

## NORM ATTAINING BILINEAR FORMS ON $L_1(\mu)$

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**ABSTRACT.** Given a finite measure  $\mu$ , we show that the set of norm attaining bilinear forms is dense in the space of all continuous bilinear forms on  $L_1(\mu)$  if and only if  $\mu$  is purely atomic.

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**1. Introduction.** A classical result of Bishop and Phelps [3] asserts that the set of norm attaining linear functionals on a Banach space is dense in the dual space. Very recently some attention has been paid to the question if the Bishop-Phelps theorem still holds for multilinear forms. To pose the problem more precisely, given a real or complex Banach space  $X$  and a natural number  $N$ , let us denote by  $\mathcal{L}^N(X)$  the space of all continuous  $N$ -linear forms on  $X$  and let us say that  $\varphi \in \mathcal{L}^N(X)$  attains its norm if there are  $x_1, \dots, x_N \in B_X$  (the closed unit ball of  $X$ ) such that

$$|\varphi(x_1, \dots, x_N)| = \|\varphi\| := \sup \{ |\varphi(y_1, \dots, y_N)| : y_1, \dots, y_N \in B_X \}. \quad (1.1)$$

We denote by  $\mathcal{AL}^N(X)$  the set of norm attaining continuous  $N$ -linear forms on  $X$ . The question is whether  $\mathcal{AL}^N(X)$  is dense in  $\mathcal{L}^N(X)$  or not. Unlike the linear case, the answer to this question is negative, an example of a Banach space  $X$  such that  $\mathcal{AL}^2(X)$  is not dense in  $\mathcal{L}^2(X)$  was recently exhibited by Acosta, Aguirre, and Payá [1]. In [4], Choi gave a more striking counterexample by showing that  $\mathcal{AL}^2(L_1[0, 1])$  is not dense in  $\mathcal{L}^2(L_1[0, 1])$ . In the positive direction, Aron, Finet, and Werner [2] showed that  $\mathcal{AL}^N(X)$  is dense in  $\mathcal{L}^N(X)$  whenever  $X$  satisfies either the Radon-Nikodým property or the so-called property  $(\alpha)$ . Choi and Kim [5] obtained the same result for a Banach space  $X$  with a monotone shrinking basis and the Dunford-Pettis property (e.g.,  $c_0$ ). Jiménez and Payá [7] gave, for each  $N$ , an example of a Banach space  $X$  such that  $\mathcal{AL}^N(X)$  is dense in  $\mathcal{L}^N(X)$  but  $\mathcal{AL}^{N+1}(X)$  is not dense in  $\mathcal{L}^{N+1}(X)$ , actually  $X$  is the canonical predual of a suitable Lorentz sequence space.

In this paper, we discuss the denseness of norm attaining multilinear forms on the space  $L_1(\mu)$ , where  $\mu$  is an arbitrary finite measure. We show that  $\mathcal{AL}^N(L_1(\mu))$  is dense in  $\mathcal{L}^N(L_1(\mu))$  (for all  $N$ , or just for  $N = 2$ ) if and only if  $\mu$  is purely atomic. Half of this characterization follows from the main result in [2], since  $L_1(\mu)$  satisfies the Radon-Nikodým property if  $\mu$  is purely atomic. For the converse, we first extend Choi's example [4] to show that  $\mathcal{AL}^2(L_1(\mu))$  is not dense in  $\mathcal{L}^2(L_1(\mu))$  where  $\mu$  is the

product measure on an arbitrary product of copies of the unit interval. Then the result follows from the isometric classification of  $L_1$ -spaces (cf. [8]) through an elementary lemma which deals with the denseness of  $\mathcal{AL}^2(X)$  when  $X = Y \oplus_1 Z$  is the  $l_1$ -sum of two Banach spaces.

**2. Results.** In what follows  $(\Omega, \mathcal{A}, \mu)$  will be a finite measure space. Let us start by recalling that the Banach space  $\mathcal{L}^2(L_1(\mu))$  of all continuous bilinear forms on  $L_1(\mu)$  is isometrically isomorphic to  $L_\infty(\mu \otimes \mu)$ , where  $\mu \otimes \mu$  denotes the product measure on  $\Omega \times \Omega$ . More precisely, the bilinear form  $\varphi$  which corresponds to a function  $h \in L_\infty(\mu \otimes \mu)$  is given by

$$\varphi(f, g) = \int_{\Omega \times \Omega} h(u, v) f(u) g(v) d\mu(u) d\mu(v), \tag{2.1}$$

for every  $f, g \in L_1(\mu)$  (see [6]). Choi [4], has shown that  $\mathcal{AL}^2(L_1[0, 1])$  is not dense in  $\mathcal{L}^2(L_1[0, 1])$ . This result can be extended in the following way.

**LEMMA 2.1.** *Let  $\nu$  be an arbitrary nonzero finite measure and  $\mu = \nu \otimes m$ , where  $m$  denotes Lebesgue measure on  $I = [0, 1]$ . Then  $\mathcal{AL}^2(L_1(\mu))$  is not dense in  $\mathcal{L}^2(L_1(\mu))$ .*

**PROOF.** Let  $U$  be the set where  $\nu$  is defined, so that  $\mu$  works on  $\Omega = I \times U$  and  $\mu \otimes \mu$  lives on the set  $\Omega \times \Omega = I \times U \times I \times U$ . We want a function  $h \in L_\infty(\mu \otimes \mu)$  such that the corresponding bilinear form cannot be approximated by norm attaining bilinear forms. Actually  $h$  will be the characteristic function  $\chi_T$  of a suitable measurable set  $T \subseteq \Omega \times \Omega$  with positive measure. The same argument used by Choi [4, Theorem 3] shows that the bilinear form corresponding to  $\chi_T$  belongs to the closure of  $\mathcal{AL}^2(L_1(\mu))$  only if there are measurable sets  $E, F \subseteq \Omega$  with  $\mu(E) > 0, \mu(F) > 0$ , such that  $[\mu \otimes \mu](E \times F \setminus T) = 0$ . Therefore, we are left with finding a measurable set  $T \subseteq \Omega \times \Omega$  with  $[\mu \otimes \mu](T) > 0$  such that  $[\mu \otimes \mu](E \times F \setminus T) > 0$  for any pair  $E, F$  of measurable subsets of  $\Omega$  with  $\mu(E) > 0, \mu(F) > 0$ .

By [4, Lemma 2] there exists a set  $S \subseteq I \times I$  with the analogous property. More concretely,  $S$  is a measurable set in  $I \times I$ , with  $[m \otimes m](S) > 0$ , such that  $[m \otimes m](A \times B \setminus S)$  for any pair  $A, B$  of measurable subsets of  $I$  with  $m(A) > 0$  and  $m(B) > 0$ . To get our set  $T$ , we modify  $S$  in the obvious way, namely we define

$$T = \{(s, u, t, v) \in I \times U \times I \times U : (s, t) \in S\}. \tag{2.2}$$

Clearly,  $T$  is a measurable set in  $\Omega \times \Omega$ , with positive measure. Let  $E, F \subseteq \Omega$  be measurable sets in  $\Omega$  with  $\mu(E) > 0, \mu(F) > 0$ , write  $H = E \times F \setminus T$  and assume that  $[\mu \otimes \mu](H) = 0$  to get a contradiction. For  $u, v \in U$ , let us consider the section

$$H^{(u, v)} = \{(s, t) \in I \times I : (s, u, t, v) \in H\}, \tag{2.3}$$

and note that  $H^{(u, v)} = E^u \times F^v \setminus S$ , where

$$E^u = \{s \in I : (s, u) \in E\}, \quad F^v = \{t \in I : (t, v) \in F\}. \tag{2.4}$$

By Fubini's theorem (or the definition of the product measure) we have

$$0 = [m \otimes v \otimes m \otimes v](H) = \int_{U \times U} [m \otimes m](H^{(u,v)}) dv(u) dv(v), \tag{2.5}$$

so

$$0 = [m \otimes m](H^{(u,v)}) = [m \otimes m](E^u \times F^v \setminus S), \tag{2.6}$$

for  $[v \otimes v]$ —almost every  $(u, v) \in U \times U$ . The property satisfied by  $S$  then implies that

$$m(E^u)m(F^v) = [m \otimes m](E^u \times F^v) = 0, \tag{2.7}$$

for  $[v \otimes v]$ —almost every  $(u, v) \in U \times U$  and by applying to  $E \times F$  the same argument used with  $H$ , we get

$$0 = [\mu \otimes \mu](E \times F) = \mu(E)\mu(F), \tag{2.8}$$

which is the required contradiction. □

Let us point out the special case of the above lemma that will be needed in the proof of our main result. Given an arbitrary nonempty set  $\Lambda$ , consider the product  $[0, 1]^\Lambda$  of so many copies of  $[0, 1]$  as indicated by  $\Lambda$ , with product measure  $\mu$ . More concretely,  $\mu$  is the unique positive normalized regular Borel measure on  $[0, 1]^\Lambda$  (provided with the product topology) such that, given a family  $\{A_\lambda : \lambda \in \Lambda\}$  of Borel sets in  $[0, 1]$ ,

$$\mu \left( \prod_{\lambda \in \Lambda} A_\lambda \right) = \prod_{\lambda \in \Lambda} m(A_\lambda) = \inf \left\{ \prod_{\lambda \in J} m(A_\lambda) : J \subset \Lambda, J \text{ finite} \right\}, \tag{2.9}$$

if all but countably many  $A_\lambda$ 's are equal to  $[0, 1]$  and  $\mu(\prod_{\lambda \in \Lambda} A_\lambda) = 0$  otherwise. The space  $L_1(\mu)$  is usually denoted by  $L_1([0, 1]^\Lambda)$  (cf. [8, page 120]). By fixing  $\lambda_0 \in \Lambda$  and denoting by  $\nu$  the product measure on  $[0, 1]^{\Lambda \setminus \{\lambda_0\}}$  we have clearly  $\mu = m \otimes \nu$  and Lemma 2.1 tells us that  $\mathcal{AL}^2(L_1[0, 1]^\Lambda)$  is not dense in  $\mathcal{AL}^2(L_1[0, 1]^\Lambda)$ .

We need another elementary lemma. By  $Y \oplus_1 Z$  we denote the  $\ell_1$ -sum of two Banach spaces  $Y$  and  $Z$ , i.e.,  $\|y + z\| = \|y\| + \|z\|$  for arbitrary  $y \in Y, z \in Z$ .

**LEMMA 2.2.** *Let  $Y, Z$  be Banach spaces and  $X = Y \oplus_1 Z$ . If  $\mathcal{AL}^2(X)$  is dense in  $\mathcal{L}^2(X)$ , then  $\mathcal{AL}^2(Y)$  is dense in  $\mathcal{L}^2(Y)$ .*

**PROOF.** Let  $\varphi \in \mathcal{AL}^2(Y)$  with  $\|\varphi\| = 1$  and  $0 < \epsilon < (1/2)$  be given. Define  $\tilde{\varphi}(x_1, x_2) = \varphi(Px_1, Px_2), \forall x_1, x_2 \in X$ , where  $P : X \rightarrow Y$  is the natural projection, and note that  $\tilde{\varphi} \in \mathcal{L}^2(X), \|\tilde{\varphi}\| = 1$ . Since  $\mathcal{AL}^2(X)$  is dense in  $\mathcal{L}^2(X)$ , there exists  $\tilde{\psi} \in \mathcal{AL}^2(X)$  such that  $\|\tilde{\psi} - \tilde{\varphi}\| < \epsilon$  and  $\|\tilde{\psi}\| = 1$ . Let  $x_1 = y_1 + z_1, x_2 = y_2 + z_2$  with  $y_1, y_2 \in Y, z_1, z_2 \in Z$  be such that  $\|y_1\| + \|z_1\| = \|x_1\| \leq 1, \|y_2\| + \|z_2\| = \|x_2\| \leq 1$  and  $|\tilde{\psi}(x_1, x_2)| = 1$ . Then we have

$$\begin{aligned} |\tilde{\psi}(x_1, x_2) - \tilde{\psi}(y_1, y_2)| &\leq |\tilde{\psi}(x_1, x_2) - \tilde{\varphi}(x_1, x_2)| + |\tilde{\varphi}(x_1, x_2) - \tilde{\psi}(y_1, y_2)| \\ &= |\tilde{\psi}(x_1, x_2) - \tilde{\varphi}(x_1, x_2)| + |\tilde{\varphi}(y_1, y_2) - \tilde{\psi}(y_1, y_2)| \tag{2.10} \\ &\leq \|\tilde{\psi} - \tilde{\varphi}\|(\|x_1\| \|x_2\| + \|y_1\| \|y_2\|) < 2\epsilon < 1 \end{aligned}$$

hence  $\tilde{\psi}(y_1, y_2) \neq 0$ , so  $y_1 \neq 0, y_2 \neq 0$ . Moreover we have

$$\begin{aligned}
1 &= |\bar{\psi}(x_1, x_2)| \leq |\bar{\psi}(y_1, y_2)| + |\bar{\psi}(y_1, z_2)| + |\bar{\psi}(z_1, y_2)| + |\bar{\psi}(z_1, z_2)| \\
&\leq \|y_1\| \|y_2\| + \|y_1\| \|z_2\| + \|z_1\| \|y_2\| + \|z_1\| \|z_2\| \\
&= (\|y_1\| + \|z_1\|)(\|y_2\| + \|z_2\|) = \|x_1\| \|x_2\| \leq 1,
\end{aligned} \tag{2.11}$$

and it follows that

$$\left| \bar{\psi} \left( \frac{y_1}{\|y_1\|}, \frac{y_2}{\|y_2\|} \right) \right| = 1. \tag{2.12}$$

Now let  $\psi$  be the restriction of  $\bar{\psi}$  to  $Y \times Y$ . Clearly  $\|\psi\| \leq 1$  and the above equality shows that  $\psi \in \mathcal{AL}^2(Y)$ . Note finally that  $\|\psi - \varphi\| \leq \|\bar{\psi} - \bar{\varphi}\| < \epsilon$ .  $\square$

We are now ready for the main result.

**THEOREM 2.3.** *Given a finite measure  $\mu$ , the following statements are equivalent*

- (1)  $\mu$  is purely atomic.
- (2)  $\mathcal{AL}^N(L_1(\mu))$  is dense in  $\mathcal{L}^N(L_1(\mu))$  for any natural number  $N$ .
- (3)  $\mathcal{AL}^N(L_1(\mu))$  is dense in  $\mathcal{L}^N(L_1(\mu))$  for some  $N \geq 2$ .
- (4)  $\mathcal{AL}^2(L_1(\mu))$  is dense in  $\mathcal{L}^2(L_1(\mu))$ .

**PROOF.** (1) $\Rightarrow$ (2). If  $\mu$  is purely atomic, then  $L_1(\mu)$  has the Radon-Nikodým property, and (2) follows from [2, Theorem 1].

(2) $\Rightarrow$ (3). This is trivial.

(3) $\Rightarrow$ (4). This is follows from [7, Proposition 2.1].

(4) $\Rightarrow$ (1). We use the isometric classification of  $L_1$ -spaces (see [8, Theorem 14.9]). Arguing by contradiction, we assume that (4) holds and that  $\mu$  is not purely atomic. By the above-mentioned theorem, we can write  $L_1(\mu)$  in the form

$$L_1(\mu) \cong L_1([0, 1]^\Lambda) \oplus_1 Z, \tag{2.13}$$

for some nonempty set  $\Lambda$  and some Banach space  $Z$ . By Lemma 2.2 we get that  $\mathcal{AL}^2(L_1([0, 1]^\Lambda))$  is dense in  $\mathcal{L}^2(L_1([0, 1]^\Lambda))$ , which contradicts Lemma 2.1.  $\square$

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