

From Orthocomplementations to Locality

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Abstract. After some background on lattices, the locality framework introduced in earlier work by the authors is extended to cover posets and lattices. We then extend the correspondence between Euclidean structures on vector spaces and orthogonal complementations to a one-one correspondence between a class of locality structures and orthocomplementations on bounded lattices. This recasts in the context of renormalisation classical results in lattice theory.

Key words: locality; lattice; poset; orthocomplementation; renormalisation

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*This paper is dedicated to Gianni Landi
on the occasion of his sixtieth birthday*

1 Introduction

1.1 The general setup and our aims

The notion of complementation, or complement map – roughly speaking, an operation which separates a subset M of a given set X from another subset M' , its complement, so that the information on X is split into the part on M and the part on the complement M' – provides a separating tool that is ubiquitous in mathematics. For example, the notion of (ortho)complementation naturally arises in the context of *axiomatic quantum mechanics*, where various types of binary relations are used, that are defined on the set of all questions testable for a given physical system [4]. Also, as we shall see below, the notion of complementation plays a central role in *renormalisation procedures*. Typical examples of complementations are the set complementations and the orthogonal complementations, taking respectively a subset of a reference set to its complement in the set, a linear subspace of a reference Euclidean vector space to its orthogonal complement in the space.

Our guiding example throughout this paper is the set $(G(V), \preceq)$ of linear subspaces in a finite dimensional vector space V equipped with the partial order \preceq corresponding to the inclusion of linear subspaces.

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An inner product Q on V gives rise to a complementation on $G(V)$:

$$\Psi^Q: G(V) \longrightarrow G(V), \quad W \longmapsto W^{\perp Q},$$

where $W^{\perp Q} := \{v \in V \mid Q(v, w) = 0, \forall w \in W\}$ is the Q -orthogonal complement of W . It also gives rise to a symmetric binary relation on $G(V)$, namely the orthogonality relation

$$W_1 \perp^Q W_2 \iff Q(w_1, w_2) = 0 \quad \forall (w_1, w_2) \in W_1 \times W_2, \quad (1.1)$$

and we have

$$W_1 \perp^Q W_2 \iff W_2 \subseteq \Psi^Q(W_1), \quad W_2 = \Psi^Q(W_1) \iff W_2 = \text{grt} \{W \preceq V \mid W \perp^Q W_1\}.$$

Here grt means the greatest element by inclusion. This establishes a one-one correspondence between locality relations and orthocomplementations given by scalar products:

$$\perp^Q \longleftrightarrow \Psi^Q. \quad (1.2)$$

The symmetric binary relation \perp^Q on $G(V)$ is a particular instance of the more general notion of *locality relation* introduced in [6]. Since the poset $(G(V), \preceq)$ equipped with the intersection and the sum (of two vector spaces) is a lattice, the one-one correspondence (1.2) serves as a motivation to investigate the relation between complementations and locality relations on lattices.

So we ask, under what conditions one can derive on a lattice, a locality relation from a complementation.

Theorem 5.16 provides an answer to this question: for any bounded lattice, there is a one-one correspondence

$$\text{orthocomplementations} \longleftrightarrow \text{strongly separating locality relations}. \quad (1.3)$$

When applied to the lattice $G(V)$, this generalises the correspondence (1.2) between orthogonality and orthogonal complement on vector spaces.

We were informed by a referee report on a previous version of this paper, that this one-one correspondence was already known and proven in [3], this leading us to some substantial reformulations and restructuring.¹ Although the one-one correspondence (1.3) seems to be common knowledge in the lattice community, we believe that recasting this known result in the context of renormalisation is relevant for the mathematical physics community. We feel that this exploratory and survey type article serves to promote the notion of orthocomplements beyond the lattice theory community, in the mathematical physics community where complements are constantly used in the context of renormalisation, as we shall now explain.

1.2 Locality and complements in renormalisation

Complementations play an essential role in renormalisation, where they arise in various disguise and are used to separate divergent terms from convergent terms.

In A. Connes and D. Kreimer's algebraic Birkhoff factorisation approach to renormalisation [10, 26], the coproduct is typically built from a (relative) complementation on a poset (X, \leq) by means of $\Delta x = \sum_y x \otimes (x \setminus y)$, where $x \setminus y$ is a complement to an element $y \leq x$. This holds for the coproduct on rooted trees for which we can view the crown of a rooted tree as the complement of its trunk (a sub-rooted tree) after an admissible cut (see, e.g., [14, 15]), for the coproduct on graphs, with the contracted graph $\Gamma \setminus \gamma$ corresponding to the complement of a subgraph γ inside a connected 1 particle irreducible Feynman graph Γ (see, e.g., [26]).

¹In particular, we learned that a poset with locality amounts to a weak degenerate orthogonal poset in the sense of [3, Definition 2.1]. We nevertheless use a slightly different terminology which we find well-suited for the locality setup we have in mind.

Complementations also arise in generalised Euler–Maclaurin formulae, which relate sums to integrals. A systematic choice of complement (called a rigid complement) of a linear subspace of a vector space was used in [16] to interpolate between exponential sums and exponential integrals over rational polytopes in a rational vector space. A notion of “transversal cone $C \setminus F$ to a face F of a cone C ” was used as a complementation $F \mapsto C \setminus F$ by N. Berline and M. Vergne [1], to prove a local Euler–Maclaurin formula on polytopes. Cones form a poset for the relation “ $F \leq C$ if F is a face of C ”, and we could reinterpret the Euler–Maclaurin formula on cones, as an algebraic Birkhoff-factorisation by means of the coproduct $\Delta C = \sum_{F \leq C} (C \setminus F) \otimes F$ [20].

Renormalisation issues also underly our quest for a description of complementations on (finite dimensional) vector spaces. To explain how this motivates our comparative study of locality relations and complementations, let us first describe an abstract framework for a renormalisation scheme in the context of locality structures [6, 7]:

- an (locality) algebra $(\mathcal{A}, \top_A, m_A)$ (m_A stands for the product and \top_A for the locality relation) might it be of Feynman graphs, trees, or cones,
- an algebra of meromorphic germs at zero (\mathcal{M}, \cdot) might it be the algebra $\mathcal{M}(\mathbb{C})$ of meromorphic germs as in A. Connes and D. Kreimer’s algebraic Birkhoff factorisation approach [10] or the algebra $\mathcal{M}(\mathbb{C}^\infty)$ of multi-variable meromorphic germs with linear poles studied in [21],
- a (locality) morphism $\Phi: (\mathcal{A}, \top_A, m_A) \longrightarrow (\mathcal{M}, \cdot)$ such as Feynman integrals [11], branched zeta functions [8, 9] and conical zeta functions [20].

The locality principle translates to the partial multiplicativity of Φ :

$$a_1 \top_A a_2 \implies \Phi(m_A(a_1, a_2)) = \Phi(a_1) \cdot \Phi(a_2).$$

Renormalising consists in building a (locality) character $\Phi^{\text{ren}}: (\mathcal{A}, \top_A, m_A) \longrightarrow (\mathbb{C}, \cdot)$

$$a_1 \top_A a_2 \implies \Phi^{\text{ren}}(m_A(a_1, a_2)) = \Phi^{\text{ren}}(a_1) \cdot \Phi^{\text{ren}}(a_2).$$

To build Φ^{ren} , one first needs to separate the holomorphic part Φ_+ from the polar part Φ_- of Φ and then to evaluate it at zero setting $\Phi^{\text{ren}} := \Phi_+(0)$. This splitting relies on the splitting $\mathcal{M} = \mathcal{M}_+ \oplus \mathcal{M}_-$ of the algebra of meromorphic germs at zero into a holomorphic part \mathcal{M}_+ and a polar part \mathcal{M}_- . The map Φ_+ is built:

- in one variable by means of an algebraic Birkhoff factorisation following A. Connes and D. Kreimer [10],
- in several variables as $\Phi_+ := \pi_+ \circ \Phi$ via a projection map π_+ onto \mathcal{M}_+ (see, e.g., [7]).

We adopt the second approach which can be interpreted as a minimal subtraction scheme in several variables. To build the projection map π_+ , we use Laurent expansions of meromorphic germs in several variables with linear poles (see [21]) whose construction in turn requires a (filtered) separating device on the underlying spaces $V = \mathbb{C}^k$, $k \in \mathbb{N}$.

The resulting renormalised map $\Phi^{\text{ren}} = \pi_+ \circ \Phi$ depends on the choice of the projection π_+ , which in turn is dictated by the choice of splitting $\mathcal{M} = \mathcal{M}_+ \oplus \mathcal{M}_-$. The passage from one splitting to another splitting is encoded in a renormalisation group which we hope to describe in forthcoming work. In [21] we built Laurent expansions using the orthogonality relation Q on $V := \mathbb{C}^k$ as a separating device, leading to an orthogonal projection π_+^Q . The present paper investigates alternative separating devices on V via separating devices on the lattice $G(V)$ which we intend to use to extend our construction of Laurent expansions beyond the ones obtained by orthogonal splittings. The group of transformations of meromorphic germs that preserve holomorphic ones plays a central role in the context of renormalisation since its elements are transformations which take one renormalised value to another and can therefore be interpreted as elements of a hypothetical renormalised group.

1.3 Plan of the paper

Section 2 is a review of the basics of lattice theory, such as distributivity (Proposition-Definition 2.5) and modularity (Definition 2.12) of lattices, which we spell out in order to later generalise them to the locality setup.

Section 3 is dedicated to lattices equipped with a locality relation, which we call locality lattices (Definition 3.4). We first define and characterise locality posets (Proposition-Definition 3.1) after which we characterise locality lattices (Proposition 3.9). Whereas the subspace lattice $G(V)$ is not a locality lattice for the “disjointedness” locality relation $W_1 \top W_2 \Leftrightarrow W_1 \cap W_2 = \{0\}$, it is for the locality relation \perp^Q of (1.1), see Example 3.7.

We then review the notion of complement (Definition 4.1) and orthocomplement (Definition 4.12) on lattices and discuss the strongly separating property (Proposition 4.13) of lattices with orthocomplement. This is later used to classify orthocomplements on the subspace lattice of \mathbb{R}^2 (Corollary 4.15).

Alongside the separating property of orthocomplementations singled out in Section 4, in Section 3 we single out separating locality relations (Definition 5.4) on lattices, after which we introduce the more stringent strongly separating locality relations (Definition 5.9). We then prove the equivalence between orthocomplementations and strongly separating locality lattices (Theorem 5.16). An easy consequence is the classification of strongly separating locality relations on the lattice $G(\mathbb{R}^2)$ in Corollary 5.17.

Section 6 is dedicated to our guiding example, the modular bounded lattice $(G(V), \preceq)$. We show (Proposition 6.1) that locality relations on the lattice $G(V)$ are in one-one correspondence with locality relations on the vector space V introduced in [6]. Specialising to non-degenerate locality relations on a vector space V (Definition 6.2), we show that these are in one-one correspondence with strongly separating locality relations on $G(V)$ (Proposition 6.3). Typical non-degenerate relations are orthogonality relations, and the notion of orthogonal basis generalises to that of locality basis (Definition 6.4). A Gram–Schmidt type argument is used to show that a strongly separating locality relation on $G(V)$ admits a locality basis (Proposition 6.5). The fact that a given basis can be the locality basis for multiple locality relations suggests the richness of locality relations on a vector space.

Following a referee’s suggestion, in an appendix, we show a correspondence between another class of locality relations and of orthocomplementations. For complete atomistic lattices, we present a one-one correspondence between a class of locality lattice relations and certain locality relations on the set of atoms of the lattice, which applies to the subspace lattice considered in this paper.

We have therefore reached our goal in extending the correspondence (1.2) well beyond locality relations of the type \perp^Q of (1.1), showing the more general correspondence (1.3). This way, we could detect strongly separating locality relations on vector spaces beyond the orthogonality locality which classifies strongly separating locality relations on \mathbb{R}^2 , among which lies the orthogonality locality relations corresponding to (1.1).

2 Modular lattices

This section puts together notions and examples on lattices to provide background for our later study of lattices in a locality setup. For references on this background material, see, e.g., [2, 13, 17, 18, 22, 23, 24, 25, 27].

2.1 Lattices

For completeness, let us first recall that a *lattice* is a partially ordered set (poset) (L, \leq) , any two-element subset $\{a, b\}$ of which has a least upper bound (also called a join) $a \vee b$, and a greatest

lower bound (also called a meet) $a \wedge b$ such that

- (a) both operations are associative and monotone with respect to the order,
- (b) if $a_1 \leq b_1$ and $a_2 \leq b_2$, then $a_1 \wedge a_2 \leq b_1 \wedge b_2$ and $a_1 \vee a_2 \leq b_1 \vee b_2$.

We shall sometimes write (L, \leq, \wedge, \vee) for completeness.

A *morphism* $\varphi: L \rightarrow L'$ of two lattices (L, \leq, \wedge, \vee) and $(L', \leq', \wedge', \vee')$ is a morphism $\varphi: (L, \leq) \rightarrow (L', \leq')$ of posets compatible with the operations

$$\varphi(a) \wedge' \varphi(b) = \varphi(a \wedge b)$$

and

$$\varphi(a) \vee' \varphi(b) = \varphi(a \vee b) \quad \forall (a, b) \in L^2.$$

Example 2.1. A first example of lattices is the power set $\mathcal{P}(X)$ of a set X with inclusion as the partial order. Then \vee is the union and \wedge is the intersection. Another elementary example is \mathbb{N} with the partial order $a|b \iff \exists k \in \mathbb{N}, b = ak$. Then \vee is the least common multiple and \wedge is the greatest common divisor.

Here are central examples for our purposes.

Example 2.2.

- (a) Given a finite dimensional vector space V , let $G(V)$ denote the set of linear subspaces of V equipped with the partial order “to be a linear subspace of” denoted by \preceq . The lattice $(G(V), \preceq)$, that we call the *subspace lattice* comes equipped with the sum $\vee = +$ and the intersection $\wedge = \cap$ as lattice operations and we write $(G(V), \preceq, \cap, +)$.
- (b) Given a Hilbert space V , let $\overline{G}(V)$ denote the set of *closed* linear subspaces of V equipped with the partial order “to be a closed linear subspace of” denoted by \preceq . Since the sum of two closed linear subspaces of V is not necessarily closed, the topological sum $W \overline{+} W'$ of two closed linear subspaces W and W' of V is the topological closure $\overline{W + W'}$ of their algebraic sum. So an element v lies in the topological sum of W and W' in $G(V)$ if it can be written as the limit $v = \lim_{n \rightarrow \infty} (w_n + w'_n)$ of a sum of elements $w_n \in W$, $w'_n \in W'$. If W and W' are finite dimensional, their topological sum coincides with their algebraic sum. The lattice $(\overline{G}(V), \preceq)$ with the topological sum $\vee := \overline{+}$ and the intersection $\wedge := \cap$ is a lattice and we write $(\overline{G}(V), \preceq, \cap, \overline{+})$ and call the *closed subspace lattice*.

The study of such lattices was motivated by quantum mechanics, see, e.g., [5, 12] and the references therein. The mathematical interpretation of quantum theory is indeed based on the structure of the set $\overline{G}(V)$ of a given Hilbert space V , or equivalently of projection operators, viewed as events and on the probabilistic interpretation of Hermitean operators, viewed as observables, see, e.g., [23, Section 16].

Remark 2.3. With the applications to renormalization in mind, we will later focus on subspace lattices of finite dimensional vector spaces though many results have counterparts for sets of closed linear subspaces of topological vector spaces. We hope to investigate topological aspects systematically in forthcoming work.

Definition 2.4.

- (a) A *poset ideal* of a poset (P, \leq) is a subset M of P such that if $a \in M$ and $b \leq a$, then $b \in M$.
- (b) The smallest ideal of a poset (P, \leq) that contains a given element a is called the *principal (poset) ideal* of (P, \leq) generated by a . It is given by

$$\downarrow a := \{b \in P \mid b \leq a\}.$$

- (c) A *sublattice* of a lattice (L, \leq, \wedge, \vee) is a subset M of L closed under the meet and join operations in M , i.e., such that for every pair of elements a, b in M both $a \wedge b$ and $a \vee b$ are in M .
- (d) An *ideal* of a lattice (L, \leq, \wedge, \vee) or *lattice ideal* is a poset ideal and a sublattice, that is, a poset ideal such that $a \vee b$ lies in I for any $(a, b) \in I^2$.
- (e) The smallest ideal of a lattice (L, \leq, \wedge, \vee) that contains a given element a is called the *principal (lattice) ideal* generated by a . It coincides with the principal poset ideal $\downarrow a = \{b \in L \mid b \leq a\} = \{a \wedge b \mid b \in L\}$.
- (f) Any *interval*

$$[a, b] := \{c \in L \mid a \leq c \leq b\}$$

in a lattice L is itself a lattice.

- (g) A lattice (L, \leq, \wedge, \vee) is *bounded* from above (resp. from below) if it has a *greatest element* (also called top element) denoted by 1 (resp. a *least element* (also called bottom) denoted by 0), which satisfies $x \leq 1$ (resp. $0 \leq x$) for any $x \in L$. If it is bounded both from below and from above in which case $L = [0, 1]$, we call it *bounded* and use the short hand notation $(L, \leq, 0, 1)$. We will typically consider bounded lattices.

2.2 Distributivity and modularity

Let L be a lattice. For $a, b, c \in L$, we have

$$(a \wedge b) \vee (a \wedge c) \leq a \wedge (b \vee c) \quad \text{and} \quad a \vee (b \wedge c) \leq (a \vee b) \wedge (a \vee c),$$

yet the operations \vee and \wedge are not necessarily distributive with respect to each other.

Proposition-Definition 2.5 ([17, Section 4, Lemma 10]). *A lattice L is called distributive if it fulfills one of the two equivalent properties*

$$a \wedge (b \vee c) = (a \wedge b) \vee (a \wedge c), \quad \forall a, b, c \in L, \tag{2.1}$$

or the dual identity:

$$a \vee (b \wedge c) = (a \vee b) \wedge (a \vee c), \quad \forall a, b, c \in L.$$

Example 2.6. The power set lattice and the lattice of positive integers in Example 2.1 are distributive.

Counterexample 2.7. The subspace lattice $G(V)$ introduced in Example 2.2 is not distributive. In $G(\mathbb{R}^2)$, setting $W_1 = \langle e_1 \rangle$, $W_2 = \langle e_2 \rangle$ and $W = \langle e_1 + e_2 \rangle$, we have $W = (W_1 + W_2) \cap W \neq (W_1 \cap W) + (W_2 \cap W) = \{0\}$ and $W = (W_1 \cap W_2) + W \neq (W_1 + W) \cap (W_2 + W) = \mathbb{R}^2$.

The following examples provide simple nondistributive lattices.

Example 2.8 ([18]). The *diamond lattice* $M_3 = \{0, a, b, c, 1\}$ with a, b, c pairwise incomparable is not distributive since $(a \wedge b) \vee c = c$ whereas $(a \vee c) \wedge (b \vee c) = 1$.

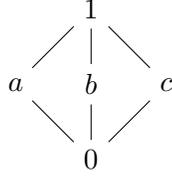


Figure 1. The Hasse diagram of the diamond lattice.

Example 2.9. The *pentagon lattice* $N_5 := \{0, b_1, b_2, c, 1\}$ with partial order defined by $0 \leq b_1 < b_2 \leq 1$ and $0 \leq c \leq 1$ with b_i and c incomparable, is not distributive since $b_2 \wedge (b_1 \vee c) = b_2 \wedge 1 = b_2$ while $(b_2 \wedge b_1) \vee (b_2 \wedge c) = b_1 \vee 0 = b_1$.

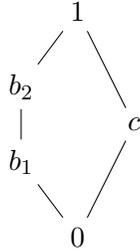


Figure 2. The Hasse diagram of the pentagon lattice.

These two examples turn out to be the cores of any non-distributive lattices.

Proposition 2.10 ([2, Chapter IX, Theorem 2], [18, Theorems 101 and 102]). *A lattice is distributive if and only if it does not contain a pentagon or a diamond as a sublattice.*

Here is a useful characterisation of distributivity.

Proposition 2.11 ([2, Theorem I.10], [27, Corollary 3.1.3]). *A lattice L is distributive if and only if the following cancellation law holds:*

$$(\text{cancellation law}) \quad a \wedge c = b \wedge c \quad \text{and} \quad a \vee c = b \vee c \iff a = b.$$

We recall the following definition, which can be viewed as a relative distributivity.

Definition 2.12 ([17, Section 4, Lemma 12]). A lattice L is called *modular* if

$$(\text{modularity}) \quad a \geq c \Rightarrow (a \wedge b) \vee c = a \wedge (b \vee c).$$

Example 2.13. A distributive lattice is modular since modularity is a special case of the distributivity in (2.1). In particular, $(\mathcal{P}(X), \subseteq, \cap, \cup)$ and $(\mathbb{N}, |, \wedge, \vee)$ are modular.

Not every modular lattice is distributive.

Example 2.14. The diamond lattice of Example 2.8 is modular and not distributive.

The following well-known example will be crucial for our applications:

Example 2.15. For any finite dimensional vector space V , the subspace lattice $G(V)$ introduced in Example 2.2 is modular, yet it is not distributive when $\dim V > 1$ (see Counterexample 2.7).

Counterexample 2.16. The pentagon lattice of Example 2.9 is not modular.

Modularity is a hereditary property, which leads to a refinement of Proposition 2.10.

Proposition 2.17 ([18, Theorems 101 and 102]).

- (a) A lattice is modular if and only if it does not contain a pentagon sublattice.
- (b) A modular lattice is distributive if and only if it does not contain a diamond sublattice.

We have the following relationship between modularity and the cancellation law.

Proposition 2.18 ([27, Corollary 2.1.1]). A lattice L is modular if and only if it obeys the following modular cancellation law:

$$\text{for any } (a, b, c) \in L^3, \quad \text{if } a \leq b, a \wedge c = b \wedge c \quad \text{and} \quad a \vee c = b \vee c, \quad \text{then } a = b.$$

To sum up we have the following correspondences:

$$\begin{array}{ccc} \text{distributivity} & \longleftrightarrow & \text{cancellation law} \\ \Downarrow & & \Downarrow \\ \text{modularity} & \longleftrightarrow & \text{modular cancellation law} \end{array}$$

3 Locality relations on lattices

To study properties in lattices equipped with locality relations, we first equip posets with locality relations.

As in [7], we call *locality relation* on a set X , any symmetric binary relation \top on X and the pair (X, \top) a *locality set*. For $A \subseteq X$, we call

$$A^\top := \{x' \in X \mid x \top x', \forall x \in A\} \tag{3.1}$$

the *polar set* of A .

We observe that for any element a in a locality set (X, \top) we have $a \in (a^\top)^\top$.

Proposition-Definition 3.1. A locality poset is a poset (P, \leq) equipped with a locality relation on the set P that satisfies one of the following equivalent conditions which amount to a compatibility condition with the partial order

- (a) if $a \leq b$, then $b^\top \subseteq a^\top$,
- (b) for any $c \in P$, the set c^\top is a poset ideal of (P, \leq) .
- (c) $\downarrow a \subseteq (a^\top)^\top$, i.e., if $b \leq a$ then $b \in (a^\top)^\top$.

Then the relation \top is called a *poset locality relation*.

Checking the equivalences $(a) \Leftrightarrow (b) \Leftrightarrow (c)$ is an easy exercise.

Remark 3.2.

- (a) A locality poset amounts to a weak degenerate orthogonal poset in the sense of [3, Definition 2.1] (see also [23, supplementary Remark 6 in Section 16], which refers to [29]). There, the set $\text{Ker } \top := a^\top \cap \{a\} = \{a \in L, a \top a\}$ is called the kernel of \top .
- (b) If P has a bottom element 0 (resp. top element 1), then $p^\top \subseteq 0^\top$ (resp. $p^\top \supseteq 1^\top$) for any $p \in P$. We will later consider lattices for which $0^\top = P$ (resp. $P^\top = \{0\}$).

Example 3.3. Some locality posets are

(a) the power set $(\mathcal{P}(X), \subseteq)$ of Example 2.1 endowed with the locality relation

$$A \top_{\cap} B \quad \text{if and only if} \quad A \cap B = \emptyset,$$

(b) the subspace poset $(G(V), \preceq)$ of Example 2.2 endowed with the locality relation

$$W_1 \top_{\cap} W_2 \quad \text{if and only if} \quad W_1 \cap W_2 = \{0\}.$$

Just as in Proposition-Definition 3.1, we required from a locality relation \top on a poset, that the polar sets be poset ideals, from a locality relation on a lattice we require that polar sets be lattice ideals.

Definition 3.4. A *locality relation on a lattice* (L, \leq, \wedge, \vee) is a locality relation \top on the set L such that, for any $a \in L$, the polar set a^\top (defined by (3.1)) is a lattice ideal of L .

We call the quintuple $(L, \leq, \top, \wedge, \vee)$ a *locality lattice*.

Remark 3.5. It is easy to see that the intersection of poset ideals is a poset ideal. Similarly, the intersection of lattice ideals are lattice ideals. Therefore the intersection of locality relations on a lattice is still a locality relation on the same lattice.

Since a lattice ideal is a poset ideal, a locality lattice is a locality poset.

Note that the operations \vee and \wedge are defined on the whole cartesian product $L \times L$.

Remark 3.6. A related notion is a partial lattice defined in [18, Section I5.4].

Example 3.7. Given a Hilbert space $(V, \langle \cdot, \cdot \rangle)$, the closed subspace lattice $(\overline{G}(V), \preceq, \bar{+}, \cap)$ introduced in Example 2.2 is a locality lattice for the locality relation: $U_1 \top U_2$ if $\langle u_1, u_2 \rangle = 0$, $\forall u_i \in U_i, i = 1, 2$.

To show this, let A_1, A_2, B be closed linear subspaces of $(V, \langle \cdot, \cdot \rangle)$ with $A_i \top B$ for $i \in \{1, 2\}$. The fact that $(A_1 \cap A_2) \top B$ is straightforward. To show the relation $(A_1 \bar{+} A_2) \top B$, for any $a \in A_1 \bar{+} A_2$ and $b \in B$ we write $a = \lim_{n \rightarrow \infty} (a_1(n) + a_2(n))$ and $b = \lim_{n \rightarrow \infty} b(n)$ with $a_i(n) \in A_i$ and $b(n) \in B$ for any $n \in \mathbb{N}$. By the bilinearity and continuity of the inner product, we have

$$\langle a, b \rangle = \lim_{m, n \rightarrow \infty} \langle a_1(m) + a_2(m), b(n) \rangle = \sum_{i=1}^2 \lim_{m, n \rightarrow \infty} \langle a_i(m), b(n) \rangle = 0.$$

Therefore $(A_1 \bar{+} A_2) \top B$ and $(A_1 \bar{+} A_2) \top (B_1 \bar{+} B_2)$ for A_1, A_2, B_1, B_2 with $A_i \top B_j$ for $i, j \in \{1, 2\}$ as required by symmetry of \top . Finally, $\overline{G}(V)$ has a least element, the trivial vector space $\{0\}$, and a greatest element, the full vector space V . Trivially, we have $\{0\} \top G(V)$ and, for any $V \in \overline{G}(V)$, if $A \top V$ then $A = \{0\}$.

In particular,

Example 3.8. The subspace lattice $(G(V), \preceq, +, \cap)$ on a finite dimensional Euclidean real (resp. Hermitian complex) vector space $(V, \langle \cdot, \cdot \rangle)$ comes equipped with a lattice locality $U_1 \top U_2$ if $\langle u_1, u_2 \rangle = 0 \forall u_i \in U_i, i = 1, 2$.

Proposition 3.9. A lattice (L, \wedge, \vee, \top) equipped with a poset locality relation is a locality lattice if and only if for any finite index set I and $a_i \in L, i \in I$, we have

$$\left(\bigvee_{i \in I} a_i \right)^\top = \bigcap_{i \in I} a_i^\top. \quad (3.2)$$

Proof. If a poset locality relation \top satisfies (3.2). Then from $a \top c, b \top c$, we have $c \in a^\top \cap b^\top = (a \vee b)^\top$. Hence $a \vee b$ is in c^\top . Thus c^\top is a lattice ideal.

Conversely, given a locality relation on L , the compatibility of the locality relation with the partial order gives the inclusion from left to right. To show the inclusion from right to left, let $b \in a_i^\top$ for all $i \in I$. Then $a_i \in b^\top$ for all $i \in I$ and since b^\top is a lattice ideal, this implies by induction on i that $\bigvee_{i \in I} a_i \in b^\top$ so that $b \in (\bigvee_{i \in I} a_i)^\top$. ■

On the subspace lattice $G(V)$, Proposition 3.9 translates to the following statement.

Example 3.10. Lattice locality relations on $G(V)$ are poset locality relations \top_G such that for any index set I and $W_i \in G(V)$, $i \in I$, we have

$$\left(\sum_{i \in I} W_i \right)^{\top_G} = \bigcap_{i \in I} W_i^{\top_G}.$$

Note that sum and intersection in the above equation are operations in the lattice $G(V)$.

4 Orthocomplemented lattices

This section reviews the classical notion of orthocomplemented lattices, in preparation for the forthcoming sections in which we shall equip a subclass of orthocomplemented lattices with a locality relation. See [2, Section II.6] and [18, Section I.6] for background on complemented lattices and relatively complemented lattices. We also refer the reader to [3] for a study of orthocomplementations.

4.1 Relatively complemented lattices

We specialise to lattices (L, \leq, \wedge, \vee) bounded from below by 0, equipped with the disjointness locality relation $\top_\wedge: a \top_\wedge b$ if and only if $a \wedge b = 0$ so that \wedge restricted to the graph of \top_\wedge is identically zero. Define

$$a \oplus b := a \vee b \quad \text{when } a \top_\wedge b.$$

Definition 4.1.

- (a) A *complemented lattice* is a bounded lattice $(L, \leq, 0, 1)$ in which every element a has a *complement*, i.e., an element a' in L , such that $a \oplus a' = 1$.
- (b) A *sectionally complemented* lattice is a lattice $(L, \leq, 0)$ with bottom element 0 in which any interval of the form $[0, b]$ is complemented when viewed as a sublattice of L , i.e., such that for any $a \leq b$ there is an element a' in L such that $a \oplus a' = b$.
- (c) A *relatively complemented lattice* is a lattice (L, \leq) in which any interval $[a, b]$ of L is complemented when viewed as a sublattice of L . This means that any element $x \in [a, b]$ has a *relative complement*, namely an element x' in L such that $x \vee x' = b$ and $x \wedge x' = a$.

Remark 4.2. Any relatively complemented lattice which has a minimal element 0 is sectionally complemented and any sectionally complemented lattice with a greatest element is complemented. Therefore, any relatively complemented lattice with a least and a greatest element is complemented.

Example 4.3. The power set $(\mathcal{P}(X), \subseteq)$ considered in Example 2.1 is relatively complemented. Fix an interval $[A, B]$ given by $A \subseteq B$ and let $C \in [A, B]$, that is, $A \subseteq C \subseteq B$. Let $C' := A \cup (B \setminus C)$. Then $C \cup C' = B$ and $C \cap C' = A$.

We recall a well-known result:

Lemma 4.4 ([28, Corollary to Theorem 11.1]). *Every complemented modular lattice L is relatively complemented.*

Example 4.5. The subspace lattice $(G(V), \preceq, \cap, +)$ for a finite dimensional vector space considered in Example 2.2 is relatively complemented. As can be easily proved, for $U \subseteq W$ in $G(V)$, the interval $[U, W]$ is isomorphic to the subspace lattice of W/U under the isotone assignment $X \in [U, W]$ to $X/U \in G(W/U)$. Then the existence of relative complements in $[U, W]$ follows from the existence of complements in $G(W/U)$.

Example 4.6. The closed subspace lattice $(\overline{G}(V), \preceq, \cap, +)$ for a Hilbert space V considered in Example 2.2 is also relatively complemented. Indeed, for a closed subspace U of V , a closed subspace W of U has a closed complement in U given by its orthogonal complement space $W_U^\perp := W^\perp \cap U$. Indeed, the latter is closed and their topological sum $W \overline{+} W_U^\perp = (W \oplus W^\perp) \cap U = U$.

Counterexample 4.7. The lattice $(\mathbb{N}, |)$ considered in Example 2.1 is not sectionally complemented, for example, $2|4$, but there is no element a , such that $2 \oplus a = 4$.

The following example shows that the complement in a complemented lattice might not be unique.

Example 4.8. Back to Example 4.5 in the case $V = \mathbb{R}^2$, and with the notations of Counterexample 2.7, we have $W \oplus W_1 = V$ and $W \oplus W_2 = V$.

The following lemma shows that the uniqueness of the relative complement is a strong requirement.

Lemma 4.9 ([2, Corollary 1, p. 134]). *On a lattice (L, \leq) , the uniqueness of the relative complement is equivalent to the distributivity property.*

4.2 Orthocomplemented lattices

We introduce the notion of orthocomplementation on a poset bounded from below, which amounts to the (strong) orthocomplementation introduced in [3, Remark below Proposition 1.7].

Definition 4.10. A poset $(P, \leq, 0)$ with bottom 0 is called *orthocomplemented* if it can be equipped with a map $\Psi: P \rightarrow P$ called the *orthocomplementation*, which assigns to $a \in P$ its *orthocomplement*² $\Psi(a)$ such that

- (a) (Ψ antitone) $b \leq a \Rightarrow \Psi(a) \leq \Psi(b) \forall (a, b) \in P^2$,
- (b) (Ψ involutive) $\Psi^2 = \text{Id}$,
- (c) (Ψ separating) for any $b \in P$, if $b \leq a$ and $b \leq \Psi(a)$, then $b = 0$.

Here is an elementary yet useful lemma. For a, b in a poset (P, \leq) , let $a \vee b$ (resp. $a \wedge b$) be the least upper bound (resp. least lower bound) of a and b , if it exists.

Lemma 4.11. *A map $\Psi: P \rightarrow P$ on a poset (P, \leq) which is*

- (a) (*antitone*) $\forall (a, b) \in L^2, b \leq a \Rightarrow \Psi(a) \leq \Psi(b)$,
- (b) (*involutive*) $\Psi^2 = \text{Id}$,

² $\Psi(a)$ is often denoted by a^\perp in the literature, a notation we avoid here not to cause any confusion when specialising to the case of orthogonal complements on vector spaces.

satisfies the following relations:

$$\Psi(a \wedge b) = \Psi(a) \vee \Psi(b) \quad \text{and} \quad \Psi(a \vee b) = \Psi(a) \wedge \Psi(b)$$

for any $(a, b) \in P^2$ for which the joins arising in these identities are well-defined (see [3, Proposition 1.3(iii)]).

If moreover, P has a bottom 0 , then P is bounded with top $\Psi(0)$ (see [3, Proposition 1.3(iii)]).

Definition 4.12. A lattice (L, \leq, \wedge, \vee) bounded from below by 0 equipped with a map $\Psi: L \rightarrow L$ which defines an orthocomplementation on the poset $(L, \leq, 0)$, is called an *orthocomplemented lattice*.

Thanks to the above lemma, the separating condition in Definition 4.10 can be replaced by an a priori stronger condition.

Proposition 4.13 ([3, Propositions 1.1(iii) and 1.7]).

- An antitone and involutive map Ψ on a lattice (L, \leq, \wedge, \vee) induces morphisms $\Psi: (L, \wedge) \rightarrow (L, \vee)$ and $\Psi: (L, \vee) \rightarrow (L, \wedge)$ on L .
- A lattice L bounded from below equipped with an orthocomplementation Ψ is bounded from above with top $1 = \Psi(0)$ and satisfies the following strongly separating property

$$(\Psi \text{ strongly separating}) \quad a \oplus \Psi(a) = 1 \quad \forall a \in L. \quad (4.1)$$

Thus an orthocomplemented lattice enjoys the strongly separating property.

Here is a class of examples of orthocomplemented lattices of direct interest to us.

Example 4.14. This is a classical example, see, e.g., [3, below Definition 1.4].

Given a Hilbert vector space $(V, \langle \cdot, \cdot \rangle)$, and $\overline{G}(V)$ the closed subspace lattice of Example 2.2. The map

$$\Psi_{\langle \cdot, \cdot \rangle}: \overline{G}(V) \longrightarrow \overline{G}(V), \quad W \longmapsto W^\perp := \{v \in V \mid \langle v, w \rangle = 0, \forall w \in W\}$$

defines an orthocomplementation on $\overline{G}(V)$.

Indeed, W^\perp is closed (by the continuity of the inner product) for any linear (whether closed or not) subspace $W \preceq V$ and for any closed linear subspaces W, W_1, W_2 of V , we have

$$(a) \ (\Psi \text{ separating}) \ W \oplus W^\perp = \overline{W} \oplus \overline{W}^\perp = V,$$

$$(b) \ (\Psi \text{ antitone}) \ \text{if } W_1 \leq W_2, \text{ then } W_2^\perp \preceq W_1^\perp,$$

$$(c) \ (\Psi \text{ involutive}) \ W^{\perp\perp} = \overline{W} = W.$$

Corollary 4.15. Orthocomplementations on $G(\mathbb{R}^2)$ are in one-one correspondence with involutive maps $\psi: [0, \pi) \rightarrow [0, \pi)$ without fixed points.

Proof. An orthocomplementation $\Psi: G(\mathbb{R}^2) \rightarrow G(\mathbb{R}^2)$ obeys the strongly separating condition (4.1)

$$U \oplus \Psi(U) = \mathbb{R}^2 \quad \forall U \in G(\mathbb{R}^2).$$

In particular, $\Psi(\{0\}) = \mathbb{R}^2$ and $\Psi(\mathbb{R}^2) = \{0\}$. Thus Ψ is uniquely determined by its effect on the lines $\mathbb{R}e^{\theta i}$ in bijection with $\theta \in [0, \pi)$. Let $\psi: [0, \pi) \rightarrow [0, \pi)$ be defined by $\Psi(\mathbb{R}e^{\theta i}) = \mathbb{R}e^{\psi(\theta) i}$. Then Ψ being involutive (resp. strongly separating) amounts to ψ being involutive (resp. without fixed points). The antitonicity of Ψ is trivial. ■

5 Separating locality relations and orthocomplementations

This section is dedicated to a class of locality relations on bounded lattices from which we build orthocomplementations. We establish a one-one correspondence

$$\{\text{orthocomplementations}\} \longleftrightarrow \{\text{strongly separating locality relations}\}$$

for bounded lattices. As we learned from referee reports on a previous version of this paper, this one-one correspondence is actually known in lattice theory (see, e.g., [3]). We nevertheless use a slightly different terminology which we find well-suited for the locality setup we have in mind.

5.1 Separating locality relations

A finite sublattice $M = \{a_1, \dots, a_N\}$ of a lattice admits a greatest element $a_1 \vee \dots \vee a_N$. In general a sublattice M of L does not have a greatest element, even if (L, \leq, \wedge, \vee) is bounded.

Counterexample 5.1. As a counterexample, take $L = \mathbb{N} \cup \{\infty\}$ with \leq the usual order on natural number and $\infty \geq n$, for any $n \in \mathbb{N}$. Set $n \vee m := \max(n, m)$ and $n \wedge m := \min(n, m)$. Then $(\mathbb{N}, \leq, \wedge, \vee)$ is a sublattice of (L, \leq, \wedge, \vee) , but does not admit a greatest element.

The following technical lemma will be useful for what follows.

Lemma 5.2. *Let S be a subset of a locality poset (P, \leq, \top) (Definition 3.1) admitting a greatest element $\text{grt}(S)$. Then*

$$\text{grt}(S)^\top = S^\top. \quad (5.1)$$

Proof. Clearly, $S^\top \subseteq \text{grt}(S)^\top$. On the other hand, for any $a \in S$, from $a \leq \text{grt}(S)$ we obtain $\text{grt}(S)^\top \subseteq a^\top$. Hence $\text{grt}(S)^\top \subseteq \bigcap_{a \in S} a^\top = S^\top$. ■

The subsequent definition relates to that of weak and strong orthogonality introduced in [3, Definition 2.2]. To compare our separating property with weak orthogonality, the following elementary lemma is useful.

Lemma 5.3. *In a locality lattice $(L, \leq, \top, 0, 1)$, the non-degeneracy condition*

$$a \top a \implies a = 0 \quad \forall a \in L$$

(written $\ker \top = 0$ in [3]) is equivalent to the condition

$$a \top b \implies a \wedge b = 0 \quad \forall (a, b) \in L^2.$$

Proof. Taking $b = a$ in the second condition gives the non-degeneracy condition. Conversely, from $a \top b$ we have $(a \wedge b) \top (a \wedge b)$. Then the non-degeneracy condition gives $a \wedge b = 0$. ■

By Proposition-Definition 3.1, on a poset with locality (P, \leq) we have $\downarrow a \subseteq (a^\top)^\top$. Imposing the converse inclusion leads to the following notion.

Definition 5.4. A locality relation \top on a lattice $(L, \leq, 0, 1)$ is called *separating* if the following conditions hold.

- (a) $a \top b \implies a \wedge b = 0$ for any a and b in L ,
- (b) the set a^\top admits a greatest element $\text{grt}(a^\top)$ for any a in L .

In this case, we say that $(L, \leq, 0, 1, \top)$ is a *separated locality lattice*.

Remark 5.5. It follows from Lemma 5.3, that a weak (non-degenerate) orthogonality lattice of [3, Definition 2.2] satisfies the separating property.

Assumption (b) on the existence of $\text{grt}(a^\top)$ which corresponds to completeness in [3, Definition 2.4] is rather strong.

Counterexample 5.6. The diamond lattice $L = \{0, a, b, c, 1\}$ of Example 2.8, endowed with the locality relation $x^\top y \Leftrightarrow x \wedge y = 0$, does not satisfy this assumption, since $a^\top = \{0, b, c\}$, $b^\top = \{0, a, c\}$ and $c^\top = \{0, a, b\}$.

Proposition 5.7. *In a separated locality lattice $(L, \leq, \top, 0, 1)$, the following conditions are equivalent*

$$a^\top = \{0\} \Leftrightarrow a = 1 \quad \forall a \in L, \quad (5.2)$$

$$a \oplus \text{grt}(a^\top) = 1 \quad \forall a \in L \quad (\text{closedness condition}). \quad (5.3)$$

Assuming (5.3) holds, we have

$$a^\top = L \Leftrightarrow a = 0 \quad \forall a \in L. \quad (5.4)$$

Proof. We first prove (5.2) \implies (5.3). Since $(a \oplus \text{grt}(a^\top))^\top \subseteq a^\top \cap (\text{grt}(a^\top))^\top = a^\top \cap (a^\top)^\top$, for $c \in (a \oplus \text{grt}(a^\top))^\top$ we have $c^\top c$ and hence $c \wedge c = c = 0$ by (a) in Definition 5.4. Thus $(a \oplus \text{grt}(a^\top))^\top = \{0\}$ and $a \oplus \text{grt}(a^\top) = 1$ by assumption.

We next prove (5.2) \Leftarrow (5.3). From $a^\top = \{0\}$ we have $1 = a \oplus \text{grt}(a^\top) = a \oplus 0 = a$. From $a = 1$, we have $0 = a \wedge \text{grt}(a^\top) = 1 \wedge \text{grt}(a^\top) = \text{grt}(a^\top)$. Then $a^\top = 0$.

To prove (5.3) \implies (5.4), let us first notice that the implication $a^\top = L \implies a = 0$ holds as a consequence of $a^\top a$, which in turn implies $a \wedge a = a = 0$ by (a) in Definition 5.4. It therefore suffices to show that Assumption (5.3) implies $0^\top = L$. This follows from $0 \oplus \text{grt}(0^\top) = 1 \implies \text{grt}(0^\top) = 1$. Thus $1 \in 0^\top$. Since 0^\top is a poset ideal by Definition 3.4 and since $b \leq 1 \forall b \in L$, we have $b \in 0^\top \forall b \in L$. \blacksquare

An easy counterexample shows that (5.4) does not imply (5.3).

Counterexample 5.8. We equip the bounded lattice $L = \{0, a, b, 1\}$ defined by the partial order $0 \leq a \leq 1$ and $0 \leq b \leq 1$ with the locality relation \top defined by $0^\top = L$, $a^\top = b^\top = 1^\top$. Then clearly (5.4) holds but (5.3) does not.

Definition 5.9. A separating locality relation \top on a lattice L is called *strongly separating* if it satisfies

$$(c) \text{grt}((a^\top)^\top) = a \text{ for any } a \in L,$$

$$(c') \text{ or equivalently } \downarrow a \supseteq (a^\top)^\top,$$

in which case the lattice endowed with the locality relation is called *strongly separated*. We also say that $(L, \leq, 0, 1, \top)$ is a strongly separated locality lattice.

Not all separating lattices are strongly separating as the next example shows.

Example 5.10. Let \mathbb{R}^3 be equipped with the canonical basis $\{e_1, e_2, e_3\}$. We consider the locality relation \top on \mathbb{R}^3 defined by $0_{\mathbb{R}^3} \top \mathbb{R}^3$, $e_i \top e_{i+1}$ for $i \in \{1, 2\}$ extended by symmetry and linearity. By construction, (\mathbb{R}^3, \top) is a locality vector space and \top endows $G(\mathbb{R}^3)$ with a lattice locality structure.

In $G(\mathbb{R}^3)$, the only non trivial polar sets are $0^\top = G(\mathbb{R}^3)$, $\langle e_1 \rangle^\top = \{0, \langle e_2 \rangle\}$, $\langle e_2 \rangle^\top = \{0, \langle e_1 \rangle, \langle e_3 \rangle, \langle e_1, e_3 \rangle\}$, $\langle e_3 \rangle^\top = \{0, \langle e_2 \rangle\}$, $\langle e_1, e_3 \rangle^\top = \{0, \langle e_2 \rangle\}$. In particular \top is a separating locality relation on $G(V)$. However it is not strongly separating since

$$\text{grt}((\langle e_1 \rangle^\top)^\top) = \text{grt}(\{0, \langle e_2 \rangle\}^\top) = \langle e_1, e_3 \rangle.$$

Remark 5.11.

- (a) Under the additional assumption (b) of a separating locality, the mere strong separation property (c) actually amounts to the strong orthogonality of [3, Definition 2.3].
- (b) Note that the existence of $\text{grt}((a^\top)^\top)$ in (c) follows from the existence of $\text{grt}(a^\top)$ for any $a \in L$. Indeed, we can then apply (5.1) $\text{grt}(S)^\top = S^\top$ to $S := a^\top$, which yields $\text{grt}(a^\top)^\top = (a^\top)^\top$.

Example 5.12. The locality relation in Example 3.3 is strongly separating. It is clearly separating with $\text{grt}(A^\top) = X \setminus A$. Then by Lemma 5.2,

$$\text{grt}((A^\top)^\top) = \text{grt}(\text{grt}(A^\top)^\top) = X \setminus (X \setminus A) = A.$$

Example 5.13. The locality lattice $(\overline{G}(V), \preceq, \{0\}, V, \top)$ in Example 3.7, is strongly separated by the same argument, noting that for any $U \in \overline{G}(V)$, the linear space $\text{grt}(U^\top)$ is the orthogonal complement of U for the inner product.

Corollary 5.14. *A strongly separated locality lattice $(L, \leq, \top, 0, 1)$ satisfies the closedness condition (5.3).*

Proof. We show that a strongly separated locality lattices satisfies (5.2). To show the implication from right to left, if $b \in 1^\top$, then $b \top 1$, so $b \top b$ which means $b = 0$, so $1^\top = \{0\}$. The converse implication follows from (c) in Definition 5.9. Indeed, since $0^\top = L$, we have $a^\top = \{0\} \Rightarrow (a^\top)^\top = L$ which implies that $a = \text{grt} L = 1$. ■

The subsequent example shows that the separating property is not hereditary.

Example 5.15. Consider the lattice $L = \{0, a, b, 1\}$, $0 \leq a \leq 1$ and $0 \leq b \leq 1$ and no relation between a and b . On L endowed with the disjointedness locality relation $\top := \top_\wedge$, we have $0^\top = L$, $1^\top = 0$, $a^\top = \{0, b\}$ and $b^\top = \{0, a\}$. So L is (strongly) separating, but not its restriction to $\tilde{L} = \{0, a, 1\}$, since $a^\top = \{0\}$.

5.2 One-one correspondence

It turns out to be a specialisation of [3, Theorem 3.1], which relates weak degenerate orthogonalities and weak degenerate orthocomplementations on posets, to a one-one correspondence between strong (non degenerate) orthogonalities and strong (non degenerate) orthocomplementations on bounded lattices. The latter is what we need in view of the applications we have in mind.

Proposition 5.7 provides sufficient conditions on a locality poset for the existence of a candidate orthocomplement to any element a , namely $\text{grt}(a^\top)$ arising in (5.3). The subsequent statement confirms and strenghtens this fact.

Theorem 5.16. *Let $(L, \leq, 0, 1)$ be a bounded lattice.*

- (a) *Let \top be a locality relation on the set L for which $(L, \leq, 0, 1, \top)$ is a lattice with strongly separated locality. The assignment*

$$\Psi := \Psi^\top : L \rightarrow L, \quad a \mapsto \text{grt}(a^\top)$$

is an orthocomplementation.

(b) Conversely, given an orthocomplementation Ψ on L , the locality relation $\top := \top_\Psi$ defined by

$$a \top b \iff b \leq \Psi(a)$$

yields a strongly separating locality relation.

(c) The maps defined this way:

$$F: \{\text{strongly separating locality relations on } L\} \rightarrow \{\text{orthocomplementations on } L\}$$

and

$$G: \{\text{orthocomplementations on } L\} \rightarrow \{\text{strongly separating locality relations on } L\}$$

are inverse to each other.

Proof. (a) It follows from Proposition 5.7, that on a lattice (L, \top) with strongly separated locality, the map $a \mapsto \Psi^\top(a) := \text{grt}(a^\top)$, which is well-defined, satisfies $a \oplus \Psi^\top(a) = 1$. This is (4.1) in Proposition 4.13. To show that Ψ is an orthocomplementation, we need to check that it is involutive and isotone. From $a \leq b$ we have $b^\top \subseteq a^\top$ which yields $\text{grt}(b^\top) \leq \text{grt}(a^\top)$. So Ψ^\top is antitone. Further we have

$$(\Psi^\top(a))^\top = \text{grt}(a^\top)^\top = (a^\top)^\top$$

as a consequence of (5.1) applied to $S = a^\top$. So

$$\Psi^\top(\Psi^\top(a)) = \text{grt}(\Psi^\top(a))^\top = \text{grt}(a^\top)^\top.$$

The strongly separating condition (c') in Definition 5.9 tells us that $\text{grt}((a^\top)^\top) = a$ so that $\Psi^\top(\Psi^\top(a)) = a$, which ends the proof of the involutivity.

(b) Let $\Psi: L \rightarrow L$ be an orthocomplementation. We show that

$$a \top b \iff b \leq \Psi(a)$$

is a strongly separating locality relation on L in several steps. Note that \top is equivalently defined by $a^\top := \downarrow \Psi(a)$ for all $a \in L$.

- (1) \top is symmetric since the monotonicity and involutivity of Ψ yield that if $b \leq \Psi(a)$, then $\Psi(b) \geq \Psi(\Psi(a)) = a$.
- (2) \top equips the poset (L, \leq) with a locality lattice structure (see Definition 3.4) since $a^\top := \downarrow \Psi(a)$ is a (principal) lattice ideal (see Definition 2.4).
- (3) We have $0^\top = \downarrow \Psi(0) = \downarrow 1 = L$ and $1^\top = \downarrow \Psi(1) = \downarrow 0 = \{0\}$.
- (4) By definition, $a \wedge \Psi(a) = 0$. Now if $a \top b$, then $b \leq \Psi(a)$, so $a \wedge b = 0$. Furthermore, $a^\top = \downarrow \Psi(a)$ means that $\text{grt}(a^\top) = \Psi(a)$. Hence $(L, \leq, 0, 1, \top)$ is a lattice with separated locality.
- (5) Finally,

$$\text{grt}((a^\top)^\top) = \text{grt}((\downarrow \Psi(a))^\top) = \text{grt}(\Psi(a)^\top) = \text{grt}(\downarrow \Psi^2(a)) = \text{grt}(\downarrow a) = a.$$

This proves that \top is strongly separating.

(c) For a lattice L strongly separating locality relation \top , by definition

$$(a, b) \in G(F(\top)) \Leftrightarrow b \leq F(\top)(a) \Leftrightarrow b \leq \text{grt}(a^\top) \Leftrightarrow (a, b) \in \top.$$

So

$$G(F(\top)) = \top.$$

For an orthocomplementation Ψ on L ,

$$F(G(\Psi))(a) = \text{grt}(a^{G(\Psi)}) = \Psi(a).$$

Hence

$$F(G(\Psi)) = \Psi. \quad \blacksquare$$

Combining Corollary 4.15 with Theorem 5.16 yields the following characterisation of strongly separating locality relations on $G(\mathbb{R}^2)$.

Corollary 5.17. *Strongly separating locality relations on \mathbb{R}^2 are in one-one correspondence with involutive maps $\psi: [0, \pi) \rightarrow [0, \pi)$ without fixed points.*

6 Locality relations on vector spaces

In the previous section, we established a one-one correspondence between the set of strongly separating locality relations on a bounded lattice and the set of orthocomplementations on the lattice. In this section, we apply the correspondence to the subspace lattice of a finite dimensional vector space, a case of direct interest for the applications to renormalisation we have in mind, as explained in the introduction.

6.1 Correspondence between locality relations

From a set locality \top_V on a vector space V , we build a poset locality relation $\top_G := \top_{V,G}$ on the lattice $(G(V), \leq)$:

$$U \top_{V,G} W \quad \text{if } u \top_V w \quad \forall u \in U, w \in W. \quad (6.1)$$

On the other hand, a set locality relation \top_G on $G(V)$ (ignoring its lattice structure) induces a locality relation $\top_V := \top_{G,V}$ on V by

$$v_1 \top_{G,V} v_2 \quad \text{if } \mathbb{K}v_1 \top_G \mathbb{K}v_2 \quad \forall v_1, v_2 \in V. \quad (6.2)$$

As in [6], we call *locality vector space*, a vector space V equipped with a (set) locality relation \top such that the polar set X^\top of any subset $X \subseteq V$ is a vector subspace of V .

Proposition 6.1. *Let $\text{LVR}(V)$ denote the set of vector space locality relations \top_V on V and let $\text{LGR}(V)$ denote the set of lattice locality relations \top_G (see Definition 3.4) on the set $G(V)$ such that $\{0\}^{\top_G} = G(V)$. The equations (6.1) and (6.2) gives a one-one correspondence between $\text{LVR}(V)$ and $\text{LGR}(V)$.*

Proof. Let \top_V be a vector locality relation on V . Then for any $W \in G(V)$, W^{\top_V} is a subspace and hence contains 0. Thus we have $\{0\}^{\top_{V,G}} = G(V)$. Clearly, for any $U \in G(V)$,

$$U^{\top_V} \top_{V,G} U$$

and, for $W \in G(V)$, if $W \top_{V,G} U$, then $W \subseteq U^{\top v}$. Therefore,

$$U^{\top_{V,G}} = \downarrow (U^{\top v}), \quad (6.3)$$

which is a lattice ideal. Hence $\top_{V,G}$ is in $\text{LGR}(V)$.

Conversely, give a locality relation $\top_G \in \text{LGR}(V)$, then $\{0\}^{\top_G} = G(V)$. So for any $v \in V$, $\{0\} \top_G \mathbb{K}v$, yielding $0 \top_{G,V} v$. Next let $X \subseteq V$. Then the lattice ideal $(\mathbb{K}X)^{\top_G}$ has a greatest element $\text{grt}(\mathbb{K}X)^{\top_G} = \sum_{W \top_G \mathbb{K}X} W$. If $W \top_G \mathbb{K}X$, then $W \subseteq X^{\top_{G,V}}$, that is $\text{grt}(\mathbb{K}X)^{\top_G} \subseteq X^{\top_{G,V}}$. On the other hand, if $y \in X^{\top_{G,V}}$, then $\mathbb{K}y \top_G \mathbb{K}X$, so $y \in \mathbb{K}y \subseteq \text{grt}(\mathbb{K}X)^{\top_G}$. Thus

$$X^{\top_{G,V}} = \text{grt}(\mathbb{K}X)^{\top_G} \quad (6.4)$$

is a subspace. That is, $\top_{G,V} \in \text{LGR}(V)$.

Thus we obtain maps

$$\begin{aligned} \phi: \text{LVR}(V) &\longrightarrow \text{LGR}(V), & \top_V &\mapsto \top_{V,G}, \\ \psi: \text{LGR}(V) &\longrightarrow \text{LVR}(V), & \top_G &\mapsto \top_{G,V}. \end{aligned}$$

We set

$$\top_{V,G,V} := \psi(\phi(\top_V)) = \psi(\top_{V,G}).$$

Then for $X \subseteq V$, by (6.3) and (6.4) we have

$$X^{\top_{V,G,V}} = \text{grt}((\mathbb{K}X)^{\top_{V,G}}) = \text{grt}(\downarrow (\mathbb{K}X)^{\top v}) = (\mathbb{K}X)^{\top v} = X^{\top v}.$$

Similarly, let us set

$$\top_{G,V,G} := \phi(\psi(\top_G)) = \phi(\top_{G,V}).$$

For a principal poset ideal $I = \downarrow a$, we have $\downarrow \text{grt}(I) = \downarrow \text{grt}(\downarrow a) = \downarrow a = I$. Thus for $U \in G(V)$, by (6.3) and (6.4) we obtain

$$U^{\top_{G,V,G}} = \downarrow (U^{\top_{G,V}}) = \downarrow (\text{grt}(U^{\top_G})) = U^{\top_G}.$$

We have proved that the maps ϕ and ψ are mutual inverses. This proves the proposition. \blacksquare

6.2 Non-degenerate locality relations on vector spaces

Definition 6.2. A locality relation \top on a vector space V is called *non-degenerate* if $v \top v \Rightarrow v = 0$ for any $v \in V$, it is called *strongly non-degenerate* if moreover for any subspace $U \subsetneq V$, the polar space U^{\top} is nonzero.

Lemma 1. In a strongly non-degenerate locality vector space (V, \top_V) , for any $U \leq V$,

$$V = U \oplus U^{\top v}.$$

Proof. By strong non-degeneracy, we have

$$V = U + U^{\top v},$$

otherwise we can find $0 \neq v \in (U + U^{\top v})^{\top v}$ which means $v \in U^{\top v}$ and $v \in (U^{\top v})^{\top v}$, so $v \top_V v$. Then $v = 0$, a contradiction. Further, the non-degeneracy gives

$$U \cap U^{\top v} = \{0\},$$

yielding the conclusion. \blacksquare

Proposition 6.3. *A locality \top_V on a vector space V is*

- (a) *non-degenerate if and only if $(G(V), \top_{V,G})$ is a locality lattice which has the separating property: $U \top_{V,G} W \Rightarrow U \cap W = 0$,*
- (b) *strongly non-degenerate if and only if $(G(V), \top_{V,G})$ is a strongly separating locality lattice.*

In this case, the map $U \mapsto U^{\top_V}$ defines a orthocomplementation on $G(V)$.

Proof. (a) Let (V, \top_V) be a non-degenerate locality vector space. Then by Proposition 6.1, $\top_{V,G}$ equips $G(V)$ with a locality lattice. If $U \top_{V,G} W$, then for $v \in U \cap W$, $v \top_V v$, so $v = 0$. Thus $U \cap W = \{0\}$.

Now suppose that $(G(V), \top_{V,G})$ is a locality lattice with the separating property. We already know (V, \top_V) is a locality vector space. If $v \top_V v$, then $\mathbb{K}v \top_{V,G} \mathbb{K}v$. So from the separating condition we have $\mathbb{K}v = \mathbb{K}v \cap \mathbb{K}v = \{0\}$. So $v = 0$, which means (V, \top_V) is non-degenerate.

(b) If (V, \top_V) is a strongly non-degenerate locality vector space, then for any $U \subseteq V$, by (6.4), $\text{grt}(U^{\top_{V,G}}) = U^{\top_V}$. Further, we have $U \in (U^{\top_{V,G}})^{\top_{V,G}}$ by definition. By non-degeneracy of \top_V , $V = U \oplus U^{\top_V}$ and $U = (U^{\top_V})^{\top_V}$. Thus

$$(U^{\top_{V,G}})^{\top_{V,G}} = (\text{grt}(U^{\top_{V,G}}))^{\top_{V,G}} = (U^{\top_V})^{\top_{V,G}}$$

and hence

$$\text{grt}(U^{\top_{V,G}})^{\top_{V,G}} = \text{grt}(U^{\top_V})^{\top_{V,G}} = (U^{\top_V})^{\top_V} = U.$$

Now assume that $(G(V), \top_{V,G})$ is a strongly separating locality lattice. If $U \subsetneq V$, then $U^{\top_{V,G}} \neq \{0\}$, otherwise $(U^{\top_{V,G}})^{\top_{V,G}} = G(V)$, we have $U = V$ by taking the greatest element. Now take $0 \neq w \in U^{\top_{V,G}}$. Then $\mathbb{K}w \leq U^{\top_{V,G}}$. Hence $\mathbb{K}w \top_{V,G} U$, and $\mathbb{K}w \top_{V,G} \mathbb{K}u$ for any $u \in U$, that is, $w \in U^{\top_V}$. Thus $U^{\top_V} \neq \{0\}$.

The last assertion is a consequence of Theorem 5.16. ■

6.3 Locality bases

By Proposition 6.3, we can construct locality relations on subspace lattice from locality relations on the underlying vector space so that we now focus on vector spaces. In much the same way as a Euclidean vector space can be equipped with an orthogonal basis, we show that a vector space with a strongly separating locality relation possesses a basis that is compatible with the relation. However, the relation is not uniquely determined by this basis.

Definition 6.4. For locality vector space (V, \top) , a basis $\mathcal{B} = \{e_\alpha\}_{\alpha \in \Gamma}$ of V is called a *locality basis* for \top if the basis vectors are mutually independent for \top , i.e.,

$$\text{if } \alpha \neq \beta \quad \text{then } e_\alpha \top e_\beta.$$

We now study locality relations on a vector space V with a countable basis. We start with a generalisation to arbitrary strongly separating locality relations (instead of orthogonality) of [19, Chapter II, Theorem 1].

Proposition 6.5. *Let (V, \top) be a strongly separating locality vector space of countable dimension. Then V has a locality basis for \top .*

Proof. This process is similar to the Gram–Schmidt process in linear algebra. By assumption, V admits a countable basis $\mathcal{B} = \{e_n \mid n \in \mathbb{N}\}$. We apply the induction on n to construct a locality basis $\{u_1, \dots, u_n\}$ for the subspace W_n of V spanned by $\{e_1, \dots, e_n\}$.

First take $u_1 = e_1$ and then $\mathbb{K}\{u_1\} = \mathbb{K}\{e_1\}$. Assume that a locality basis $\{u_1, \dots, u_n\}$ of $W_n := \mathbb{K}\{e_1, \dots, e_n\}$ has been constructed. Let Ψ^\top be the polar map induced by \top : $\Psi^\top(W) := \text{grt}(W^\top)$. By Example 2.15, $G(V)$ is modular. So we have $W_{n+1} = W_n \oplus (\Psi^\top(W_n) \cap W_{n+1})$. Let u_{n+1} be a nonzero element in $\Psi^\top(W_n)$. Then $\{u_1, \dots, u_{n+1}\}$ is a locality basis of W_{n+1} . This completes the induction. Then $\{u_i\}_{i \geq 1}$ is a locality basis of V . ■

We now provide an example that shows that, unlike a basis which uniquely determines a vector space, a locality basis is not enough to determine the locality vector space, suggesting the richness of locality relations on a vector space.

Remark 6.6. A strongly non-degenerate locality relation on $\mathbb{R}^2 \simeq \mathbb{C}$ therefore has infinitely many locality bases $\{e^{\theta i}, e^{\psi(\theta)i}\}$ parametrised by $\theta \in [0, \pi)$.

Proof. By Proposition 6.3, strongly non-degenerate locality relations on \mathbb{R}^2 are uniquely determined by strongly separating relations on $G(\mathbb{R}^2)$. By Corollary 5.17, these in turn are in one-one correspondence with involutive maps $\psi: [0, \pi) \rightarrow [0, \pi)$ without fixed points, which give a strongly separating orthocomplement $\Psi: \mathbb{R}e^{\theta i} \mapsto \mathbb{R}e^{\psi(\theta)i}$. Thus strongly non-degenerate locality relations on \mathbb{R}^2 are determined by involutive maps $\psi: [0, \pi) \rightarrow [0, \pi)$ without fixed points. ■

A An alternative correspondence

Following the suggestion by a referee, we present here another class of symmetric binary relations which can be put in one-one correspondence with a weak form of orthocomplementations. This is carried out on the class of complete atomistic lattices to give a one-one correspondence between a class of locality lattice relations and certain locality relations on the set of atoms of L .

Definition A.1. Let L be a lattice bounded from below by 0.

- (a) An *atom* in L is an element p in L that is minimal among the non-zero elements, i.e., such that for $x \in L$, $x < p$ if and only if $x = 0$.
- (b) L is an *atomic* lattice whose elements a are all bounded from below by some atom, i.e., there is an atom p in L such that $p \leq a$.
- (c) A lattice is *atomistic* if it is atomic and every element is a join of some finite set of atoms.

Example A.2. The lattice of divisors of 4, with the partial ordering “is divisor of”, is atomic, with 2 being the only atom. It is not atomistic, since 4 cannot be obtained as least common multiple of atoms.

Definition A.3. A lattice is *complete* if all subsets have both a supremum (join) and an infimum (meet).

Example A.4. Every non-empty finite lattice is complete.

Example A.5. Let V be a finite dimensional vector space. The subspace lattice $G(V)$ considered previously is an atomistic complete lattice with atom set the set $P(V) := \{\mathbb{K}v, v \in V \setminus \{0\}\}$ of one dimensional subspaces of V .

We first give a useful preparation lemma on posets.

Lemma A.6. *Given a poset (L, \leq) bounded from below by 0, there is a one-one correspondence between*

- (1) *poset locality relations \top on L (cf. Definition 3.1) such that $0 \top x$ for all $x \in L$ with the separating property: for any $x \in L$, the set x^\top admits a greatest element $\text{grt}(x^\top)$.*
- (2) *antitone maps $\Psi: L \rightarrow L$, $x \mapsto x'$ (i.e., $x \leq y \Rightarrow y' \leq x'$) such that $x \leq (x')'$.³*

The correspondence is given by (cf. Theorem 5.16)

- $\Psi^\top(x) := x' := \text{grt}(x^\top)$,
- $x \top y$ if and only if $y \leq x'$.

Moreover, let Ψ be an antitone as in (2). For any subset $X \subset L$, if the supremum $\sup X$ of X in L exists, then so does the infimum $\inf Y$ of the set $Y = \{x' \mid x \in X\}$, and $(\sup X)' = \inf Y$.

Proof. Given a poset locality \top on L in (1) and let $\Psi^\top(x) := \text{grt}(x^\top)$. Then from $x \leq y$, we obtain $x^\top \supseteq y^\top$ and hence $\text{grt}(x^\top) \geq \text{grt}(y^\top)$. Further, since $x \top \text{grt}(x^\top)$, we have $x \in (\text{grt}(x^\top))^\top$ and thus $x \leq \text{grt}((\text{grt}(x^\top))^\top)$.

Given an antitone map $\Psi: L \rightarrow L$ in (2) and define $x \top_\Psi y$ if $y \leq x' = \Psi(x)$. The symmetry of \top follows from the antitone property: $y \leq x'$ implies $x \leq (x')' \leq y'$. Further $0 \top_\Psi x$ for any $x \in L$ since 0 is the smallest element. It also follows from the definition of \top_Ψ that $\text{grt}(x^{\top_\Psi}) = \Psi(x)$.

Now let Ψ be an antitone as in (2) and $X \subseteq L$. If $a = \sup X$ exists, then $x' \geq a'$ for all $x \in X$ and hence a' is a lower bound of Y . Further, for any lower bound z of Y , we have $z \leq x'$ for all $x \in X$. Then $x \leq (x')' \leq z'$. Thus $a = \sup X \leq z'$ and then $z \leq a'$. Therefore $a' = \inf Y$. ■

Remark A.7. If a poset (L, \leq) is a lattice, then a poset locality relation on L satisfying (1) is also a lattice locality relation, since the separating property means that $x^\top = \downarrow \text{grt}(x^\top)$ and hence is a lattice ideal.

Proposition A.8. *Given an atomistic complete lattice L with set P of atoms. For $a \in L$, define $P_a := \{p \in P \mid p \leq a\} = \downarrow a \cap P$.*

There is a one-one correspondence between lattice locality relations \top_L on L as in (1) of Lemma A.6 and

- (3) *symmetric binary relations \top_P on P such that for any $p \in P$ there is (unique) $a \in L$ such that $p^{\top_P} = P_a$.*

The correspondence is given by

- \top_P is the restriction of \top_L to P ,
- \top_L is defined in terms of \top_P by: $a \top_L b$ if and only if
 - (a) $a = 0$ or $b = 0$, or
 - (b) $a \neq 0$, $b \neq 0$, and $p \top_P q$ for all $p, q \in P$ with $p \leq a$ and $q \leq b$.

Proof. Given \top_L as in (1) and let \top_P be as defined. For any $p \in P$, p^{\top_L} has the greatest element $a := \text{grt}(p^{\top_L})$. Then $p^{\top_L} = \downarrow a$. Then $p^{\top_P} = p^{\top_L} \cap P = \downarrow a \cap P = P_a$. Thus \top_P satisfies (3).

Given \top_P as in (3) and let \top_L be as defined. Then \top_L is obviously symmetric. Also $0 \in L^{\top_L}$ by definition.

³Note that this is implied by the involutivity required of an orthocomplementation.

Now we prove that $a^{\top L}$ is a lattice ideal for any $a \in L$. If $a = 0$, then $0^{\top L} = L$ which is a lattice ideal. First consider $a = p \in P$. Then $p^{\top P} = P_b$ for some $b \in L$. We just need to show $p^{\top L} = \downarrow b$.

First let $c \in \downarrow b$, that is, $c \leq b$. Then for any $q \leq c$ with $q \in P$, we have $q \in P_b$. So $q \top_P p$, thus $c \top_L p$, that is, $c \in p^{\top L}$. This proves $\downarrow b \subseteq p^{\top L}$. On the other hand, let $c \in p^{\top L}$, that is, $c \top_L p$. Then for any $q \leq c$ with $q \in P$, we have $q \top_P p$. Thus $q \in P_b$, that is, $q \in \downarrow b$, implying $c \in \downarrow b$ since L is atomistic. This proves $p^{\top L} \subseteq \downarrow b$.

As a consequence, we have $p \top_L b$ and $p \top_L c$ implying $p \top_L (b \vee c)$.

We now show that $a^{\top L}$ is closed under \vee . For $a \top_L b, a \top_L c$ and $p \in P$ with $p \leq a$, we have $p \top_L b$ and $p \top_L c$. Thus $p \top_L (b \vee c)$ and hence $p \top_P q$ for any $q \in P$ with $q \leq b \vee c$. Therefore $a \top_L (b \vee c)$. Further, if $b \in a^{\top L}$ and $c \leq b$, then for $p \in P_a$ and $q \in P_c$, we have $q \in P_b$ and hence $p \top_P q$. This gives $c \in a^{\top L}$. Thus $a^{\top L}$ is also a poset ideal and hence a lattice ideal.

We finally show

$$a^{\top L} = \downarrow (\vee \{p \in P \mid p \in a^{\top L}\}).$$

The right hand side is defined since L is complete. The inclusion \supseteq holds since $a^{\top L}$ is a lattice ideal. Further, for $b \in a^{\top L}$, write $b = q_1 \vee \cdots \vee q_k$ with $q_1, \dots, q_k \in P$. Since $q_1, \dots, q_k \in a^{\top L}$, we have $b = q_1 \vee \cdots \vee q_k \leq \vee \{p \in P \mid p \in a^{\top L}\}$. This completes the proof of the equality.

In summary, the locality relation \top_L from \top_P satisfies (1).

The one-one correspondence results from the assignment

$$\top_P \mapsto \top_L := \top_{P,L} \mapsto \top_{P,L,P},$$

which is clearly the identity since $\top_{P,L}$ extends \top_P and the assignment

$$\top_L \mapsto \top_P := \top_{L,P} \mapsto \top_{L,P,L},$$

using the conditions on T_L . ■

Remark A.9. Applying the above proposition to the subspace lattice $L := G(V)$ of a finite dimensional \mathbb{K} -vector space V whose atom set $P(V) = \{\mathbb{K}v \mid v \in V \setminus \{0\}\}$ consists of one dimensional subspaces gives another proof of Proposition 6.1.

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