \sigma$ and such that g is (approximately) *conical* at y_0 .

This means that there exists an ε -neighbourhood (ball) $U = U_\varepsilon \subset Y$ of y_0 , which topologically splits away from y_0 ,

$$U \setminus \{y_0\} = Z \times (0, \varepsilon],$$

where $Z = (Z, g_Z)$ is a compact smooth Riemannian manifold such that the metric g restricted to $U \setminus \{y_0\}$ is related to g_Z as follows:

$$g = a^2(t)t^2g_Z + dt^2,$$

where $a^2(t) > 0$ is a smooth positive function on the (now closed) interval $[0, \varepsilon]$. (One may assume, if one wishes, that $a(t)$ is constant near $t = 0$.)

(c) One may additionally assume that $\text{Sc}(g_Z) \geq \text{Sc}(S^{m-1}) = (m-1)(m-2)$ for $m = \dim(Y)$ and, to make the metric truly conical, to require $a(t)$ to be constant near $t = 0$.

But this is not truly needed, since it can always be achieved by a small deformation of our g near y_0 .

(d) *Iterated conical singularities.* Next, following [8], define m -dimensional (roughly) *cone-singular spaces* Y with $\text{Sc}(Y) \geq \sigma$ by induction on m , where (as in the above conical case) the metric (i.e., the distance function) on Y is defined by a smooth Riemannian metric g on the non-singular part $Y_0 \subset Y$, where the following conditions are satisfied:

- (i) The singular locus $\Sigma = Y \setminus Y_0$ is a closed subset in Y with *codimension two* in Y .
- (ii) $\text{Sc}(g, y) \geq \sigma$ for all $y \in Y_0$.

⁸This condition is satisfied in several interesting examples of *non-spin* manifolds X , e.g., where $\pi_2 = 0$. At the same time, much of the Dirac theoretic scalar curvature results apply to these X , see [13, Section 9 $\frac{1}{2}$].

⁹This makes sense for general metric spaces X with the “Hilbertian area” defined in [15].

- (iii) Each $y_0 \in Y$ admits a neighbourhood U_0 , which is topologically (but not metrically) cylindrically splits away from y_0 ,

$$U_0 \setminus \{y_0\} = Z_0 \times (0, \varepsilon_0],$$

where $Z_0 = (Z_0, g_{Z_0})$, is a compact $(m-1)$ -dimensional cone-singular space and where the Riemannian metric g on the non-singular part of $U_0 \setminus \{y_0\}$, denoted $U_{00} \subset U_0 \setminus \{y_0\}$, is

$$g = a_0^2(t)t^2g_{Z_0} + dt^2 + \delta_0,$$

where $a_0^2(t) > 0$ is a smooth positive function on $[0, \varepsilon_0]$ and where $\delta_0 = \delta_0(u)$ is a (small) smooth quadratic differential form on U_0 , which *converges to zero* for $u \rightarrow y_0$.

(e) Due to h_0 , the above “conical” is slightly more general than how it is defined in (b) for an isolated singularity y_0 .

(f) Similarly to the isolated singularity case, the requirement $\text{Sc}(Z_0) \geq (m-1)(m-2)$ does not significantly change the definition of $\text{Sc}(Y) \geq \sigma$.

(g) One may also insist on the split-conical geometry at all points y_0 : *if y_0 is contained in the interior of an l -dimensional strata $S \subset \Sigma$, then a small neighbourhood $U_0 \subset Y$ of y_0 metrically splits: $U_0 = S_0 \times N_0$, where $S_0 = U_0 \cap S$, and where N_0 is a con-singular manifold with an $(m-l-1)$ -dimensional base.*

(h) Probably, as in the isolated singularity case, this additional condition can be achieved by a small deformation of g near Σ .

Question. How does the resulting $\text{Sc}^{\times\downarrow}(h)$, $h \in H_m(X)$, depend on the topology of the singular locus $\Sigma \subset Y$?

For instance, Y may be iterated conical space with initial cones based on products of complex projective spaces and/or other generators of the oriented bordisms groups with $\text{Sc} > 0$ metrics (e.g., as in [21]), where we allow cones over l -dimensional Y only if they admit metrics g with “suitably defined” $\text{Sc}(g) > 0$ and/or, which is probably equivalent with $\text{Sc}^{\times}(g) > 0$.

(Probably, stable minimal hypersurfaces and μ -bubbles in such Y , similarly to how it is in a smooth Y , enjoy necessary properties required for the study of the scalar curvature and this is also conceivable for the Dirac theoretic approach (compare with [2]).)

VI. If X is non-compact, allow classes h with infinite supports¹⁰ and use proper (and quasi-proper) maps $f: Y \rightarrow X$.

Remark on Completeness of Y . Regardless of X being complete or not, the value $\text{Sc}_{\text{area}}^{\times\downarrow}[X]$ defined with complete Y mapped to X may be very different without this completeness.

For instance, $\text{Sc}_{\text{area,sp}}^{\times\downarrow}[\mathbb{R}^n]$ defined with non-complete Y is *infinite*.

In fact, if g_0 is a metric on \mathbb{R}^2 such that $\text{Sc}(g_0) = 1$ and $\text{area}(Y_0, g_0) = \infty$ (as in §2.A below), then $Y = (\mathbb{R}^{n-2} \times \mathbb{R}^2, g_{\text{Eucl}} + g_0)$ admits an obvious area contracting diffeomorphism onto $(\mathbb{R}^N, g_{\text{Eucl}})$.

But if we limit to *complete spin* manifolds Y , then $\text{Sc}_{\text{area,sp,compl}}^{\times\downarrow}[\mathbb{R}^n] = 0$.

(It is unknown for $n \geq 4$ whether $\text{Sc}_{\text{area,compl}}^{\times\downarrow}[\mathbb{R}^n]$ is zero or infinity without the spin assumption on Y .)

2.A. Surface examples. Closed connected simply connected, i.e., *spherical* Riemann surfaces X satisfy

$$\text{Sc}_{\text{area}}^{\times\downarrow}[X] = \frac{8\pi}{\text{area}(X)}.$$

¹⁰Sometimes referred to as “locally finite homology classes” as was pointed out to me by a referee.

Indeed, the inequality $\text{Sc}_{\text{area}}^{\times\downarrow}[X] \geq \frac{8\pi}{\text{area}(X)}$ follows from the existence of a measure preserving diffeomorphism from the 2-sphere with constant scalar curvature $\sigma = \frac{8\pi}{\text{area}(X)}$ onto X ; the opposite inequality follows from *Zhu's lemma* (see [46] and [17, Section 2.8]).

Similarly, one shows that $\text{Sc}_{\text{area}}^{\times\downarrow}[X]$ for closed surfaces X of positive genera.

On the opposite end of the spectrum, non-compact connected surfaces X satisfy $\text{Sc}_{\text{area}}^{\times\downarrow}[X] = \infty$, since all the surfaces X admit Riemannian metrics with $\text{Sc} = 1$, and with the given areas (including $\text{area} = \infty$ for non-compact X) and since connected mutually diffeomorphic Riemann surfaces X_1 and X_2 of equal areas admit area preserving diffeomorphisms $X_1 \leftrightarrow X_2$.

Problem with $\text{Sc}^{\times\downarrow}[X] = \text{Sc}_{\text{dist}}^{\times\downarrow}[X]$. Unlike $\text{Sc}_{\text{area}}^{\times\downarrow}$, the geometric meaning of $\text{Sc}^{\times\downarrow}[X]$ for spherical surfaces X remains obscure. All one knows besides Zhu's lemma for general X (see [17, Section 2.8]) is that

$$\text{Sc}^{\times\downarrow}[X] < \frac{4\pi^2}{\text{diam}(X)^2}.$$

2.B. $H_{2m}(\mathbb{C}P^n)$ -example. Let the complex projective space $\mathbb{C}P^n$ be endowed with the $U(n+1)$ invariant (Fubini–Study)-metric such that the projective lines have scalar curvatures equal 2 and let $\mathbb{C}P^m \hookrightarrow \mathbb{C}P^n$ be an m -plane.

If m is odd, then the manifold $\mathbb{C}P^m$ is spin, and both homology and the spin-bordism class of $\mathbb{C}P^m \hookrightarrow \mathbb{C}P^n$ satisfy

$$\text{Sc}^{\times\downarrow}[\mathbb{C}P^m] \geq \text{Sc}_{\text{sp}}^{\times\downarrow}(k[\mathbb{C}P^m]) \geq \text{Sc}^{\times\downarrow}[\mathbb{C}P^m]_{\text{sp,brd}} \geq \text{Sc}(\mathbb{C}P^m) = m(m+1)$$

and the same holds for the multiples $k[\mathbb{C}P^m] \in H_{2m}(\mathbb{C}P^n)$, $k = \dots, -1, 0, 1, 2, \dots$.

If k is even, then if m is *even*, then the $\text{Sc}^{\times\downarrow} k[\mathbb{C}P^m] \geq m(m+1)$ remains valid for all k . But if k is *odd*, then $\text{Sc}_{\text{sp}}^{\times\downarrow}(k[\mathbb{C}P^m]) = 0$, since the classes $k[\mathbb{C}P^m] \in H_{2m}(\mathbb{C}P^n)$ are *not representable by the maps from spin manifolds $Y \rightarrow \mathbb{C}P^n$* .

In fact, if an oriented manifold Y^{2m} contains a smooth hypersurface H such that the m -fold self-intersection index $\underbrace{H \frown \dots \frown H}_m$ is odd, then the $(m-1)$ -fold intersection is an orientable surface $\Sigma \subset Y$, which for even m has non-trivial normal bundle; hence $w_2[\Sigma]_{\mathbb{Z}_2} \neq 0$.

And if k is *even*, then

$$\text{Sc}_{\text{sp}}^{\times\downarrow}(k[\mathbb{C}P^m]) \geq m^2$$

since the class $2[\mathbb{C}P^m]$ is represented by the quadric $Q^m \subset \mathbb{C}P^{m+1} \subset \mathbb{C}P^n$ given by the equation $z_0^2 + z_1^2 + \dots + z_m^2 = 0$, where this Q^m is spin and has scalar curvature m^2 .

Finally,

$$\text{Sc}_{\text{st.par}}^{\times\downarrow}(m!h_{2m}) \geq \text{const}_m \cdot \underbrace{\text{Sc}(S^2(1) \times \dots \times S^2(1))}_m = 2m \quad \text{for all } m \text{ and } n \geq m,$$

since the quotient space

$$(S^2)^m / \Pi(m) \quad \text{of} \quad (S^2)^m = \underbrace{S^2(1) \times \dots \times S^2(1)}_m$$

by the permutation group $\Pi(m)$ admits a natural biholomorphic map $\psi: (S^2)^m \rightarrow \mathbb{C}P^m$, where $\text{const}_m > 0$ is the squared reciprocal to the minimal Lipschitz constant of maps in the homotopy class of this ψ .

Question. What are $\text{Sc}^{\times\downarrow}[(S^2)^m / \Pi(m)]$ and of the symmetric powers $[(X)^m / \Pi(m)]$ for more general manifolds X ?¹¹

¹¹These are among most attractive singular quasi-conical spaces discussed earlier.

2.C. Upper bounds and equalities. The $(\mathbb{T}^\times$ -stabilized and $\tilde{\text{sp}}$ -generalized) rigidity theorem by Min-Oo [34] and (the spin cobordims version of) Goette–Semmelmann’s theorem from [11] imply that the class $h_{2m} = [\mathbb{C}P^m] \in H_{2m}(\mathbb{C}P^m)$ satisfy the following relations:

$$\text{Sc}_{\text{area,sp.brd}\mathbb{C}P^m}^{\times\downarrow}(h_{2m}) = m(m+1) \quad \text{for all } m \text{ and } n \geq m,$$

where “sp.brd” indicates that this $\text{Sc}_{\text{area}}^{\times\downarrow}$ defined with smooth maps $Y \rightarrow X$ which are spinbordant to the embedding $\mathbb{C}P^m \hookrightarrow \mathbb{C}P^n$,¹²

$$\text{Sc}_{\text{area,\tilde{sp}}}^{\times\downarrow}(h_{2m}) = m(m+1) \quad \text{for odd } m,$$

$$\text{Sc}_{\text{area,\tilde{sp}}}^{\times\downarrow}(h_{2m}) = m^2 \quad \text{for even } m,$$

$$\text{Sc}_{\text{area,st.par}}^{\times\downarrow}(h_{2m})_{\text{area}} = 2m \quad \text{for all } m \text{ and } n \geq 2m - 1.$$

2.D. Homological homogeneity conjecture. Let X be a compact symmetric space and $H \subset H_m(X, \mathbb{Q})$ be the linear subspace generated by the fundamental classes $[Y_i] \in H_m(X)$ of *homogeneous* (not necessarily totally geodesic) m -submanifolds $Y_i \subset X$.¹³ Then all classes $h_m \in H$ can be represented by linear combinations of homogeneous Y_i such that $\text{Sc}_{\text{area}}^{\times\downarrow}[Y_i] \geq \text{Sc}_{\text{area}}^{\times\downarrow}(h)$. (This maybe overoptimistic in general, but the $\tilde{\text{sp}}$ -version of this can be, probably, proved with available means for products of spheres, complex and quaternionic projective spaces.)

2.E. Equivalence conjecture. All rational $h \in H_m(X)$ for compact Riemannian manifolds X without boundaries satisfy:¹⁴

$$\text{Sc}_{\odot}^{\times\downarrow}(h) = \text{Sc}^{\times\downarrow}(h) \quad \text{and} \quad \text{Sc}_{\text{sp},\odot}^{\times\downarrow}(h) = \text{Sc}_{\text{sp}}^{\times\downarrow}(h),$$

$$\text{Sc}^{\times\downarrow}(h) \leq A \cdot \text{Sc}_{\tilde{\text{sp}}}^{\times\downarrow}(h),$$

$$\text{Sc}_{\tilde{\text{sp}}}^{\times\downarrow}(h) \leq B \cdot \text{Sc}_{\text{sp}}^{\times\downarrow}(h),$$

$$\text{Sc}_{\text{sp}}^{\times\downarrow}(h) \leq C \cdot \text{Sc}_{\text{st.par}}^{\times\downarrow}(h),$$

where $A = A_n$, $B = B_n$ and $C = C_n$ are universal constants, and where the same relations are expected for the area version of these five $\text{Sc}^{\times\downarrow}$.

2.F. Positivity. Unlike Sc^\times , the values of all $\text{Sc}^{\times\downarrow} = \text{Sc}_{\text{dist}}^{\times\downarrow}$ -invariants are *non-negative*, since all (compact or not) manifolds Y admit arbitrarily large Riemannian metrics with $\text{Sc} > \varepsilon$.

Moreover, the fundamental classes of *compact* connected manifolds X with *non-empty boundaries* are *strictly positive* since such manifolds admit metrics with $\text{Sc} > 0$. (For instance, the r -balls with hyperbolic metrics g , $\text{sect.curv}(g) = -1$, admit (obvious radial) metrics $g_+ \geq g$ with $\text{Sc}(g_+) \geq \exp -4r$.)

2.G. $[\exists \text{Sc} > 0]$ -Conjecture. If a rational homology class $h \in H_m(X; \mathbb{Q})$ vanishes under the classifying map $\beta: X \rightarrow \mathbb{B}(\pi_1(X))$

$$\beta_*(h) = 0,$$

then $\text{Sc}^{\times\downarrow}(h) > 0$.¹⁵

2.H. Finiteness. $\text{Sc}^{\times\downarrow}(h)$ may be, a priori, infinite. However, the finiteness of $\text{Sc}^{\times\downarrow} = \text{Sc}_{\text{dist}}^{\times\downarrow}$ easily follows from the \square^m -inequality (3.8) in [17], where the proof for $m \geq 9$ relies on Theorem 4.6 in [39] and where the finiteness of $\text{Sc}_{\text{sp}}^{\times\downarrow}(h)$ for all m follows from [43]. (Probably, the arguments used in [43] generalize to $\text{Sc}_{\text{sp}}^{\times\downarrow}$.)

¹²It is unclear what happens for $m \leq n \leq 2m - 2$.

¹³ $Y \subset X$ is *homogeneous* if an isometry group of X preserves Y and is transitive on Y .

¹⁴The dimension $m = 4$ may be special.

¹⁵This seems more realistic if β can be homotoped to the $(m - 2)$ -skeleton of (some cell decomposition of) $\mathbb{B}(\pi_1(X))$.

2.I. $\# \text{Sc} > 0$ -Problem. Does *non-vanishing* of a rational $h \in H_m(X; \mathbb{Q})$ under the above classifying map $\mathbf{B}(\pi_1(X))$ imply that $\text{Sc}^{\times\downarrow} = 0$? This is known for $m = 3$, and also for $\text{Sc}_{\text{Sp}}^{\times\downarrow}(h)$ and all m if the *spinorial curvature* $\text{Sp.curv}^\downarrow(\beta_*(h)) \in H_m(\mathbf{B}(\pi_1(X)))$ defined in Section 7 *vanishes*, e.g., if our $\mathbf{B}(\pi_1)$ admits a complete metric with $\text{sect.curv} \leq 0$, see [17] and references therein. (I am not certain if there are examples of non-zero rational homology classes \underline{h} in aspherical, say, compact finite-dimensional spaces such that $\text{Sp.curv}^\downarrow(\underline{h}) \neq 0$.)

2.J. $\text{Sc}_{\text{area}}^{\times\downarrow}$ -finiteness question. Is $\text{Sc}_{\text{area}}^{\times\downarrow}[X] < \infty$ for all compact Riemannian manifolds X without boundaries?

Remarks. (a) All metrics g_+ on a compact Riemannian manifold (X, g) such that $\text{area}_{g_+}(\Sigma) \geq \text{area}_g(\Sigma)$ for all surfaces $\Sigma \subset X$ satisfy

$$\text{Sc}^\times(g_+) \leq \text{const} \cdot (X, g) < \infty.$$

In fact, this inequality holds for all (Y, g_+) what admit area decreasing *spin maps*¹⁶ $f: Y \rightarrow X$ with non-zero degrees.

(b) Let $X = X_0 \times Y$, where Y is enlargeable¹⁷ and $\dim(X_0) = 2$. Then the finiteness of $\text{Sc}_{\text{area}}^{\times\downarrow}(X)$ for X follows from [47], where for $\dim(X) \geq 8$ one needs a version of Theorem 4.6 from [39].

Also the \times -stabilized version of the area slicing theorem from [28] (this stabilization is likely to be true) delivers an effective finite bound on $\text{Sc}_{\text{area}}^{\times\downarrow}(X_0 \times Y)$. For $\dim(X_0) = 3$, provided Y is enlargeable and $\dim(X) \leq 8$.

But the principal case, where $X = S^n$ remains problematic for all $n \geq 4$ and neither can one prove or disprove the existence of (necessarily non-spin) *complete* orientable n -manifolds Y , $n \geq 4$, with $\text{Sc} \geq \sigma > 0$, which admit smooth proper area decreasing maps to \mathbb{R}^n , $n \geq 4$, with non-zero degrees.

2.K. Outline of construction for $\text{Sc}_{\text{area}}^{\times\downarrow} / \text{Sc}_{\text{dist}}^{\times\downarrow} \rightarrow \infty$. Let g_0 be a metric on a manifold Y such that $\text{Sc}(g_0) > 0$, then *there exists metrics g on Y with arbitrarily large ratios $\text{Sc}_{\text{area}}^{\times\downarrow}(g) / \text{Sc}_{\text{dist}}^{\times\downarrow}(g)$* . In fact, let $Y = (Y, g_0)$ be an arbitrary Riemannian manifold and $U \subset Y$ an open subset. Then for all $\varepsilon > 0$ and $\delta > 0$, there exists a Riemannian metric $g_{\varepsilon, \delta}$ on Y such that $\text{Sc}(g_{\varepsilon, \delta}) \geq \text{Sc}(g_0) - \varepsilon$ and

- $_{Y \setminus U}$ the metric $g_{\varepsilon, \delta}$ is equal to g_0 outside U ;
- $_{\text{area}}$ the metric $g_{\varepsilon, \delta}$ is area-wise smaller than g_0 ,

$$\text{area}_{g_{\varepsilon, \delta}}(S) \leq \text{area}_{g_0}(S) \quad \text{for all smooth surfaces } S \subset Y;$$

- $_{\text{dist}}$ all Riemannian manifolds X , which 1-Lipschitz dominate¹⁸ $(Y, g_{\varepsilon, \delta})$, have $\text{Sc}^\times(X) \leq \delta$.
The only non-trivial condition here is • $_{\text{dist}}$, which is achieved with the following one.
- $_D$ There is an open subset $U_D \subset U$ with $D = 10\sqrt{\frac{1}{\delta}}$ such that $(U_D, g_{\varepsilon, \delta})$ is isometric to the product

$$\mathbb{T}^1(\varepsilon) \times \mathbb{T}^{n-2}(2\pi) \times [-D, D],$$

where $T^1(\varepsilon)$ is the circle of length ε and $\mathbb{T}^{n-2}(2\pi)$ is the standard flat torus.

¹⁶A continuous map between orientable manifolds, $f: Y \rightarrow X$, is *spin* if $f^*(w_2(X)) = w_2(Y)$, where w_2 is the second Stiefel–Whitney class.

¹⁷A compact Riemannian n -manifold X is *enlargeable* if there exists a sequence of oriented coverings $\tilde{X}_i \rightarrow X$ and *distance decreasing* maps $f_i: \tilde{X}_i \rightarrow S^n(R_i)$, $R_i \rightarrow \infty$, which are constant at infinity and which have *non-zero degrees*, compare with [4, 20, 23, 38], in [17, §4.7], [18, §2.A].

¹⁸“Domination” is a map with non-zero degree, see [17, §1.5].

The construction of $g_{\varepsilon, \delta}$, which satisfies $\bullet_{Y \setminus U}$, \bullet_{area} and \bullet_D is elementary (left to the reader¹⁹) while the implication $\bullet_D \Rightarrow \bullet_{\text{dist}}$ follows the $\frac{2\pi}{n}$ -inequality (see [17, §3.6] and references therein).²⁰

Thus, we see that *if a non-torsion homology class h in a compact manifold X satisfies $\text{Sc}_{\text{dist}}^{\times \downarrow}(h) > 0$, then the ratio $\text{Sc}_{\text{area, sp}}^{\times \downarrow}(h) / \text{Sc}_{\text{dist, sp}}^{\times \downarrow}(h)^{\times \downarrow}$ can be made arbitrarily large with some Riemannian metric on X .*

2.L. Question on $\lambda_1^{\downarrow}(h, \beta)$. The definition of $\text{Sc}^{\times \downarrow}(h)$, which depends on $\lambda_1(Y, \beta = 1/4)$ (see $(N+1)/4N$ -Remark 1.D) makes sense for all β and the arguments which depends on stable minimal hypersurfaces and μ -bubbles generalize to all β , e.g., as the $\square^{\exists \exists}(n, m, N)$ -inequality, which is stated in [18, §2.B] for $\beta = N/(N+1)$. However, the geometric significance of this for $\beta \neq N/(N+1)$ is unclear.

Probably, if X is simply connected, $\beta \leq \beta_m > 0$ and $m \geq 3$, then an integer multiples lh for some $l \neq 0$ and all $h \in H_m(X)$ are representable by a distance decreasing maps $Y \rightarrow X$, where $\lambda_1(Y, \beta) \geq C$ for a given $C > 0$.

Exercises

2.M. Let g be a Riemannian metric on an *open manifold*²¹ X of dimension $\dim(X) = n \geq 2$. Show that there exists a Riemannian metric g_+ on X such that $\text{Sc}(g_+) = 1$ and $\text{area}_{g_+}(\Sigma) \geq \text{area}_g(\Sigma)$ for all smooth surfaces $\Sigma \subset X$.

Hint: Observe that $[0, 1] \times \mathbb{R}^{n-1}$ admits an area decreasing diffeomorphism onto \mathbb{R}^n and use products of surfaces with constant curvatures by \mathbb{R}^{n-2} as building blocks for (X, g_+) .

Remark. If Y is a *complete spin n -manifold* with $\text{Sc}^{\times}(Y) \geq \sigma > 0$, then it admits no proper area decreasing map to \mathbb{R}^n with non-zero degree [21].

2.N. Show that non-zero multiples of homology classes h in *simply connected* manifolds X have $\text{Sc}_{\text{st, par}}^{\times \downarrow}(ih) > 0$, for some $i \neq 0$.

Hint. Recall the Serre–Thom theorem on framed bordisms and apply Stolz’ theorem on spin manifolds [41].

3 \times^{\downarrow} -extremality and \times^{\downarrow} -rigidity

3.A. Homological $\text{Sc}_*^{\times \downarrow}$ -problems. Let X be a Riemannian manifold and $h \in H_m(X)$ a homology class, e.g., $m = n = \dim(X)$, and let h be the fundamental class $[X]$ of X , where X is assumed oriented.

Evaluate $\text{Sc}_*^{\times \downarrow}$ and/or find relations between $\text{Sc}_*^{\times \downarrow}$ and more accessible metric invariants of X .

Decide if $\text{Sc}_*^{\times \downarrow}(h)$ is represented by an $\text{Sc}_*^{\times \downarrow}$ -*extremal*, or, for brevity, \times^{\downarrow} -*extremal*, oriented Riemannian m -manifold mapped to X ,

$$Y \xrightarrow{f} X \quad \text{such that } f_*(Y) = h \text{ and } \text{Sc}^{\times}(Y) = \text{Sc}_*^{\times \downarrow}(h),$$

where f is the distance or the area decreasing depending on “ \times ” and where, ideally, f is an isometric immersion.

For instance, given a submanifold $Y \hookrightarrow X$, e.g., $Y = X$ decide if it is $\text{Sc}_*^{\times \downarrow}$ -extremal, or, moreover, if it is *rigid*, that is *unique extremal* (compare with §3.D below).

Find examples of h , where there is no extremal manifold $Y \rightarrow X$ with $f_*[Y] = h$, but such a generalized Y , e.g., a singular extremal one does exist. (We saw some potential examples of such singular Y , and stable minimal singular hypersurfaces suggest further examples.)

¹⁹To get an insight, start with $Y = S^2$, then look at $Y = S^2 \times \mathbb{T}^{n-2}$.

²⁰The proof of the $\frac{2\pi}{n}$ -inequality for $n \geq 9$ relies on Theorem 4.6 in [39], and if one is satisfied with $\text{Sc}_{\text{area}}^{\times \downarrow}(g) / \text{Sc}_{\text{dist, sp}}^{\times \downarrow}(g) \rightarrow \infty$, then one can use the spinorial version of $\frac{2\pi}{n}$ from [45].

²¹A manifold X is *open* if it contains no closed manifold connected component.

Determine which closed manifolds X admit \times^\downarrow -extremal Riemannian metrics.

(Possibly, metrics g_0 with $\text{Ricci}(g_0) > 0$ can be deformed to $g \geq g_0$ with $\text{Sc}^\times(g) = \text{Sc}^{\times\downarrow}(g)$. But, for instance, metrics $g_0 = g_1 + g_2$ on $X = X_1 \times X_2$, where $\text{sect.curv}(g_1) = 1$ and $\text{sect.curv}(g_2) < 0$ admit no such deformations. However, the pointed Hausdorff limit manifolds $\lim_{\lambda \rightarrow \infty} (X, g + \lambda g_2)$, which are isometric to $X_1 \times \mathbb{R}^{\dim(X)}$, are extremal.

In general, the existence of a metric g_0 on X with $\text{Ricci}(g_0) \geq 0$ might be necessary for the existence of an extremal metric g on X .

Examples

3.B S^n . Complete manifolds with *constant sectional curvatures*, e.g., unit spheres, flat tori and Euclidean spaces are $\text{Sc}_{\text{area}, \tilde{\text{sp}}}^{\times\downarrow}$ -extremal.

This follows from the \times -stabilized *Llarull's theorem* (see [17] and references therein).

3.B $\mathcal{R} > 0$. A compact spin manifold X with *non-negative* curvature operator, $\mathcal{R}(X) \geq 0$, e.g., a compact symmetric space is $\text{Sc}_{\text{area}, \tilde{\text{sp}}}^{\times\downarrow}$ -extremal, provided *scalar curvature* $\text{Sc}(X)$ is constant²² and *the Euler characteristic of the universal covering \tilde{X} does not vanish*.

This follows by an elaboration on the proof of the *Goette–Semmelmann extremality theorem* [12]. (We say a few words about it in Section 5.)

Probably, the corresponding rigidity arguments (see [29] and references therein) also admit \times -stabilization, but I did not check this carefully.

Also the condition $\chi(\tilde{X}) \neq 0$ seems redundant and $\times_{\text{area}, \tilde{\text{sp}}}^{\downarrow}$ -extremality can be strengthened, also conjecturally, to the $\times_{\text{area}}^{\downarrow}$ -extremality.

3.B $\times_{[a_i, b_i]}$. The rectangular solids $\times_1^n[-a_i, b_i]$ are $\times_{\text{sp}}^{\downarrow}$ -extremal and, if $n \leq 8$, they are \times^\downarrow -extremal.

In fact, $\times_{\text{sp}}^{\downarrow}$ -extremality follows by a slight generalization of the argument from [43], which, probably, can be adapted for the proof of the $\times_{\text{sp}}^{\downarrow}$ -extremality.

As for \times^\downarrow -extremality for $n \leq 8$, this follows from the $\square^{\exists\exists}(n, m, N)$ -inequality [18, §2.B].

Furthermore, the generic regularity theorem from [9] extended to μ -bubbles (I have not check this extension) yields the $\square^{\exists\exists}(n, m, N)$ -inequality and thus \times^\downarrow -extremality of solids for $n \leq 10$.

Moreover, granted a μ -bubble generalization of Theorem 4.6 from [39], the \times^\downarrow -extremality (but not the $\square^{\exists\exists}(n, m, N)$ -inequality) would follow for all n .

3.B $\times \times$. Riemannian products of the manifolds from the above examples, e.g.,

$$X = \left(\times_1^{n-k}[-a_i, b_i] \right) \times S^k,$$

are $\times_{\text{sp}}^{\downarrow}$ -extremal.

As above, this follows by a simple generalization of argument from [43] combined with the basic (algebraic) inequality in [12] for twisted Dirac operators on manifolds with $\mathcal{R} \geq 0$.

But the $\times_{\text{sp}}^{\downarrow}$ -extremality remains problematic even for $n \leq 8$.

For instance, if $k \leq 4$ and $n \leq 8$ (probably $n \leq 10$ will do), then the $\square^{\exists\exists}(n, m, N)$ -inequality combined with the warped product splitting argument in [17, §5.5] yield $\times_{\text{sp}}^{\downarrow}$ -extremality of $X = \left(\times_1^{n-k}[-a_i, b_i] \right) \times S^k$.

Yet, there is no approach so far to non-spin extremality of the spheres S^k for $k \geq 5$.²³

3.B warp . There are several classes of log-concave warped product manifolds, e.g., S^n minus a point, where the \times_{sp} -extremality (and \times -extremality for $n = 4$) follow by \times -stabilization of

²²There are lots of metrics with $\mathcal{R} > 0$ on spheres S^n and if $n \geq 3$ many of these have constant scalar curvatures. On the other hand, it is possible that a closer look at the curvature term in the twisted Schrödinger–Lichnerowicz formula (see Section 5) would allow one to drop the constancy of the scalar curvature condition.

²³The warped product splitting argument (combined with a stable version of [12]) applies to S^4 , because 3-manifolds are spin.

the arguments in [17, §§5.5–5.7] and [6]. In fact, the \times -extremality for warped manifolds is more common than non-stabilized extremality.

For instance, geodesic balls in spheres and in \mathbb{R}^n are not non-stably extremal: one can increase their metrics without diminishing the scalar curvatures. But, probably, they are $\times\downarrow$ -extremal.

3.C. Questions.

- (i) Which convex subsets in \mathbb{R}^n are $\times\downarrow$ -extremal?
- (ii) Which surfaces are $\times\downarrow$ -extremal?

3.D. About rigidity. The proofs of extremality of the manifolds X in the above examples can be upgraded to *rigidity* that says in the present case that *if a smooth distance non-increasing positive degree map $f: Y \rightarrow X$ satisfies $\text{Sc}_*^\times(Y) \geq \text{Sc}_*^{\times\downarrow}(X)$ (where $\text{Sc}_*^{\times\downarrow}(X) = \text{Sc}_*^\times(X)$ by extremality), then f is homotopic to a local isometry, where one can drop “homotopic to” if X has no local scalar flat factors.*

This follows by combining the \times -stabilized rigidity arguments in [12] and [29] with those in [17, §5.7] but to be honest, I did not check this in full generality.

4 $\text{Sc}^{\times\downarrow}$ -product inequalities, conjectures and problems

4.A. Additivity for cylinders. Since, obviously,

$$\text{Sc}^{\times\downarrow}[X_1 \times X_2] \geq \text{Sc}^{\times\downarrow}[X_1] + \text{Sc}^{\times\downarrow}[X_2],$$

then, for all Riemannian manifolds X_1 and X_2 , the inequality

$$\text{Sc}^{\times\downarrow}[X_1 \times X_2] \leq \text{Sc}^{\times\downarrow}[X_1] + \text{Sc}^{\times\downarrow}[X_2],$$

is equivalent to the equality

$$\text{Sc}^{\times\downarrow}[X_1 \times X_2] = \text{Sc}^{\times\downarrow}[X_1] + \text{Sc}^{\times\downarrow}[X_2].$$

Thus, in particular, the $\square^{\exists\exists}(n, m, N)$ -inequality from [18] and/or *equivariant separation theorem* for stable μ -bubbles²⁴ along with the equality

$$\text{Sc}^\times[a, b] = 4\lambda_1[a, b] = \frac{4\pi^2}{(b-a)^2}.$$

imply the following.

Proposition. The fundamental homology classes of oriented Riemannian cylindrical manifolds $X = Y \times [a, b]$ of dimensions ≤ 8 satisfy

$$\text{Sc}^{\times\downarrow}[X] = \text{Sc}^{\times\downarrow}[Y] + \text{Sc}^{\times\downarrow}[a, b].$$

(This generalizes $\text{Sc}^{\times\downarrow}(\times_1^n[a_i, b_i]) = \sum_i \text{Sc}^{\times\downarrow}[a_i, b_i]$, that is §3.B $_{\times[a_i, b_i]}$ from the previous section.)

4.B. The spin case. This additivity formula remains *problematic* for $n \geq 9$,²⁵ but the *spin cube inequality* from [43] (proved with an index theorem for deformed Dirac operators on manifolds with boundaries) implies, as we stated earlier, that

$$\text{Sc}_{\text{sp}}^{\times\downarrow}(\times_1^n[-a_i, b_i]) = \text{Sc}^\times(\times_1^n[-a_i, b_i]) = \sum_1^n \frac{4\pi^2}{(b_i - a_i)^2} = \sum_1^n \text{Sc}_{\text{sp}}^{\times\downarrow}[a_i, b_i]$$

for all n .

²⁴See [17, §5.4] and compare with [22, 37] and with [18, the proof of §2.B].

²⁵The dimensions $n = 9, 10$, probably, can be taken care by the argument in [9].

Yet, as far as I can see, the present day Dirac theoretic argument does not yield the general Sc_{sp} -inequality

$$\text{Sc}_{\text{sp}}^{\times\downarrow}[Y \times [a, b]] \leq \text{Sc}_{\text{sp}}^{\times\downarrow}[Y] + \text{Sc}_{\text{sp}}^{\times\downarrow}[a, b].$$

However, this argument does apply, if Y is a special (extremal) manifold as in §3.B $_{\times \times}$, e.g., a product of spheres.

4.C. [sect.curv ≤ 0]-Remark. Let Y and Z be compact Riemannian manifolds, where Z has no boundary and the sectional curvature $\text{sect.curv}(Y) \leq 0$. Then, similarly as above, one can prove additivity in the following two cases:

(i) If $\dim(Y \times Z) = n \leq 8$,²⁶ then

$$\text{Sc}^{\times\downarrow}[Y \times Z] = \text{Sc}^{\times\downarrow}[Y] = \text{Sc}^{\times\downarrow}[Y] + \text{Sc}^{\times\downarrow}[Z] = \text{Sc}^{\times\downarrow}[Y].$$

(ii) If Y is as in §3.B $_{\times \times}$, then

$$\text{Sc}_{\text{sp}}^{\times\downarrow}[Y \times Z] = \text{Sc}_{\text{sp}}^{\times\downarrow}[Y] = \text{Sc}_{\text{sp}}^{\times\downarrow}[Y] + \text{Sc}_{\text{sp}}^{\times\downarrow}[Z] = \text{Sc}^{\times\downarrow}[Y]$$

for all n .

4.D. Riemannian additivity conjecture. Riemannian products of all oriented Riemannian manifolds satisfy

$$\text{Sc}^{\times\downarrow}[X_1 \times X_2] = \text{Sc}^{\times\downarrow}[X_1] + \text{Sc}^{\times\downarrow}[X_2].$$

In fact, the following stronger inequality might be true.

4.E. Sup-metric product conjecture. Let X_i , $i = 1, \dots, k$, be metric spaces (e.g., closed oriented Riemannian manifolds) and let

$$X = \times_{\text{sup}_i} X_i = (X_1 \times \dots \times X_k, \text{dist}_{\text{sup}})$$

be their product endowed with the *sup-metric*

$$\text{dist}((x_1, \dots, x_k), (x'_1, \dots, x'_k)) = \max_{i=1, \dots, k} \text{dist}(x_i, x'_i).$$

Then rational homology classes $h_i \in H_{m_i}(X_i; \mathbb{Q})$ (e.g., the rational fundamental classes $[X_i]$ ²⁷) satisfy

$$\text{Sc}^{\times\downarrow}(\otimes_i h_i) \leq \sum_{i=1, \dots, k} \text{Sc}^{\times\downarrow}(h_i), \quad \text{e.g.,} \quad \text{Sc}^{\times\downarrow}[\times_{\text{sup}_i} X_i]_{\mathbb{Q}} \leq \sum_{i=1, \dots, k} \text{Sc}^{\times\downarrow}[X_i]_{\mathbb{Q}}, \quad (4.1)$$

where the opposite inequality

$$\text{Sc}^{\times\downarrow}(\otimes_i h_i) \geq \sum_{i=1, \dots, k} \text{Sc}^{\times\downarrow}(h_i)$$

follows from additivity of the scalar curvature; hence, (4.1) implies the equality

$$\text{Sc}^{\times\downarrow}(\otimes_i h_i) = \sum_{i=1, \dots, k} \text{Sc}^{\times\downarrow}(h_i).$$

²⁶In view of [9], the inequality $n \leq 10$ may suffice.

²⁷“Rational” in the case of compact locally contractible spaces means “a non-zero integer multiple of”, that is,

$$\text{Sc}^{\times\downarrow}(h_{\mathbb{Q}}) \stackrel{\text{def}}{=} \sup_{N \neq 0} \text{Sc}^{\times\downarrow}(N \cdot h_{\mathbb{Q}}).$$

4.F. $\times_{\text{sup}_i} [a_i, b_i]$ -Example. The above indicated proofs of §4.A and §4.B actually show that the rectangular solids $\times_1^n [a_i, b_i]$ with the Riemannian product and the sup-product metrics have the same $\text{Sc}^{\times\downarrow}$ for $n \leq 8$ and have the same $\text{Sc}_{\text{sp}}^{\times\downarrow}$ for all n . This *confirms the validity of (4.1) for rectangular solids.*

4.F'. $\times [0, d_i]$ -Sub-Example. Let $Y \subset \mathbb{R}^n$ be a diffeomorphic image of the n -cube and let $d_i, i = 1, \dots, n$, be the distances between the images in Y of the pairs of the opposite $(n-1)$ -faces of the cube. Then the first Dirichlet eigenvalue of the Laplacian $-\Delta_Y$ is bounded by that of the solid $\times_i [0, d_i]$,

$$\lambda_1(-\Delta_Y) \leq \sum_i \frac{\pi^2}{d_i^2}.$$

Exercise. Find a direct elementary proof of this inequality.²⁸

Sup-distance, sup-area and $\text{Sc}_{\text{sup.area}}^{\times\downarrow}$. The Riemannian product metric, that is the Pythagorean one

$$\text{dist}((x_1, \dots, x_k), (x'_1, \dots, x'_k)) = \sqrt{\sum_i \text{dist}(x_i, x'_i)^2},$$

is greater than the sup-metric but only by a factor \sqrt{k} ,

$$1 \leq \frac{\text{dist}((x_1, \dots, x_k), (x'_1, \dots, x'_k))}{\text{dist}_{\text{sup}}((x_1, \dots, x_k), (x'_1, \dots, x'_k))} \leq \sqrt{k}.$$

The situation is somewhat different with areas. Namely, let $X = \times_i X_i$ be the product of Riemannian manifolds and let $\text{sup}_i\text{-area}(\Sigma)$ for a smooth surface $\Sigma \subset X$ be the maximum of the areas of the projections $\Sigma \rightarrow X_i$. Here again

$$\text{sup}_i\text{-area}(\Sigma) \leq \text{area}(\Sigma)$$

but now, unlike to how it is with the distances, the ratio

$$\frac{\text{area}(\Sigma)}{\text{sup}_i\text{-area}(\Sigma)}$$

may be infinite. Accordingly, the corresponding $\text{Sc}_{\text{sup.area}}^{\times\downarrow}(h)$, $h \in H_m(\times_i X_i)$, defined with smooth maps $f: Y^m \rightarrow \times_i X_i$, $f_*[Y] = h$ such that the corresponding $f_i: Y^m \rightarrow X_i$ are area decreasing, can be *significantly greater* than $\text{Sc}_{\text{sup.area}}^{\times\downarrow}(h)$, where the maps f must be area decreasing themselves.

Thus, the area version of (4.1),

$$\text{Sc}_{\text{sup.area}}^{\times\downarrow}(\otimes_i h_i) \leq \sum_{i=1, \dots, k} \text{Sc}_{\text{area}}^{\times\downarrow}(h_i), \quad (4.2)$$

e.g.,

$$\text{Sc}_{\text{sup.area}}^{\times\downarrow}[\times_{\text{sup}_i} X_i]_{\mathbb{Q}} \leq \sum_{i=1, \dots, k} \text{Sc}_{\text{area}}^{\times\downarrow}[X_i]_{\mathbb{Q}},$$

is qualitatively stronger than corresponding inequality for $\text{Sc}_{\text{area}}^{\times\downarrow}(\otimes_i h_i)$.

²⁸To my shame, I could not solve it.

Although we have no known means for bounding $\text{Sc}_{\text{area}}^{\times\downarrow}$ and even less for $\text{Sc}_{\text{sup.area}}^{\times\downarrow}$ in most cases, we shall do this in the next section for $\text{Sc}_{\text{sup.area}, \tilde{\text{sp}}}^{\times\downarrow}$ and thus prove the $\tilde{\text{sp}}$ -version of (4.2) in some cases.

4.G. Semiadditivity problem. Let $X = X^n$ and $Z = Z^k$, $k \leq n - 2$, be compact Riemannian manifolds, possibly with boundaries, and let $f: X \rightarrow Z$ be a smooth distance decreasing map such that $\partial X \xrightarrow{f} \partial Z$, and let $h_m = [f^{-1}(z)] \in H_m(X)$, $m = n - k$, be the homology class of the pullback of a generic $z \in Z$.

Identify the cases, where

$$\text{Sc}^{\times\downarrow}(h_m)_{\mathbb{Q}} \geq \text{Sc}^{\times\downarrow}[X]_{\mathbb{Q}} - \text{Sc}^{\times\downarrow}[Y]_{\mathbb{Q}},$$

at least for “simple” manifolds Z , e.g., compact convex domains in \mathbb{R}^k and in S^k and, in general, evaluate the difference

$$\text{Sc}^{\times\downarrow}[X]_{\mathbb{Q}} - \text{Sc}^{\times\downarrow}[Y]_{\mathbb{Q}} - \text{Sc}^{\times\downarrow}(h_m)_{\mathbb{Q}}$$

in terms of the geometry of Z , for instance, where Z is the product of balls $Z = \times_i B^{k_i}(R_i)$ or product of spheres $S^{k_i}(R_i)$.

If $n = m + k \leq 8$, a satisfactory lower bound on $\text{Sc}^{\times\downarrow}(h_m)$ for rectangular solids Z follows from §4.A. Also [18, §2.B] yields similar bounds for products of 2-discs and 2-spheres (compare [22]). But it is unclear, for instance, how large the difference $\text{Sc}^{\times\downarrow}[X]_{\mathbb{Q}} - \text{Sc}^{\times\downarrow}[Y]_{\mathbb{Q}} - \text{Sc}^{\times\downarrow}(h_m)_{\mathbb{Q}}$ can be for the balls $B^k \subset \mathbb{R}^k$ and spheres S^k for large k .

5 Additivity of the twisted SLWB-formula and applications

Let Y be a Riemannian spin n -manifold and $V \rightarrow X$ be a complex vector bundle with a unitary connection ∇ and let $\mathcal{D}_{\otimes V}$ denote the Dirac operator on spinors \mathbb{S} on Y tensored with V . Then the square of $\mathcal{D}_{\otimes V}$ satisfies the following *Schrödinger–Lichnerowicz–Weitzenböck–Bochner formula* (see [27])

$$\mathcal{D}_{\otimes V}^2 = \nabla_{\otimes V}^2 + \frac{1}{4} \text{Sc}(Y) + \mathcal{K}_{\otimes V},$$

where $\mathcal{K}_{\otimes V}$ is an endomorphism $\mathbb{S} \otimes V \rightarrow \mathbb{S} \otimes V$ such that

$$\mathcal{K}_{\otimes V}(s \otimes v) = \frac{1}{2} \sum_{i,j} (e_i \circ e_j \circ s) \otimes R_{e_i \wedge e_j}^V(v),$$

where $e_i \in T_y(Y)$, $i = 1, \dots, m = \dim(Y)$, are orthonormal tangent vectors at $y \in Y$, where \circ is the Clifford multiplication and $R_{e_i \wedge e_j}^V: V \rightarrow V$ is the curvature operator of ∇ .

Next, recall that the curvature of the tensor product of two bundles with connections satisfies

$$R^{V_1 \otimes V_2} = 1^{V_1} \otimes R^{V_2} + R^{V_1} \otimes 1^{V_2},$$

where $1^V: L \rightarrow V$ is the identity operator, and observe that the operators on $\mathbb{S} \otimes V_1 \otimes V_2$ defined by

$$s \otimes v_1 \otimes v_2 \mapsto \frac{1}{2} \sum_{i,j} (e_i \circ e_j \circ s) \otimes R_{e_i \wedge e_j}^{V_1}(v_1) \otimes v_2$$

and by

$$s \otimes v_1 \otimes v_2 \mapsto \frac{1}{2} \sum_{i,j} (e_i \circ e_j \circ s) \otimes v_1 \otimes R_{e_i \wedge e_j}^{V_2}(v_2)$$

have the same spectra up to multiplicity as

$$s \otimes v_1 \mapsto \frac{1}{2} \sum_{i,j} (e_i \circ e_j \circ s) \otimes R_{e_i \wedge e_j}^{V_1}(v_1)$$

and

$$s \otimes v_2 \mapsto \frac{1}{2} \sum_{i,j} (e_i \circ e_j \circ s) \otimes R_{e_i \wedge e_j}^{V_2}(v_2)$$

correspondingly. Therefore, the lowest eigenvalue $\lambda_{\otimes 1 \otimes 2}$ (often negative) of the (self-adjoint) operator $\mathcal{R}_{\otimes(V_1 \otimes V_2)}$ is bounded from below by the sum of these for $\mathcal{R}_{\otimes V_1}$ and $\mathcal{R}_{\otimes V_2}$,²⁹

$$\lambda_{\otimes 1 \otimes 2} \geq \lambda_{\otimes 1} + \lambda_{\otimes 2}.$$

This yields the following.

5.A. Theorem.³⁰ Let $X = \times_k X_k$, $k = 1, \dots, l$, be an orientable Riemannian n -manifold split into Riemannian product, where the factors $X_k = (X_k, \underline{g}_k)$ are either

- (a) compact n_k -manifolds with *non-negative curvature operators*, $\mathcal{R}^{X_k} \geq 0$ (e.g., closed convex hypersurfaces in \mathbb{R}^{n_k+1}) and with *non-vanishing Euler characteristics* $\chi(X_k) \neq 0$ (hence of even dimensions n_k), or
- (b) spheres S^{n_k} with constant sectional curvatures (possibly of odd dimension n_k).

Let $\underline{g}_k^\natural = \text{Sc}(\underline{g}_k) \cdot \underline{g}_k$. Let $Y = (Y, g)$ be a smooth complete orientable Riemannian $(n + N)$ -manifold with $\text{Sc}(g) > 0$, and let $g^\natural = \text{Sc}(g) \cdot g$. Let Z be an orientable enlargeable N -manifold, e.g., $Z = \mathbb{R}^N$, and let $f: Y \rightarrow X \times Z$ be a smooth proper (quasi-proper will do) map such that the corresponding maps $f_k: Y \rightarrow X_k$ are *strictly sum-wise area decreasing* with respect to g^\natural in Y and \underline{g}_k^\natural in X_k .³¹

This means that the norms of the exterior squares of the differentials of f_k with respect the \natural -metrics satisfies

$$\sum_k \|\wedge^2 df_k\| < 1. \tag{5.1}$$

(Notice that $\sum_k \|\wedge^2 df_k\| = 1$ if $X = Y$ and f is the identity map.)

If either the map f is *spin* or the *universal covering of Y is spin*, then the *topological degree of f is zero*.

Proof. First, let X and Y be spin, let $f: Y \rightarrow X$ be a smooth map and let $V \rightarrow Y$ be the f -pullback of the spin bundle $\mathcal{S}(X) \rightarrow X$ to Y . Then, if $\mathcal{R}^X \geq 0$ and $f: Y \rightarrow X$ is \natural -area decreasing at point $y \in Y$, i.e., $\|\wedge^2 df(y)\| \leq 1$, then according to [12] (also see [29]) the lowest eigenvalue of the operator $\mathcal{R}_{\otimes V}$ at $y \in Y$ satisfies

$$\lambda_{\otimes V} \geq -\frac{\text{Sc}(Y, y)}{4},$$

where this inequality is strict if f is strictly \natural -area decreasing at y .

Next, let X_k be spin, let $X = \times_k X_k$ and let $V \rightarrow Y$ be the tensor product $V = \otimes_k V_k$ of the pullbacks $V_k = f_k^*(\mathcal{S}_k) \rightarrow Y$ of $f_k^*(\mathcal{S}_k) = \mathcal{S}(X_k) \rightarrow X_k$ to Y for $f_k: Y \rightarrow X_k$.

²⁹A referee pointed out to me that $\lambda_{\otimes 1 \otimes 2} = \lambda_{\otimes 1} + \lambda_{\otimes 2}$.

³⁰This is a refinement of the Llarull–Goette–Semmelmann–Listing rigidity theorem.

³¹The quadratic forms \underline{g}_k^\natural on X_k may vanish but “area” makes sense anyway.

Then, if the maps f_k are \natural -area decreasing and at least one of f_k is strictly \natural -area decreasing at a point $y \in Y$ then, assuming Y is connected and spin, the Dirac operator $\mathcal{D}_{\otimes V}$ on Y has *index zero*.

On the other hand, if $\chi(X_k) \neq 0$, if $\dim(Y) = \dim(X)$ and $\deg(f) \neq 0$,³² then $\text{ind}(\mathcal{D}_{\otimes V}) \neq 0$ by the Atiyah–Singer theorem (compare with [12, 29, 30]).

This proves §5.A in the case where the manifold X is spin and it contains neither a Z -factor, nor an odd spherical factor.

To pass to the general case we argue as follows:

1. Odd dimensional spheres are suspended to even dimensional ones $S^{n_k} \rightsquigarrow S^{n_k+1}$, where these suspensions are accompanied by multiplying Y by a long circles and a suspending $[f_k: Y \rightarrow S^{n_k} \rightsquigarrow [Y \times S^1 \rightarrow S^{n_k+1}]$ as in [30], also see [17, §3.4.1] and [18].

2. If a Z , which may be assumed even-dimensional, is enlargeable, it supports an almost flat bundle, say $W \rightarrow Z$ with non-zero top-dimensional Chern class and the above $V \rightarrow Y$ is tensored by the pullback $f_Z^*(W) \rightarrow Y$ of W to Y .

3. If neither X nor Y are spin but the map f is spin, then the Dirac operator $\mathcal{D}_{\otimes V}$ is defined (this is explained in the present context in [34] and in [12]) and the above applies.

5.B. Spherical trace and symplectic remarks. The $\|\wedge^2 df_k\|$ contribution of each spherical factor X_k with constant sectional curvature can be replaced in the formula (5.1) by an a priori smaller entity, that is, $\frac{2\|\wedge^2 df\|_{\text{trace}}}{n_k(n_k-1)}$, where

$$\|\wedge^2 df_k(y)\|_{\text{trace}} = \sum_{1 \leq i < j \leq n+N} \lambda_{i,k}(y) \lambda_{j,k}(y),$$

and where the numbers $\lambda_{j,k}(y) \geq 0$ are defined by diagonalizing the differential $df_k: T_y(Y) \rightarrow T_{f_k}(X_k)$ with an *orthonormal* frame $e_{i,k} \in T(y)(Y)$, which is sent by df_k to an *orthogonal* frame in $T_{f_k}(X_k)$ with the vectors of lengths $\lambda_{j,k}(y)$.

In fact, this follows from [30, formula (4.6)] (also [29] and [17, §3.4]).

The S^2 factors in X contribute to complex line bundles as \otimes -factors in $V \rightarrow Y$. This, in view of Schrödinger–Hitchin (see [25]) formula for $\mathcal{D}_{\otimes L}$ allows one to replace the product of these S^2 by a single (quasi)symplectic manifold (compare with [17, §2.7 and §3.4.4(4)]).

5.C. $\text{Sc}_{\text{area,sp}}^{\times\downarrow}$ -additivity corollary. Let X_k be manifolds as in 5.A, where we additionally assume that they are spin and have constant scalar curvatures. Then the fundamental classes $[X_k]$ satisfy the $\tilde{\text{sp}}$ -version of the $\text{Sc}_{\text{sup.area}}^{\times\downarrow}$ -additivity (4.2) in §4.F:

$$\text{Sc}_{\text{sup.area,sp}}^{\times\downarrow}(\times_k X_k) = \sum_k \text{Sc}_{\text{sp}}^{\times\downarrow}(X_k) = \sum_k \text{Sc}^{\times}(X_k) = \sum_k \text{Sc}(X_k).$$

Consequently,

$$\text{Sc}_{\text{sp}}^{\times\downarrow}(\times_{\text{sup}_k} X_k) = \sum_k \text{Sc}(X_k).$$

5.D. Questions. (i) Does vanishing of $\text{Sc}^{\times\downarrow}[X_k]_{\mathbb{Q}}$ for closed manifolds X_k (this is a homotopy invariant of X) implies vanishing of $\text{Sc}^{\times\downarrow}[\times_k X_k]_{\mathbb{Q}}$?

There are examples of manifolds X_k , where $\text{Sc}^{\times\downarrow}[X_i] = 0$ and where their products admit metrics with $\text{Sc} > 0$; hence, $\text{Sc}^{\times\downarrow}[\times_i X_i] > 0$ for these X_i , see [19].

(ii) Do products of spheres $X = S^{n_1} \times S^{n_2}$, $n_1, n_2 \geq 2$, admit Riemannian metrics g_ε , for all $\varepsilon > 0$, with $\text{Sc}(g_\varepsilon) \geq 1$ and such that *all non-zero* homology classes h in $H_{n_1}(X)$ and in $H_{n_2}(X)$ satisfy $\text{Sc}_{\text{area}_{g_\varepsilon}}^{\times\downarrow}(h) \leq \varepsilon$?

³²If $\dim(Y) = \dim(X) + 4m$, then instead of $\deg(f) \neq 0$ one assumes that the f -pullback of a generic point $x \in X$ has non-zero hat A-genus.

The existence of such a g_ε , for $n_1 = n_2 = 2$, would imply the absence of the lower bounds on the 2-systoles of manifolds (X, g) in terms of $\sigma(g) = \inf_{x \in X} \text{Sc}(X, g, x) > 0$,³³

$$\sup_{\sigma(g) \geq 1} \text{syst}_2(X, g) = \infty.$$

Recall, that the 2-systole is the *infimum of the areas of all non-zero classes* $h \in H_2(X)$, for $\text{area}(h) = \inf_{[c] \in h} \text{area}(c)$ for the 2-cycles $c \subset Y$ that represent h .³⁴

(iii) Let X be a compact symmetric space. What is the *minimal* seminorm on the linear maps $\lambda^2 d: \wedge^2 \mathbb{R}^n \rightarrow \wedge^2 T(X)$, say $\|\lambda^2 d\|_{\min}$ such that the \natural -normalized inequality $\|\wedge^2 df\|_{\min} < 1$ for smooth equidimensional spin maps $f: Y \rightarrow X$ would imply that $\deg(f) = 0$?³⁵

(If X is the products of spheres, this seminorm is equal to the sum of the mean trace norms (as in §5.B) for maps $\mathbb{R}^n \xrightarrow{d_k} X_k = S^{n_k}$ and for all symmetric spaces X of dimension ≥ 4 with $\chi(X) \neq 0$ this norm is, probably, strictly smaller than the sup-norm $\|\wedge^2 d\|$ from the Goette–Simmelmann theorem.)

6 P-families of maps to product of spheres

Let $Y = (Y, g)$ be an n -dimensional Riemannian manifold with $\text{Sc}(X) > 0$, where as earlier $g^\natural = \text{Sc}(Y) \cdot g$, let $h_m \in H_m(Y)$ be a homology class and let P be a locally contractible topological space, e.g., a manifold and $h_K \in H_K(P)$ be a homology class.

Let X be a product of spheres of variable radii,

$$X = \times_k S^{n_k}(R_k),$$

where $\dim(X) = \sum_k n_k = m + K$, and where the spheres are endowed with the usual metrics with sectional curvatures $1/R_k^2$.

Let $F: Y \times P \rightarrow X$ be a continuous map such that the maps $F_p = F|_{Y \times p}: Y \rightarrow X$ are smooth and C^1 -continuous in $p \in P$.

Let the universal covering of Y be spin and let h_m be equal to the homology class of the pullback of a genetic point under a smooth map $\phi: Y \rightarrow Z$, where Z is a smooth enlargeable manifold of dimension $\dim(Y) - m$. For instance, $m = n$ and $h_m = [Y]$ or $Y = Y_0^m \times \mathbb{T}^{n-m}$ and $h_m = [Y_0]$.

6.A. Theorem. Let the norms of the exterior squares of the differentials of the maps $f_k: Y \rightarrow S^{n_k}(R_k)$ with respect to the \natural -metrics in Y and in $S^{n_k}(R_k)$ satisfy

$$\sum_k \|\wedge^2 df_k\| < 1.$$

Then, in the following two cases, the F -image $F_*(h_m \otimes h_K) \in H_{m+K}(X) = \mathbb{Z}$ vanishes:

- (1) The ranks of the (differentials of the) maps $f_p: Y \rightarrow X$ are everywhere $\leq m$, e.g., $\dim(Y) = m$ and $h_m = [Y]$.
- (2) The dimension of Y is bounded by $n \leq 8$.

³³Such a counter example would undermine (but not disprove) the conjectural bound $\text{waist}_2(X) \leq \frac{\text{const}_n}{\sigma}$ for compact Riemannian n -manifolds with $\text{Sc}(X) \geq \sigma > 0$. Thus, it may be safer to assume $n_1, n_2 \geq 3$.

³⁴There are bounds on the 2-systoles of manifolds X with $\text{Sc}^\times(X) \geq \sigma$ in terms of their \square^\perp -spreads (see [37, 44]) which are proved as $\square^{\exists\exists}(n, m)$ -inequality in §2.B with a use of minimal hypersurfaces and μ -bubbles. Also there are similar bounds on the *stable systoles* of spin manifolds obtained with Dirac operators twisted with line bundles, where, recall, $\text{st.syst}_2(X) = \liminf_{N \rightarrow \infty} \frac{\text{area}(Nh)}{N}$.

³⁵This norm must be invariant under isometries of X .

Proof. Case 1. If $\text{ranks}(f_p) \leq m$, then the Llarull (Listing) trace inequality (4.6) in [30] together with the above $\lambda_{\otimes V}$ -additivity show that index of the family of the Dirac operators on Y , twisted with the pullbacks of $\bigotimes_k \mathbb{S}_k$ as in §5.A, vanishes and the Atiyah–Singer theorem for families shows that $F_*(h_m \otimes h_K) = 0$. (See in [17, §4] and references therein.)

Case 2. If $\dim(Y) \leq 8$, then, at last generically, the homology class h_m can be realized by an m -submanifold $Y_0 \subset Y$ such that the product $Y_0 \times \mathbb{T}^{\dim(Y)-m}$ admits a warped product metric g^\times such that $\text{Sc}(g^\times, y) \geq \text{Sc}(Y, y)$ for all $y \in Y_0$ (see [18, §3] and references therein). Now the case 1 applies to $Y_0 \times \mathbb{T}^{\dim(Y)-m}$ and the proof follows.

Remarks/Problems. (a) For all we know, the spin and $\dim(Y) \leq 8$ condition are redundant and there is a fair chance that a further study of singularities of minimal hypersurfaces in the spirit of [39] and/or [31, 32] will allow one to remove the latter. But removing the spin condition needs a new idea.

The argument in Case 1 can be extended to maps of foliated manifolds to $\times_k S^{m_k}$ as in [42], but a foliated version of Case 2 is problematic.

7 Spinorial curvature

Given a closed orientable even dimensional Riemannian manifold Y let $\text{Sp.curv}(Y)$ be the infimum of the numbers $\kappa \geq 0$ such that there exist a complex vector bundle $V \rightarrow X$ with a unitary connection such that

$$(\text{Ch}(V) \smile \hat{A})[Y] \neq 0$$

and the lowest eigenvalue of the operators $\mathcal{K}_{\otimes V}$ on $(\mathcal{S} \otimes V)_y$ (see Section 5) satisfies

$$\lambda_{\otimes V} \geq -\kappa$$

at all points $y \in Y$.³⁶

Observe that

$$\text{Sp.curv}(Y_1 \times Y_2) \leq \text{Sp.curv}(Y_1) + \text{Sp.curv}(Y_2)$$

by the inequality $\lambda_{\otimes 1 \otimes 2} \geq \lambda_{\otimes 1} + \lambda_{\otimes 2}$ from Section 5, that

$$\text{Sp.curv}(Y) = 0$$

for enlargeable manifolds Y and that if the universal coverings of Y is *spin*, then

$$\text{Sc}^\times(Y) \leq 4\kappa$$

by the (\mathbb{T}^\times -stabilized) index theorem, SLWB-formula and Kato's inequality.³⁷

Remarks on $\lambda_1(X, \beta)$ for $\beta < 1/4$. (a) The refined Kato inequality strengthens the above to

$$\lambda_1(X, \beta) \leq 4\kappa \quad \text{for } \beta = (n-1)/4n,$$

where $\lambda_1(X, \beta)$ is the lowest eigenvalue of $-\Delta + \beta \text{Sc}(X)$ (see §1.D).

(b) The Kazdan–Warner conformal change theorem [26] and conformal invariance of harmonic spinors [25] show that if $\lambda_1(X, \beta) > 0$ for $\beta = (n-2)(4(n-2))$, then X supports no non-zero harmonic spinors.

³⁶Although the spin bundle $\mathcal{S} \rightarrow Y$ is defined only for spin manifolds, this definition, being local, makes sense for all Y , since $\lambda_{\otimes V}$ does not depend on the spin structure.

³⁷Instead of the \mathbb{T}^\times -stabilization and Kato's inequality one may use Kazdan–Warner conformal change theorem [26] and conformal invariance of harmonic spinors [25].

However, it is unclear how to extract further geometric, rather than topological information from the inequality $\lambda_1(X, \beta) > \sigma$ for $\beta < (n-1)/4n$ and $\sigma > 0$.

Next, let X be a Riemannian manifold, let $h_m \in H_m(X)$ be a homology class and let \mathcal{Y} be a class of smooth closed orientable m -manifolds Y along with maps $f: Y \rightarrow X$.

Define $\text{Sp.curv}_{\mathcal{Y}}^{\downarrow}(X)$ via smooth maps $F: Y \times \mathbb{T}^N \rightarrow X \times \mathbb{T}^N$ and Riemannian metrics G on $Y \times \mathbb{T}^N$ as the infimum

$$\text{Sp.curv}_{\mathcal{Y}}^{\downarrow}(X) = \inf_{Y, N, G, F} \text{Sp.curv}(Y \times \mathbb{T}^N, G),$$

where the infimum is taken over N such that $m + N$ is *even*, where F is *area decreasing* with respect to the metric G and where

$$F_*[Y \times \mathbb{T}^N] = h_m \otimes [\mathbb{T}^N] \in H_{m+N}(X \times \mathbb{T}^N) \quad \text{and} \quad (Y, F|_{Y \times 0}) \in \mathcal{Y}.$$

Clearly, by the above, if the universal coverings of manifolds $Y \in \mathcal{Y}$ are spin,³⁸ then

$$\text{Sp.curv}_{\mathcal{Y}}^{\downarrow}(h_m) \geq \frac{1}{4}(\text{Sc}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(h_m)).$$

Remark. If the universal coverings of the manifolds $Y \in \mathcal{Y}$ are spin, then the fundamental classes $[X]$ of compact symmetric spaces X with $\chi(X) \neq 0$, satisfy the equality

$$\text{Sp.curv}_{\mathcal{Y}}^{\downarrow}(X) = \frac{1}{4}(\text{Sc}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(h))$$

by the \mathbb{T}^{\times} -stabilized Goette–Semmelmann theorem and this equality applies to products $X = \times X_i$, where X_i are as in §5.A.

Possibly, (a version of) this equality holds true for all symmetric spaces but it seems unlikely in general, even for rational homology classes h , that the Dirac operator is the only source of bounds on $\text{Sc}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(h)$.

Power stabilization. Let

$$X^M = \underbrace{X \times X \times \cdots \times X}_M,$$

$$\text{Sc}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(\otimes^{[\infty/\infty]} h_m) = \sup_{M=1,2,\dots} \frac{1}{M} \text{Sc}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(h_{Mm}), \quad h_{Mm} = \otimes^M h_m \in H_{Mm}(X^M)$$

and

$$\text{Sc.curv}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(\otimes^{[\infty/\infty]} h_m) = \inf_{M=1,2,\dots} \frac{1}{M} \text{Sc.curv}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(h_{Mm}),$$

$$h_{Mm} = \otimes^M h_m \in H_{Mm}(X^M).$$

Questions. I. What are further instances (besides the above $h = [X]$) of the equality

$$\text{Sp.curv}_{\mathcal{Y}}^{\downarrow}(\otimes^{[\infty/\infty]} h_m) = \frac{1}{4}(\text{Sc}_{\text{area}, \mathcal{Y}}^{\times \downarrow}(\otimes^{[\infty/\infty]} h_m)),$$

and what are examples where this fails to be true?

II. Can one pass to the limit, set $M = \infty$ and prove scalar curvature bounds for “Riemannian metrics” G on infinite dimensional manifolds X , e.g., where such a G differs from the infinite sum of Riemannian metrics, $\sum_1^{\infty} g_i$, on $X = \times_1^{\infty} (X_i, g_i)$ (and/or on Y mapped to X) by a “fast decaying in i ” error term Δ ?

³⁸This condition is necessary but its \mathbb{Q} -version may be redundant.

Remarks. (a) If $\Delta = \Delta_{i,j}$ decays very fast, for i and/or j tending to infinity, then finite products $X_M = \times_1^M X_i$ embed to $X = \times_1^\infty (X_i, g_i)$ with small relative curvatures and a bound on “Sc(X)” may be derived in some cases from such a bound on X_M , but it would be more interesting to develop a truly infinite dimensional argument for bounds on “Sc(X)” and/or to find applications of such bounds.

Test question. Let $X = \{x_i\}_{\sum_i x_i^2 \leq \infty}$ be the Hilbert space and $G = G_{ij}$ be a smooth Riemannian metric on X , which is *greater* than the background Hilbertian metric,

$$G(\tau, \tau) \geq \|\tau\|^2$$

for all tangent vectors $\tau \in T(X)$ and let $M = 3, 4, \dots$ be an integer.

Can the M -scalar curvature of G (defined below) be *strictly positive*, say $\text{Sc}_M(G) \geq 1$? Here Sc_M is the function on the tangent M -planes $P^M \in T_x(X)$, $x \in X$, which is equal to the scalar curvature at zero in $P^M (= \mathbb{R}^M)$ of the Riemannian metric induced by the exponential map $\exp: P^M \rightarrow X$ from G . (It may be worthwhile to compare Sc_M with with the *m-intermediate curvature* from [3].)

(b) A natural approach to these problems is by a finite-dimensional approximation as in (a) but this seems that uncomfortably restrictive conditions on G are needed (compare with [14]).

(c) Basic features of positive scalar curvature have their counterparts for *mean convex* hypersurfaces (see [16]), where the infinite dimensional geometry is a bit more transparent than that of the scalar curvature.

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