

Permutation Tableaux and the Dashed Permutation Pattern 32–1

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Abstract

We give a solution to a problem posed by Corteel and Nadeau concerning permutation tableaux of length n and the number of occurrences of the dashed pattern 32–1 in permutations on $[n]$. We introduce the inversion number of a permutation tableau. For a permutation tableau T and the permutation π obtained from T by the bijection of Corteel and Nadeau, we show that the inversion number of T equals the number of occurrences of the dashed pattern 32–1 in the reverse complement of π . We also show that permutation tableaux without inversions coincide with L-Bell tableaux introduced by Corteel and Nadeau.

1 Introduction

Permutation tableaux were introduced by Steingrímsson and Williams [14] in the study of totally positive Grassmannian cells [11, 13, 16]. They are closely related to the PASEP (partially asymmetric exclusion process) model in statistical physics [5, 8, 9, 10]. Permutation tableaux are also in one-to-one correspondence with alternative tableaux introduced by Viennot [15].

A permutation tableau is defined by a Ferrers diagram possibly with empty rows such that the cells are filled with 0's and 1's subject to the following conditions:

- (1) Each column contains at least one 1.
- (2) There does not exist a 0 with a 1 above (in the same column) and a 1 to the left (in the same row).

The length of a permutation tableau is defined as the number of rows plus the number of columns. A 0 in a permutation tableau is said to be restricted if there is a 1 above.

Among the restricted 0's in a row, the rightmost 0 plays a special role, which is called a rightmost restricted 0. A row is said to be unrestricted if it does not contain any restricted 0. A 1 is called essential if it is either the topmost 1 in a column or the leftmost 1 in a row, see Burstein [2]. A permutation tableau T of length n is labeled by the elements in $[n] = \{1, 2, \dots, n\}$ in increasing order from the top right corner to the bottom left corner. The set $[n]$ is referred to as the label set of T . We use (i, j) to denote the cell with row label i and column label j .

For example, Figure 1.1 exhibits a permutation tableau of length 11 with an empty row. There are two rightmost restricted 0's at cells (5,9) and (8,10), and there are four unrestricted rows labeled by 1, 2, 7 and 11.

0	1	0	0	0	1
0	1	0	1	1	2
0	0	1	5	4	3
1	1	7	6		
0	1	8			
10	9				
11					

Figure 1.1: A permutation tableau.

It is known that the number of permutation tableaux of length n is $n!$. There are several bijections between permutation tableaux and permutations, see Corteel and Nadeau [7], Steingrímsson and Williams [14]. The second bijection in [14] connects the number of 0's in a permutation tableau to the total number of occurrences of the dashed patterns 31-2, 21-3 and 3-21. This bijection also yields a relationship between the number of 1's in a permutation tableau and the number of occurrences of the dashed pattern 2-31 in a permutation. In answer to a question of Steingrímsson and Williams [14], Burstein [2] found a classification of zeros in permutation tableaux in connection with the total number of occurrences of the dashed patterns 31-2 and 21-3, and the number of occurrences of the dashed pattern 3-21.

On the other hand, the second bijection of Corteel and Nadeau [7] implies that the number of non-topmost 1's in a permutation tableau equals the number of occurrences of the dashed pattern 31-2 in the corresponding permutation. They raised the problem of finding a statistic on permutation tableaux that has the same distribution as the number of occurrences of the dashed pattern 32-1 in permutations.

Let us recall the definition of dashed permutation patterns introduced by Babson and Steingrímsson [1]. A dashed pattern is a permutation on $[k]$, where $k \leq n$, that contains dashes indicating that the entries in a permutation on $[n]$ need not occur consecutively. In this notation, a permutation pattern $\sigma = \sigma_1\sigma_2 \cdots \sigma_k$ in the usual sense may be rewritten as $\sigma = \sigma_1-\sigma_2-\cdots-\sigma_k$. For example, we say that a permutation π on $[n]$ avoids the dashed

pattern 32–1 if there are no subscripts $i < k$ such that $\pi_{i-1} > \pi_i > \pi_k$. Claesson and Mansour [4] found explicit formulas for the number of permutations containing exactly i occurrences of a dashed pattern σ of length 3 for $i = 1, 2, 3$.

The main idea of this paper is to introduce the inversion number of a permutation tableau. We show that the inversion number of a permutation tableau of length n has the same distribution as the number of occurrences of the dashed pattern 32–1 in a permutation on $[n]$. To be more specific, for a permutation tableau T and the permutation π obtained from T by the first bijection of Corteel and Nadeau, we prove that the inversion number of T equals the number of occurrences of the dashed pattern 32–1 in the reverse complement of π . This gives a solution to the problem proposed by Corteel and Nadeau [7].

The inversion number of a permutation tableau is defined based on the order of alternating paths with respect to their last dots. Alternating paths are essentially the zigzag paths defined by Corteel and Kim [6]. More precisely, a zigzag path starts with the west border of an unrestricted row, goes along a row and changes the direction when it comes across a topmost 1, then goes along a column and changes the direction when it meets a rightmost restricted 0, and it ends at the southeast border.

It is worth mentioning that Steingrímsson and Williams [14] introduced a different kind of zigzag paths to establish a bijection between permutation tableaux and permutations. They defined a zigzag path as a path that starts with the northwest border of a permutation tableau, goes along the row or column until it reaches the southeast border, and changes the direction when it comes across a 1. Moreover, Burstein [2] defined a zigzag path as a path that changes the direction whenever it meets an essential 1.

It should be noted that an alternating path can be viewed as a path ending with the root in an alternative tree introduced by Nadeau [12]. However, in this paper, we shall define the inversion number directly on permutation tableaux without the formulation of alternative trees. It is straightforward to give an equivalent description in terms of alternative trees.

We conclude this paper with a connection between permutation tableaux without inversions and L-Bell tableaux introduced by Corteel and Nadeau [7].

2 The inversion number of a permutation tableau

In this section, we define the inversion number of a permutation tableau. We show that the inversion number of a permutation tableau T equals the number of occurrences of the dashed pattern 32–1 in the reverse complement of the permutation π corresponding to T under the first bijection of Corteel and Nadeau [7].

Let $\pi = \pi_1\pi_2 \cdots \pi_n$ be a permutation on $[n]$. Denote by $f_\sigma(\pi)$ the number of occurrences of a dashed pattern σ in π . The reverse complement of π is defined by

$$\bar{\pi} = (n + 1 - \pi_n, \dots, n + 1 - \pi_2, n + 1 - \pi_1),$$

where a permutation is written in the form of a vector.

Throughout this paper, we use Φ to denote the first bijection of Corteel and Nadeau [7] from permutation tableaux of length n to permutations on $[n]$. The main result of this paper is the following relation.

Theorem 2.1. *Let T be a permutation tableau. Let $\text{inv}(T)$ be the number of inversions of T . Then we have*

$$\text{inv}(T) = f_{32-1}(\bar{\pi}). \quad (2.1)$$

Since an occurrence of the dashed pattern 32–1 in $\bar{\pi}$ corresponds to an occurrence of the dashed pattern 3–21 in π , relation (2.1) can be restated as

$$\text{inv}(T) = f_{3-21}(\pi). \quad (2.2)$$

To define the inversion number of a permutation tableau, we shall use the notion of zigzag paths of a permutation tableau defined by Corteel and Kim [6]. A zigzag path can be reformulated in terms of the alternative representation of a permutation tableau introduced by Corteel and Kim [6]. The alternative representation of a permutation tableau T is obtained from T by replacing the topmost 1's with \uparrow 's, replacing the rightmost restricted 0's with \leftarrow 's and leaving the remaining cells blank. It is not difficult to see that a permutation tableau can be recovered from its alternative representation. In this paper, we shall use black dots and white dots to represent the topmost 1's and the rightmost restricted 0's in an alternative representation. For example, the first tableau in Figure 2.1 is a permutation tableau of length 12, and the second tableau gives the alternative representation and a zigzag path.

For the purpose of this paper, we shall use an equivalent description of zigzag paths by assuming that a zigzag path starts with a dot (either black or white) and goes northwest until it reaches the last black dot. To be more specific, for a white dot we can find a black dot strictly above as the next dot. For a black dot which is not in an unrestricted row, define the unique white dot on the left as the next dot. Such paths are called alternating paths. For example, in Figure 2.1, the third diagram exhibits two alternating paths.

It is easily seen that an alternating path can be represented as an alternating sequence of row and column labels ending with a column label of a black dot in an unrestricted row, since a black dot is determined by a column label and a white dot is determined by a row label. For example, for the black dot in cell (5, 6), the alternating path is (6, 5, 12). For the white dot in cell (7, 10), the corresponding alternating path is (7, 10, 4, 11).

To define the inversion number of a permutation tableau, we shall introduce a linear order on alternating paths. Given two alternating paths P and Q of T , we say that P is contained in Q if P is a segment of Q . If an alternating path P is strictly contained in Q , then we define $P > Q$.

When P is not contained in Q and Q is not contained in P either, we define the order of P and Q as follows. If P and Q intersect at some dot, then they will share the same ending segment after this dot. If this is the case, we will remove the common dots of P and Q , and then consider the resulting alternating paths P' and Q' . Let p_e (or p'_e) denote the last dot of the path P (or P') and let q_e (or q'_e) denote the last dot of the path Q (or Q'). We say that $P > Q$ if one of the following two conditions holds:

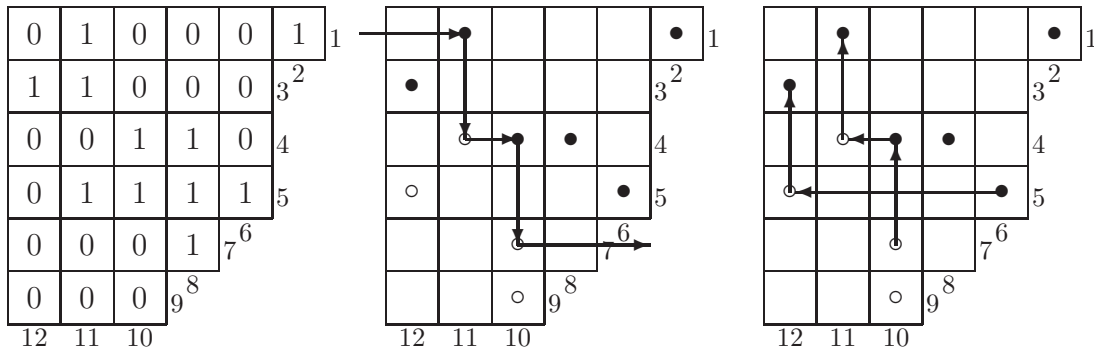


Figure 2.1: A permutation tableau, a zigzag path and two alternating paths.

- (1) The last dots p_e (resp. p'_e) and q_e (resp. q'_e) are in the same row, and the last dot p_e (resp. p'_e) is to the right of q_e (resp. q'_e).
- (2) The last dots p_e (resp. p'_e) and q_e (resp. q'_e) are not in the same row, then the last dot of p_e (resp. p'_e) is below q_e (resp. q'_e).

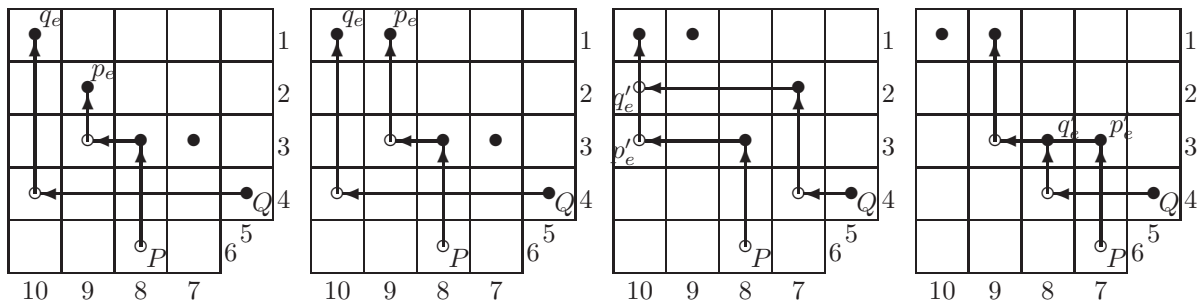


Figure 2.2: The cases for $P > Q$.

As shown in Figure 2.2, for any two alternating paths P and Q for which one is not contained in the other, there are four cases for the relation $P > Q$ to hold. It can be seen that for any distinct alternating paths P and Q , we have either $P > Q$ or $Q > P$. Using this order, we can define the inversion number of an alternative representation T of a permutation tableau. We shall consider the inversion number of the alternative representation as the inversion number of the original permutation tableau. Notice that it is easy to reformulate the definition of the inversion number of a permutation tableau in terms of the corresponding alternative tree introduced by Nadeau [12].

Definition 2.2. Suppose that j is a column label of T and P_j is the alternating path starting with the black dot with column label j . Let k be a label of T with $j < k$ and let P_k denote the alternating path starting with the dot labeled by k . We say that the pair of labels (j, k) is an inversion of T if $P_j > P_k$. The total number of inversions of T is denoted by $inv(T)$.

For a column label j , we define $w_j(T)$ to be the number of inversions of T that are of the form (j, k) . Hence

$$\text{inv}(T) = \sum_{j \in C(T)} w_j(T),$$

where $C(T)$ is the set of column labels of T .

For example, Figure 2.3 gives two permutation tableaux in the form of their alternative representations. For the alternative representation T on the left, we have $C(T) = \{2, 3\}$. Since $P_2 > P_3$, we see that $w_2(T) = 1$, $w_3(T) = 0$, and $\text{inv}(T) = 1$. For the alternative representation T' on the right, we have $C(T') = \{3, 5\}$. Since $P_3 > P_4$ and $P_3 > P_5$, we find $w_3(T') = 2$, $w_5(T') = 0$, and $\text{inv}(T') = 2$.



Figure 2.3: Two examples.

To present the proof of Theorem 2.1, we need to give an overview of the bijection Φ of Corteel and Nadeau from permutation tableaux to permutations. Assume that T is the alternative representation of a permutation tableau. Let $\Phi(T) = \pi = \pi_1\pi_2 \cdots \pi_n$. The bijection can be described as a recursive procedure to construct π . Starting with the sequence of the labels of unrestricted rows in increasing order. Then successively insert the column labels of T . Let j be the maximum column label to be inserted. If cell (i, j) is filled with a black dot, then insert j immediately to the left of i . If column j contains white dots in rows i_1, i_2, \dots, i_k , then insert i_1, i_2, \dots, i_k in increasing order to the left of j . Repeating this process, we obtain a permutation π .

For example, let T be the permutation tableau given in Figure 2.1. Then we have

$$\Phi(T) = (7, 9, 10, 8, 4, 11, 2, 1, 6, 5, 12, 3).$$

The following lemmas will be used in the proof of Theorem 2.1. The first was observed by Corteel and Nadeau [7].

Lemma 2.3. *Let $\pi = \Phi(T)$. Then $\pi_i > \pi_{i+1}$ if and only if π_i is a column label of T .*

The next lemma states that the labels representing an alternating path of T form a subsequence of $\Phi(T)$.

Lemma 2.4. *Let $P = p_1p_2 \cdots p_r$ be an alternating path of T starting with a dot labeled by p_1 and ending with a black dot labeled by p_r . Then $p_1p_2 \cdots p_r$ is a subsequence of $\Phi(T)$.*

Proof. Assume that the alternating path P ends with a black dot at cell (i, p_r) , where i is an unrestricted row label. Since the last dot represents a topmost 1, by the construction of Φ , we see that p_r is inserted to the left of i . Note that cell (p_{r-1}, p_r) is filled with a white dot representing a rightmost restricted 0, so p_{r-2} is inserted to the left of p_{r-1} . Since the path P is alternating with respect to black and white dots, we deduce that the elements p_{r-3}, \dots, p_2, p_1 are inserted one after another such that p_i is inserted to the left of p_{i+1} for $i = 1, 2, \dots, r-1$. It follows that $p_1 p_2 \cdots p_r$ is a subsequence of the permutation $\Phi(T)$. This completes the proof. ■

Given two labels i and j of T , the following lemma shows that the relative order of i and j in $\Phi(T)$ can be determined by the order of the alternating paths starting with the dots labeled by i and j .

Lemma 2.5. *Let P_i and P_j be two alternating paths of T starting with two dots labeled by i and j . Then i is to the left of j in $\Phi(T)$ if and only if $P_j > P_i$.*

Proof. First, we show that if $P_j > P_i$, then i is to the left of j in $\Phi(T)$. When P_j is contained in P_i , by Lemma 2.4, we see that i is to the left of j . We now turn to the case when P_j is not contained in P_i . In this case, let $P_i = i_1 i_2 \cdots i_s$ and $P_j = j_1 j_2 \cdots j_t$, where $i = i_1$ and $j = j_1$.

If P_i and P_j do not intersect, by Lemma 2.4, we see that i_1 is to the left of i_s . So it suffices to show that j_1 is to the right of i_s in $\Phi(T)$. Suppose that the last black dots of P_i and P_j are in cells (r_{P_i}, i_s) and (r_{P_j}, j_t) respectively, where r_{P_i} and r_{P_j} are the labels of unrestricted rows. By definition, we have either $r_{P_i} < r_{P_j}$ or $r_{P_i} = r_{P_j}$. Thus we have two cases.

Case 1: $r_{P_i} < r_{P_j}$. By the construction of Φ , r_{P_i} is to the left of r_{P_j} in $\Phi(T)$. Since both cells (r_{P_i}, i_s) and (r_{P_j}, j_t) are filled with black dots, the element i_s is inserted immediately to the left of r_{P_i} , while j_t is inserted immediately to the left of r_{P_j} . This implies that j_t is to the right of r_{P_i} in $\Phi(T)$. Hence j_t is to the right of i_s .

Since P_j is an alternating path consisting of black and white dots, cell (j_{t-1}, j_t) is filled with a white dot. Thus j_{t-1} is inserted to the left of j_t but to the right of r_{P_i} , that is, j_{t-1} is to the right of i_s . Iterating the above procedure, we reach the conclusion that the label j_r is to the right of i_s for $r = t, t-1, \dots, 1$. In particular, j_1 is to the right of i_s , so that j_1 is to the right of i_1 .

Case 2: $r_{P_i} = r_{P_j}$. Since $P_j > P_i$, we have $j_t < i_s$. In the implementation of the algorithm Φ , i_s is inserted immediately to the left of r_{P_i} and then j_t is inserted immediately to the left of $r_{P_j} = r_{P_i}$. Hence j_t is to the right of i_s . Inspecting the relative positions of i_s and j_r for $r < t$ as in Case 1, we see that j_r is to the right of i_s for $r = t, t-1, \dots, 1$. So we arrive at the conclusion that j_1 is to the right of i_1 .

It remains to consider the case when P_i intersects P_j . As shown before, in this case, P_i and P_j have a common ending segment starting from the intersecting dot. Let P'_i and P'_j be the alternating paths obtained by removing the common segment of P_i and P_j . Suppose that the last dot of P'_i is labeled by i_{s-m} . It can be seen that the last dot of P'_j is labeled by j_{t-m} . By Lemma 2.4, i_1 is to the left of i_{s-m} .

We now aim to show that j_1 is to the right of i_{s-m} in $\Phi(T)$. We have the following two cases.

Case A: The last dot of P'_j is below the last dot of P'_i , that is, $j_{t-m} > i_{s-m}$. In this case, both cells (i_{s-m}, i_{s-m+1}) and (j_{t-m}, j_{t-m+1}) are filled with white dots. To construct π from T by using the bijection Φ , both elements i_{s-m} and j_{t-m} are inserted to the left of the element $i_{s-m+1} = j_{t-m+1}$ in increasing order. Hence i_{s-m} is to the left of j_{t-m} . Considering the relative positions of i_{s-m} and j_r for $r < t - m$ as in Case 1, we deduce that j_r is to the right of i_{s-m} for $1 \leq r < t - m$. Therefore j_1 is to the right of i_{s-m} , and hence to the right of i_1 .

Case B: The last dot of P'_j is to the right of the last dot of P'_i , that is, $j_{t-m} < i_{s-m}$. Observe that the element i_{s-m} is inserted immediately to the left of i_{s-m+1} . Moreover, the element j_{t-m} is inserted immediately to the left of $j_{t-m+1} = i_{s-m+1}$. It follows that j_{t-m} is to the right of i_{s-m} . Applying the same argument as in Case 1 to elements i_{s-m} and j_r for $r < t - m$, we conclude that j_r is to the right of i_{s-m} . Consequently, j_1 is to the right of i_{s-m} , and hence to the right of i_1 .

In summary, we deduce that if $P_j > P_i$, then i is to the left of j in $\Phi(T)$.

Finally, we need to show that if i is to the left of j in $\Phi(T)$, then we have $P_j > P_i$. Assume that i is to the left of j in $\Phi(T)$. Consider the order of P_i and P_j . Clearly, we have $P_i \neq P_j$, that is, we have either $P_j > P_i$ or $P_i > P_j$. If $P_i > P_j$, as shown before, we see that j is to the left of i in $\Phi(T)$. Thus we only have the case $P_j > P_i$. This completes the proof. ■

We are now ready to prove the main theorem.

Proof of Theorem 2.1. Let $\Phi(T) = \pi = \pi_1\pi_2 \cdots \pi_n$. Combining Lemma 2.3 and Lemma 2.5, we find that the subsequence $\pi_i\pi_j\pi_{j+1}$ of π is an occurrence of the dashed pattern 3–21 if and only if (π_j, π_i) is an inversion of T . It follows that

$$\text{inv}(T) = f_{3-21}(\pi),$$

as desired. This completes the proof. ■

Let us give an example of Theorem 2.1. Let T be the alternative representation of the permutation tableau given in Figure 2.4.

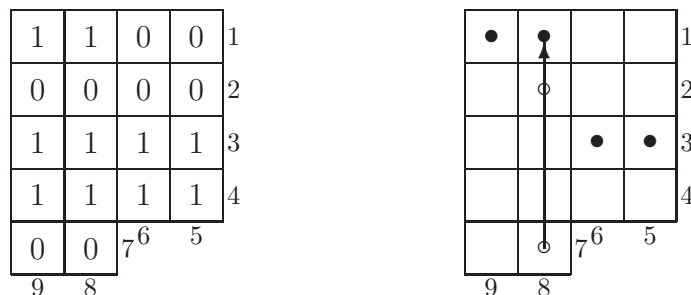


Figure 2.4: A permutation tableau and its alternative representation.

We see that $C(T) = \{5, 6, 8, 9\}$, $w_5(T) = 4$, $w_6(T) = 3$, $w_8(T) = 1$ and $w_9(T) = 0$.

Hence we have $\text{inv}(T) = 8$. On the other hand,

$$\pi = \Phi(T) = (9, 2, 7, 8, 1, 6, 5, 3, 4).$$

So we have $\bar{\pi} = (6, 7, 5, 4, 9, 2, 3, 8, 1)$. It can be checked that the number of occurrences of the dashed pattern $32\text{-}1$ in $\bar{\pi}$ is 8.

3 Connection to L-Bell tableaux

In this section, we show that a permutation tableau has no inversions if and only if it is an L-Bell tableau as introduced by Corteel and Nadeau [7]. Recall that an L-Bell tableau is a permutation tableau such that any topmost 1 is also a leftmost 1.

It has been shown by Claesson [3] that the number of permutations on $[n]$ avoiding the dashed pattern $32\text{-}1$ is given by the n -th Bell number B_n . In view of Theorem 2.1, we are led to the following correspondence.

Theorem 3.1. *The number of permutation tableaux T of length n such that $\text{inv}(T) = 0$ equals B_n .*

On the other hand, the following relation was proved by Corteel and Nadeau [7].

Theorem 3.2. *The number of L-Bell permutation tableaux of length n equals B_n .*

By the definition of an inversion of a permutation tableau, it is straightforward to check that an L-Bell tableau has no inversions. Combining Theorem 3.1 and Theorem 3.2, we obtain the following relation.

Theorem 3.3. *Let T be a permutation tableau. Then $\text{inv}(T) = 0$ if and only if T is an L-Bell tableau.*

Here we give a direct reasoning of the above theorem. Let T be an alternative representation of a permutation tableau without inversions. It can be seen that the permutation tableau corresponding to T is an L-Bell tableau if and only if T satisfies the following conditions:

- (1) Each row contains at most one black dot.
- (2) If there is an empty cell such that there is a black dot to the right and there is no white dot in between, then all the cells above this empty cell are also empty.

We wish to prove that if $\text{inv}(T) = 0$, then T satisfies the above conditions.

Assume that there is a row containing two black dots, say, at cells (i, j) and (i, k) with $j < k$. Clearly, by definition, (j, k) is an inversion of T . So we are led to a contradiction. This implies that condition (1) holds.

With respect to condition (2), we may assume to the contrary that there exists a dot above some empty cell (i, k) and relative to this empty cell, there is a black dot to the

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