

Horizontal Forms of Chern Type on Complex Finsler Bundles

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Abstract. The aim of this paper is to construct horizontal Chern forms of a holomorphic vector bundle using complex Finsler structures. Also, some properties of these forms are studied.

Key words: complex Finsler bundles; horizontal forms of Chern type

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1 Introduction and preliminaries

In [7] J.J. Faran posed an open question: *Is it possible to define Chern forms of a holomorphic vector bundle using complex Finsler structures?* Recently, in [4, 5, 6] and [16] was studied the Chern form of the associated hyperplane line bundle in terms of complex Finsler structures and some of its applications.

The main purpose of this note is to obtain horizontal Chern forms of a complex Finsler bundle, following the general construction of Chern forms [8, 10, 15]. Firstly, we define a h'' -cohomology with respect to the horizontal conjugated differential operator and using the partial Bott complex connection [3], we construct horizontal invariant forms of Chern type on the total space. Also, we prove that the corresponding horizontal classes of Chern type do not depend on the complex Finsler structure chosen in a family given by conformal changes of horizontal type. Next, it is proved that these forms live on the associated projectivized bundle and are invariant by a linear family of complex Finsler structures given by infinitesimal deformations.

Let $\pi : E \rightarrow M$ be a holomorphic vector bundle of rank m over a n -dimensional complex manifold M . Let $(U, (z^k))$, $k = 1, \dots, n$ be a local chart on M and $s = \{s_a\}$, $a = 1, \dots, m$ a local frame for the sections of E over U . It is well known that this chart canonically induces another one on E of the form $(\pi^{-1}(U), u = (z^k, \eta^a))$, $k = 1, \dots, n$, $a = 1, \dots, m$, where $s = \eta^a s_a$ is a section on $E_z = \pi^{-1}(z)$, for all $z \in M$. If $(\pi^{-1}(U'), u' = (z'^k, \eta'^a))$ is another local chart on E , then the transition laws of these coordinates are

$$z'^k = z'^k(z), \quad \eta'^a = M_b^a(z) \eta^b,$$

where $M_b^a(z)$, $a, b = 1, \dots, m$ are holomorphic functions on z and $\det M_b^a \neq 0$.

As we already know [3, 4], the total space E has a natural structure of $m + n$ dimensional complex manifold because the transition functions M_b^a are holomorphic. We consider the complexified tangent bundle $T_{\mathbb{C}}E$ of the real tangent bundle $T_{\mathbb{R}}E$ and its decomposition $T_{\mathbb{C}}E = T'E \oplus T''E$, where $T'E$ and $T''E = \overline{T'E}$ are the holomorphic and antiholomorphic tangent bundles of E , respectively. The vertical holomorphic tangent bundle $V'E = \ker \pi_*$ is the relative tangent bundle of the holomorphic projection π . A local frame field on $V'_u E$ is $\{\partial/\partial \eta^a\}$, $a = 1, \dots, m$ and $V'_u E$ is isomorphic to the sections module of E in u .

A supplementary subbundle of $V'E$ in $T'E$, i.e. $T'E = H'E \oplus V'E$ is called a *complex nonlinear connection* on E , briefly c.n.c. A local basis for the horizontal distribution H'_uE , called *adapted* for the c.n.c., is $\{\delta/\delta z^k = \partial/\partial z^k - N_k^a \partial/\partial \eta^a\}$, $k = 1, \dots, n$, where $N_k^a(z, \eta)$ are the local coefficients of the c.n.c. In the sequel we consider the abreviate notations: $\partial_k = \partial/\partial z^k$, $\delta_k = \delta/\delta z^k$ and $\dot{\partial}_a = \partial/\partial \eta^a$. The adapted basis denoted by $\{\delta_{\bar{k}} = \delta/\delta \bar{z}^k\}$ and $\{\dot{\partial}_{\bar{a}} = \partial/\partial \bar{\eta}^a\}$, for $H''E = \overline{H'E}$ and $V''E = \overline{V'E}$ distributions are obtained respectively by conjugation anywhere. Also, we note that the adapted cobases are given by $\{dz^k\}$, $\{\delta\eta^a = d\eta^a + N_k^a dz^k\}$, $\{d\bar{z}^k\}$ and $\{\delta\bar{\eta}^a = d\bar{\eta}^a + N_{\bar{k}}^{\bar{a}} d\bar{z}^k\}$ which span the dual bundles H'^*E , V'^*E , H''^*E and V''^*E , respectively.

Definition 1 ([9]). A strictly pseudoconvex complex Finsler structure on E is a positive real valued function $F : E \rightarrow \mathbb{R}_+ \cup \{0\}$ which satisfies the following conditions:

- 1) F^2 is smooth on $E^* = E \setminus \{0\}$;
- 2) $F(z, \eta) \geq 0$ and $F(z, \eta) = 0$ if and only if $\eta = 0$;
- 3) $F(z, \lambda\eta) = |\lambda|F(z, \eta)$ for any $\lambda \in \mathbb{C}^* = \mathbb{C} \setminus \{0\}$;
- 4) the complex hessian $(h_{a\bar{b}}) = (\dot{\partial}_a \dot{\partial}_{\bar{b}}(F^2))$ is positive definite and determines a hermitian metric tensor on the fibers of the vertical bundle $V'E \setminus \{\text{zero section}\}$.

Definition 2. The pair (E, F) is called a complex Finsler bundle.

According to [1, 4, 12], a c.n.c. on (E, F) depending only on the complex Finsler structure F is the Chern–Finsler c.n.c., locally given by

$$N_k^a = h^{\bar{c}a} \partial_k \dot{\partial}_{\bar{c}}(F^2), \quad (1)$$

where $(h^{\bar{c}a})$ is the inverse of $(h_{a\bar{c}})$.

An important property of the Chern–Finsler c.n.c. (see [4, 12]), is

$$[\delta_j, \delta_k] = \left(\delta_k N_j^a - \delta_j N_k^a \right) \dot{\partial}_a = 0. \quad (2)$$

Throughout this paper we consider adapted frames and coframes with respect to the Chern–Finsler c.n.c.

According to [3], a partial connection ∇ of $(1, 0)$ type on $V'E$, defined by

$$\nabla_X Y = v'[X, Y]$$

for any $X \in \Gamma(H'E)$ and $Y \in \Gamma(V'E)$, is called *the partial Bott complex connection* of (E, F) . Here v' is the natural vertical projector.

The $(1, 0)$ -connection form $\omega = (\omega_b^a)$ of ∇ is locally given by

$$\omega_b^a = L_{bk}^a dz^k, \quad L_{bk}^a = \dot{\partial}_b \left(N_k^a \right) \quad (3)$$

and the $(1, 1)$ -horizontal curvature form $\Omega = (\Omega_b^a)$ of ∇ is locally given by

$$\Omega_b^a = \Omega_{b\bar{j}\bar{k}}^a dz^j \wedge d\bar{z}^k, \quad \Omega_{b\bar{j}\bar{k}}^a = -\delta_{\bar{k}}^{\bar{j}} (L_{bj}^a). \quad (4)$$

Remark 1. We notice that the partial Bott complex connection is in fact the classical Chern–Rund connection, first introduced by H. Rund [14] in the case when $E = T'M$ is the holomorphic tangent bundle of a complex manifold M .

h'' -cohomology

Similar to [13], let us consider the set $\mathcal{A}^{p,q,r,s}(E)$ of all (p, q, r, s) -forms with complex values on E , locally defined by

$$\varphi = \frac{1}{p!q!r!s!} \varphi_{I_p \bar{J}_q A_r \bar{B}_s} dz^{I_p} \wedge d\bar{z}^{J_q} \wedge \delta\eta^{A_r} \wedge \delta\bar{\eta}^{B_s},$$

where $I_p = (i_1 \dots i_p)$, $J_q = (j_1 \dots j_q)$, $A_r = (a_1 \dots a_r)$, $B_s = (b_1 \dots b_s)$ and these forms can be nonzero only when they act on p vectors from $\Gamma(H'E)$, on q vectors from $\Gamma(H''E)$, on r vectors from $\Gamma(V'E)$ and on s vectors from $\Gamma(V''E)$, respectively.

By (2), we get the following decomposition of the exterior differential

$$\begin{aligned} d\mathcal{A}^{p,q,r,s}(E) \subset & \mathcal{A}^{p+1,q,r,s}(E) \oplus \mathcal{A}^{p,q+1,r,s}(E) \oplus \mathcal{A}^{p,q,r+1,s}(E) \oplus \mathcal{A}^{p,q,r,s+1}(E) \oplus \\ & \oplus \mathcal{A}^{p+1,q+1,r-1,s}(E) \oplus \mathcal{A}^{p+1,q,r-1,s+1}(E) \oplus \mathcal{A}^{p+1,q+1,r,s-1}(E) \oplus \mathcal{A}^{p,q+1,r+1,s-1}(E) \end{aligned}$$

which allows us to define eight morphisms of complex vector spaces if we consider different components in the above decomposition.

If we consider the subset $\mathcal{A}^{p,q,0,0}(E) \subset \mathcal{A}^{p,q,r,s}(E)$ of all horizontal forms of $(p, q, 0, 0)$ type, which can be nonzero only when they act on p vectors from $\Gamma(H'E)$ and q vectors from $\Gamma(H''E)$, then

$$d\mathcal{A}^{p,q,0,0}(E) \subset \mathcal{A}^{p+1,q,0,0}(E) \oplus \mathcal{A}^{p,q+1,0,0}(E) \oplus \mathcal{A}^{p,q,1,0}(E) \oplus \mathcal{A}^{p,q,0,1}(E).$$

In particular, similar to [17, 18], the horizontal differential operators are defined by

$$d'^h : \mathcal{A}^{p,q,0,0}(E) \rightarrow \mathcal{A}^{p+1,q,0,0}(E), \quad d''^h : \mathcal{A}^{p,q,0,0}(E) \rightarrow \mathcal{A}^{p,q+1,0,0}(E)$$

where, for any $\varphi = \frac{1}{p!q!} \varphi_{I_p \bar{J}_q} dz^{I_p} \wedge d\bar{z}^{J_q} \in \mathcal{A}^{p,q,0,0}(E)$, we have

$$(d'^h \varphi)_{i_1 \dots i_{p+1} \bar{j}_q} = \sum_{k=1}^{p+1} (-1)^{k-1} \delta_{i_k} (\varphi_{i_1 \dots \widehat{i_k} \dots i_{p+1} \bar{j}_q})$$

and

$$(d''^h \varphi)_{I_p \bar{j}_1 \dots \bar{j}_{q+1}} = (-1)^p \sum_{k=1}^{q+1} (-1)^{k-1} \delta_{\bar{j}_k} (\varphi_{I_p \bar{j}_1 \dots \widehat{\bar{j}_k} \dots \bar{j}_{q+1}}). \quad (5)$$

We note that by (2), we have $d''^h \circ d'^h = 0$. Thus, with respect to the operator d''^h we can define the h'' -cohomology groups of (E, F) for $(p, q, 0, 0)$ -forms by

$$H^q(E, \Phi^{p,0,0}) = \frac{\ker\{d''^h : \mathcal{A}^{p,q,0,0} \rightarrow \mathcal{A}^{p,q+1,0,0}\}}{\text{Im}\{d''^h : \mathcal{A}^{p,q-1,0,0} \rightarrow \mathcal{A}^{p,q,0,0}\}}, \quad (6)$$

where $\Phi^{p,0,0}$ is the sheaf of germs of $(p, 0, 0, 0)$ -forms d''^h -closed.

2 Horizontal forms of Chern type

In this section, using the horizontal curvature form of partial Bott complex connection, we construct horizontal forms of Chern type on a complex Finsler bundle.

With the notations from the previous section, we have $\omega_b^a \in \mathcal{A}^{1,0,0,0}(E)$ and $\Omega_b^a \in \mathcal{A}^{1,1,0,0}(E)$. Also, by (4) and (5) we have $\Omega = d''^h \omega$ and taking into account the relation $d''^h \circ d''^h = 0$, it follows that Ω is a d''^h -closed differential form.

Let $gl(m, \mathbb{C}) \approx \mathbb{C}^{m \times m}$ be the Lie algebra of the linear general group $Gl(m, \mathbb{C})$. A *symmetric polynomial* $f \in S^j(Gl(m, \mathbb{C}))$ is invariant if

$$f(M^{-1}X_1M, \dots, M^{-1}X_jM) = f(X_1, \dots, X_j)$$

for every $M \in Gl(m, \mathbb{C})$ and $X_j \in gl(m, \mathbb{C})$.

Also, it is well known [8, 10, 15], that the algebra of invariant symmetric polynomials on $gl(m, \mathbb{C})$ is generated by the elementary symmetric functions $f_j \in S^j(Gl(m, \mathbb{C}))$ given by

$$\det \left(I_m - \frac{1}{2\pi i} X \right) = \sum_{j=0}^m f_j(X) = 1 - \frac{1}{2\pi i} \operatorname{tr} X + \dots + \left(-\frac{1}{2\pi i} \right)^m \det X. \quad (7)$$

Next, we give a known result, but we express it in a form adapted to our study.

Proposition 1. *At local changes on E , the horizontal curvature of partial Bott complex connection changes by the rule*

$$\Omega' = M^{-1}\Omega M,$$

where $M = (M_b^a(z))$ are the transition functions of the holomorphic bundle E .

Proof. By [12], we know that the change rules of the adapted frames and coframes are

$$\delta_j = \frac{\partial z'^k}{\partial z^j} \delta'_k, \quad \dot{\partial}_b = M_b^a \dot{\partial}'_a, \quad dz^j = \frac{\partial z^j}{\partial z'^k} dz'^k, \quad \delta \eta^b = M_a^b \delta \eta'^a \quad (8)$$

and its conjugates.

On the other hand (see 7.1.9 in [12]), the local coefficients of the Chern–Finsler c.n.c. change by the rule

$$\frac{\partial z'^k}{\partial z^j} N_k^{CF a} = M_b^a N_j^{CF b} - \frac{\partial M_b^a}{\partial z^j} \eta^b. \quad (9)$$

Finally, it follows by (3), (4), (8) and (9) that $\Omega_c^d = M_c^b \Omega_b^a M_a^d$. ■

Now, we consider the $(j, j, 0, 0)$ -invariant forms $\mathcal{C}_j^h(\nabla)$ defined by

$$\det \left(I_m - \frac{1}{2\pi i} \Omega \right) = \sum_{j=0}^m \mathcal{C}_j^h(\nabla).$$

Then, it follows by (7)

$$\mathcal{C}_j^h(\nabla) = \frac{(-1)^j}{(2\pi i)^j j!} \sum_{a,b} \delta_{a_1 \dots a_j}^{b_1 \dots b_j} \Omega_{b_1}^{a_1} \wedge \dots \wedge \Omega_{b_j}^{a_j},$$

where $\delta_{a_1 \dots a_j}^{b_1 \dots b_j}$ are the Kronecker symbols.

Because d''^h satisfies the property

$$d''^h(\varphi \wedge \psi) = d''^h \varphi \wedge \psi + (-1)^{\deg \varphi} \varphi \wedge d''^h \psi$$

for any $\varphi \in \mathcal{A}^{p,q,0,0}(E)$ and $\psi \in \mathcal{A}^{p',q',0,0}(E)$, then by $d''^h \Omega = 0$ we obtain that the differential forms $\mathcal{C}_j^h(\nabla)$ are d''^h -closed.

Definition 3. $\mathcal{C}_j^h(\nabla)$ are called horizontal forms of Chern type of order j of the complex Finsler bundle (E, F) .

By (6) these forms define the horizontal cohomology classes

$$c_j^h(\nabla) = [C_j^h(\nabla)] \in H^j(E, \Phi^{j,0,0})$$

which are called *horizontal classes of Chern type of order j* of (E, F) . In particular, the first horizontal class of Chern type is represented by the $(1, 1, 0, 0)$ -form

$$C_1^h(\nabla) = -\frac{1}{2\pi i} \Omega_{a\bar{j}k}^a dz^j \wedge d\bar{z}^k.$$

Proposition 2. *The first horizontal class of Chern type $c_1^h(\nabla)$ is invariant by conformal change of horizontal type: $F^2 \mapsto e^{\sigma(z)} F^2$.*

Proof. Let $F^2 \mapsto \tilde{F}^2 := e^{\sigma(z)} F^2$ be a conformal change of complex Finsler structures on E , where $\sigma(z)$ is a smooth function on M . Because $\tilde{h}_{a\bar{b}} = e^{\sigma(z)} h_{a\bar{b}}$, using (1) we get that the Chern–Finsler c.n.c. changes by the rule

$$\tilde{N}_k^a = N_k^a + \frac{\partial \sigma}{\partial z^k} \eta^a.$$

Thus, the $(1, 0)$ -connection form of ∇ changes by the rule

$$\tilde{\omega} = \omega + d''^h \sigma \otimes I, \tag{10}$$

where I is the identity endomorphism of $V'E$.

Applying d''^h in the (10) relation, we get the change rule of the $(1, 1)$ -horizontal curvature form of ∇ , namely

$$\tilde{\Omega} = \Omega + d''^h d''^h \sigma \otimes I$$

which ends the proof. ■

In fact the above proposition remains valid for all higher horizontal Chern classes, namely:

Proposition 3. *The horizontal classes of Chern type $c_j^h(\nabla)$ are invariant by conformal change of horizontal type: $F^2 \mapsto e^{\sigma(z)} F^2$ for any $j = 1, \dots, m$.*

Proof. It is classical that for a square matrix A of order p we have

$$\det(A - \lambda I) = \sum_{j=0}^p (-1)^j \Delta_{p-j}(A) \lambda^j,$$

where

$$\Delta_j(A) = \frac{1}{j!} \sum_{\alpha, \beta} \delta_{\alpha_1 \dots \alpha_j}^{\beta_1 \dots \beta_j} a_{\beta_1}^{\alpha_1} \cdots a_{\beta_j}^{\alpha_j}$$

is the sum of the principal minors of order j of the matrix A (see for instance [15, p. 235]).

Now, taking into account $d''^h \Delta_j(\Omega) = 0$, by direct calculations we have

$$\begin{aligned} C_j^h(\tilde{\nabla}) &= \frac{(-1)^j}{(2\pi i)^j} \Delta_j(\Omega + d''^h d''^h \sigma \otimes I) \\ &= \frac{(-1)^j}{(2\pi i)^j} \left(\Delta_j(\Omega) + \frac{1}{j!} \sum_{k=1}^j \Delta_{j-k}(\Omega) \wedge (d''^h d''^h \sigma)^k \right) \\ &= C_j^h(\nabla) + d''^h \left(\frac{(-1)^j}{(2\pi i)^j j!} \sum_{k=1}^j \Delta_{j-k}(\Omega) \wedge d''^h \sigma \wedge (d''^h d''^h \sigma)^{k-1} \right) \end{aligned}$$

which says that $C_j^h(\tilde{\nabla})$ and $C_j^h(\nabla)$ are in the same d''^h -cohomology class. ■

It is well known that for every $\varphi \in \mathcal{A}^{p,q}(M)$, $\varphi^* = \varphi \circ \pi \in \mathcal{A}^{p,q,0,0}(E)$ is the natural lift of φ to the total space E .

Remark 2. If the complex Finsler structure F comes from a hermitian structure h on E , namely $F^2(z, \eta) = h(\eta, \eta) = h_{a\bar{b}}(z)\eta^a\bar{\eta}^b$, then the coefficients of the partial Bott complex connection ∇ are independent of the fiber coordinates η^a , and these coincides with the classical connection coefficients in hermitian bundles. In this case it is easy to see that $\mathcal{C}_j^h(\nabla)$ coincide with the classical Chern forms of order j of hermitian bundle (E, h) lifted to the total space E .

In the sequel we suppose that the base manifold M is compact. Note that there is a natural $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$ action on $E^* = E \setminus \{0\}$ and the associated projectivized bundle is defined by $PE = E^*/\mathbb{C}^*$ with the projection $p : PE \rightarrow M$. Each fiber $P_z(E) = P(E_z)$ is isomorphic to the $(m-1)$ -dimensional complex projective space $P^{m-1}(\mathbb{C})$. The pull-back bundle $p^{-1}E$ is a holomorphic vector bundle of rank m over PE . Thus, the local complex coordinates (z, η) on E may be also considered as a local complex coordinates system for PE as long as η^1, \dots, η^m is considered as a homogeneous coordinate system for fibers. All the geometric objects on E which are invariant after replacing η by $\lambda\eta$, $\lambda \in \mathbb{C}^*$ are valid on PE . The reason for working on PE rather than on E is that PE is compact if M is compact (see [9, 11]).

Now, we simply denote by $\mathcal{A}^{p,q}(PE)$ the set of all horizontal forms of $(p, q, 0, 0)$ type on E whose coefficients are zero homogeneous with respect to fiber coordinates, namely $\varphi_{I_p \bar{J}_q}(z, \lambda\eta) = \varphi_{I_p \bar{J}_q}(z, \eta)$, for any $\lambda \in \mathbb{C}^*$.

By the homogeneity conditions of the complex Finsler structure F (see [3, 4, 12]), the local coefficients of the Chern–Finsler c.n.c. are given by

$$N_k^a \stackrel{\text{CF}}{=} L_{bk}^a \eta^b \quad (11)$$

and, moreover

$$N_k^a(z, \lambda\eta) = \lambda N_k^a(z, \eta), \quad \forall \lambda \in \mathbb{C}^*. \quad (12)$$

Then, it follows by (11) and (12)

$$L_{bk}^a(z, \lambda\eta) = L_{bk}^a(z, \eta), \quad \forall \lambda \in \mathbb{C}^*$$

which says that the $(1, 0)$ -connection forms ω_b^a of ∇ live on PE . Similarly, by (4) we get $\Omega_{bj\bar{k}}^a(z, \lambda\eta) = \Omega_{bj\bar{k}}^a(z, \eta)$ for any $\lambda \in \mathbb{C}^*$ which says that the $(1, 1)$ -curvature forms Ω_b^a of ∇ live on PE . Thus, $\mathcal{C}_j^h(\nabla) \in \mathcal{A}^{j,j}(PE)$.

Finally, we note that properties of horizontal Chern forms in relation with basic properties of classical Chern forms must be studied, as well as the independence of these forms with respect to some families of complex Finsler structures on a holomorphic vector bundle. Another important problem to solve is to describe the obstructions corresponding to these classes. Here we are able to respond only partially to these questions.

Applying some results from [2], we get the invariance of horizontal Chern forms by a family of complex Finsler structures given by infinitesimal deformations.

We consider a 1-parameter family $\{F_t^2\}$, $t \in \mathbb{R}$ of pseudoconvex complex Finsler structures on a holomorphic vector bundle E . Let us put $F_0^2 = F^2$ and let $\nabla_0 = \nabla$ its partial Bott complex connection. The infinitesimal deformation V induced by F_t^2 is defined by $V := \left(\frac{\partial F_t^2}{\partial t}\right)|_{t=0}$ and its components with respect to a fixed frame field $s = \{s_a\}$, $a = 1, \dots, m$ of E are given by $V_{a\bar{b}} = \left(\frac{\partial h_{t a\bar{b}}}{\partial t}\right)|_{t=0}$. We put $V_b^a = h^{\bar{c}a} V_{b\bar{c}}$ and we consider it as a section of the bundle $\text{End}(V'E)$. If we consider $F_t^2 = F^2 + tV$ for sufficiently small t so that F_t^2 remains pseudoconvex then in Theorem 5.2 from [2] it is proved that if $\nabla V_b^a = 0$ then $\nabla_t = \nabla$ and $\Omega_t = \Omega$. Here $\nabla Z = (d^h Z^a + Z^b \omega_b^a) \partial_a$, for any $Z = Z^a \partial_a \in \Gamma(V'E)$. Thus, we can conclude

Proposition 4. *If $\nabla V_b^a = 0$ then the horizontal Chern forms $\mathcal{C}_j^h(\nabla)$ of (E, F) are invariant by the linear family of complex Finsler structures given by $F_t^2 = F^2 + tV$.*

We notice that in Aikou's paper [2], the partial connection is considered in the pull-back bundle $p^{-1}E$ over PE and the calculations are similar as on $V'E$.

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