

## A REMARK ON THE RADIAL MINIMIZER OF THE GINZBURG-LANDAU FUNCTIONAL

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ABSTRACT. Let  $\Omega \subset \mathbb{R}^2$  be a bounded domain with the same area as the unit disk  $B_1$  and let

$$E_\varepsilon(u, \Omega) = \frac{1}{2} \int_{\Omega} |\nabla u|^2 dx + \frac{1}{4\varepsilon^2} \int_{\Omega} (|u|^2 - 1)^2 dx$$

be the Ginzburg-Landau functional. Denote by  $\tilde{u}_\varepsilon$  the radial solution to the Euler equation associated to the problem  $\min\{E_\varepsilon(u, B_1) : u|_{\partial B_1} = x\}$  and by

$$\mathcal{K} = \left\{ v = (v_1, v_2) \in H^1(\Omega; \mathbb{R}^2) : \int_{\Omega} v_1 dx = \int_{\Omega} v_2 dx = 0, \right. \\ \left. \int_{\Omega} |v|^2 dx \geq \int_{B_1} |\tilde{u}_\varepsilon|^2 dx \right\}.$$

In this note we prove that

$$\min_{v \in \mathcal{K}} E_\varepsilon(v, \Omega) \leq E_\varepsilon(\tilde{u}_\varepsilon, B_1).$$

### 1. INTRODUCTION

The Ginzburg-Landau energy has as order parameter a vectorial field  $u \in H^1(\Omega; \mathbb{R}^2)$  and it is defined as

$$E_\varepsilon(u, \Omega) = \frac{1}{2} \int_{\Omega} |\nabla u|^2 dx + \frac{1}{4\varepsilon^2} \int_{\Omega} (|u|^2 - 1)^2 dx,$$

where  $\Omega \subset \mathbb{R}^2$  is a bounded domain and  $\varepsilon > 0$ . This kind of functionals has been originally introduced as a phenomenological phase-field type free-energy of a superconductor, near the superconducting transition, in absence of an external magnetic field. Moreover these functionals have been used in superfluids such as Helium II. In this context  $u$  represents the wave function of the superfluid part of liquid and the parameter  $\varepsilon$ , which has the dimension of a length, depends on the material and its temperature (see [10, 9, 7]). The Ginzburg-Landau functionals have deserved a great attention by the mathematical community too. Starting from the classical monograph [5] (see also [4]) by Bethuel, Brezis and Hélein, many mathematicians have been interested in studying minimization problems for the Ginzburg-Landau energy with several constraints, also because, besides the physical

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motivation, these problems appear as the simplest nontrivial examples of vector field minimization problems.

In [5] the authors consider Dirichlet boundary conditions  $g \in C^1(\partial\Omega; \mathbb{S}^1)$  (with  $\Omega$  smooth) and study the asymptotic behavior, as  $\varepsilon \rightarrow 0$ , of minimizers  $u_\varepsilon$ , which satisfy the problem

$$\begin{aligned} -\Delta u_\varepsilon &= \frac{1}{\varepsilon^2} u_\varepsilon (1 - |u_\varepsilon|^2) \quad \text{in } \Omega \\ u_\varepsilon &= g \quad \text{on } \partial\Omega. \end{aligned} \quad (1.1)$$

It turns out that the value  $d = \deg(g, \partial\Omega)$  (i.e., the Brouwer degree or winding number of  $g$  considered as a map from  $\partial\Omega$  into  $\mathbb{S}^1$ ) plays a crucial role in the asymptotic analysis of  $u_\varepsilon$ .

In the case  $\Omega = B_1$  (the unit ball in  $\mathbb{R}^2$  centered at the origin),  $g(x) = x$ , it is natural to look for radial solutions to (1.1). Indeed, in [12, 5, 15] the authors prove, among other things, that (1.1) has a unique radial solution, that is a solution of the form

$$\tilde{u}_\varepsilon(x) = \tilde{f}_\varepsilon(|x|) \frac{x}{|x|} \quad (1.2)$$

with  $\tilde{f}_\varepsilon \geq 0$ . Moreover  $\tilde{f}'_\varepsilon > 0$ ; thus, summarizing,  $\tilde{f}_\varepsilon$  satisfies

$$\begin{aligned} -\tilde{f}''_\varepsilon - \frac{\tilde{f}'_\varepsilon}{r} + \frac{\tilde{f}_\varepsilon}{r^2} &= \frac{1}{\varepsilon^2} \tilde{f}_\varepsilon (1 - \tilde{f}_\varepsilon^2) \quad \text{in } [0, 1] \\ \tilde{f}_\varepsilon(0) &= 0, \quad \tilde{f}_\varepsilon(1) = 1, \quad \tilde{f}_\varepsilon \geq 0, \quad \tilde{f}'_\varepsilon > 0. \end{aligned} \quad (1.3)$$

It is conjectured that the radial solution (1.2) is the unique minimizer of  $E_\varepsilon$  on  $B_1$ . In [17] (see also [16]) the author gives a partial answer to such a conjecture, proving that  $\tilde{u}_\varepsilon$  is stable, in the sense that the quadratic form associated to  $E_\varepsilon(\tilde{u}_\varepsilon, B_1)$  is positive definite.

Other types of boundary conditions, for instance prescribed degree boundary conditions, have been considered in [3, 8].

In this article we let  $\Omega$  vary among domains with fixed area and prove that the map  $\tilde{u}_\varepsilon$  in (1.2) provides an upper bound for the energy  $E_\varepsilon$  on the class  $\mathcal{K}$  we are going to introduce.

**Theorem 1.1.** *Let  $\varepsilon > 0$  and  $\Omega \subset \mathbb{R}^2$  be a bounded domain such that  $|\Omega| = |B_1|$ . Denoted by*

$$\mathcal{K} = \left\{ v = (v_1, v_2) \in H^1(\Omega; \mathbb{R}^2) : \int_\Omega v_1 dx = \int_\Omega v_2 dx = 0, \int_\Omega |v|^2 dx \geq \int_{B_1} |\tilde{u}_\varepsilon|^2 dx \right\},$$

it holds

$$\min_{v \in \mathcal{K}} E_\varepsilon(v, \Omega) \leq E_\varepsilon(\tilde{u}_\varepsilon, B_1). \quad (1.4)$$

## 2. PROOF OF THEOREM 1.1

Define the following continuous extension of  $\tilde{f}_\varepsilon$ ,

$$f_\varepsilon(r) = \begin{cases} \tilde{f}_\varepsilon(r) & \text{if } 0 \leq r \leq 1 \\ 1 & \text{if } r > 1 \end{cases}$$

and the correspondent vector field extending  $\tilde{u}_\varepsilon$  to the whole  $\mathbb{R}^2$

$$\phi_\varepsilon(x) = (\phi_{\varepsilon,1}(x), \phi_{\varepsilon,2}(x)) = f_\varepsilon(|x|) \frac{x}{|x|}.$$

It is possible (see [19], see also [1]) to choose the origin in such a way that

$$\int_\Omega \phi_{\varepsilon,1} dx = \int_\Omega \phi_{\varepsilon,2} dx = 0. \tag{2.1}$$

Note that  $\phi_\varepsilon \in \mathcal{K}$ . Indeed, besides (2.1), it holds

$$\int_\Omega |\phi_\varepsilon|^2 dx = \int_{\Omega \cap B_1} |\tilde{u}_\varepsilon|^2 dx + |\Omega \setminus B_1| \geq \int_{B_1} |\tilde{u}_\varepsilon|^2 dx,$$

since  $|\tilde{u}_\varepsilon| \leq 1$  in  $B_1$ . A direct computation yields

$$\begin{aligned} E_\varepsilon(\phi_\varepsilon, \Omega) &= \frac{1}{2} \int_\Omega \left( f'_\varepsilon(|x|)^2 + \frac{f_\varepsilon(|x|)^2}{|x|^2} \right) dx + \frac{1}{4\varepsilon^2} \int_\Omega (f_\varepsilon(|x|)^2 - 1)^2 dx \\ &= \int_\Omega B_\varepsilon(|x|) dx, \end{aligned}$$

where

$$B_\varepsilon(r) = \frac{1}{2} \left( f'_\varepsilon(r)^2 + \frac{f_\varepsilon(r)^2}{r^2} \right) + \frac{1}{4\varepsilon^2} (f_\varepsilon(r)^2 - 1)^2.$$

Using (1.3) it is straightforward to verify that

$$B'_\varepsilon(r) = -\frac{2}{\varepsilon^2} f_\varepsilon(r) f'_\varepsilon(r) (1 - f_\varepsilon(r)^2) - \frac{1}{r} (f'_\varepsilon(r) - \frac{f_\varepsilon(r)}{r})^2, \quad 0 < r < 1,$$

while, when  $r > 1$ , it holds  $B_\varepsilon(r) = \frac{1}{2r^2}$ . Thus  $B_\varepsilon(r)$  is a decreasing function in  $(0, +\infty)$ . By Hardy-Littlewood inequality (see for instance [13]) we finally obtain

$$E_\varepsilon(\phi_\varepsilon, \Omega) = \int_\Omega B_\varepsilon(|x|) dx \leq \int_{B_1} B_\varepsilon(|x|) dx = E_\varepsilon(\tilde{u}_\varepsilon, B_1)$$

and hence (1.4).

**Remark 2.1.** The appearance of the function  $\tilde{u}_\varepsilon$  (i.e., the candidate to be the unique minimizer of  $E_\varepsilon$  in  $B_1$  under the Dirichlet boundary condition  $g(x) = x$ ) in (1.4) as an upper bound of the energy in the class  $\mathcal{K}$  is somehow unexpected. On the other hand such a phenomenon becomes more transparent if one realizes the analogy between the problem under consideration and the maximization problem of the first nontrivial eigenvalue  $\mu_1(\Omega)$  of the Neumann Laplacian among sets with prescribed area. As well-known, if  $\Omega$  is a smooth, bounded domain of  $\mathbb{R}^2$ ,  $\mu_1(\Omega)$  can be variationally characterized as

$$\mu_1(\Omega) = \left\{ \int_\Omega |\nabla z|^2 : z \in H^1(\Omega; \mathbb{R}), \int_\Omega |z|^2 dx = 1, \int_\Omega z dx = 0 \right\}.$$

If  $|\Omega| = |B_1|$  the celebrated Szegő-Weinberger inequality in the plane (see [19], see also [18, 2, 1, 14, 11, 6]) states

$$\mu_1(\Omega) \leq \mu_1(B_1). \tag{2.2}$$

Moreover,  $\mu_1(B_1)$  is achieved by the functions  $J_1(j'_{1,1}|x|) \frac{x_1}{|x|}$  or  $J_1(j'_{1,1}|x|) \frac{x_2}{|x|}$ , where  $J_1$  is the Bessel function of the first kind and  $j'_{1,1}$  is the first zero of its derivative. The role played by  $J_1$  in (2.2) is now played by the function  $\tilde{f}_\varepsilon$ .

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