

Zeros of the Jones polynomial are dense in the complex plane

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Abstract

In this paper, we present a formula for computing the Tutte polynomial of the signed graph formed from a labeled graph by edge replacements in terms of the chain polynomial of the labeled graph. Then we define a family of ‘ring of tangles’ links and consider zeros of their Jones polynomials. By applying the formula obtained, Beraha-Kahane-Weiss’s theorem and Sokal’s lemma, we prove that zeros of Jones polynomials of (pretzel) links are dense in the whole complex plane.

1 Introduction

Let L be an oriented link, and D be a diagram of L . Let $V_L(t)$ be the Jones polynomial [1] of L . The writhe $w(D)$ of D is defined to be the sum of signs of the crossings of L . Let $[D]$ be the one-variable Kauffman bracket polynomial [2] of D in A (with the orientation of D coming from L ignored). Let

$$f_L(A) = (-A^3)^{-w(D)}[D].$$

Then [2]

$$V_L(t) = f_L(t^{-1/4}).$$

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It is well known that there is a one-to-one correspondence between link diagrams and signed plane graphs via the medial construction [3]. Based on this correspondence, in [3] Kauffman converted the Kauffman bracket polynomial to the Tutte polynomial of signed graphs, which are not necessarily planar. Let G be a signed graph. We shall denote by $Q[G] = Q[G](A, B, d) \in \mathbb{Z}[A, B, d]$ the Tutte polynomial of G . To analyze zeros of Jones polynomials, it suffices for us to consider the Tutte polynomials of signed graphs.

There have been some works on zeros of Jones polynomials [4]-[9]. For example, the distribution of zeros of Jones polynomials for prime knots with small crossing number has been depicted in [4] and [5]. See Fig. 1 for an example. Looking at these figures, one may be tempted to conclude that zeros of Jones polynomials do not exist in some regions, for example, a small circle region around $z = 1$ and a large area in the left half complex plane. But in this paper by considering zeros of pretzel links we shall show that, on the contrary, zeros of Jones polynomial of knots are dense in the whole complex plane. We point out that Sokal proved that chromatic roots are dense in the whole complex plane [10], and Zhang and Chen proved eigenvalues of digraphs are dense in the whole complex plane [11].

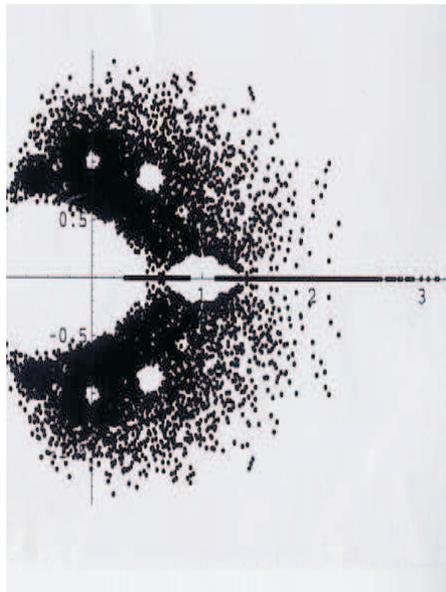


Fig. 1: Zeros of 1288 prime alternating knots with crossing number 12 [4].

This paper contains two parts. The first part is on a formula of computing the Tutte polynomial of signed graphs formed by edge replacements via the chain polynomial [12]. This result generalizes our previous results in [13]. It is worth noting that there are two closely related results in [14] and [15]. In the second part, we consider the Tutte polynomial of ‘ring of tangles’ links which include the well known pretzel links. By applying Beraha-Kahane-Weiss’s Theorem and Sokal’s lemma, we prove that zeros of the Jones polynomial of pretzel knots are dense in the complex plane.

2 Tutte polynomials of signed graphs formed by edge replacements

The chain polynomial was introduced by Read and Whitehead in [12] for studying the chromatic polynomials of homeomorphic graphs. It is defined on labeled graphs, i.e. graphs whose edges have been labeled with elements of a commutative ring with unity. Although in a labeled graph different edges can receive the same label, we usually denote the edges by the labels associated with them. *The chain polynomial $Ch[G]$ of a labeled graph G is defined as*

$$Ch[G] = \sum_{Y \subseteq E} F_{G-Y}(1-w) \prod_{a \in Y} a \quad (1)$$

where the sum is over all subsets of the edge set E of G , $F_{G-Y}(1-w)$ denotes the flow polynomial in $q = 1-w$ of $G-Y$, the graph obtained from G by deleting the edges in Y , and $\prod_{a \in Y} a$ denotes the product of labels in Y .

The following lemma was given implicitly in [12] and explicitly in [15]. It can be viewed as an alternative definition of the chain polynomial.

Lemma 2.1 *The chain polynomial satisfies the following recursive rules:*

(1) *If G is edgeless, then*

$$Ch[G] = 1. \quad (2)$$

(2) *Otherwise, suppose a is an edge of G , we have:*

(a) *If a is a loop, then*

$$Ch[G] = (a-w)Ch[G-a]. \quad (3)$$

(b) *If a is not a loop, then*

$$Ch[G] = (a-1)Ch[G-a] + Ch[G/a]. \quad (4)$$

Example 2.2 (1) *Let Θ_p be the labeled graph consisting of two vertices connected by p parallel edges a_1, a_2, \dots, a_p . Then [7]*

$$Ch[\Theta_p] = \frac{1}{1-w} \left[\prod_{i=1}^p (a_i - w) - w \prod_{i=1}^p (a_i - 1) \right]. \quad (5)$$

(2) *Let B_p be a labeled “bouquet of p circles”, i.e. a labeled graph with one vertex and p loops a_1, a_2, \dots, a_p . By Lemma 2.1, we obtain*

$$Ch[B_p] = \prod_{i=1}^p (a_i - w). \quad (6)$$

Definition 2.3 Let G be a connected labeled graph. We define \hat{G} to be the signed graph obtained from G by replacing each edge $a = uw$ of G by a connected signed graph H_a with two attached vertices u and w that has only the vertices u and w in common with $\widehat{G - a}$.

Now we shall establish a relation between the Tutte polynomial of \hat{G} and the chain polynomial of G . The following two splitting lemmas on Tutte polynomials of signed graphs will be used in proving Theorem 2.6.

Lemma 2.4 [3]

(1) Let $G_1 \cup G_2$ be the disjoint union of two signed graphs G_1 and G_2 . Then

$$Q[G_1 \cup G_2] = dQ[G_1]Q[G_2]. \quad (7)$$

(2) Let $G_1 \cdot G_2$ be the union of two signed graphs G_1 and G_2 having only one common vertex. Then

$$Q[G_1 \cdot G_2] = Q[G_1]Q[G_2]. \quad (8)$$

Lemma 2.5 [16] Let G be the union of two signed graphs G_1 and G_2 having only two common vertices u_1 and u_2 . Let H_1 and H_2 be signed graphs obtained from G_1 and G_2 , respectively, by identifying u_1 and u_2 . Then

$$Q[G] = \frac{1}{d^2 - 1} \{dQ[H_1]Q[H_2] + dQ[G_1]Q[G_2] - Q[H_1]Q[G_2] - Q[G_1]Q[H_2]\}. \quad (9)$$

Let H'_a be the graph obtained from H_a by identifying u and w , the two attached vertices of H_a . Let

$$\alpha_a = \alpha[H_a] = \frac{1}{d^2 - 1} (dQ[H_a] - Q[H'_a]), \quad (10)$$

$$\beta_a = \beta[H_a] = \frac{1}{d^2 - 1} (dQ[H'_a] - Q[H_a]), \quad (11)$$

$$\gamma_a = \gamma[H_a] = 1 + d \frac{\alpha[H_a]}{\beta[H_a]} \quad (12)$$

Theorem 2.6 Let G be a connected labeled graph, and \hat{G} be the signed graph obtained from G by replacing the edge a by a connected signed graph H_a for every edge a in G . If we replace w by $1 - d^2$, and replace a by γ_a for every label a in $Ch(G)$, then we have

$$Q[\hat{G}] = \frac{\prod_{a \in E(G)} \beta_a}{d^{q(G) - p(G) + 1}} Ch[G], \quad (13)$$

where $p(G)$ and $q(G)$ are the numbers of vertices and edges of G , respectively.

Proof. By solving Eqs. (10) and (11), we obtain

$$Q[H'_a] = \alpha_a + d\beta_a. \quad (14)$$

When the edge a is a loop of G , by Lemma 2.4 (2) and Eq. (14), we have

$$\begin{aligned} Q[\hat{G}] &= Q[H'_a]Q[\widehat{G-a}] \\ &= (\alpha_a + d\beta_a)Q[\widehat{G-a}]. \end{aligned} \quad (15)$$

When the edge a is not a loop, by Lemma 2.5, we have

$$\begin{aligned} Q[\hat{G}] &= \frac{1}{d^2-1} \{dQ[\widehat{G/a}]Q[H'_a] + dQ[\widehat{G-a}]Q[H_a] - Q[\widehat{G/a}]Q[H_a] - Q[H'_a]Q[\widehat{G-a}]\} \\ &= \frac{1}{d^2-1} \{Q[\widehat{G-a}](dQ[H_a] - Q[H'_a]) + Q[\widehat{G/a}](dQ[H'_a] - Q[H_a])\} \\ &= \alpha_a Q[\widehat{G-a}] + \beta_a Q[\widehat{G/a}]. \end{aligned} \quad (16)$$

Now let

$$T[G] = \frac{d^{q(G)-p(G)+1}}{\prod_{a \in E(G)} \beta_a} Q[\hat{G}].$$

If G is an edgeless graph with n vertices, then

$$T[E_n] = d^{-n+1}d^{n-1} = 1. \quad (17)$$

Otherwise, suppose that a is an edge of G .

(1) If a is a loop of G , by Eq. (15), we have

$$\begin{aligned} T[G] &= \frac{d^{q(G)-p(G)+1}}{\prod_{b \in E(G)} \beta_b} (\alpha_a + d\beta_a) Q[\widehat{G-a}] \\ &= \frac{d^{q(G-a)+1-p(G-a)+1}}{\beta_a \prod_{b \in E(G-a)} \beta_b} (\alpha_a + d\beta_a) Q[\widehat{G-a}] \\ &= d \left(d + \frac{\alpha_a}{\beta_a} \right) T[G-a]. \end{aligned} \quad (18)$$

(2) If a is not a loop, by Eq. (16), we have

$$\begin{aligned} T[G] &= \frac{d^{q(G)-p(G)+1}}{\prod_{b \in E(G)} \beta_b} Q[\hat{G}] \\ &= \frac{d^{q(G)-p(G)+1}}{\prod_{b \in E(G)} \beta_b} (\alpha_a Q[\widehat{G-a}] + \beta_a Q[\widehat{G/a}]) \\ &= \frac{d^{q(G-a)+1-p(G-a)+1}}{\beta_a \prod_{b \in E(G-a)} \beta_b} \alpha_a Q[\widehat{G-a}] + \frac{d^{q(G/a)+1-p(G/a)-1+1}}{\beta_a \prod_{b \in E(G-a)} \beta_b} \beta_a Q[\widehat{G/a}] \\ &= d \frac{\alpha_a}{\beta_a} T[G-a] + T[G/a]. \end{aligned} \quad (19)$$

Comparing the coefficients of Eqs. (17)-(19) with those of (2)-(4) in Lemma 2.1, we know that $T[G] = Ch[G] |_{w=1-d^2, a=\gamma_a}$. Theorem 2.6 follows directly. \square

Hereafter, we always suppose that $B = A^{-1}$, $d = -A^2 - A^{-2}$. Let $X = A + Bd = -A^{-3}$. Let $H_a = P_n^+$, a positive path with length n . Then $Q[P_n^+] = X^n$. It is not difficult to obtain

$$Q[C_n^+] = (X^n - A^n)/d + dA^n.$$

Thus,

$$\begin{aligned} \alpha[P_n^+] &= (X^n - A^n)/d, \\ \beta[P_n^+] &= A^n, \\ \gamma[P_n^+] &= (X/A)^n = (-A^{-4})^n. \end{aligned}$$

Hence, we have

Corollary 2.7 [13] *Let G be a connected labeled graph. Let G_c be the signed graph obtained from G by replacing each edge a by a positive path with length n_a . In $Ch[G]$, if we replace w by $1 - d^2$, and replace a by $(-A^{-4})^{n_a}$ for every label a , then we have*

$$Q[G_c] = \frac{A^{\sum_{a \in E(G)} n_a}}{d^{q(G) - p(G) + 1}} Ch[G], \quad (20)$$

where $p(G)$ and $q(G)$ are the numbers of vertices and edges of G , respectively.

Example 2.8 (1) *The generalized theta graph Θ_{s_1, \dots, s_p} consists of end-vertices x, y connected by p internally disjoint positive paths of lengths s_1, \dots, s_p . By Eq. (5) and Corollary 2.7, we obtain*

$$Q[\Theta_{s_1, \dots, s_p}] = \frac{A^{\sum s_i}}{d^{p+1}} \left[\prod_{i=1}^p ((-A^{-4})^{s_i} + d^2 - 1) + (d^2 - 1) \prod_{i=1}^p ((-A^{-4})^{s_i} - 1) \right]. \quad (21)$$

Note that the formula is essentially the same as the formula for Kaufman bracket polynomial of pretzel links in [17].

(2) *Let B_{s_1, \dots, s_p} be the graph consisting of one vertex incident with p internally disjoint positive cycles of lengths s_1, \dots, s_p . By Eq. (6) and Corollary 2.7, we obtain*

$$Q[B_{s_1, \dots, s_p}] = \frac{A^{\sum s_i}}{d^p} \prod_{i=1}^p ((-A^{-4})^{s_i} + d^2 - 1). \quad (22)$$

By Example 2.8, we obtain

$$\alpha[\Theta_{s_1, \dots, s_p}] = \frac{A^{\sum s_i}}{d^p} \prod_{i=1}^p ((-A^{-4})^{s_i} - 1), \quad (23)$$

$$\beta[\Theta_{s_1, \dots, s_p}] = \frac{A^{\sum s_i}}{d^{p+1}} \left[\prod_{i=1}^p ((-A^{-4})^{s_i} + d^2 - 1) - \prod_{i=1}^p ((-A^{-4})^{s_i} - 1) \right]. \quad (24)$$

3 Zeros of Jones polynomials are dense in the whole complex plane

Suppose that $\{f_n(x)|n = 1, 2, \dots\}$ is a family of polynomials. A complex number z is said to be the limit of zeros of $\{f_n(x)\}$ if either $f_n(z) = 0$ for all sufficiently large n or z is a limit point of the set $\Re(\{f_n(x)\})$, where $\Re(\{f_n(x)\})$ is the union of the zeros of the $f_n(x)$'s. In [18], Beraha, Kahane and Weiss proved the following theorem.

Theorem 3.1 *If $\{f_n(x)\}$ is a family of polynomials such that*

$$f_n(x) = \alpha_1(x)\lambda_1(x)^n + \alpha_2(x)\lambda_2(x)^n + \dots + \alpha_l(x)\lambda_l(x)^n, \quad (25)$$

where the $\alpha_i(x)$ and $\lambda_i(x)$ are fixed non-zero polynomials, such that no pair $i \neq j$ has $\lambda_i(x) \equiv \omega\lambda_j(x)$ for some complex number ω of unit modulus, then z is a limit of zeros of $\{f_n(x)\}$ if and only if

- (1) *two or more of the $\lambda_i(z)$ are of equal modulus, and strictly greater in modulus than the others; or*
- (2) *for some j , the modulus of $\lambda_j(z)$ is strictly greater than those of the others, and $\alpha_j(z) = 0$.*

Now we define a family of ‘ring of tangles’ links. Let H_i be a signed plane graph and u_i, v_i be two distinct vertices of H_i lying in the boundary of the unbounded face for $i = 1, 2, \dots, n$. Let $C(H_1, H_2, \dots, H_n)$ be the signed plane graph obtained by identifying u_i with v_{i+1} for each $i = 1, 2, \dots, n - 1$, and identifying u_n with v_1 . We denote by $L(T_1, T_2, \dots, T_n)$ the link diagram corresponding to $C(H_1, H_2, \dots, H_n)$ with the tangle T_i corresponding to H_i for $i = 1, 2, \dots, n$, and call it a ‘ring of tangles’ link. In particular, if $H_1 = H_2 = \dots = H_n = H$, we denote $C(H_1, H_2, \dots, H_n)$ by $C^n(H)$ for simplicity.

Lemma 3.2 *Let $L^n(T)$ be the link diagram corresponding to $C^n(H)$ with the tangle T corresponding to the signed plane graph H . Let $Q(H) = Q[H]|_{A=t^{-1/4}}$ and $\beta(H) = \beta[H]|_{A=t^{-1/4}}$. Then points satisfying the following equation*

$$|\beta(H)| = |Q(H)| \quad (26)$$

are limits of zeros of Jones polynomials of $\{L^n(T)|n = 1, 2, \dots\}$.

Proof.

- (1) Let C_n be the n -cycle, and its edges are all labeled with a . By using the definition of the chain polynomial, it is not difficult for us to obtain $Ch[C_n] = a^n - w$. Replacing a suitably by the signed plane graph H , we obtain $C^n(H)$. By Theorem 2.6, we have

$$\begin{aligned} Q[C^n(H)] &= \frac{\beta[H]^n}{d}(\gamma[H_i]^n - (1 - d^2)) \\ &= \frac{d^2 - 1}{d}\beta[H]^n + \frac{1}{d}(\beta[H] + d\alpha[H])^n \\ &= \frac{d^2 - 1}{d}\beta[H]^n + \frac{1}{d}Q[H]^n. \end{aligned}$$

(2) By replacing A by $t^{-1/4}$, we obtain

$$V_{L^n(T)}(t) \doteq \frac{1}{t+1} \{(t^2 + t + 1)\beta(H)^n + tQ(H)^n\}, \quad (27)$$

where \doteq denotes equality up to a factor $\pm t^{k/2}$. Then by applying Beraha-Kahane-Weiss's Theorem, we obtain the lemma.

□

The following Sokal's lemma [10] will play an important role in proving our main result.

Lemma 3.3 *Let F_1, F_2, G be analytic functions on a disc $|z| < R$ satisfying $|G(0)| \leq 1$ and G not constant. Then, for each $\epsilon > 0$, there exists $s_0 < \infty$ such that for all integers $s \geq s_0$ the equation*

$$|1 + F_1(z)G(z)^s| = |1 + F_2(z)G(z)^s| \quad (28)$$

has a solution in the disc $|z| < \epsilon$.

Lemma 3.4 *Let t_0 be any complex number. For any real $\epsilon > 0$, there is a signed plane graph H such that Eq. (26) has a zero t with $|t - t_0| < \epsilon$.*

Proof. Let I_s be the graph with two vertices x and y , and s parallel edges joining x and y . Denote by I_s^+ and I_s^- the two signed graphs obtained from I_s by assigning its each edge a positive sign and a negative sign, respectively. By setting $p = s$ and $s_1 = s_2 = \dots = s_p = 1$ in Eqs. (23) and (24), we obtain

$$\begin{aligned} \alpha[I_s^+] &= A^{-s}, \\ \beta[I_s^+] &= \frac{(-A^3)^s - A^{-s}}{-A^2 - A^{-2}}. \end{aligned}$$

Thus we have

$$Q[I_s^+] = \beta[I_s^+] + d\alpha[I_s^+] = \frac{1}{-A^2 - A^{-2}} [(A^4 + A^{-4} + 1)A^{-s} + (-A^3)^s].$$

Then the equation

$$|\beta[I_s^+]| = |Q[I_s^+]|$$

is equivalent to

$$|1 + (-1)^{s-1}A^{-4s}| = |1 + (-1)^s(A^4 + A^{-4} + 1)A^{-4s}|.$$

Letting $A = t^{-1/4}$, this equation is transformed into

$$|1 - (-t)^s| = |1 + (t + t^{-1} + 1)(-t)^s|. \quad (29)$$

Let t_0 be an any fixed complex number with $|t_0| \leq 1$ and $t_0 \neq 0$. Setting $z = t - t_0$, Eq. (29) becomes

$$|1 - (-z - t_0)^s| = |1 + (z + t_0 + (z + t_0)^{-1} + 1)(-z - t_0)^s|. \quad (30)$$

By Sokal's Lemma ($F_1(z) = -1, F_2(z) = (z + t_0 + (z + t_0)^{-1} + 1), G(z) = (-z - t_0)$), then for any $\epsilon > 0$, there exists s_0 such that for any $s \geq s_0$, Eq. (30) has a zero z satisfying $|z| < \epsilon$, i.e. Eq. (29) has a zero $t = z + t_0$ satisfying $|t - t_0| < \epsilon$.

For the special case that $t_0 = 0$, by the above result, there exists s_0 such that for any $s \geq s_0$, Eq. (29) has a zero t satisfying $|t - \epsilon/2| < \epsilon/2$, implying that $|t| < \epsilon$.

Now consider I_s^- , in this case, Eq. (29) becomes

$$|1 - (-t^{-1})^s| = |1 + (t + t^{-1} + 1)(-t^{-1})^s|. \quad (31)$$

If $|t_0| \geq 1$, by Sokal's Lemma, for any $\epsilon > 0$, there exists s_0 such that for any $s \geq s_0$, Eq. (31) has a zero t satisfying $|t - t_0| < \epsilon$. \square

Theorem 3.5 *Zeros of Jones polynomials are dense in the whole complex plane.*

Proof. Let t_0 be any complex number and ϵ any positive real number. By Lemma 3.4, there exists a signed plane graph H such that Eq. (26) has a zero t' with $|t' - t_0| < \epsilon/2$. Then, by Lemma 3.2, there exists an integer $n > 0$ such that $V_{L^n(T)}(t)$ has a zero t with $|t - t'| < \epsilon/2$. Together, these mean that there exists a zero t of some Jones polynomial with $|t - t_0| < \epsilon$. \square

Finally, we give two remarks on Theorem 3.5.

1. Note that $C^n(I_s^+)$ and $C^n(I_s^-)$ correspond to the pretzel link $P(\overbrace{s, s, \dots, s}^n)$ with $s > 0$ and $s < 0$. We actually prove that the zeros of Jones polynomials of pretzel links are dense in the whole complex plane.
2. Using Beraha-Kahane-Weiss's Theorem we can also prove that points of Eq. (26) are also limits of zeros of Jones polynomials of the link subfamily $\{L^{2k+1}(T) | k = 1, 2, \dots\}$. Note that when n and s are both odd numbers, $P(\overbrace{s, s, \dots, s}^n)$ is a knot. Hence, we can further prove that zeros of Jones polynomial of such pretzel knots are dense in the whole complex plane.

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