

Indefinite Schwarz-Pick inequalities on the bidisk

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ABSTRACT. Indefinite Schwarz-Pick inequalities for analytic self-maps of the bidisk are given as an application of the spectral theory on analytic Hilbert modules.

Dedicated to Professor Keiji Izuchi and Professor Takahiko Nakazi.

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1. Introduction

The classical Schwarz-Pick inequality is fundamental in complex analysis and hyperbolic geometry, and also its functional analysis aspect has attracted a lot of interest. For example, Banach space theory related to the geometry derived from Schwarz-Pick inequality can be seen in Dineen [5]. In connection with operator theory, Schwarz-Pick type inequalities for analytic functions of one and several variables were discussed by Anderson-Rovnyak [3], Anderson-Dritschel-Rovnyak [2], Knese [12] and MacCluer-Stroethoff-Zhao [13, 14] in the context of Pick interpolation, realization formula, de Branges-Rovnyak space and composition operator. Now, the purpose of this paper is to give some variants of Schwarz lemma and Schwarz-Pick inequality for the bidisk. Here the author would like to emphasize the following three points:

- (1) we deal with analytic self-maps of the bidisk,
- (2) our inequalities are indefinite in a certain sense,
- (3) our method is based on the theory of analytic Hilbert modules.

Received October 9, 2019.

2010 *Mathematics Subject Classification.* 46E22, 47B32.

Key words and phrases. Schwarz-Pick inequality, Hilbert module, Hardy space.

We shall introduce the language of the theory of Hilbert modules in the Hardy space over the bidisk. Let \mathbb{D} be the open unit disk in the complex plane \mathbb{C} , H^2 be the Hardy space over the bidisk \mathbb{D}^2 , and H^∞ be the Banach algebra consisting of all bounded analytic functions on \mathbb{D}^2 . Then H^2 is a Hilbert module over H^∞ , that is, H^2 is a Hilbert space invariant under multiplication of functions in H^∞ . A closed subspace \mathcal{M} of H^2 is called a submodule if \mathcal{M} is invariant under the module action. Comparing with the theory of the Hardy space over the unit disk \mathbb{D} , structure of submodules in H^2 is very complicated. However, there are some well-behaved classes of submodules in H^2 . One of those classes was introduced by Izuchi, Nakazi and the author in [9], and those members are called submodules of INS type. In this paper, as an application of spectral theory on submodules of INS type, the following Schwarz-Pick type inequalities will be given (Theorem 4.2 and Theorem 4.3): if $\psi = (\psi_1, \psi_2)$ is an analytic self-map on \mathbb{D}^2 , then

$$0 \leq d(\psi(z), \psi(w)) \leq \sqrt{2}d(z, w) < \sqrt{2} \quad (z, w \in \mathbb{D}^2),$$

where we set

$$d(z, w) = \sqrt{\left| \frac{z_1 - w_1}{1 - \overline{w_1}z_1} \right|^2 + \left| \frac{z_2 - w_2}{1 - \overline{w_2}z_2} \right|^2 - \left| \frac{z_1 - w_1}{1 - \overline{w_1}z_1} \cdot \frac{z_2 - w_2}{1 - \overline{w_2}z_2} \right|^2}$$

for $z = (z_1, z_2)$ and $w = (w_1, w_2)$ in \mathbb{D}^2 . Further, if ψ belongs to a certain class defined in Section 2, then

$$0 \leq d(\psi(z), \psi(w)) \leq d(z, w) < 1 \quad (z, w \in \mathbb{D}^2).$$

This paper contains four sections. Section 1 is this introduction. In Section 2, three classes of tuples of analytic functions on \mathbb{D}^2 are defined, and we show they are nontrivial. In Sections 3 and 4, as an application of the theory of analytic Hilbert modules, indefinite variants of Schwarz lemma and Schwarz-Pick inequality are given, respectively.

2. Schur-Drury-Agler class

Let k_λ denote the reproducing kernel of H^2 at λ in \mathbb{D}^2 , that is,

$$k_\lambda(z) = \frac{1}{(1 - \overline{\lambda_1}z_1)(1 - \overline{\lambda_2}z_2)} \quad (z = (z_1, z_2), \lambda = (\lambda_1, \lambda_2) \in \mathbb{D}^2).$$

Then we set

$$\mathcal{D} = \left\{ \sum_{\lambda} c_\lambda k_\lambda \text{ (a finite sum)} : \lambda \in \mathbb{D}^2, c_\lambda \in \mathbb{C} \right\},$$

the linear space generated by all reproducing kernels of H^2 . We shall consider unbounded Toeplitz operators with symbols in H^2 . Let f be a function in H^2 . Then T_f denotes the multiplication operator of f , where we fix \mathcal{D} for the domain of T_f . Then, since

$$\langle k_\lambda, T_f k_\mu \rangle = \langle \overline{f(\lambda)} k_\lambda, k_\mu \rangle \quad (\lambda, \mu \in \mathbb{D}^2),$$

T_f^* is defined on \mathcal{D} and we have

$$T_f^* k_\lambda = \overline{f(\lambda)} k_\lambda \quad (\lambda \in \mathbb{D}^2).$$

Definition 2.1. Let m and n be non-negative integers. We consider a tuple

$$\Phi_{m,n} = (\varphi_1, \dots, \varphi_m, \varphi_{m+1}, \dots, \varphi_{m+n})$$

of $m+n$ analytic functions in H^2 . Then $\mathcal{S}(\mathbb{D}; m, n)$ denotes the set of all $\Phi_{m,n}$ satisfying the following operator inequality on \mathcal{D} :

$$0 \leq \sum_{j=1}^m T_{\varphi_j} T_{\varphi_j}^* - \sum_{k=m+1}^{m+n} T_{\varphi_k} T_{\varphi_k}^* \leq I.$$

Equivalently, $\Phi_{m,n}$ belongs to $\mathcal{S}(\mathbb{D}; m, n)$ if and only if

$$0 \leq \frac{\sum_{j=1}^m \overline{\varphi_j(\lambda)} \varphi_j(z) - \sum_{k=m+1}^{m+n} \overline{\varphi_k(\lambda)} \varphi_k(z)}{(1 - \overline{\lambda_1} z_1)(1 - \overline{\lambda_2} z_2)} \leq \frac{1}{(1 - \overline{\lambda_1} z_1)(1 - \overline{\lambda_2} z_2)}$$

as kernel functions.

Since the author has been influenced by Drury [6], in our paper, we would like to call $\mathcal{S}(\mathbb{D}^2; m, n)$ a Schur-Drury-Agler class of \mathbb{D}^2 . Here two remarks are given. First, unbounded functions are not excluded from $\mathcal{S}(\mathbb{D}^2; m, n)$ (cf. Definition 1 in Jury [11] for the Drury-Arveson space). Throughout this paper, a triplet $(\varphi_1, \varphi_2, \varphi_3)$ consisting of functions in H^∞ will be said to be bounded. Second, $\mathcal{S}(\mathbb{D}^2; m, n)$ is more restricted than the class, which might be called a Schur-Agler class in some literatures, consisting of tuples of functions in H^2 satisfying the operator inequality

$$I - \sum_{j=1}^m T_{\varphi_j} T_{\varphi_j}^* + \sum_{k=m+1}^{m+n} T_{\varphi_k} T_{\varphi_k}^* \geq 0.$$

In this paper, we will focus on the case where $m = 2$ and $n = 1$, that is,

$$\mathcal{S}(\mathbb{D}^2; 2, 1) = \{(\varphi_1, \varphi_2, \varphi_3) \in (H^2)^3 : 0 \leq T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^* \leq I\}.$$

This class is closely related to submodules of rank 3 (see Wu-S-Yang [15] and Yang [16, 17]). Further, we define other two classes as follows:

$$\mathcal{P}(\mathbb{D}^2; 2, 1) = \{(\varphi_1, \varphi_2, \varphi_3) \in (H^2)^3 : T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^* \geq 0\},$$

$$\mathcal{Q}(\mathbb{D}^2; 2, 1) = \{(\varphi_1, \varphi_2, \varphi_3) \in (H^2)^3 : I - T_{\varphi_1} T_{\varphi_1}^* - T_{\varphi_2} T_{\varphi_2}^* + T_{\varphi_3} T_{\varphi_3}^* \geq 0\}.$$

Trivially, $\mathcal{P}(\mathbb{D}^2; 2, 1) \cap \mathcal{Q}(\mathbb{D}^2; 2, 1) = \mathcal{S}(\mathbb{D}^2; 2, 1)$. First, we shall give examples of elements of $\mathcal{S}(\mathbb{D}^2; 2, 1)$.

Example 2.2. Let $\varphi_1 = \varphi_1(z_1)$ and $\varphi_2 = \varphi_2(z_2)$ be analytic functions of single variable. If $\|\varphi_1\|_\infty \leq 1$ and $\|\varphi_2\|_\infty \leq 1$, then $(\varphi_1, \varphi_2, \varphi_1 \varphi_2)$ belongs to

$\mathcal{S}(\mathbb{D}^2; 2, 1)$. Indeed, since T_{φ_1} and T_{φ_2} are doubly commuting contractions,

$$\begin{aligned} & I - T_{\varphi_1}T_{\varphi_1}^* - T_{\varphi_2}T_{\varphi_2}^* + T_{\varphi_1\varphi_2}T_{\varphi_1\varphi_2}^* \\ &= (I - T_{\varphi_1}T_{\varphi_1}^*)(I - T_{\varphi_2}T_{\varphi_2}^*) \\ &= (I - T_{\varphi_1}T_{\varphi_1}^*)^{1/2}(I - T_{\varphi_2}T_{\varphi_2}^*)(I - T_{\varphi_1}T_{\varphi_1}^*)^{1/2} \\ &\geq 0, \end{aligned}$$

and

$$T_{\varphi_1}T_{\varphi_1}^* + T_{\varphi_2}T_{\varphi_2}^* - T_{\varphi_1\varphi_2}T_{\varphi_1\varphi_2}^* = T_{\varphi_1}T_{\varphi_1}^* + T_{\varphi_2}(I - T_{\varphi_1}T_{\varphi_1}^*)T_{\varphi_2}^* \geq 0.$$

In particular, (z_1, z_2, z_1z_2) belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$ and

$$T_{z_1}T_{z_1}^* + T_{z_2}T_{z_2}^* - T_{z_1z_2}T_{z_1z_2}^*$$

is the orthogonal projection of H^2 onto the submodule generated by z_1 and z_2 .

Example 2.3. Let $\psi(z) = (\psi_1(z), \psi_2(z))$ be an analytic self-map of \mathbb{D}^2 . Then, trivially, $\text{ran } T_{\psi_1\psi_2/\sqrt{2}}$ is a subspace of $\text{ran } T_{\psi_1}$. Hence, by the Douglas range inclusion theorem and $\|T_{\psi_j}\| \leq 1$, we have

$$0 \leq T_{\psi_1\psi_2/\sqrt{2}}T_{\psi_1\psi_2/\sqrt{2}}^* \leq \frac{1}{2}T_{\psi_1}T_{\psi_1}^* \leq T_{\psi_1}T_{\psi_1}^* + T_{\psi_2}T_{\psi_2}^* \leq 2I.$$

Therefore, we have

$$\begin{aligned} 0 &\leq \frac{1}{2}(T_{\psi_1}T_{\psi_1}^* + T_{\psi_2}T_{\psi_2}^* - T_{\psi_1\psi_2/\sqrt{2}}T_{\psi_1\psi_2/\sqrt{2}}^*) \\ &= T_{\psi_1/\sqrt{2}}T_{\psi_1/\sqrt{2}}^* + T_{\psi_2/\sqrt{2}}T_{\psi_2/\sqrt{2}}^* - T_{\psi_1\psi_2/2}T_{\psi_1\psi_2/2}^* \\ &\leq T_{\psi_1/\sqrt{2}}T_{\psi_1/\sqrt{2}}^* + T_{\psi_2/\sqrt{2}}T_{\psi_2/\sqrt{2}}^* \\ &\leq I. \end{aligned}$$

Thus $(\psi_1/\sqrt{2}, \psi_2/\sqrt{2}, \psi_1\psi_2/2)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$ for any analytic self-map (ψ_1, ψ_2) of \mathbb{D}^2 .

Example 2.4. Further non-trivial examples of elements in $\mathcal{S}(\mathbb{D}^2; 2, 1)$ related to the theory of Hilbert modules in H^2 can be obtained from Theorem 4.3 in Wu-S-Yang [15].

$\mathcal{P}(\mathbb{D}^2; 2, 1)$ and $\mathcal{Q}(\mathbb{D}^2; 2, 1)$ are closed under composition of elements in $\mathcal{Q}(\mathbb{D}^2; 2, 1)$ in the following sense (cf. Theorem 2 in Jury [11]).

Theorem 2.5. *Let $(\varphi_1, \varphi_2, \varphi_3)$ be a triplet in $\mathcal{P}(\mathbb{D}^2; 2, 1)$ (resp. $\mathcal{Q}(\mathbb{D}^2; 2, 1)$), and $\psi = (\psi_1, \psi_2)$ be an analytic self-map of \mathbb{D}^2 . If $(\psi_1, \psi_2, \psi_1\psi_2)$ belongs to $\mathcal{Q}(\mathbb{D}^2; 2, 1)$, then $(\varphi_1 \circ \psi, \varphi_2 \circ \psi, \varphi_3 \circ \psi)$ belongs to $\mathcal{P}(\mathbb{D}^2; 2, 1)$ (resp. $\mathcal{Q}(\mathbb{D}^2; 2, 1)$).*

Proof. We set

$$\Phi(z, \lambda) = \overline{\varphi_1(\lambda)}\varphi_1(z) + \overline{\varphi_2(\lambda)}\varphi_2(z) - \overline{\varphi_3(\lambda)}\varphi_3(z).$$

If $(\varphi_1, \varphi_2, \varphi_3)$ belongs to $\mathcal{P}(\mathbb{D}^2; 2, 1)$, then, for any $\lambda_1, \dots, \lambda_n$ in \mathbb{D}^2 , we have

$$\begin{aligned}
& \langle (T_{\varphi_1 \circ \psi} T_{\varphi_1 \circ \psi}^* + T_{\varphi_2 \circ \psi} T_{\varphi_2 \circ \psi}^* - T_{\varphi_3 \circ \psi} T_{\varphi_3 \circ \psi}^*) \sum_{i=1}^n c_i k_{\lambda_i}, \sum_{j=1}^n c_j k_{\lambda_j} \rangle \\
&= \sum_{i,j=1}^n c_i \bar{c}_j \Phi(\psi(\lambda_j), \psi(\lambda_i)) \langle k_{\lambda_i}, k_{\lambda_j} \rangle \\
&= \sum_{i,j=1}^n c_i \bar{c}_j \Phi(\psi(\lambda_j), \psi(\lambda_i)) \langle k_{\psi(\lambda_i)}, k_{\psi(\lambda_j)} \rangle \frac{\langle k_{\lambda_i}, k_{\lambda_j} \rangle}{\langle k_{\psi(\lambda_i)}, k_{\psi(\lambda_j)} \rangle} \\
&= \sum_{i,j=1}^n c_i \bar{c}_j \langle (T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*) k_{\psi(\lambda_i)}, k_{\psi(\lambda_j)} \rangle \frac{\langle k_{\lambda_i}, k_{\lambda_j} \rangle}{\langle k_{\psi(\lambda_i)}, k_{\psi(\lambda_j)} \rangle}.
\end{aligned}$$

Hence, by the definition of $\mathcal{Q}(\mathbb{D}^2; 2, 1)$ and Schur's theorem, we have

$$T_{\varphi_1 \circ \psi} T_{\varphi_1 \circ \psi}^* + T_{\varphi_2 \circ \psi} T_{\varphi_2 \circ \psi}^* - T_{\varphi_3 \circ \psi} T_{\varphi_3 \circ \psi}^* \geq 0.$$

Therefore, $(\varphi_1 \circ \psi, \varphi_2 \circ \psi, \varphi_3 \circ \psi)$ belongs to $\mathcal{P}(\mathbb{D}^2; 2, 1)$. Similarly, considering $1 - \Phi$, we have the statement on $\mathcal{Q}(\mathbb{D}^2; 2, 1)$. \square

Corollary 2.6. *Suppose that $\psi = (\psi_1, \psi_2)$ is an analytic self-map of \mathbb{D}^2 and $(\psi_1, \psi_2, \psi_1 \psi_2)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$. Then $(\varphi_1 \circ \psi, \varphi_2 \circ \psi, \varphi_3 \circ \psi)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$ for any triplet $(\varphi_1, \varphi_2, \varphi_3)$ in $\mathcal{S}(\mathbb{D}^2; 2, 1)$.*

3. Indefinite Schwarz lemmas

In this section, we shall give inequalities which can be seen as variants of Schwarz lemma. We need several lemmas.

Lemma 3.1. *Let T be a non-negative bounded linear operator, and P be an orthogonal projection on a Hilbert space \mathcal{H} . If there exists some constant $c > 0$ such that $0 \leq T \leq cP$, then we may take $c = \|T\|$.*

Proof. By elementary theory of self-adjoint operators, we have the conclusion. \square

Lemma 3.2. *Let $(\varphi_1, \varphi_2, \varphi_3)$ be a bounded triplet in $\mathcal{P}(\mathbb{D}^2; 2, 1)$. Then φ_3 belongs to $\varphi_1 H^2 + \varphi_2 H^2$.*

Proof. Applying the Douglas range inclusion theorem to the operator inequality

$$T_{\varphi_3} T_{\varphi_3}^* \leq T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^*,$$

we have

$$\text{ran } T_{\varphi_3} \subseteq \text{ran } \sqrt{T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^*} = \text{ran } T_{\varphi_1} + \text{ran } T_{\varphi_2}$$

(see Theorem 2.2 attributed to Crimmins in Fillmore-Williams [7] or Theorem 3.6 in Ando [4]). This concludes the proof. \square

Lemma 3.3. *Let $(\varphi_1, \varphi_2, \varphi_3)$ be a bounded triplet in $\mathcal{P}(\mathbb{D}^2; 2, 1)$. If*

$$\varphi_1(0, 0) = \varphi_2(0, 0) = 0,$$

then

$$0 \leq |\varphi_1(z)|^2 + |\varphi_2(z)|^2 - |\varphi_3(z)|^2 \leq \|T\|(|z_1|^2 + |z_2|^2 - |z_1 z_2|^2)$$

for any $z = (z_1, z_2)$ in \mathbb{D}^2 , where we set

$$T = T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*.$$

Proof. Suppose that φ_1, φ_2 and φ_3 are bounded and $\varphi_1(0, 0) = \varphi_2(0, 0) = 0$. Then, it follows from Lemma 3.2 that $\varphi_3(0, 0) = 0$. Hence φ_1, φ_2 and φ_3 belong to the submodule $\mathcal{M}_0 = z_1 H^2 + z_2 H^2$. Then we have

$$\text{ran}(T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*) \subseteq \mathcal{M}_0.$$

Further, by elementary spectral theory, we have

$$\begin{aligned} \text{ran}(T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*)^{1/2} &\subseteq \overline{\text{ran}}(T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*) \\ &\subseteq \overline{\mathcal{M}_0} = \mathcal{M}_0. \end{aligned}$$

Hence, it follows from the Douglas range inclusion theorem that there exists a constant $c > 0$ such that

$$0 \leq T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^* \leq c P_{\mathcal{M}_0},$$

where $P_{\mathcal{M}_0}$ denotes the orthogonal projection of H^2 onto \mathcal{M}_0 . By Lemma 3.1, we may take $c = \|T\|$. Hence we have

$$0 \leq T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^* \leq \|T\| P_{\mathcal{M}_0} = \|T\| (T_{z_1} T_{z_1}^* + T_{z_2} T_{z_2}^* - T_{z_1 z_2} T_{z_1 z_2}^*)$$

by Example 2.2. In particular,

$$\begin{aligned} &(|\varphi_1(\lambda)|^2 + |\varphi_2(\lambda)|^2 - |\varphi_3(\lambda)|^2) k_\lambda(\lambda) \\ &= \langle (T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*) k_\lambda, k_\lambda \rangle \\ &\leq \langle \|T\| (T_{z_1} T_{z_1}^* + T_{z_2} T_{z_2}^* - T_{z_1 z_2} T_{z_1 z_2}^*) k_\lambda, k_\lambda \rangle \\ &= \|T\| (|\lambda_1|^2 + |\lambda_2|^2 - |\lambda_1 \lambda_2|^2) k_\lambda(\lambda) \end{aligned}$$

for any $\lambda = (\lambda_1, \lambda_2)$ in \mathbb{D}^2 . This concludes the proof. \square

Lemma 3.4. *If (ψ_1, ψ_2) is an analytic self-map on \mathbb{D}^2 , then $(\psi_1, \psi_2, \psi_1 \psi_2)$ belongs to $\mathcal{P}(\mathbb{D}^2; 2, 1)$.*

Proof. Since $\|\psi_j\|_\infty \leq 1$ for $j = 1, 2$, we have

$$T_{\psi_1} T_{\psi_1}^* + T_{\psi_2} T_{\psi_2}^* - T_{\psi_1 \psi_2} T_{\psi_1 \psi_2}^* = T_{\psi_1} T_{\psi_1}^* + T_{\psi_2} (I - T_{\psi_1} T_{\psi_1}^*) T_{\psi_2}^* \geq 0.$$

Hence $(\psi_1, \psi_2, \psi_1 \psi_2)$ belongs to $\mathcal{P}(\mathbb{D}^2; 2, 1)$. \square

The following theorem is a bidisk version of the Schwarz lemma.

Theorem 3.5. *If $\psi = (\psi_1, \psi_2)$ is an analytic self-map on \mathbb{D}^2 and $\psi(0, 0) = (0, 0)$, then*

$$0 \leq |\psi_1(z)|^2 + |\psi_2(z)|^2 - |\psi_1(z)\psi_2(z)|^2 \leq \|T\|(|z_1|^2 + |z_2|^2 - |z_1z_2|^2)$$

for any $z = (z_1, z_2)$ in \mathbb{D}^2 , where we set

$$T = T_{\psi_1}T_{\psi_1}^* + T_{\psi_2}T_{\psi_2}^* - T_{\psi_1\psi_2}T_{\psi_1\psi_2}^*.$$

Proof. By Lemma 3.3 and Lemma 3.4, we have the conclusion. \square

Proposition 3.6. *Let $(\varphi_1, \varphi_2, \varphi_3)$ be a triplet in $\mathcal{S}(\mathbb{D}^2; 2, 1)$. If $\varphi_1(0, 0) = \varphi_2(0, 0) = 0$, then*

$$0 \leq |\varphi_1(z)|^2 + |\varphi_2(z)|^2 - |\varphi_3(z)|^2 \leq |z_1|^2 + |z_2|^2 - |z_1z_2|^2$$

for any $z = (z_1, z_2)$ in \mathbb{D}^2 .

Proof. If $(\varphi_1, \varphi_2, \varphi_3)$ is bounded, then we have the conclusion immediately by Lemma 3.3. Suppose that $(\varphi_1, \varphi_2, \varphi_3)$ is unbounded. Setting $\psi_r(z_1, z_2) = (rz_1, rz_2)$ for $0 < r < 1$, $(\varphi_1 \circ \psi_r, \varphi_2 \circ \psi_r, \varphi_3 \circ \psi_r)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$ by Corollary 2.6 and Example 2.2. Moreover, $\varphi_1 \circ \psi_r, \varphi_2 \circ \psi_r$ and $\varphi_3 \circ \psi_r$ are bounded on \mathbb{D}^2 , and $\varphi_1 \circ \psi_r(0, 0) = \varphi_2 \circ \psi_r(0, 0) = 0$. Hence we have

$$\begin{aligned} 0 &\leq |\varphi_1(rz)|^2 + |\varphi_2(rz)|^2 - |\varphi_3(rz)|^2 \\ &= |\varphi_1 \circ \psi_r(z)|^2 + |\varphi_2 \circ \psi_r(z)|^2 - |\varphi_3 \circ \psi_r(z)|^2 \\ &\leq |z_1|^2 + |z_2|^2 - |z_1z_2|^2 \end{aligned}$$

by Lemma 3.3. Letting r tend to 1, we have the conclusion for unbounded triplets. \square

Theorem 3.7. *Suppose that $\psi = (\psi_1, \psi_2)$ is an analytic self-map on \mathbb{D}^2 and $(\psi_1, \psi_2, \psi_1\psi_2)$ belongs to $\mathcal{Q}(\mathbb{D}^2; 2, 1)$. If $\psi(0, 0) = (0, 0)$, then $(\psi_1, \psi_2, \psi_1\psi_2)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$ and*

$$0 \leq |\psi_1(z)|^2 + |\psi_2(z)|^2 - |\psi_1(z)\psi_2(z)|^2 \leq |z_1|^2 + |z_2|^2 - |z_1z_2|^2$$

for any $z = (z_1, z_2)$ in \mathbb{D}^2 . Moreover, equality

$$|\psi_1(z)|^2 + |\psi_2(z)|^2 - |\psi_1(z)\psi_2(z)|^2 = |z_1|^2 + |z_2|^2 - |z_1z_2|^2$$

holds on some open set if and only if $\psi = (e^{i\theta_1}z_1, e^{i\theta_2}z_2)$ or $(e^{i\theta_2}z_2, e^{i\theta_1}z_1)$.

Proof. First, by Lemma 3.4, $(\psi_1, \psi_2, \psi_1\psi_2)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$. Hence, we have the inequality by Theorem 3.5. Next, we suppose that

$$|\psi_1(z)|^2 + |\psi_2(z)|^2 - |\psi_1(z)\psi_2(z)|^2 = |z_1|^2 + |z_2|^2 - |z_1z_2|^2$$

on an open set V . Then, by the polarization (see p. 28 in Agler-McCarthy [1] or p. 2762 in Knese [12]), we have

$$\overline{\psi_1(\lambda)}\psi_1(z) + \overline{\psi_2(\lambda)}\psi_2(z) - \overline{\psi_1(\lambda)\psi_2(\lambda)}\psi_1(z)\psi_2(z) = \overline{\lambda_1}z_1 + \overline{\lambda_2}z_2 - \overline{\lambda_1\lambda_2}z_1z_2$$

on $\bar{V} \times V$, and this identity can be extended to $\mathbb{D}^2 \times \mathbb{D}^2$. Then, for $j = 1, 2$, we have

$$\left| \frac{\partial \psi_1}{\partial z_j} \right|^2 + \left| \frac{\partial \psi_2}{\partial z_j} \right|^2 - \left| \frac{\partial \psi_1 \psi_2}{\partial z_j} \right|^2 = \left| \frac{\partial z_1}{\partial z_j} \right|^2 + \left| \frac{\partial z_2}{\partial z_j} \right|^2 - \left| \frac{\partial z_1 z_2}{\partial z_j} \right|^2.$$

Hence we have

$$\left| \frac{\partial \psi_1}{\partial z_j}(0, 0) \right|^2 + \left| \frac{\partial \psi_2}{\partial z_j}(0, 0) \right|^2 = 1. \tag{3.1}$$

Similarly, we have

$$\left| \frac{\partial^2 \psi_1}{\partial z_j^2}(0, 0) \right|^2 + \left| \frac{\partial^2 \psi_2}{\partial z_j^2}(0, 0) \right|^2 - 4 \left| \frac{\partial \psi_1}{\partial z_j}(0, 0) \frac{\partial \psi_2}{\partial z_j}(0, 0) \right|^2 = 0. \tag{3.2}$$

It follows from (3.1) that

$$\|\psi_1\|^2 + \|\psi_2\|^2 \geq \left| \frac{\partial \psi_1}{\partial z_1}(0, 0) \right|^2 + \left| \frac{\partial \psi_1}{\partial z_2}(0, 0) \right|^2 + \left| \frac{\partial \psi_2}{\partial z_1}(0, 0) \right|^2 + \left| \frac{\partial \psi_2}{\partial z_2}(0, 0) \right|^2 = 2.$$

Hence, $\|\psi_1\| = 1$ and $\|\psi_2\| = 1$ and

$$\psi_i = c_{i1}z_1 + c_{i2}z_2 \quad (|c_{i1}|^2 + |c_{i2}|^2 = 1).$$

Further, by (3.2), we have

$$\frac{\partial \psi_1}{\partial z_j}(0, 0) \frac{\partial \psi_2}{\partial z_j}(0, 0) = 0,$$

that is, $c_{1j}c_{2j} = 0$. This concludes the proof. □

Corollary 3.8. *Let f be an analytic function on \mathbb{D}^2 . If $\|f\|_\infty \leq 1$ and $f(0, 0) = 0$, then*

$$0 \leq |f(z)|^2 \leq |z_1|^2 + |z_2|^2 - |z_1 z_2|^2$$

for any $z = (z_1, z_2)$ in \mathbb{D}^2 .

Proof. Set $\psi = (\psi_1, \psi_2) = (f, 0)$. Then ψ is an analytic self-map, $\psi(0, 0) = (0, 0)$ and $(\psi_1, \psi_2, \psi_1 \psi_2) = (f, 0, 0)$ belongs to $\mathcal{Q}(\mathbb{D}^2; 2, 1)$. □

Remark 3.9. Suppose that $\psi = (\psi_1, \psi_2)$ is an analytic self-map on \mathbb{D}^2 and $(\psi_1, \psi_2, \psi_1 \psi_2)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$. Then, the proof of Theorem 1 in Jury [10] can be applied and we have that the composition operator C_ψ is contractive on H^2 . As its corollary, the inequality in Theorem 3.7 is obtained.

Remark 3.10 (Kreĭn space geometry and \mathbb{D}^2). We introduce a Kreĭn space structure into \mathbb{C}^3 as follows:

$$\langle z, w \rangle_{\mathcal{K}} = z_1 \bar{w}_1 + z_2 \bar{w}_2 - z_3 \bar{w}_3 \quad (z = (z_1, z_2, z_3), w = (w_1, w_2, w_3) \in \mathbb{C}^3).$$

Let \mathcal{K} denote the Kreĭn space $(\mathbb{C}^3, \langle \cdot, \cdot \rangle_{\mathcal{K}})$, and let Φ be the map defined as follows:

$$\Phi : \mathbb{D}^2 \rightarrow \mathcal{K}, \quad (z_1, z_2) \mapsto (z_1, z_2, z_1 z_2).$$

Moreover, we set

$$\begin{aligned}\Omega &= \{(z_1, z_2) \in \mathbb{C}^2 : 0 \leq |z_1|^2 + |z_2|^2 - |z_1 z_2|^2 < 1\} \\ &= \{z \in \mathbb{C}^2 : 0 \leq \langle \Phi(z), \Phi(z) \rangle_{\mathcal{K}} < 1\}.\end{aligned}$$

Then, since

$$|z_1|^2 + |z_2|^2 - |z_1 z_2|^2 = 1 - (1 - |z_1|^2)(1 - |z_2|^2),$$

\mathbb{D}^2 is the bounded connected component of Ω , and $\partial\mathbb{D}^2$, the topological boundary of \mathbb{D}^2 , is equal to the subset

$$\{(z_1, z_2) \in \mathbb{C}^2 : |z_1|^2 + |z_2|^2 - |z_1 z_2|^2 = 1\} = \{z \in \mathbb{C}^2 : \langle \Phi(z), \Phi(z) \rangle_{\mathcal{K}} = 1\}.$$

4. Indefinite Schwarz-Pick inequalities

Let $q_1 = q_1(z_1)$ and $q_2 = q_2(z_2)$ be inner functions of single variable. Then

$$\mathcal{M} = q_1 H^2 + q_2 H^2$$

is a submodule of H^2 . This submodule was introduced by Izuchi-Nakazi-S [9], and is called a submodule of INS-type. In this section, we shall give an application of spectral theory on submodules of INS type. In the general theory of Hilbert modules in H^2 , the core (defect) operator of a submodule \mathcal{M} in H^2 is defined as follows:

$$\Delta_{\mathcal{M}} = P_{\mathcal{M}} - T_{z_1} P_{\mathcal{M}} T_{z_1}^* - T_{z_2} P_{\mathcal{M}} T_{z_2}^* + T_{z_1 z_2} P_{\mathcal{M}} T_{z_1 z_2}^*,$$

where $P_{\mathcal{M}}$ denotes the orthogonal projection of H^2 onto \mathcal{M} . For a submodule of INS-type, it is known that

$$\Delta_{\mathcal{M}} = q_1 \otimes q_1 + q_2 \otimes q_2 - (q_1 q_2) \otimes (q_1 q_2),$$

where \otimes denotes the Schatten form. Core operators were introduced and studied by Guo-Yang [8] and Yang [16] in detail, and which are devices connecting reproducing kernels and submodules. In particular, the following formula is useful:

$$k_{\lambda}(\Delta_{\mathcal{M}} k_{\lambda}) = P_{\mathcal{M}} k_{\lambda}. \quad (4.1)$$

Further, core operators of finite rank were discussed by Yang [17]. Let \mathcal{M} be a submodule whose core operator $\Delta_{\mathcal{M}}$ is of finite rank. Then the rank of $\Delta_{\mathcal{M}}$ is odd. Moreover, if the rank of $\Delta_{\mathcal{M}}$ is $2n + 1$, then the signature of $\Delta_{\mathcal{M}}$ is $(n + 1, n)$. Hence $\Delta_{\mathcal{M}}$ has the following representation:

$$\Delta_{\mathcal{M}} = \sum_{j=1}^{n+1} \eta_j \otimes \eta_j - \sum_{j=n+2}^{2n+1} \eta_j \otimes \eta_j. \quad (4.2)$$

By application of those facts, Lemma 3.3 is generalized as follows.

Lemma 4.1. *Let \mathcal{M} be a submodule of finite rank. We suppose that the core operator of \mathcal{M} has the representation (4.2). If $(\varphi_1, \varphi_2, \varphi_3)$ is a bounded triplet in $\mathcal{P}(\mathbb{D}^2; 2, 1)$, and φ_1 and φ_2 belong to \mathcal{M} , then*

$$0 \leq |\varphi_1(z)|^2 + |\varphi_2(z)|^2 - |\varphi_3(z)|^2 \leq \|T\| \left(\sum_{j=1}^{n+1} |\eta_j(z)|^2 - \sum_{j=n+2}^{2n+1} |\eta_j(z)|^2 \right)$$

for any z in \mathbb{D}^2 , where we set

$$T = T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*.$$

In particular, if $\mathcal{M} = q_1 H^2 + q_2 H^2$ for inner functions $q_1 = q_1(z_1)$ and $q_2 = q_2(z_2)$ of single variable, then

$$0 \leq |\varphi_1(z)|^2 + |\varphi_2(z)|^2 - |\varphi_3(z)|^2 \leq \|T\| (|q_1(z_1)|^2 + |q_2(z_2)|^2 - |q_1(z_1)q_2(z_2)|^2)$$

for any $z = (z_1, z_2)$ in \mathbb{D}^2 .

Proof. By the same argument as the first half of the proof of Lemma 3.3, we have

$$0 \leq T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^* \leq \|T\| P_{\mathcal{M}}.$$

Then, for any $\lambda = (\lambda_1, \lambda_2)$ in \mathbb{D}^2 , we have

$$\begin{aligned} & (|\varphi_1(\lambda)|^2 + |\varphi_2(\lambda)|^2 - |\varphi_3(\lambda)|^2) k_{\lambda}(\lambda) \\ &= \langle (T_{\varphi_1} T_{\varphi_1}^* + T_{\varphi_2} T_{\varphi_2}^* - T_{\varphi_3} T_{\varphi_3}^*) k_{\lambda}, k_{\lambda} \rangle \\ &\leq \langle \|T\| P_{\mathcal{M}} k_{\lambda}, k_{\lambda} \rangle \\ &= \|T\| \langle k_{\lambda}(\Delta_{\mathcal{M}} k_{\lambda}), k_{\lambda} \rangle \\ &= \|T\| \left\langle k_{\lambda} \left(\sum_{j=1}^{n+1} \eta_j \otimes \eta_j - \sum_{j=n+2}^{2n+1} \eta_j \otimes \eta_j \right) k_{\lambda}, k_{\lambda} \right\rangle \\ &= \|T\| \left(\sum_{j=1}^{n+1} |\eta_j(\lambda)|^2 - \sum_{j=n+2}^{2n+1} |\eta_j(\lambda)|^2 \right) k_{\lambda}(\lambda) \end{aligned}$$

by (4.1). This concludes the proof. \square

For $z = (z_1, z_2)$ and $w = (w_1, w_2)$ in \mathbb{D}^2 , we set

$$b_{w_j}(z_j) = \frac{z_j - w_j}{1 - \overline{w_j} z_j} \quad (j = 1, 2).$$

Then, we note that

$$\begin{aligned} & |b_{w_1}(z_1)|^2 + |b_{w_2}(z_2)|^2 - |b_{w_1}(z_1)b_{w_2}(z_2)|^2 \\ &= 1 - (1 - |b_{w_1}(z_1)|^2)(1 - |b_{w_2}(z_2)|^2) > 0. \end{aligned}$$

Hence

$$d(z, w) = \sqrt{|b_{w_1}(z_1)|^2 + |b_{w_2}(z_2)|^2 - |b_{w_1}(z_1)b_{w_2}(z_2)|^2}$$

is defined. It should be mentioned here that d is a distance on \mathbb{D}^2 by Lemma 9.9 in Agler-McCarthy [1].

Theorem 4.2. *Let $\psi = (\psi_1, \psi_2)$ be an analytic self-map on \mathbb{D}^2 . Then,*

$$0 \leq d(\psi(z), \psi(w)) \leq \sqrt{2}d(z, w) < \sqrt{2}$$

for any z and w in \mathbb{D}^2 .

Proof. For $z = (z_1, z_2)$ and $w = (w_1, w_2)$ in \mathbb{D}^2 , we set

$$\varphi_j(z) = b_{\psi_j(w)} \circ \psi_j(z) = \frac{\psi_j(z) - \psi_j(w)}{1 - \overline{\psi_j(w)}\psi_j(z)}.$$

Then, (φ_1, φ_2) is an analytic self-map on \mathbb{D}^2 , and $(\varphi_1, \varphi_2, \varphi_1\varphi_2)$ belongs to $\mathcal{P}(\mathbb{D}^2; 2, 1)$ by Lemma 3.4. Further, since $\varphi_1(w) = \varphi_2(w) = 0$, φ_1 and φ_2 belong to the submodule $b_{w_1}(z_1)H^2 + b_{w_2}(z_2)H^2$. Hence, by Lemma 4.1, we have

$$\begin{aligned} 0 &\leq |\varphi_1(z)|^2 + |\varphi_2(z)|^2 - |\varphi_1(z)\varphi_2(z)|^2 \\ &\leq \|T\|(|b_{w_1}(z_1)|^2 + |b_{w_2}(z_2)|^2 - |b_{w_1}(z_1)b_{w_2}(z_2)|^2) \\ &\leq 2(|b_{w_1}(z_1)|^2 + |b_{w_2}(z_2)|^2 - |b_{w_1}(z_1)b_{w_2}(z_2)|^2) \\ &< 2. \end{aligned}$$

This concludes the proof. \square

Theorem 4.3. *Suppose that $\psi = (\psi_1, \psi_2)$ is an analytic self-map on \mathbb{D}^2 and $(\psi_1, \psi_2, \psi_1\psi_2)$ belongs to $\mathcal{Q}(\mathbb{D}^2; 2, 1)$. Then*

$$0 \leq d(\psi(z), \psi(w)) \leq d(z, w) < 1$$

for any z and w in \mathbb{D}^2 . Moreover, equality

$$d(\psi(z), \psi(w)) = d(z, w) \quad (z, w \in V)$$

holds on some open set V if and only if ψ belongs to $\text{Aut}(\mathbb{D}^2)$.

Proof. We shall use the same notations as those in the proof of Theorem 4.2. By the assumption and Corollary 2.6, $(\varphi_1, \varphi_2, \varphi_1\varphi_2)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$. Hence we have $\|T\| \leq 1$. Thus we have the first half. Next, suppose that

$$d(\psi(z), \psi(w)) = d(z, w) \quad (z, w \in V)$$

holds on some open set V . We fix a point w in V . Then we have

$$|\varphi_1(z)|^2 + |\varphi_2(z)|^2 - |\varphi_1(z)\varphi_2(z)|^2 = |b_{w_1}(z_1)|^2 + |b_{w_2}(z_2)|^2 - |b_{w_1}(z_1)b_{w_2}(z_2)|^2$$

for any z in V . Setting $\beta(z) = (b_{-w_1}(z_1), b_{-w_2}(z_2))$, $(\varphi_1 \circ \beta, \varphi_2 \circ \beta, (\varphi_1\varphi_2) \circ \beta)$ belongs to $\mathcal{S}(\mathbb{D}^2; 2, 1)$ by Corollary 2.6, $\varphi \circ \beta(0, 0) = (0, 0)$ by the definition of φ , and

$$|\varphi_1 \circ \beta(z)|^2 + |\varphi_2 \circ \beta(z)|^2 - |(\varphi_1\varphi_2) \circ \beta(z)|^2 = |z_1|^2 + |z_2|^2 - |z_1z_2|^2$$

for any z in $\beta^{-1}(V)$. Hence, by Theorem 3.7, we have

$$(\varphi_1 \circ \beta(z), \varphi_2 \circ \beta(z)) = (e^{i\theta_1}z_1, e^{i\theta_2}z_2) \quad \text{or} \quad (e^{i\theta_2}z_2, e^{i\theta_1}z_1).$$

This concludes the second half. \square

Corollary 4.4. *Let f be an analytic function on \mathbb{D}^2 . If $\|f\|_\infty \leq 1$, then*

$$0 \leq \left| \frac{f(z) - f(w)}{1 - \overline{f(w)}f(z)} \right| \leq d(z, w)$$

for any z and w in \mathbb{D}^2 .

Proof. In the proof of Corollary 3.8, we showed that $(f, 0, 0)$ belongs to $\mathcal{Q}(\mathbb{D}^2; 2, 1)$. \square

Acknowledgements

The author is grateful to the referee for valuable comments and suggestions which have contributed to the preparation of the paper.

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This paper is available via <http://nyjm.albany.edu/j/2020/26-5.html>.