

Quasi-Linear Algebras and Integrability (the Heisenberg Picture)^{*}

Luc VINET[†] and Alexei ZHEDANOV[‡]

[†] Université de Montréal PO Box 6128, Station Centre-ville, Montréal QC H3C 3J7, Canada
E-mail: luc.vinet@umontreal.ca

[‡] Donetsk Institute for Physics and Technology, Donetsk 83114, Ukraine
E-mail: zhedanov@yahoo.com

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Abstract. We study Poisson and operator algebras with the “quasi-linear property” from the Heisenberg picture point of view. This means that there exists a set of one-parameter groups yielding an explicit expression of dynamical variables (operators) as functions of “time” t . We show that many algebras with nonlinear commutation relations such as the Askey–Wilson, q -Dolan–Grady and others satisfy this property. This provides one more (explicit Heisenberg evolution) interpretation of the corresponding integrable systems.

Key words: Lie algebras; Poisson algebras; nonlinear algebras; Askey–Wilson algebra; Dolan–Grady relations

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1 Classical version

Assume that there is a classical Poisson manifold with the Poisson brackets (PB) $\{x, y\}$ defined for all dynamical variables x, y belonging to this manifold. Of course, it is assumed that the PB satisfy the standard conditions:

- (i) $\{x, \alpha_1 y + \alpha_2 z\} = \alpha_1 \{x, y\} + \alpha_2 \{x, z\}$ – linearity (α_1, α_2 are arbitrary constants);
- (ii) $\{x, y\} = -\{y, x\}$ – antisymmetry;
- (iii) $\{x, yz\} = z\{x, y\} + y\{x, z\}$ – the Leibnitz rule;
- (iv) $\{\{x, y\}, z\} + \{\{y, z\}, x\} + \{\{z, x\}, y\} = 0$ – the Jacobi identity.

If one chooses the “Hamiltonian” H (i.e. some dynamical variable belonging to this manifold), then we have the standard Hamiltonian dynamics: all variables $x(t)$ become depending on an additional time variable t and the equation of motion is defined as

$$\dot{x}(t) = \{x(t), H\}. \quad (1.1)$$

Of course, the Hamiltonian H is independent of t , because $\dot{H} = \{H, H\} = 0$. More generally, a dynamical variable Q is called the integral of motion if $\dot{Q} = 0$. Clearly, this is equivalent to the condition $\{Q, H\} = 0$.

From (1.1) we have $\ddot{x} = \{\{x, H\}, H\}$ and more generally

$$\frac{d^n x}{dt^n} = \{\dots \{x, H\}, H\}, \dots H\} = \{x, H^{(n)}\},$$

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where notation $\{x, H^{(n)}\}$ means n repeated PB. Using this formula we can write down the formal Taylor expansion of an arbitrary dynamical variable in the form

$$\begin{aligned} x(t) &= x(0) + t\dot{x}(0) + \cdots + \frac{t^n}{n!} \frac{d^n x(t)}{dt^n} \Big|_{t=0} + \cdots \\ &= x(0) + t\{x(0), H\} + \cdots + \frac{t^n}{n!} \{x(0), H^{(n)}\} + \cdots \end{aligned} \quad (1.2)$$

Assume now that there are N dynamical variables $x_1(0), x_2(0), \dots, x_N(0)$ (not depending on t) with the following property: for every $k = 1, \dots, N$ the PB of the variable $x_k(0)$ with the Hamiltonian H depends *linearly* on all $x_i(0)$:

$$\{x_k(0), H\} = \sum_{s=1}^N F_{ks}(H)x_s(0) + \Phi_k(H), \quad k = 1, 2, \dots, N, \quad (1.3)$$

where $F_{ks}(H), \Phi_k(H)$ are some functions of the variable H only. Using rules (i)–(iv) for the PB we have

$$\{\{x_k(0), H\}, H\} = \sum_{s=1}^N F_{ks}(H)\{x_s(0), H\} = \sum_{s=1}^N F_{ks}^{(2)}(H)x_s(0) + \Phi_k^{(1)}(H),$$

where

$$F_{ks}^{(2)}(H) = \sum_{i=1}^N F_{ki}(H)F_{is}(H), \quad \Phi_k^{(1)}(H) = \sum_{i=1}^N F_{ki}(H)\Phi_i(H).$$

We see that the double PB $\{\{x_k(0), H\}, H\}$ is again linear with respect to $x_i(0)$.

In what follows we will use the matrix notation assuming that $F(H)$ is the $N \times N$ matrix with the entries $F_{ik}(H)$ and $\Phi(H)$ is the N -dimensional vector with the components $\Phi_i(H)$. Then it is seen that $F^{(2)}(H)$ is the square of the matrix $F(H)$ and the vector $\Phi^{(1)}$ is obtained by the applying of the matrix $F(H)$ to the vector $\Phi(H)$: $\Phi^{(1)}(H) = F(H)\Phi(H)$ in accordance with usual conventions in linear algebra.

By induction, we obtain the following formula

$$\{x_k(0), H^{(n)}\} = \sum_{s=1}^N F_{ks}^{(n)}(H)x_s(0) + \Phi_k^{(n-1)}(H), \quad (1.4)$$

where the matrix $F^{(n)}(H)$ means the n -th power of the matrix F_{ik} and the vector $\Phi^{(n-1)}(H)$ is

$$\Phi^{(n-1)}(H) = F^{(n-1)}\Phi(H).$$

Now using (1.2) we can write down the formula

$$x_k(t) = \sum_{n=0}^{\infty} \{x_k(0), H^{(n)}\} \frac{t^n}{n!}.$$

By (1.4) we can present the above formula in the form

$$x_k(t) = \sum_{s=1}^N E_{ks}(H; t)x_s(0) + G_k(H; t), \quad (1.5)$$

where the $N \times N$ matrix $E(H; t)$ and the N -dimensional vector $G(H, t)$ are defined as

$$E(H; t) = \exp(tF(H)), \quad G(H; t) = \left(\int_{\tau=0}^t \exp(\tau F(H)) d\tau \right) \Phi(H). \quad (1.6)$$

We used the ordinary definition of the exponential function of the matrix:

$$\exp(tF) = \sum_{n=0}^{\infty} \frac{t^n F^{(n)}}{n!}$$

and

$$\int_{\tau=0}^t \exp(\tau F) d\tau = \sum_{n=0}^{\infty} \frac{t^{n+1} F^{(n)}}{(n+1)!}.$$

We see from (1.5) that every variable $x_k(t)$ is a linear function with respect to initial variables $x_s(0)$.

Proposition 1. *Assume that there is an analytical matrix function $E(H; t)$ and an analytical vector function $G(H; t)$ such that condition (1.5) holds for every $k = 1, 2, \dots, N$. Then this condition is equivalent to condition (1.3).*

Proof of this proposition is elementary.

So far, we did not concretize the choice of the Hamiltonian H . Now we assume that *all* variables $x_s(0)$, $s = 1, \dots, N$ can be chosen as Hamiltonians: if one puts $H = x_s(0)$ then we will have linearity property (1.3) with respect to all other variables x_i , $i \neq s$. It is naturally to call such algebras the *quasi-linear* Poisson algebras. This means that linearity property holds for all generators x_i , apart from the Hamiltonian variable x_s .

Quasi-linear algebras possess a remarkable property: if one chooses any basic variable x_j , $j = 1, 2, \dots, N$ as a Hamiltonian then for time evolution of all other variables x_i , $i = 1, \dots, N$, $i \neq j$ we have linear property

$$x_i(t) = \sum_{s=1}^N{}' \xi_{ijs}(x_j; t) x_s(0) + \eta_{ij}(x_j; t), \quad i \neq j,$$

where the functions $\xi_{ijs}(x_j; t)$ and $\eta_{ij}(x_j; t)$ can be explicitly calculated as was shown above.

Notation $\sum_{s=1}^N{}'$ means that we exclude the term with $s = j$ from the sum (note that excluding value of s coincides with the fixed “number” j of the Hamiltonian).

In this case we obtain strong restrictions for the expression of the Poisson brackets $\{x_i, x_k\}$. Indeed, from this property we have that for any pair of variables x_i, x_k one has the relation

$$\{x_i, x_k\} = \sum_{s=1}^N{}' F_{iks}(x_k) x_s + \Phi_{ik}(x_k), \quad i, k = 1, 2, \dots, N, \quad i \neq k \quad (1.7)$$

with some functions $F_{iks}(x_k)$ and $\Phi_{ik}(x_k)$. These functions cannot be taken arbitrarily. Indeed, we can also write down the similar condition but with reversed order of variables x_i, x_k :

$$\{x_k, x_i\} = \sum_{s=1}^N{}' F_{kis}(x_i) x_s + \Phi_{ki}(x_i), \quad i, k = 1, 2, \dots, N, \quad i \neq k.$$

But $\{x_k, x_i\} = -\{x_i, x_k\}$, so we have the system of conditions

$$\sum_{s=1}^N F_{kis}(x_i)x_s + \Phi_{ki}(x_i) + \sum_{s=1}^N F_{iks}(x_k)x_s + \Phi_{ik}(x_k) = 0 \quad (1.8)$$

which should be valid for *any* pair $x_i, x_k, i \neq k$.

Moreover, the Jacobi identity

$$\{\{x_i, x_k\}, x_j\} + \{\{x_k, x_j\}, x_i\} + \{\{x_j, x_i\}, x_k\} = 0 \quad (1.9)$$

should be valid for any triple x_i, x_k, x_j with distinct values i, j, k . In our case the Jacobi identity (1.9) is reduced to a system of functional-differential equations

$$W_{ijk} + W_{jki} + W_{kij} = 0, \quad (1.10)$$

where

$$W_{ijk} = \{\{x_i, x_k\}, x_j\} = \Phi'_{ik}(x_k)\{x_k, x_j\} + \sum_{s=1}^N (F_{iks}(x_k)\{x_s, x_j\} + F'_{iks}(x_k)x_s\{x_k, x_j\}),$$

where $F'(x)$ means the derivative of the function $F(x)$.

Conditions (1.8) and (1.10) can be considered as a system of nontrivial functional-differential equations for unknown functions $F_{iks}(x_k)$ and $\Phi_{ik}(x_k)$.

Of course, there is a trivial solution of these conditions when all functions are constants, i.e. $F_{iks}(x_k)$ and $\Phi_{ik}(x_k)$ do not depend on their arguments x_k . If, additionally, $\Phi_{ik} \equiv 0$ then we obtain well-known Lie–Poisson algebras with commutation relations

$$\{x_i, x_k\} = \sum_{s=1}^N c_{iks}x_s$$

with the structure constants c_{iks} satisfying standard restrictions following from (1.8) and (1.10) (see, e.g. [10]).

Consider the most general finite-dimensional Poisson algebras with nonlinear Poisson brackets

$$\{x_i, x_k\} = h_{ik}(x_1, \dots, x_N), \quad (1.11)$$

where $h_{ik}(x_1, \dots, x_N)$ are smooth functions of N variables x_1, \dots, x_N . For general theory connected with these algebras see e.g. [10]. In order for the variables x_i, x_k to form a Poisson algebra, the functions h_{ik} should satisfy some strong restrictions. In particular, in [6] necessary and sufficient conditions were obtained in case when $h_{ik}(x_1, \dots, x_N)$ are quadratic functions. We will provide several examples when Poisson algebras of type (1.11) satisfy conditions (1.8) and (1.10) and hence are quasi-linear. General classification of all Poisson algebras with such property is an interesting open problem.

Note that in [10] the so-called semi-linear Poisson algebras (as well as their operator analogues) were considered. Such algebras are in general nonlinear but they have linearity property with respect to some prescribed generators. However the semi-linear algebras introduced in [10] do not possess, in general, the property (1.7) and hence they are not quasi-linear algebras in our sense.

2 “Quantum” (operator) version

Now assume that X_k , $k = 1, 2, \dots$ are operators which act on some linear space (either finite or infinite-dimensional).

The time dynamics is defined by the Heisenberg equations:

$$\dot{B} = [H, B],$$

where H is an operator called the Hamiltonian and $[H, B] \equiv HB - BH$ is the commutator.

As in the previous section, assume that there exist N operators X_k and a Hamiltonian H such that the conditions

$$[H, X_k] = \sum_{s=1}^N F_{ks}(H)X_s + \Phi_k(H), \quad (2.1)$$

where $F_{ks}(H)$, $\Phi_k(H)$ are some functions depending only on the Hamiltonian H .

Introduce the n -th repeated commutator

$$[H, [H, \dots, [H, B] \dots]] = \text{ad}_H^n B.$$

As in the previous section we have

$$\text{ad}_H^n X_k = \sum_{s=1}^N F_{ks}^{(n)}(H)X_s + \Phi_k^{(n-1)}(H),$$

where the matrix $F^{(n)}(H)$ means the n -th power of the matrix F_{ik} and the vector $\Phi^{(n-1)}(H)$ is

$$\Phi^{(n-1)}(H) = F^{(n-1)}\Phi(H).$$

The Heisenberg time evolution is described by the one-parametric group in a standard manner

$$X_k(t) = \exp(tH)X_k \exp(-tH) = X_k + t[H, X_k] + \dots + \frac{t^n}{n!} \text{ad}_H^n X_k + \dots \quad (2.2)$$

Note that in our approach the time variable t can be an arbitrary complex parameter and the “Hamiltonian” H need not be a Hermitian operator. In physical applications it is usually assumed that H is a Hermitian operator and we need to change $t \rightarrow it$ in order to obtain the usual Heisenberg picture where the time t is a real parameter.

Again, as in classical case we obtain that $X_k(t)$ is a linear combination of initial operators X_s :

$$X_k(t) = \sum_{s=1}^N E_{ks}(H; t)X_s + G_k(H; t), \quad (2.3)$$

where the $N \times N$ operator-valued matrix $E(H; t)$ and the N -dimensional operator-valued vector $G(H, t)$ are defined by the same formulas (1.6).

Note that if the operators X_s form a Lie algebra:

$$[X_i, X_k] = \sum_{s=1}^N g_{ik}^s X_s,$$

where g_{ik}^s are the structure constants, then any operator $X_j = H$ taken as a Hamiltonian, satisfies conditions (2.1) with F_{ks} the constants (not depending on H) and $\Phi_k = 0$, hence we

have formula (2.2) with $G_k = 0$ which is a standard action of the one-parameter Lie group corresponding to the generator $H = X_j$:

$$\exp(tX_j)X_k \exp(-tX_j) = \sum_{s=1}^N E_{ks}(t)X_s.$$

Of course, in the case of the Lie algebra we can construct full Lie group due to linearity property (g_{ik}^s are constants). However, if $F_{ks}(H)$ depend on an operator H then we see that only a set of one-parameter groups exists with the linearity property (2.2). Our next problem therefore, will be how to construct algebras satisfying the property (2.1) for different possible choices of the operator H .

The simplest possibility is the same as in the previous section: we demand that *any* operator X_j can be taken as a Hamiltonian $H = X_j$, $j = 1, 2, \dots, N$. We call such algebras the quasi-linear operator algebras. Condition (2.1) is replaced with a system of conditions

$$[X_j, X_k] = \sum_{s=1}^N ' F_{jks}(X_j)X_s + \Phi_{jk}(X_j), \quad k, j = 1, 2, \dots, N, \quad k \neq j. \quad (2.4)$$

Then for all possible choices of the Hamiltonian H the time evolution (the Heisenberg picture) has the linearity property with respect to all operators apart from the Hamiltonian:

$$X_k^{(j)}(t) = \exp(tX_j)X_k \exp(-tX_j) = \sum_{s=1}^N ' E_{jks}(X_j; t)X_s + G_{jk}(X_j; t),$$

where the functions $E_{jks}(X_j; t)$ and $G_{jk}(X_j; t)$ are easily calculated from the functions $F_{jks}(X_j)$ and $\Phi_{jk}(X_j)$.

Of course, compatibility analysis of conditions (2.4) as well as checking of the Jacobi identity

$$[[X_i, X_k], X_j] + [[X_k, X_j], X_i] + [[X_j, X_i], X_k] = 0, \quad i, j, k = 1, 2, \dots, N$$

is rather a nontrivial problem even for the case when all operators X_k are finite-dimensional. Instead, we present concrete examples of the operator algebras possessing quasi-linear property.

However first we need to generalize our scheme to include the so-called ‘‘extension’’ operators.

3 Quasi-linear algebras with extension

Assume that we have a set of N so-called ‘‘basic’’ operators X_1, X_2, \dots, X_N and for any operator X_i , $i = 1, 2, \dots, N$ we have also ‘‘a tower’’ of extension, i.e. a set of $M_i \geq 0$ operators $Y_k^{(i)}$, $k = 1, 2, \dots, M_i$, such that we have the commutation relations

$$[X_i, X_k] = \sum_{s=1}^N ' F_{iks}(X_i)X_s + \sum_{s=1}^{M_i} G_{iks}(X_i)Y_s^{(i)} + \Phi_{ik}(X_i), \quad i, k = 1, 2, \dots, N, \quad i \neq k \quad (3.1)$$

and

$$[X_i, Y_k^{(i)}] = \sum_{s=1}^N ' U_{iks}(X_i)X_s + \sum_{s=1}^{M_i} V_{iks}(X_i)Y_s^{(i)} + W_{ik}(X_i), \quad i = 1, 2, \dots, N, \quad k = 1, 2, \dots, M_i \quad (3.2)$$

with some functions $F_{iks}(x), \dots, W_{ik}(x)$. In what follows we will assume that all these functions are polynomials.

Thus the extension operators $Y_k^{(i)}$ enter the linear combinations in commutators (3.1), (3.2) but only operators X_i can be chosen as ‘‘Hamiltonians’’. In general, commutation relations between operators $Y_k^{(i)}$ are not defined as well as the commutation relations between the Hamiltonian X_i and operators $Y_k^{(j)}$ from another ‘‘tower’’ (i.e. when $j \neq i$).

From (3.1), (3.2) it follows immediately that repeated commutators with the ‘‘Hamiltonians’’ X_i have the similar structure

$$\text{ad}_{X_i}^n X_k = \sum_{s=1}^N {}'F_{iks}^{(n)}(X_i)X_s + \sum_{s=1}^{M_i} G_{iks}^{(n)}(X_i)Y_s^{(i)} + \Phi_{ik}^{(n)}(X_i), \quad i, k = 1, 2, \dots, N$$

and

$$\text{ad}_{X_i}^n Y_k^{(i)} = \sum_{s=1}^N {}'U_{iks}^{(n)}(X_i)X_s + \sum_{s=1}^{M_i} V_{iks}^{(n)}(X_i)Y_s^{(i)} + W_{ik}^{(n)}(X_i)$$

with polynomials $F_{iks}^{(n)}(x), \dots, W_{ik}^{(n)}(x)$ which can be obtained from $F_{iks}(x), \dots, W_{ik}(x)$ by obvious explicit procedures.

Hence we have an explicit Heisenberg evolution picture:

$$e^{tX_i} X_k e^{-tX_i} = \sum_{s=1}^N {}'\tilde{F}_{iks}(X_i; t)X_s + \sum_{s=1}^{M_i} \tilde{G}_{iks}(X_i; t)Y_s^{(i)} + \tilde{\Phi}_{ik}(X_i; t), \quad i, k = 1, 2, \dots, N$$

and

$$e^{tX_i} Y_k^{(i)} e^{-tX_i} = \sum_{s=1}^N {}'\tilde{U}_{iks}(X_i; t)X_s + \sum_{s=1}^{M_i} \tilde{V}_{iks}(X_i; t)Y_s^{(i)} + \tilde{W}_{ik}(X_i; t),$$

where the functions $\tilde{F}_{iks}(x; t), \dots, \tilde{W}_{ik}(x; t)$ are obtained from the polynomials $F_{iks}^{(n)}(x), \dots, W_{ik}^{(n)}(x)$ by an obvious way, e.g.

$$\tilde{F}_{iks}(x; t) = \sum_{n=0}^{\infty} \frac{t^n}{n!} F_{iks}^{(n)}(x)$$

etc.

Thus, as in the previous section, we have an explicit *linear* Heisenberg evolution of the operators $X_i, Y_k^{(i)}$ under the action of N Hamiltonians $X_i, i = 1, 2, \dots, N$. In contrast to the previous section, the operators $Y_k^{(i)}$ do not in general provide the linear evolution, i.e. they cannot be chosen as Hamiltonians. They serve only as an auxiliary tool in our picture.

The total number M of extension operators $Y_k^{(i)}$ can be less than $M_1 + M_2 + \dots + M_N$, because in special cases some of these operators can coincide, as we will see later.

It will be convenient to call the corresponding algebras the quasi-linear algebras of the type (N, M) , where N is number of the ‘‘true Hamiltonians’’ X_1, \dots, X_N and M is a total number of extension operators $Y_k^{(i)}$. For quasi-linear algebras without extension we will use the symbol $(N, 0)$.

Of course, essentially the same picture is valid in classical case if one replaces the commutators $[\dots]$ with the Poisson brackets $\{\dots\}$.

In the next sections we construct simplest examples of the quasi-linear algebras in both classical and quantum (operator) pictures.

4 The q -oscillator algebra

Consider the simplest case when we have only two operators X, Y (i.e. $N = 2$) and we demand that property (2.1) will be satisfied when H is chosen as either X or Y , i.e. in this case we have the quasi-linear algebra of type $(2, 0)$ without extension. From previous considerations it follows that two conditions

$$[X, Y] = F_1(Y)X + \Phi_1(Y), \quad [Y, X] = F_2(X)Y + \Phi_2(X) \quad (4.1)$$

should be valid, where $F_i(z), \Phi_i(z), i = 1, 2$ are some functions.

In the classical case we will have similar conditions:

$$\{x, y\} = F_1(y)x + \Phi_1(y), \quad \{y, x\} = F_2(x)y + \Phi_2(x) \quad (4.2)$$

with commutators $[\dots]$ replaced with the Poisson brackets $\{\dots\}$. We see that necessary condition for compatibility of (4.1) or (4.2) is

$$F_1(Y)X + \Phi_1(Y) + F_2(X)Y + \Phi_2(X) = 0. \quad (4.3)$$

In the classical case (i.e. when X, Y are two independent commuting variables) we have a simple functional equation for 4 unknown functions $F_i(z), \Phi_i(z), i = 1, 2$. Assume first that the function $F_2(x)$ is not a constant. Then taking two distinct values $x = \alpha$ and $x = \beta$ and considering (4.3) as a system for unknowns $F_1(y), \Phi_1(y)$ we easily find that these functions should be linear in y . Quite similarly, we find that functions $F_2(x), \Phi_2(x)$ should be linear as well. After simple calculations we obtain in this case the most general solution

$$\{x, y\} = \alpha xy + \beta_1 x + \beta_2 y + \gamma, \quad (4.4)$$

where $\alpha, \beta_1, \beta_2, \gamma$ are arbitrary constants ($\alpha \neq 0$). By an appropriate affine transformation of the variables $x \rightarrow \xi_1 x + \eta_1, y \rightarrow \xi_2 y + \eta_2$ we can reduce (4.4) to a canonical form

$$\{x, y\} = \alpha xy - 1$$

in the case if the polynomial $\alpha xy + \beta_1 x + \beta_2 y + \gamma$ is irreducible. If this polynomial is reducible (i.e. can be presented as a product of two linear polynomials in x, y) then we have the canonical form

$$\{x, y\} = \alpha xy.$$

In quantum case we have correspondingly either algebra of the form

$$[X, Y] = \alpha XY + \gamma, \quad (4.5)$$

if $\gamma \neq 0$, or the Weyl operator pair

$$XY = qYX.$$

Note that relation (4.5) can be rewritten in other canonical form

$$XY - qYX = 1 \quad (4.6)$$

with some parameter $q \neq 0, 1$. The pair of operators X, Y satisfying the commutation relation (4.6) is called the q -oscillator algebra.

In this case the Heisenberg evolution becomes very simple. Indeed, consider, e.g. Y as the Hamiltonian. We have from (4.6)

$$[Y, [Y, X]] = \omega^2 Y^2 X - \omega Y,$$

where $\omega = 1 - q$, and, by induction,

$$ad_Y^n X = \omega^n Y^n X - \omega^{n-1} Y^{n-1}, \quad n = 1, 2, \dots$$

Hence we have explicitly

$$e^{tY} X e^{-tY} = e^{\omega t Y} X - \phi(Y; t),$$

where

$$\phi(y; t) = \int_0^t e^{\omega \tau y} d\tau = \frac{e^{\omega t y} - 1}{\omega y}.$$

When $\omega \rightarrow 0$ (i.e. $q \rightarrow 1$) one obtains

$$e^{tY} X e^{-tY} = X - t + \omega t Y (X - t/2) + O(\omega^2).$$

Here the first term $X - t$ in the right-hand side corresponds to the simple Weyl shift of the harmonic oscillator. Indeed, when X, Y satisfy the Heisenberg–Weyl commutation relation $[X, Y] = 1$ then

$$e^{tY} X e^{-tY} = X - t,$$

i.e. the operator X is shifted by a constant under action of the Hamiltonian $H = Y$. The second term $\omega t Y (X - t/2)$ describes a small “ q -deformation” of the Weyl shift.

5 The Askey–Wilson algebra

The Askey–Wilson algebra $AW(3)$ [8, 28] can be presented in several equivalent forms. One of them consists of 3 operators K_1, K_2, K_3 with the commutation relations

$$\begin{aligned} [K_1, K_2] &= K_3, \\ [K_2, K_3] &= 2\rho K_2 K_1 K_2 + a_1 \{K_1, K_2\} + a_2 K_2^2 + c_1 K_1 + d K_2 + g_1, \\ [K_3, K_1] &= 2\rho K_1 K_2 K_1 + a_2 \{K_1, K_2\} + a_1 K_1^2 + c_2 K_2 + d K_1 + g_2 \end{aligned} \tag{5.1}$$

with some constants $\rho, a_1, a_2, c_1, c_2, d, g_1, g_2$. The symbol $\{\dots\}$ stands for the anticommutator: $\{X, Y\} \equiv XY + YX$.

The $AW(3)$ algebra belongs to quasi-linear type of $(2, 1)$. Indeed, we can rewrite it in the form

$$\begin{aligned} [K_1, K_2] &= K_3, \\ [K_2, [K_2, K_1]] &= R_2(K_2)K_1 + R_1(K_2)K_3 + R_0(K_2), \\ [K_1, [K_1, K_2]] &= S_2(K_1)K_2 + S_1(K_1)K_3 + S_0(K_1), \end{aligned} \tag{5.2}$$

where

$$\begin{aligned} R_2(x) &= -2\rho x^2 - 2a_1 x - c_1, & R_1(x) &= -2\rho x - a_1, & R_0(x) &= -a_2 x^2 - dx - g_1, \\ S_2(x) &= -2\rho x^2 - 2a_2 x - c_2, & S_1(x) &= 2\rho x + a_2, & S_0(x) &= -a_1 x^2 - dx - g_2. \end{aligned}$$

We see that $AW(3)$ algebra in the form (5.2) has quasi-linear structure with $N = 2$, $M = 1$. The operators K_1 , K_2 play the role of the true Hamiltonians whereas the operator K_3 is the only extension.

If $\rho \neq 0$ one can present the same algebra in an equivalent (more symmetric) form in terms of operators X , Y , Z [28, 24, 27]

$$XY - qYX = Z + C_3, \quad YZ - qZY = X + C_1, \quad ZX - qXZ = Y + C_2, \quad (5.3)$$

or, in a pure commutator form

$$\begin{aligned} [X, Y] &= (q-1)YX + Z + C_3, & [Y, Z] &= (q-1)ZY + X + C_1, \\ [Z, X] &= (q-1)XZ + Y + C_2. \end{aligned}$$

In this form we have quasi-linear algebra of type $(3, 0)$ *without extension*, i.e. in this case all 3 operators X , Y , Z of the algebra play the role of the Hamiltonians. Hence in this case the linearity property holds for all operators X , Y , Z .

It is instructive to derive directly transformation property of, say, operator X under Hamiltonian action of the operator Y . We have

$$\text{ad}_Y^n X = U_n(Y)X + V_n(Y)Z + W_n(Y), \quad (5.4)$$

where $U_n(x)$, $V_n(x)$, $W_n(x)$ are polynomials in x . Initial conditions are obvious:

$$U_0(x) = 1, \quad V_0(x) = W_0(x) = 0.$$

Derive recurrence relations for the polynomials $U_n(x)$, $V_n(x)$, $W_n(x)$. For this goal let us apply the operator ad_Y with respect to (5.4):

$$\begin{aligned} \text{ad}_Y^{n+1} X &= U_n(Y)[Y, X] + V_n(Y)[Y, Z] = ((1-q)YU_n(Y) + q^{-1}V_n(Y))X \\ &\quad + ((1-q^{-1})YV_n(Y) - U_n(Y))Z - C_3U_n(Y) + q^{-1}C_1V_n(Y). \end{aligned}$$

Hence we have

$$\begin{aligned} U_{n+1} &= (1-q)YU_n + q^{-1}V_n, & V_{n+1} &= -U_n + (1-q^{-1})YV_n, \\ W_{n+1} &= -C_3U_n + C_1q^{-1}V_n. \end{aligned} \quad (5.5)$$

From recurrence relations (5.5) it is possible to find polynomials U_n , V_n , W_n explicitly. In particular, it is clear that $U_n(Y)$ is a polynomial of degree n and $V_n(Y)$, $W_n(Y)$ are polynomials of degree $n-1$.

For the transformed operator $X(t)$ we have

$$X(t) = e^{tY} X e^{-tY} = E_1(t; Y)X + E_2(t; Y)Z + E_0(t; Y),$$

where

$$E_1(t; Y) = \sum_{n=0}^{\infty} \frac{t^n U_n(Y)}{n!}, \quad E_2(t; Y) = \sum_{n=0}^{\infty} \frac{t^n V_n(Y)}{n!}, \quad E_0(t; Y) = \sum_{n=0}^{\infty} \frac{t^n W_n(Y)}{n!}.$$

From (5.5) we have a system of linear differential equations for unknown functions $E_1(t)$, $E_2(t)$

$$\dot{E}_1(t; x) = (1-q)x E_1 + q^{-1} E_2, \quad \dot{E}_2(t; x) = -E_1 + (1-q^{-1})x E_2.$$

Initial conditions are $E_1(0) = 1$, $E_2(0) = 0$. This system has constant coefficients (not depending on t) and hence can be elementary integrated:

$$E_1(t; x) = a_{11}(x)e^{\omega_1(x)t} + a_{12}(x)e^{\omega_2(x)t}, \quad E_2(t; x) = a_{21}(x)e^{\omega_1(x)t} + a_{22}(x)e^{\omega_2(x)t},$$

where $\omega_{1,2}(x)$ are roots of the characteristic equation

$$\omega^2 + x(2 - q - q^{-1})(x - \omega) + q^{-1} = 0$$

and the coefficients $a_{ik}(x)$ can be found by standard methods.

The function $E_0(t; x)$ can then be found as

$$E_0(t; x) = -C_3 \int_0^t E_1(\tau; x) d\tau + C_1 q^{-1} \int_0^t E_2(\tau; x) d\tau.$$

We see that the Heisenberg evolution is described by elementary functions (linear combinations of exponents) in the argument t . But the coefficients in these linear combinations depend on operator H .

Similar expressions are valid for all other possible choices of the Hamiltonian (i.e. $H = X$ or $H = Z$) due to symmetric form of algebra (5.3).

Thus for $\rho \neq 0$ essentially the same $AW(3)$ algebra can be presented in two equivalent forms – either as an algebra of type (2, 1) with the only extension or as an algebra of type (3, 0) without extension. However if $\rho = 0$ then the only type (2, 1) is known (this special case corresponds to the so-called quadratic Racah algebra $QR(3)$ [8]).

So far, we have no general results in quantum (i.e. operator) case concerning classification scheme. In particular, we do not know, whether $AW(3)$ algebra is the only quasi-linear algebra of type (2, 1) or (3, 0). In the classical case the situation is slightly better: we already showed that for the type (2, 0) the only q -oscillator algebra appears. In the next section we consider a classification scheme for the type (3, 0).

6 Classical case of type (3,0)

Consider the classical case of quasi-linear algebras of type (3, 0). This means that we have 3 dynamical variables x, y, z satisfying the Poisson bracket relations

$$\{y, z\} = F_1(x, y, z), \quad \{z, x\} = F_2(x, y, z), \quad \{x, y\} = F_3(x, y, z),$$

where the functions $F_i(x, y, z)$ should be chosen in such way to satisfy the Jacobi identity

$$\{\{x, y\}, z\} + \{\{y, z\}, x\} + \{\{z, x\}, y\} = 0.$$

It is easily seen that this condition is equivalent to the relation [6]

$$(\mathbf{F}, \text{rot } \mathbf{F}) = 0, \tag{6.1}$$

where the vector \mathbf{F} has Cartesian components (F_1, F_2, F_3) and rot is standard differentiation curl operator acting on the vector \mathbf{F} .

Relation (6.1) has an obvious solution

$$\mathbf{F} = \nabla Q(x, y, z), \tag{6.2}$$

where ∇ is the gradient operator and $Q(x, y, z)$ is a function of 3 variables. In this case we have the defining Poisson relations in the form

$$\{y, z\} = Q_x, \quad \{z, x\} = Q_y, \quad \{x, y\} = Q_z, \tag{6.3}$$

where Q_x means derivation with respect to x etc. Note that in this case the function $Q(x, y, z)$ is the Casimir element of the algebra, i.e. $\{x, Q\} = \{y, Q\} = \{z, Q\} = 0$. Poisson brackets of such

types are sometimes called the Nambu brackets or Mukai–Sklyanin algebras [17]. However, the Nambu bracket (6.2) do not exhaust all admissible Poisson algebras with 3 generators. There are non-trivial examples corresponding to $\text{rot } \mathbf{F} \neq 0$.

Consider restrictions on the functions $F_i(x, y, z)$ coming from the quasi-linear property.

On the one side, choosing y to be a Hamiltonian, we have by this property

$$\{x, y\} = F_3(x, y, z) = \Phi_1(y)x + \Phi_2(y)z + \Phi_3(y). \quad (6.4)$$

On the other side, choosing x to be a Hamiltonian, we have analogously

$$\{x, y\} = \Phi_4(x)y + \Phi_5(x)z + \Phi_6(x) \quad (6.5)$$

with some functions $\Phi_i(x)$, $i = 1, 2, \dots, 6$.

From (6.4) and (6.5) we see that $F_3(x, y, z)$ should be a polynomial having degree no more than 1 with respect to each variable x, y, z and the most general form of this polynomial is

$$F_3(x, y, z) = \alpha_3xy + \beta_{31}x + \beta_{32}y + \beta_{33}z + \gamma_3.$$

Quite analogously, taking functions F_1, F_2 we obtain

$$F_i(x, y, z) = \alpha_i x_k x_l + \sum_{s=1}^3 \beta_{is} x_s + \gamma_i, \quad (6.6)$$

where $x_1 = x$, $x_2 = y$, $x_3 = z$ and $\alpha_i, \beta_{is}, \gamma_i$ are some constants. As usual in rhs of (6.6) notation $x_k x_l$ means that triple (i, k, l) has no coinciding entries.

Condition (6.1) imposes strong restrictions upon the coefficients $\alpha_i, \beta_{ik}, \gamma_i$. It is convenient to analyze the canonical forms of obtained algebras up to affine transformations $x_i \rightarrow \xi_i x_i + \eta_i$ with some constants ξ_i, η_i (of course we demand that $\xi_1 \xi_2 \xi_3 \neq 0$).

(i) If diagonal entries β_{ii} are all nonzero then necessarily $\alpha_1 = \alpha_2 = \alpha_3 = \alpha$. We will assume $\alpha \neq 0$ (otherwise we will obtain the Lie–Poisson algebras). Then the matrix β should be symmetric: $\beta_{ik} = \beta_{ki}$. These conditions are also sufficient for validity of the Jacobi identity. After an appropriate affine transformation we reduce our algebra to the canonical form

$$F_i(x, y, z) = \alpha x_k x_l + x_i + \gamma_i$$

with only 4 independent free parameters: α and γ_i , $i = 1, 2, 3$. This is exactly the classical version of the Askey–Wilson algebra $AW(3)$ [12].

(ii) If one of diagonal entries is zero, say $\beta_{33} = 0$, then again we have the same necessary and sufficient conditions $\alpha_1 = \alpha_2 = \alpha_3 = \alpha \neq 0$ and $\beta_{ik} = \beta_{ki}$. The canonical form is

$$F_1 = \alpha x_2 x_3 + x_1 + \gamma_1, \quad F_2 = \alpha x_1 x_3 + x_2 + \gamma_2, \quad F_3 = \alpha x_2 x_1 + \gamma_3.$$

In both cases (i) and (ii) we have the Nambu–Poisson brackets (6.3) with

$$Q = \alpha xyz + (x^2 + y^2 + z^2)/2 + \gamma_1 x + \gamma_2 y + \gamma_3 z$$

for the case (i) and

$$Q = \alpha xyz + (x^2 + y^2)/2 + \gamma_1 x + \gamma_2 y + \gamma_3 z$$

for the case (ii).

(iii) Two diagonal entries are zero, say $\beta_{22} = \beta_{33} = 0$ and $\beta_{11} \neq 0$. Then there exist 2 possibilities: in the first case $\alpha_1 = \alpha_2 = \alpha_3 = \alpha \neq 0$ and the canonical form of the algebra is

$$F_1 = \alpha x_2 x_3 + x_1 + \gamma_1, \quad F_2 = \alpha x_1 x_3 + \gamma_2, \quad F_3 = \alpha x_2 x_1 + \gamma_3.$$

This is again the algebra of Nambu type with

$$Q = \alpha xyz + x^2/2 + \gamma_1 x + \gamma_2 y + \gamma_3 z.$$

(iv) As in the previous case we have $\beta_{22} = \beta_{33} = 0$ and $\beta_{11} \neq 0$. But now there is a possibility of noncoinciding entries α_i . Namely we have $\alpha_2 = \alpha_3$ but $\alpha_1 \neq \alpha_2$. We will assume that $\alpha_2 \alpha_1 \neq 0$. Then we have the canonical form

$$F_1 = \alpha_1 x_2 x_3 + x_1, \quad F_2 = \alpha_2 x_1 x_3, \quad F_3 = \alpha_2 x_2 x_1.$$

This case does not belong to the Nambu type.

(v) There is further degeneration of the previous case. Namely we can allow $\alpha_1 = 0$, $\alpha_2 \neq 0$ or $\alpha_2 = 0$, $\alpha_1 \neq 0$. In the first case we have the canonical form

$$F_1 = x_1 + \gamma_1, \quad F_2 = \alpha_2 x_1 x_3, \quad F_3 = \alpha_2 x_2 x_1.$$

In the second case the canonical form is

$$F_1 = \alpha_1 x_2 x_3 + x_1 + \gamma_1, \quad F_2 = x_3, \quad F_3 = x_2.$$

(vi) Assume that all diagonal entries are zero $\beta_{11} = \beta_{22} = \beta_{33} = 0$. Then if $\alpha_1 \alpha_2 \alpha_3 \neq 0$ we have the canonical form

$$F_1 = \alpha_1 x_2 x_3, \quad F_2 = \alpha_2 x_1 x_3, \quad F_3 = \alpha_3 x_2 x_1.$$

It is interesting to note that obtained algebras of types (i)–(vi) correspond to the list of so-called 3-dimensional skew-polynomial algebras introduced by Bell and Smith [22, 19]. In our case we have classical (i.e. Poisson brackets) analogues of the 3-dimensional skew-polynomial algebras.

Concerning other aspects and applications of the classical (Poissonic) version of the AW(3) algebra see e.g. [12].

7 Beyond the AW-algebra

Consider the so-called Dolan–Grady relations [5] for two operators A_0, A_1

$$[A_0, [A_0, [A_0, A_1]]] = \omega^2 [A_0, A_1], \quad [A_1, [A_1, [A_1, A_0]]] = \omega^2 [A_1, A_0], \quad (7.1)$$

where ω is an arbitrary constant. These relations generate the so-called infinite-dimensional Onsager algebra [4] which plays a crucial role in algebraic solution of the Ising model as well as of some more general models in statistical physics [18].

On the other hand, we can consider the DG-relations from the “quasi-linear” algebras point of view. Indeed, introduce the operators

$$A_2 = [A_0, A_1], \quad A_3 = [A_0, [A_0, A_1]], \quad A_4 = [A_1, [A_1, A_0]]. \quad (7.2)$$

Then we see that

$$\begin{aligned} \text{ad}_{A_0}^{2n+2} A_1 &= \omega^{2n} A_3, & \text{ad}_{A_0}^{2n+1} A_1 &= \omega^{2n} A_2, & n &= 0, 1, 2, \dots, \\ \text{ad}_{A_1}^{2n+2} A_0 &= \omega^{2n} A_4, & \text{ad}_{A_1}^{2n+1} A_0 &= -\omega^{2n} A_2, & n &= 0, 1, 2, \dots, \\ \text{ad}_{A_0}^{2n+2} A_2 &= \omega^{2n+2} A_2, & \text{ad}_{A_0}^{2n+1} A_2 &= \omega^{2n} A_3, & n &= 0, 1, 2, \dots, \\ \text{ad}_{A_1}^{2n+2} A_2 &= \omega^{2n+2} A_2, & \text{ad}_{A_1}^{2n+1} A_2 &= -\omega^{2n} A_4, & n &= 0, 1, 2, \dots \end{aligned}$$

From these formulas we obtain an explicit Heisenberg evolution of the operators A_0, A_1, A_2 if the operators A_0 or A_1 are chosen as Hamiltonians:

$$\begin{aligned} e^{tA_0} A_1 e^{-tA_0} &= A_1 + \frac{\sinh(\omega t)}{\omega} A_2 + \frac{\coth(\omega t) - 1}{\omega^2} A_3, \\ e^{tA_0} A_2 e^{-tA_0} &= \cosh(\omega t) A_2 + \frac{\sinh(\omega t)}{\omega} A_3, \\ e^{tA_1} A_0 e^{-tA_1} &= A_0 - \frac{\sinh(\omega t)}{\omega} A_2 + \frac{\coth(\omega t) - 1}{\omega^2} A_4, \\ e^{tA_1} A_2 e^{-tA_1} &= \cosh(\omega t) A_2 - \frac{\sinh(\omega t)}{\omega} A_4. \end{aligned}$$

We see that the operators A_0, A_1 can be chosen as Hamiltonians whereas the operators A_2, A_3, A_4 are the extension. Hence the DG-relations provide an example of a quasi-linear algebra of the type (2, 3).

Define now the operator

$$W = \alpha A_0 + \beta A_1 + \gamma A_2$$

with arbitrary parameters α, β, γ . It is seen that

$$\begin{aligned} e^{tA_1} W e^{-tA_1} &= \alpha A_0 + \beta A_1 + \left(\gamma \cosh(\omega t) - \alpha \frac{\sinh(\omega t)}{\omega} \right) A_2 \\ &\quad + \left(\alpha \frac{\cosh(\omega t) - 1}{\omega^2} - \gamma \frac{\sinh(\omega t)}{\omega} \right) A_4. \end{aligned}$$

Analogously

$$\begin{aligned} e^{\tau A_0} W e^{-\tau A_0} &= \alpha A_0 + \beta A_1 + \left(\gamma \cosh(\omega \tau) + \beta \frac{\sinh(\omega \tau)}{\omega} \right) A_2 \\ &\quad + \left(\beta \frac{\cosh(\omega \tau) - 1}{\omega^2} + \gamma \frac{\sinh(\omega \tau)}{\omega} \right) A_3, \end{aligned}$$

where t and τ are arbitrary parameters. If one chooses

$$\alpha = \omega \gamma \coth(\omega t/2), \quad \beta = -\omega \gamma \coth(\omega \tau/2),$$

then the terms containing A_3, A_4 disappear and we have

$$e^{tA_1} W e^{-tA_1} = \alpha A_0 + \beta A_1 - \gamma A_2 = e^{\tau A_0} W e^{-\tau A_0},$$

whence

$$TWT^{-1} = W,$$

where

$$T = e^{-\tau A_0} e^{tA_1}.$$

Equivalently, this means that the operator W commutes with the operator T :

$$TW = WT.$$

As was noted by Davies [4] this commutation relation was crucial in Onsager's solution of the Ising model, where the operators T play the role of the transfer matrix. We see that this relation follows directly from the quasi-linear property of the DG-relations.

There is an obvious generalization of the DG-relations preserving the quasi-linear property.

Indeed, define again basic operators A_0, A_1 and their extensions A_2, A_3, A_4 by (7.2). But now the triple commutators $\text{ad}_{A_0}^3 A_1$ and $\text{ad}_{A_1}^3 A_0$ can contain not only operator A_2 as in DG-case (7.1) but arbitrary linear combinations of the type

$$[A_0, [A_0, [A_0, A_1]]] = g_1(A_0)A_1 + g_2(A_0)A_2 + g_3(A_0)A_3 + g_0(A_0) \quad (7.3)$$

and

$$[A_1, [A_1, [A_1, A_0]]] = f_1(A_1)A_0 + f_2(A_1)A_2 + f_3(A_1)A_4 + f_0(A_1) \quad (7.4)$$

with some polynomials $g_i(x), f_i(x), i = 0, \dots, 3$. We will call these relations the generalized DG-relations. The ordinary DG-relations corresponds to the choice $g_0 = f_0 = g_1 = f_1 = g_3 = f_3 = 0$ and $g_2 = -f_2 = \omega^2$.

From (7.3) and (7.4) it is obvious that for any positive integer n the repeated commutator $\text{ad}_{A_0}^n A_1$ has the same structure

$$\text{ad}_{A_0}^n A_1 = g_1^{(n)}(A_0)A_1 + g_2^{(n)}(A_0)A_2 + g_3^{(n)}(A_0)A_3 + g_0^{(n)}(A_0)$$

and similarly

$$\text{ad}_{A_1}^n A_0 = f_1^{(n)}(A_1)A_0 + f_2^{(n)}(A_1)A_2 + f_3^{(n)}(A_1)A_4 + f_0^{(n)}(A_1)$$

with polynomials $g_i^{(n)}(x)$ and $f_i^{(n)}(x)$ can be easily obtained from $g_i(x)$ and $f_i(x)$. We thus have the ‘‘Heisenberg solvability’’ property

$$\exp(A_0 t) A_1 \exp(-A_0 t) = G_1(A_0; t) A_1 + G_2(A_0; t) A_2 + G_3(A_0; t) A_3 + G_0(A_0; t),$$

where functions $G_i(x; t)$ can be easily calculated in the same manner as in (2.3). (Similar relation holds for the Heisenberg evolution of the operator A_1 .)

Consider a special example of these generalized DG-relations. The so-called ‘‘tridiagonal algebra’’ proposed by Terwilliger is generated by the two relations [9]

$$[A_0, A_0^2 A_1 + A_1 A_0^2 - \beta A_0 A_1 A_0 - \gamma(A_0 A_1 + A_1 A_0) - \alpha A_1] = 0 \quad (7.5)$$

and

$$[A_1, A_1^2 A_0 + A_0 A_1^2 - \beta A_1 A_0 A_1 - \gamma_1(A_0 A_1 + A_1 A_0) - \alpha_1 A_0] = 0, \quad (7.6)$$

where $\beta, \gamma, \gamma_1, \alpha, \alpha_1$ are some constants.

The tridiagonal algebra is closely related with the Askey–Wilson algebra $AW(3)$. Indeed, let the operators A_0, A_1 belong to the $AW(3)$ algebra of the type (5.1). Identify $A_0 = K_1, A_1 = K_2, A_2 = K_3$ and rewrite relations (5.1) in an equivalent form getting rid of the operator $K_3 = A_2$:

$$\begin{aligned} & A_1^2 A_0 + A_0 A_1^2 + 2(\rho - 1) A_1 A_0 A_1 + a_1(A_1 A_0 + A_0 A_1) \\ & + a_2 A_1^2 + c_1 A_0 + d A_1 + g_1 = 0 \end{aligned} \quad (7.7)$$

and

$$\begin{aligned} & A_0^2 A_1 + A_1 A_0^2 + 2(\rho - 1) A_0 A_1 A_0 + a_2(A_1 A_0 + A_0 A_1) \\ & + a_1 A_0^2 + c_2 A_1 + d A_0 + g_2 = 0. \end{aligned} \quad (7.8)$$

Now applying the operator ad_{A_0} to (7.8) we obtain relation (7.5). Analogously, applying the operator ad_{A_1} to (7.7) we obtain relation (7.6). Parameters of the tridiagonal algebra (7.5), (7.6) are related with parameters of the $AW(3)$ algebra as follows

$$\beta = 2(1 - \rho), \quad \alpha = -c_2, \quad \alpha_1 = -c_1, \quad \gamma = -a_2, \quad \gamma_1 = -a_1.$$

Thus the tridiagonal algebra (7.5), (7.6) follows from the $AW(3)$ algebra (5.1). However the reciprocal statement is not valid: the tridiagonal algebra is larger than $AW(3)$ [9].

It is easily verified that the tridiagonal algebra (7.5), (7.6) can be presented in an equivalent form:

$$\begin{aligned} [A_0, [A_0, [A_0, A_1]]] &= (2 - \beta)(A_0 A_3 - A_0^2 A_2) + 2\gamma A_0 A_2 - \gamma A_3 + \alpha A_2, \\ [A_1, [A_1, [A_1, A_0]]] &= (2 - \beta)(A_1 A_4 + A_1^2 A_2) - 2\gamma_1 A_1 A_2 - \gamma_1 A_4 - \alpha_1 A_2, \end{aligned} \quad (7.9)$$

where the operators A_2, A_3, A_4 are defined as (7.2). Comparing (7.9) with (7.3) and (7.4) we see that the tridiagonal algebra (7.5) indeed belongs to the quasi-linear type with the coefficients

$$\begin{aligned} g_0 = g_1 = 0, \quad g_2(x) &= (\beta - 2)x^2 + 2\gamma x + \alpha, \quad g_3(x) = (2 - \beta)x - \gamma, \\ f_0 = f_1 = 0, \quad f_2(x) &= (2 - \beta)x^2 - 2\gamma_1 x - \alpha_1, \quad f_3(x) = (2 - \beta)x - \gamma_1. \end{aligned}$$

As in the case of the ordinary DG-relations, the tridiagonal algebra is the quasi-linear algebra with two basic operators A_0, A_1 and 3 extensions A_2, A_3, A_4 , i.e. it has the same type (2, 3) as the DG-algebra.

In a special case $\gamma = \gamma_1 = 0$ we obtain the so-called q -deformation of the Dolan–Grady relations [24, 25]:

$$[A_0, [A_0, [A_0, A_1]_q]_{q^{-1}}] = \alpha[A_0, A_1], \quad [A_1, [A_1, [A_1, A_0]_q]_{q^{-1}}] = \alpha_1[A_1, A_0], \quad (7.10)$$

where $[X, Y]_q = q^{1/2}XY - q^{-1/2}YX$ is so-called q -commutator. The parameter q is connected with the parameter β by the relation $\beta = q + q^{-1}$. This deformed DG-algebra plays an important role in theory of quantum XXZ Heisenberg model, Azbel–Hofstadter model etc [3, 2].

It would be interesting to study what is the meaning of the exact solvability of the Heisenberg picture for the q -deformed DG-relations (7.10) in corresponding exactly solvable models.

8 Quasi-linear algebras and exactly solvable systems

Recently Odake and Sasaki proposed an interesting approach to exactly solvable classical and quantum mechanical models in the Heisenberg picture [15, 16]. They noticed that almost all exactly solvable one-dimensional quantum models admit exact solution in both Schrödinger and Heisenberg pictures.

Consider, e.g. the one-dimensional model described by the standard one-dimensional Hamiltonian

$$H = p^2/2 + U(x), \quad (8.1)$$

where p is the momentum operator and $U(x)$ is a potential. Of course, the standard Heisenberg commutation relation between coordinate and momentum operators $[x, p] = i$ is assumed.

Introduce the operator $X = f(x)$ with some function $f(x)$ and consider the Heisenberg evolution of this operator under the Hamiltonian H :

$$X(t) = \exp(iHt)X \exp(-iHt) = X + it[H, X] - \frac{t^2}{2}[H, [H, X]] + \cdots + \frac{(it)^n}{n!} \text{ad}_H^n X + \cdots .$$

In general for arbitrary Hamiltonian H the structure of the expression $\text{ad}_H^n X$ will be too complicated, and so it is hopeless to find explicit solution in the Heisenberg picture. Assume, however, that the Hamiltonian H and the function $f(x)$ satisfy the restriction

$$[H, [H, X]] = \text{ad}_H^2 X = g_1(H)X + g_2(H)Y + g_0(H), \quad (8.2)$$

with some functions $g_i(H)$, $i = 0, 1, 2$, where we introduce the operator

$$Y = [H, X] = -2if'(x) - f''(x).$$

Then it is obvious that ansatz (8.2) (proposed by Odake and Sasaki [15, 16]) leads to an explicit solution

$$X(t) = G_1(H; t)X + G_2(H; t)Y + G_0(H; t),$$

where the functions $G_i(H; t)$, $i = 0, 1, 2$ have elementary behavior in time t (i.e. they can be expressed in terms of trigonometric or hyperbolic functions).

Simple considerations (see [15]) lead to the conclusion that $g_1(H)$ and $g_0(H)$ should be linear polynomials in H whereas $g_2(H)$ should be a constant such that $2g_2 = -g_1'(H) = -\alpha_1$ with some constant α_1 .

It is easy to find (see [15]) that the most general function $f(x)$ satisfying this property is a solution of the equation

$$f''(x) = -\frac{1}{2}(\alpha_1 f(x) + \beta_1)$$

with arbitrary constants α_1, β_1 . Hence $f(x)$ is an elementary function: it is either a quadratic polynomial in x , or a superposition of two exponents (plus a constant). Corresponding potentials $U(x)$ can also be easily found [15] – they coincide with well-known “exactly-solvable” potentials in quantum mechanics:

(i) singular oscillator:

$$U(x) = a_1 x^2 + a_2 x^{-2} + a_0;$$

(ii) the Morse potential

$$U(x) = a_1 e^{-2x} + a_2 e^{-x} + a_0;$$

(iii) the Pöschl–Teller potential

$$U(x) = a_1 \sin^{-2} x + a_2 \cos^{-2} x + a_0$$

(in the latter case one can replace trigonometric functions with hyperbolic ones that gives hyperbolic analogs of the Pöschl–Teller potential).

Observe now that two operators H, X together with the third operator $Y = [H, X]$ form some quasi-linear algebra. In this case the operator Y plays the role of extension. Indeed, we already established the first commutation relation (8.2) which in our case can be rewritten in the form

$$[H, Y] = (\alpha_1 H + \alpha_0)X - \frac{\alpha_1}{2}Y + \beta_1 H + \beta_0. \quad (8.3)$$

The second commutation relation is verified easily:

$$[Y, X] = -f''(x) = \frac{\alpha_1}{2}X^2 + \beta_1 X + \varepsilon \quad (8.4)$$

with some constant ε . Relations (8.3) and (8.4) show that we have a quasi-linear algebra of type (2, 1) with two Hamiltonians H , X and the only extension Y . This algebra is equivalent to so-called quadratic Jacobi algebra $QJ(3)$ which was considered in [8] as a hidden symmetry algebra of exactly solvable Schrödinger Hamiltonians (see also [13]). The Jacobi algebra is a special case of the algebra $AW(3)$ [8]. It corresponds to the following choice of the parameters $\rho = a_2 = d = 0$ in (5.1). We thus see that the Otake–Sasaki approach [15, 16] for the Schrödinger Hamiltonians H (8.1) leads naturally to the Jacobi algebra $QJ(3)$.

Consider a difference analogue of the Schrödinger Hamiltonian. We choose

$$H = A(s)T^+ + C(s)T^- + B(s), \quad (8.5)$$

where operator H acts on the space of functions $f(s)$ depending on a variable s and operators T^\pm are the standard shift operators

$$T^\pm f(s) = f(s \pm 1).$$

The operator H is a second-order difference operator. Hence the eigenvalue equation

$$Hf(s) = \lambda f(s)$$

can be considered as a difference analogue of the corresponding one-dimensional Schrödinger equation.

Introduce also the operators X which acts as a multiplication

$$Xf(s) = x(s)f(s)$$

with some function $x(s)$ which will be called a “grid” and the commutator $Y = [H, X]$.

We now would like to find when the relation

$$\text{ad}_H^2 X = [H, Y] = W_1(H)X + W_2(H)Y + W_0(H) \quad (8.6)$$

holds, where $W_i(H)$, $i = 0, 1, 2$ are some polynomials in H . If (8.6) is fulfilled then obviously we have an explicit time dynamics of the “grid” operator X under the Heisenberg evolution with the Hamiltonian H :

$$X(t) \equiv \exp(Ht)X \exp(-Ht) = Q_1(H; t)X + Q_2(H; t)Y + Q_0(H; t)$$

with functions $Q_i(H; t)$ which can be easily explicitly calculated from $W_i(H)$.

We will assume that $A(s)C(s) \neq 0$ and the grid $x(s)$ is nondegenerated, i.e. $x(s) \neq x(s+1)$ and $x(s) \neq x(s+2)$. Under such conditions it is always possible to assume that $C(s) = 1$. Indeed, using similarity transformation $H \rightarrow F(s)HF^{-1}(s)$ with some functions $F(s)$ one can achieve the condition $C(s) = 1$. Such similarity transformation does not change the operator relation (8.6).

Assume that polynomial $W_2(H)$ have a degree N with respect to the variable H . Then it is clear from nondegeneracy of $x(s)$ that degrees of polynomials $W_1(H)$ and $W_0(H)$ cannot exceed $N + 1$. Assuming that $\deg(W_1) = \deg(W_0) = N + 1$ (otherwise we again obtain degeneracy of $x(s)$) we obtain from (8.6) an operator identity of the form

$$\sum_{k=-N-1}^{N+1} E_k(s)T^k = 0$$

with coefficients $E_k(s)$ which can be explicitly calculated after substitution of (8.5) and $X = x(s)$ into (8.6). From this identity we should have

$$E_k(s) \equiv 0$$

for all $k = -N - 1, -N, \dots, N + 1$. Assume that $N \geq 2$. Then the highest-order conditions $E_{-N-1}(s) = E_{N+1}(s) \equiv 0$ are reduced to very simple first-degree recurrence relations for the grid $x(s)$:

$$\xi_N x(s+1) + \eta_N x(s) + \zeta_N = 0$$

and

$$\xi_N x(s-1) + \eta_N x(s) + \zeta_N = 0,$$

where ξ_N, η_N, ζ_N are some complex coefficients not depending on s . It is easily verified that for all possible choices of the coefficients these conditions are incompatible with nondegeneracy conditions $x(s) \neq x(s+1)$ and $x(s-1) \neq x(s+1)$ for the grid $x(s)$. Hence necessarily $N \leq 1$. Thus $W_2(H)$ should be a linear polynomial (or a constant) in H and $W_0(H), W_1(H)$ should be quadratic (or linear) polynomials in H . Consider again the highest-order conditions $E_2(s) = E_{-2}(s) \equiv 0$:

$$\xi x(s+1) + \eta x(s) + x(s-1) + \zeta = 0$$

and

$$\xi x(s-1) + \eta x(s) + x(s+1) + \zeta = 0$$

with some constants ξ, η, ζ .

These conditions are compatible with non-degeneracy conditions for the grid $x(s)$ only if $\xi = 1$ and then we obtain the linear recurrence relation

$$x(s+1) + x(s-1) + \eta x(s) + \zeta = 0.$$

This equation is well known – it describes the so-called “Askey–Wilson grids” (AW-grid) [1, 14]. The most general is the “ q -quadratic” grid:

$$x(s) = c_1 q^s + c_2 q^{-s} + c_0$$

with some constants c_0, c_1, c_2 and a “basic” parameter q . In the limit $q = 1$ we obtain the quadratic grid:

$$x(s) = c_2 s^2 + c_1 s + c_0.$$

Further simple analysis of the relation (8.6) allows to obtain explicit expressions for $A(s)$ and $B(s)$. It appears (we omit technical details) that corresponding operator H coincides with the difference Askey–Wilson operator on the grid $x(s)$ (for details see [1, 14, 26]). Thus we showed that the only condition (8.6) concerning integrability in the Heisenberg picture of the second-order difference operator leads uniquely to the Askey–Wilson difference operator and corresponding AW-grid. It can be compared with results of [26], where the similar statement was obtained but under different conditions.

It is then directly verified that the second (“dual”) algebraic relation

$$[Y, X] = V_1(X)H + V_2(X)Y + V_0(X) \tag{8.7}$$

holds automatically with $\deg(V_2(X)) \leq 1$ and $\deg(V_{0,1}(X)) \leq 2$.

Combining (8.6) and (8.7) we immediately conclude that operators H, X together with their extension operator $Y = [H, X]$ form the (generic) Askey–Wilson algebra $AW(3)$ (5.2). Thus the $AW(3)$ algebra can be derived uniquely from the “Heisenberg solvability” principle. This results seems to be new. Note that in [15] and [16] it was directly verified that the Askey–Wilson Hamiltonian (8.5) (as well as all its special cases corresponding to classical orthogonal polynomials) provides an exact solution for the “grid variable” X in the Heisenberg picture. In our approach these results follow directly from the quasi-linear property of the $AW(3)$ algebra.

Concerning classical (i.e. Poisson brackets) analogue of the $AW(3)$ algebra and corresponding exactly solvable systems see e.g. [29].

9 Conclusion

We demonstrated that the “quasi-linear” algebras (with possible extension) can be considered as a natural generalization of the Lie algebras: they preserve the linearity property with respect to one-parameter subgroups (exponential mapping) constructed from the fixed set of the “Hamiltonians”. This provides an exact time evolution (Heisenberg picture) with respect to all these Hamiltonians. The situation is almost the same in both classical (with Poisson brackets instead of commutators) and quantum picture. Many exactly solvable models in classical and quantum mechanics admit an alternative description in terms of a corresponding quasi-linear algebra.

There are many open questions and problems connected with quasi-linear algebras:

(i) is it possible to give complete classification of all finite-dimensional quasi-linear algebras (with or without extension)? We were able to construct such classification only in the classical case (i.e. Poisson algebras) for the simplest cases of types $(2, 0)$ and $(3, 0)$ without extensions. We hope that in quantum (operator) case such classification is possible at least in the case when all operators are finite-dimensional.

(ii) in our definition of the quasi-linear algebras, e.g. in condition (2.1) the polynomials F (depending on the Hamiltonians H) stand to the left side of the operators X_k . Of course it is possible to define the “right” quasi-linear algebras with the property

$$[H, X_k] = \sum_{s=1}^N X_s F_{ks}(H) + \Phi_k(H), \quad (9.1)$$

instead of (2.1). It is easily verified that for all considered examples of the quasi-linear algebras the “right” version coincides with the “left” version (with possible modification of the structure parameters). Is this property valid in general situation? We hope that the answer is positive.

Note that if the operators H and all X_i are Hermitian and if $F_{ks}(x)$ and $\Phi_k(x)$ are polynomials in x (as happens e.g. for already considered examples of integrable systems in quantum mechanics) then obviously the “left” and the “right” versions are equivalent; indeed, taking Hermitian conjugation of (9.1) we have

$$[H, X_k]^\dagger = -[H, X_k] = \sum_{s=1}^N F_{ks}^*(H) X_s + \Phi_k^*(H),$$

(F^* means complex conjugation of corresponding polynomials), i.e. we obtained the “left” version from the initial “right” version. However, from general mathematical point of view we cannot assume that operators H and X_i are Hermitian, so the problem of equivalence between the “left” and the “right” version remains open.

(iii) possible applications to exactly solvable models. As we know, the Jacobi algebra $QJ(3)$ describes exactly solvable one-dimensional quantum Hamiltonians with the singular oscillator, Morse and Pöschl–Teller potentials. The Askey–Wilson algebra $AW(3)$ describes all “classical” second-order difference equations. By “classical” we mean that these equations have solutions in terms of classical orthogonal polynomials on non-uniform grids (the most general are the Askey–Wilson difference equations [26]). What about multi-dimensional generalization of these results? An interesting approach was proposed in [3], where the q -deformed DG-relations are applied to integrable models like XXZ -chain on the one side and to some generalization of the Askey–Wilson polynomials on the other side.

(iv) the “quasi-linear” algebras do not describe all interesting non-linear algebraic objects in theory of integrable systems. Among such algebras are so-called Sklyanin algebras introduced in [21] and then generalized in many papers. The Sklyanin algebra consists from 4 generators S_i , $i = 0, \dots, 4$ with some special quadratic commutation relations between all possible pairs of the generators. These algebras are closely related with elliptic solutions of the Yang–Baxter equation

for the 8-vertex model in statistical physics. Recently it was shown that the Sklyanin algebras play an important role in theory of biorthogonal rational functions on elliptic grids [20, 23]. Already on the classical level (Poisson bracket version) the time evolution with respect to any “Hamiltonian” S_i is described by elliptic functions [11]. Hence in this case time evolution does not satisfy linearity property with respect to generators. It would be very interesting to find a basic property of these algebras which generalize the quasi-linear property.

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