

SPECIALIZATION OF THE  $p$ -ADIC POLYLOGARITHM TO  
 $p$ -TH POWER ROOTS OF UNITY

DEDICATED TO PROFESSOR KAZUYA KATO  
FOR HIS FIFTIETH BIRTHDAY

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ABSTRACT. The purpose of this paper is to calculate the restriction of the  $p$ -adic polylogarithm sheaf to  $p$ -th power torsion points.

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## 1 INTRODUCTION

Fix a rational prime  $p$ . The classical polylogarithm sheaf, constructed by Beilinson and Deligne, is a variation of mixed Hodge structures on the projective line minus three points. The  $p$ -adic polylogarithm sheaf is its  $p$ -adic analogue, and is expected to be the  $p$ -adic realization of the motivic polylogarithm sheaf. In our previous paper [Ban1], we explicitly calculated the  $p$ -adic polylogarithm sheaf on the projective line minus three points, and calculated its specializations to the  $d$ -th roots of unity for  $d$  prime to  $p$ . The purpose of this paper is to extend this calculation to the  $d$ -th roots of unity for  $d$  divisible by  $p$ . In particular, we prove that the specialization of the  $p$ -adic polylogarithm sheaf to  $d$ -th roots of unity is again related to special values of the  $p$ -adic polylogarithm function defined by Coleman [Col].

Let  $K = \mathbb{Q}_p(\mu_d)$ , with ring of integers  $\mathcal{O}_K$ . Let  $\mathbb{G}_m = \text{Spec } \mathcal{O}_K[t, t^{-1}]$  be the multiplicative group over  $\mathcal{O}_K$ . Denote by  $S(\mathbb{G}_m)$  the category of *syntomic coefficients* on  $\mathbb{G}_m$ . This category is a rough  $p$ -adic analogue of the category of variation of mixed Hodge structures. Since  $p$  is in general ramified in  $K$ , we

will use the definition in [Ban2], which is a generalization of the definition in [Ban1] to the case when  $p$  is ramified in  $K$ .

In order to describe the polylogarithm sheaf, it is first necessary to introduce the logarithmic sheaf  $\mathcal{L}og$ , which is a pro-object in  $S(\mathbb{G}_m)$ . The first property we prove for this sheaf is that it satisfies the *splitting principle*, even at roots of unity whose order is divisible by  $p$ .

PROPOSITION (= PROPOSITION 5.1) *Let  $z \neq 1$  be a  $d$ -th root of unity in  $K$ , and let  $i_z : \text{Spec } \mathcal{O}_K \hookrightarrow \mathbb{G}_m$  be the closed immersion defined by  $t \mapsto z$ . Then*

$$i_z^* \mathcal{L}og = \prod_{j \geq 0} K(j).$$

Let  $\mathbb{U} = \mathbb{G}_m \setminus \{1\}$ . In our previous paper, following the method of [HW1] Definition III 2.2, we constructed the polylogarithm extension

$$\text{pol} \in \text{Ext}_{S_{\text{syn}}(\mathbb{U})}^1(K(0), \mathcal{L}og).$$

We first consider the case when  $z$  is a  $d$ -th root of unity, where  $d$  is an integer of the form  $d = Np^r$  with  $(N, p) = 1$  and  $N > 1$ . In this case, we have a natural map  $i_z : \text{Spec } \mathcal{O}_K \rightarrow \mathbb{U}$ . Let  $i_z^* \text{pol}$  be the image of  $\text{pol}$  in

$$\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), i_z^* \mathcal{L}og) = \prod_{j \geq 0} \text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$$

with respect to the pull-back map

$$\text{Ext}_{S(\mathbb{U})}^1(K(0), \mathcal{L}og) \xrightarrow{i_z^*} \text{Ext}_{S(\mathcal{O}_K)}^1(K(0), i_z^* \mathcal{L}og).$$

Our main result is concerned with the explicit shape of  $i_z^* \text{pol}$ .

For integers  $j \geq 1$ , let  $\text{Li}_j(t)$  be the  $p$ -adic polylogarithm function defined by Coleman ([Col] VI, the function denoted  $\ell_j(t)$ ). It is a locally analytic function defined on  $\mathbb{P}^1(\mathbb{C}_p) \setminus \{1, \infty\}$  satisfying  $\text{Li}_j(0) = 0$ . On the open unit disc  $\{z \in \mathbb{C}_p \mid |z|_p < 1\}$ , the function is given by the usual power series

$$\text{Li}_j(t) = \sum_{n=1}^{\infty} \frac{t^n}{n^j}.$$

To deal with the specialization at points in the open unit disc around one, we also consider the locally analytic function

$$\text{Li}_{j,c}(t) = \text{Li}_j(t) - c^{1-j} \text{Li}_j(t^c),$$

where  $c$  is an integer  $> 1$ .

Our main theorem may be stated as follows:

**THEOREM 1 (= THEOREM 7.3)** *Let  $z$  be a  $d$ -th root of unity, where  $d$  is an integer of the form  $d = Np^r$  with  $(N, p) = 1$  and  $N > 1$ . Then we have*

$$i_z^* \text{pol} = \left( (-1)^j \text{Li}_j(z) \right)_{j \geq 1} \in \prod_{j \geq 0} \text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)),$$

where we view  $(-1)^j \text{Li}_j(z)$  as elements of  $\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$  through the isomorphism

$$\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)) \cong K. \tag{1}$$

**REMARK 1** *The above is compatible with the results of Somekawa [So] and also Besser-de Jeu [BdJ] on the calculation of the syntomic regulator.*

**REMARK 2** *In [Ban1], we proved that when  $d$  is prime to  $p$ ,*

$$i_z^* \text{pol} = \left( (-1)^j \ell_j^{(p)}(z) \right)_{j \geq 1},$$

where  $\ell_j^{(p)}(t)$  is a locally analytic function on  $\mathbb{P}^1(\mathbb{C}_p) \setminus \{1, \infty\}$ , whose expansion on the open unit disc around 0 is given by

$$\ell_j^{(p)}(t) = \sum_{n \geq 1, (n,p)=1} \frac{t^n}{n^j}.$$

The difference between this formula and the formula of the previous theorem comes from the choice of the isomorphism (1). (See Remark 7.2 for details.)

For the case when  $z$  is a  $p^r$ -th root of unity, let  $c > 1$  be an integer and let  $[c] : \mathbb{G}_m \rightarrow \mathbb{G}_m$  be the multiplication by  $c$  map induced from  $t \mapsto t^c$ . We denote by  $[c]^*$  the pull back morphism of syntomic coefficients. We define the modified polylogarithm to be

$$\text{pol}_c = \text{pol} - [c]^* \text{pol},$$

which we prove to be an element in  $\text{Ext}_{S_{\text{syn}}(\mathbb{U}_c)}^1(K(0), \mathcal{L}og)$  for

$$\mathbb{U}_c = \text{Spec } \mathcal{O}_K \left[ t, \frac{t-1}{t^c-1} \right].$$

We note that this modification, which removes the singularity around one, is standard in Iwasawa theory.

Our theorem in this case is:

**THEOREM 2 (= THEOREM 8.3)** *Let  $z$  be a  $p^r$ -th root of unity. Then we have*

$$i_z^* \text{pol}_c = \left( (-1)^j \text{Li}_{j,c}(z) \right)_{j \geq 1} \in \prod_{j \geq 0} \text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)),$$

where  $i_z^*$  is the pull back of syntomic coefficient by the natural inclusion  $i_z : \text{Spec } \mathcal{O}_K \rightarrow \mathbb{U}_c$ . Again, we view  $\text{Li}_{j,c}(z)$  as an element of  $\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$  through the isomorphism (1).

## CONTENTS

1	INTRODUCTION	73
2	REVIEW OF THE $p$ -ADIC POLYLOGARITHM FUNCTION	76
3	THE CATEGORY OF SYNTOMIC COEFFICIENTS	78
4	MORPHISMS OF SYNTOMIC DATA	82
5	THE SPLITTING PRINCIPLE	84
6	THE SPECIALIZATION OF $\text{pol}$ TO TORSION POINTS	88
7	THE MAIN RESULT (CASE $N > 1$ )	91
8	THE MAIN RESULT (CASE $N = 1$ )	94

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NOTATION Let  $p$  be a rational prime. In this paper, we let  $K$  be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}_K$  and residue field  $k$ . We denote by  $\pi$  a generator of the maximal ideal of  $\mathcal{O}_K$ . We let  $K_0$  the maximal unramified extension of  $\mathbb{Q}_p$  in  $K$ , and  $W$  its ring of integers. We denote by  $\sigma$  the Frobenius morphism on  $K_0$  and  $W$ .

2 REVIEW OF THE  $p$ -ADIC POLYLOGARITHM FUNCTION

In this section, we will review the theory of  $p$ -adic polylogarithm functions due to Coleman [Col]. Since we will mainly deal with the value of the  $p$ -adic polylogarithm function at units in  $\mathcal{O}_{\mathbb{C}_p}$ , we will not need the full theory of Coleman integration.

As in [Col], we call any locally analytic homomorphism  $\log : \mathbb{C}_p^\times \rightarrow \mathbb{C}_p^+$ , such that  $\frac{d}{dz} \log(1) = 1$ , a branch of the logarithm. Throughout this paper, we fix once and for all a branch of the logarithm. Since we will only deal with the values of  $p$ -adic analytic functions at points outside the open unit disc where the functions have logarithmic poles, the results of this paper is *independent* of the choice of the branch.

We define the  $p$ -adic polylogarithm function  $\ell_j^{(p)}(t)$  for  $|t| < 1$  by

$$\ell_j^{(p)}(t) = \sum_{(n,p)=1} \frac{t^n}{n^j} \quad (j \geq 1).$$

By [Col] Proposition 6.2, this function extends to a rigid analytic function on  $\mathbb{C}_p \setminus \{z; |z - 1|_p < p^{(p-1)^{-1}}\}$ .

PROPOSITION 2.1 ([COL] SECTION VI) *The  $p$ -adic polylogarithm function  $\text{Li}_j(t)$  (denoted  $\ell_j(t)$  in [Col]) is a locally analytic function on  $\mathbb{P}^1(\mathbb{C}_p) \setminus \{1, \infty\}$  satisfying*

- (i)  $\text{Li}_0(t) = t/(1-t)$
- (ii)  $\frac{d}{dt} \text{Li}_{j+1}(t) = \frac{1}{t} \text{Li}_j(t) \quad (j \geq 0)$ .
- (iii)  $\ell_j^{(p)}(t) = \text{Li}_j(t) - p^{-j} \text{Li}_j(t^p) \quad (j \geq 1)$ .

DEFINITION 2.2 (i) *For any integer  $j$ , we define the function  $u_j(t)$  by*

$$u_j(t) = \begin{cases} \frac{1}{j!} \log^j(t) & (j \geq 0) \\ 0 & (j < 0). \end{cases}$$

*Note that if  $z$  is a root of unity in  $\mathbb{C}_p$ , then  $u_j(z) = 0$  ( $j \neq 0$ ).*

(ii) *For any integer  $n \geq 1$ , we define the function  $D_n(t)$  by*

$$D_n(t) = \sum_{j=0}^{n-1} (-1)^j \text{Li}_{n-j}(t) u_j(t).$$

*If  $z$  is a root of unity in  $\mathbb{C}_p$ , then  $D_n(z) = \text{Li}_n(z)$ .*

To deal with the torsion points of  $p$ -th power order, we need modified versions of the above functions.

DEFINITION 2.3 *Let  $c > 1$  be an integer prime to  $p$ . We let:*

- (i)  $\ell_{j,c}^{(p)}(z) = \ell_j^{(p)}(z) - c^{1-n} \ell_j^{(p)}(z^c) \quad (j \geq 1)$ .
- (ii)  $\text{Li}_{j,c}(z) = \text{Li}_{j,c}(z) - c^{1-n} \text{Li}_{j,c}(z^c) \quad (j \geq 1)$ .
- (iii)

$$D_{n,c}(z) = \sum_{j=0}^{n-1} (-1)^j \text{Li}_{n-j,c}(t) u_j(t).$$

The above functions are locally analytic on the open unit disc around one.

## 3 THE CATEGORY OF SYNTOMIC COEFFICIENTS

In this section, we will review the construction of the category of syntomic coefficients given in [Ban2] §4. Note that since we need to deal with the case when the prime  $p$  is ramified in  $K$ , the theory of [Ban1] is not sufficient.

DEFINITION 3.1 *A syntomic datum  $\mathfrak{X} = (X, \overline{X}, j, \mathcal{P}_X, \phi_X, \iota)$  consists of the following:*

- (i) *A proper smooth scheme  $\overline{X}$ , separated and of finite type over  $\mathcal{O}_K$ , and an open immersion  $j : X \hookrightarrow \overline{X}$ , such that the complement  $D$  is a relative simple normal crossing divisor over  $\mathcal{O}_K$ .*
- (ii) *A formal scheme  $\mathcal{P}_X$  over  $W$ .*
- (iii) *For the formal completion  $\overline{\mathcal{X}}$  of  $\overline{X}$  with respect to the special fiber, a closed immersion  $\iota : \overline{\mathcal{X}} \rightarrow \mathcal{P}_X \otimes_W \mathcal{O}_K$ , such that both  $\mathcal{P}_X$  and the morphism  $\iota$  are smooth in a neighborhood of  $X_k$ .*
- (iv) *A Frobenius map  $\phi_X : \mathcal{P}_X \rightarrow \mathcal{P}_X$ , which fits into the diagram*

$$\begin{array}{ccccc}
 \overline{X}_k & \xrightarrow{\iota} & \mathcal{P}_X & \longrightarrow & \mathrm{Spf} W \\
 F \downarrow & & \phi_X \downarrow & & \sigma^* \downarrow \\
 \overline{X}_k & \xrightarrow{\iota} & \mathcal{P}_X & \longrightarrow & \mathrm{Spf} W,
 \end{array} \tag{2}$$

where  $F$  is the absolute Frobenius of  $\overline{X}_k$ .

We will often omit  $j$  and  $\iota$  from the notation and write

$$\mathfrak{X} = (X, \overline{X}, \mathcal{P}_X, \phi_X).$$

EXAMPLE 3.2 1. *Let  $\mathbb{P}^1$  be the projective line over  $W$  with coordinate  $t$ , and let  $\mathbb{P}_{\mathcal{O}_K}^1 = \mathbb{P}^1 \otimes \mathcal{O}_K$ . We let  $\mathbb{G}_m$  be the syntomic datum given by*

$$\mathbb{G}_m = \left( \mathbb{G}_{m\mathcal{O}_K}, \mathbb{P}_{\mathcal{O}_K}^1, \widehat{\mathbb{P}}^1, \phi \right),$$

where

- (a)  $\mathbb{G}_{m\mathcal{O}_K}$  is the multiplicative group over  $\mathcal{O}_K$ , with natural inclusion  $j : \mathbb{G}_{m\mathcal{O}_K} \hookrightarrow \mathbb{P}_{\mathcal{O}_K}^1$ .
- (b)  $\widehat{\mathbb{P}}^1$  is the  $p$ -adic formal completion of  $\mathbb{P}^1$ .
- (c)  $\iota : \widehat{\mathbb{P}}_{\mathcal{O}_K}^1 \rightarrow \widehat{\mathbb{P}}^1 \otimes \mathcal{O}_K$  is the identity.
- (d)  $\phi$  is the Frobenius given by  $\phi(t) = t^p$  for the coordinate  $t$  on  $\widehat{\mathbb{P}}^1$ .

2. We let  $\mathbb{U}$  be the syntomic datum given by

$$\mathbb{U} = \left( \mathbb{U}_{\mathcal{O}_K}, \mathbb{P}_{\mathcal{O}_K}^1, \widehat{\mathbb{P}}^1, \phi \right),$$

where  $\mathbb{U}_{\mathcal{O}_K} = \mathbb{P}_{\mathcal{O}_K}^1 \setminus \{0, 1, \infty\}$ , with the natural inclusion  $j : \mathbb{U}_{\mathcal{O}_K} \hookrightarrow \mathbb{P}_{\mathcal{O}_K}^1$ .

3. We let  $\mathcal{O}_K$  be the syntomic datum given by

$$\mathcal{O}_K = (\text{Spec } \mathcal{O}_K, \text{Spec } \mathcal{O}_K, \text{Spf } W, \sigma),$$

where  $j$  and  $\iota$  are the identity.

Throughout this section, we fix a syntomic datum  $\mathfrak{X}$ . We will next review the definition of the category of *syntomic coefficients*  $S(\mathfrak{X})$  on  $\mathfrak{X}$ . We will first define the categories  $S_{\text{dR}}(\mathfrak{X})$ ,  $S_{\text{rig}}(\mathfrak{X})$  and  $S_{\text{vec}}(\mathfrak{X})$ . Let  $X_K = X \otimes K$  and  $\overline{X}_K = \overline{X} \otimes K$ .

DEFINITION 3.3 We define the category  $S_{\text{dR}}(\mathfrak{X})$  to be the category consisting of objects the triple  $M_{\text{dR}} := (M_{\text{dR}}, \nabla_{\text{dR}}, F^\bullet)$ , where:

- (i)  $M_{\text{dR}}$  is a coherent  $\mathcal{O}_{\overline{X}_K}$  module.
- (ii)  $\nabla_{\text{dR}} : M_{\text{dR}} \rightarrow M_{\text{dR}} \otimes \Omega^1(\log D_K)$  is an integrable connection on  $M_{\text{dR}}$  with logarithmic poles along  $D_K = D \otimes K$ .
- (iii)  $F^\bullet$  is the Hodge filtration, which is a descending exhaustive separated filtration on  $M_{\text{dR}}$  by coherent sub- $\mathcal{O}_{\overline{X}_K}$  modules satisfying

$$\nabla_{\text{dR}}(F^m M_{\text{dR}}) \subset F^{m-1} M_{\text{dR}} \otimes \Omega_{\overline{X}_K}^1(\log D_K).$$

Let  $X_k = X \otimes k$  be the special fiber of  $X$  and  $\mathcal{X}$  the formal completion of  $X$  with respect to the special fiber. We denote by  $\mathcal{X}_K$  the rigid analytic space over  $K$  associated to  $\mathcal{X}$  ([Ber1] Proposition (0.2.3)) and by  $X_K^{\text{an}}$  the rigid analytic space over  $K$  associated to  $X_K$  (loc. cit. Proposition (0.3.3)). We will use the same notations for  $\overline{X}$ .

DEFINITION 3.4 We say that a set  $V \subset \overline{X}_K$  is a strict neighborhood of  $\mathcal{X}_K$  in  $X_K^{\text{an}}$ , if  $V \cup (X_K^{\text{an}} \setminus \mathcal{X}_K)$  is a covering of  $X_K^{\text{an}}$  for the Grothendieck topology.

For any abelian sheaf  $M$  on  $X_K^{\text{an}}$ , we let

$$j^\dagger M := \varinjlim_V \alpha_{V*} \alpha_V^* M,$$

where the limit is taken with respect to strict neighborhoods  $V$  of  $\mathcal{X}_K$  in  $X_K^{\text{an}}$  with inclusion  $\alpha_V : V \hookrightarrow \overline{X}_K$ . If  $M$  has a structure of a  $\mathcal{O}_{X_K^{\text{an}}}$ -module, then  $j^\dagger M$  has a structure of a  $j^\dagger \mathcal{O}_{X_K^{\text{an}}}$ -module.

DEFINITION 3.5 We define the category  $S_{\text{vec}}(\mathfrak{X})$  to be the category consisting of objects the pair  $M_{\text{vec}} := (M_{\text{vec}}, \nabla_{\text{vec}})$ , where:

- (i)  $M_{\text{vec}}$  is a coherent  $j^\dagger \mathcal{O}_{X_K^{\text{an}}}$  module.
- (ii)  $\nabla_{\text{vec}} : M_{\text{vec}} \rightarrow M_{\text{vec}} \otimes \Omega_{X_K^{\text{an}}}^1$  is an integrable connection on  $M_{\text{vec}}$ .

Let  $p_{\text{dR}} : X_K^{\text{an}} \rightarrow \overline{X}_K$  be the natural map.

DEFINITION 3.6 We define the functor

$$\mathbf{F}_{\text{dR}} : S_{\text{dR}}(\mathfrak{X}) \rightarrow S_{\text{vec}}(\mathfrak{X})$$

by associating to  $M_{\text{dR}} := (M_{\text{dR}}, \nabla_{\text{dR}}, F^\bullet)$  the module  $j^\dagger(p_{\text{dR}}^* M_{\text{dR}})$  with the connection induced from  $\nabla_{\text{dR}}$ . The functor  $\mathbf{F}_{\text{dR}}$  is exact, since it is a composition of exact functors ([Ber1] Proposition 2.1.3 (iii)).

Let  $\mathcal{P}_{K_0}$  be the rigid analytic space over  $K_0$  associated to  $\mathcal{P}_X$  ([Ber1] (0.2.2)). As in loc. cit. Définitions (1.1.2)(i), we define the tubular neighborhood of  $\overline{X}_k$  (resp.  $X_k$ ) in  $\mathcal{P}_{K_0}$  by

$$]\overline{X}_k[_{\mathcal{P}} := \text{sp}^{-1}(\overline{X}_k) \quad (\text{resp. } ]X_k[_{\mathcal{P}} := \text{sp}^{-1}(X_k)),$$

where  $\text{sp} : \mathcal{P}_{K_0} \rightarrow \mathcal{P}_X$  is the *spécialization* [Ber1] (0.2.2.1). The tubular neighborhoods are rigid analytic spaces over  $K_0$  with structures induced from that of  $\mathcal{P}_{K_0}$ .

DEFINITION 3.7 We say that a set  $V \subset ]\overline{X}_k[_{\mathcal{P}}$  is a strict neighborhood of  $]X_k[_{\mathcal{P}}$  in  $]\overline{X}_k[_{\mathcal{P}}$ , if

$$V \cup (]\overline{X}_k[_{\mathcal{P}} \setminus ]X_k[_{\mathcal{P}})$$

is a covering of  $]\overline{X}_k[_{\mathcal{P}}$  for the Grothendieck topology.

For any abelian sheaf  $M$  on  $]\overline{X}_k[_{\mathcal{P}}$ , we let

$$j^\dagger M := \varinjlim_V \alpha_{V*} \alpha_V^* M,$$

where the limit is taken with respect to strict neighborhoods  $V$  of  $]X_k[_{\mathcal{P}}$  in  $]\overline{X}_k[_{\mathcal{P}}$  with inclusion  $\alpha_V : V \hookrightarrow ]\overline{X}_k[_{\mathcal{P}}$ . If  $M$  has a structure of a  $\mathcal{O}_{]\overline{X}_k[_{\mathcal{P}}}$ -module, then  $j^\dagger M$  has a structure of a  $j^\dagger \mathcal{O}_{]X_k[_{\mathcal{P}}}$ -module.

The Frobenius map  $\phi_X : \mathcal{P}_X \rightarrow \mathcal{P}_X$  induces a natural morphism of rigid analytic spaces  $\phi_X : ]\overline{X}_k[_{\mathcal{P} \rightarrow } ]\overline{X}_k[_{\mathcal{P}}$ .

DEFINITION 3.8 We define the category  $S_{\text{rig}}(\mathfrak{X})$  to be the category consisting of objects the triple  $M_{\text{rig}} := (M_{\text{rig}}, \nabla_{\text{rig}}, \Phi_M)$ , where:

- (i)  $M_{\text{rig}}$  is a coherent  $j^\dagger \mathcal{O}_{]X_k[_{\mathcal{P}}}$ -module.



- (ii)  $\nabla_{\text{rig}} : M_{\text{rig}} \rightarrow M_{\text{rig}} \otimes \Omega_{\overline{X}_k[\mathcal{P}]}^1$  is an integrable connection on  $M_{\text{rig}}$ .
- (iii)  $\Phi_M$  is the Frobenius morphism, which is an isomorphism

$$\Phi_M : \phi_X^* M_{\text{rig}} \xrightarrow{\cong} M_{\text{rig}}$$

of  $j^\dagger \mathcal{O}_{\overline{X}_k[\mathcal{P}]}$ -modules compatible with the connection.

The map  $\iota : \overline{\mathcal{X}} \rightarrow \mathcal{P}_X \otimes_W \mathcal{O}_K$  induces a map of rigid analytic spaces

$$p_{\text{rig}} : X_K^{\text{an}} \rightarrow \overline{X}_k[\mathcal{P}]. \tag{3}$$

DEFINITION 3.9 We define the functor

$$\mathbf{F}_{\text{rig}} : S_{\text{rig}}(\mathfrak{X}) \rightarrow S_{\text{vec}}(\mathfrak{X})$$

by associating to the object  $M_{\text{rig}} := (M_{\text{rig}}, \nabla_{\text{rig}}, \Phi_M)$  the object

$$\mathbf{F}_{\text{rig}}(M_{\text{rig}}) := (p_{\text{rig}}^* M_{\text{rig}}, p_{\text{rig}}^* \nabla_{\text{rig}})$$

in  $S_{\text{vec}}(\mathfrak{X})$ . This functor is exact by definition.

DEFINITION 3.10 We define the category of syntomic coefficients to be the category  $S(\mathfrak{X})$  such that:

- (i) The objects of  $S(\mathfrak{X})$  consists of the triple  $\mathcal{M} := (M_{\text{dR}}, M_{\text{rig}}, \mathbf{p})$ , where:
  - (a)  $M_{\text{typ}}$  is an object in  $S_{\text{typ}}(\mathfrak{X})$  for  $\text{typ} \in \{\text{dR}, \text{rig}\}$ .
  - (b)  $\mathbf{p}$  is an isomorphism

$$\mathbf{p} : \mathbf{F}_{\text{dR}}(M_{\text{dR}}) \xrightarrow{\cong} \mathbf{F}_{\text{rig}}(M_{\text{rig}})$$

in  $S_{\text{vec}}(\mathfrak{X})$ .

- (ii) A morphism  $f : \mathcal{M} \rightarrow \mathcal{N}$  in  $S(\mathfrak{X})$  is given by a pair  $(f_{\text{dR}}, f_{\text{rig}})$ , where  $f_{\text{typ}} : M_{\text{typ}} \rightarrow N_{\text{typ}}$  are morphisms in  $S_{\text{typ}}(\mathfrak{X})$  for  $\text{typ} \in \{\text{dR}, \text{rig}\}$  compatible with the comparison isomorphism  $\mathbf{p}$ .

EXAMPLE 3.11 For each integer  $n \in \mathbb{Z}$ , we define the Tate object  $K(n)$  in  $S(\mathfrak{X})$  to be the set  $K(n) := (K(n)_{\text{dR}}, K(n)_{\text{rig}}, \mathbf{p})$ , where:

- (i)  $K(n)_{\text{dR}}$  in  $S_{\text{dR}}(\mathfrak{X})$  is given by the rank one free  $\mathcal{O}_{\overline{X}_K}$ -module generated by  $e_{n,\text{dR}}$ , with connection  $\nabla_{\text{dR}}(e_{n,\text{dR}}) = 0$  and Hodge filtration

$$\begin{cases} F^m K(n)_{\text{dR}} = K(n)_{\text{dR}} & m \leq -n \\ F^m K(n)_{\text{dR}} = 0 & m > -n. \end{cases}$$

(ii)  $K(n)_{\text{rig}}$  in  $S_{\text{rig}}(\mathfrak{X})$  is given by the rank one free  $j^\dagger \mathcal{O}_{\overline{X}_k[\mathfrak{p}]}$ -module generated by  $e_{n,\text{rig}}$ , with connection  $\nabla_{\text{rig}}(e_{n,\text{rig}}) = 0$  and Frobenius

$$\Phi(e_{n,\text{rig}}) := p^{-n} e_{n,\text{rig}}.$$

(iii)  $\mathbf{p}$  is the isomorphism given by  $\mathbf{p}(e_{n,\text{dR}}) = e_{n,\text{rig}}$ .

EXAMPLE 3.12 (SEE [BAN1] DEFINITION 5.1) We define the logarithmic sheaf

$$\mathcal{L}og^{(n)} := (L_{\text{dR}}^{(n)}, L_{\text{rig}}^{(n)}, \mathbf{p})$$

in  $S(\mathbb{G}_m)$  by:

(i)  $L_{\text{dR}}^{(n)}$  in  $S_{\text{dR}}(\mathbb{G}_m)$  is given by the rank  $n$  free  $\mathcal{O}_{\mathbb{P}_K^1}$ -module

$$L_{\text{dR}}^{(n)} = \prod_{j=0}^{n-1} \mathcal{O}_{\mathbb{P}_K^1} e_{j,\text{dR}},$$

with connection  $\nabla_{\text{dR}}(e_{j,\text{dR}}) = e_{j+1,\text{dR}} \otimes d \log t$  for  $0 \leq j \leq n-1$  and  $\nabla(e_{n,\text{dR}}) = 0$ , and Hodge filtration given by

$$F^{-m} L_{\text{dR}}^{(n)} = \prod_{j=0}^m \mathcal{O}_{\mathbb{P}_K^1} e_{j,\text{dR}}.$$

(ii)  $L_{\text{rig}}^{(n)}$  in  $S_{\text{rig}}(\mathbb{G}_m)$  is given by the rank  $n$  free  $j^\dagger \mathcal{O}_{\mathbb{P}_k^1[\mathfrak{p}]}$ -module

$$L_{\text{rig}}^{(n)} = \prod_{j=0}^{n-1} j^\dagger \mathcal{O}_{\mathbb{P}_k^1[\mathfrak{p}]} e_{j,\text{rig}},$$

with connection  $\nabla_{\text{rig}}(e_{j,\text{rig}}) = e_{j+1,\text{rig}} \otimes d \log t$  for  $0 \leq j \leq n-1$  and  $\nabla(e_{n,\text{rig}}) = 0$ , and Frobenius

$$\Phi(e_{j,\text{rig}}) := p^{-j} e_{j,\text{rig}}.$$

(iii)  $\mathbf{p}$  is the isomorphism given by  $\mathbf{p}(e_{j,\text{dR}}) = e_{j,\text{rig}}$ .

#### 4 MORPHISMS OF SYNTOMIC DATA

DEFINITION 4.1 Define a morphism between syntomic data  $u : \mathfrak{X} \rightarrow \mathfrak{Y}$  to be a pair  $(u_{\text{dR}}, u_{\text{rig}})$  such that:

(i)  $u_{\text{dR}} : \overline{X} \rightarrow \overline{Y}$  is a morphism of schemes over  $\mathcal{O}_K$ .

(ii)  $u_{\text{rig}} : \mathcal{P}_X \rightarrow \mathcal{P}_Y$  is a morphism of formal schemes over  $W$  compatible with the Frobenius, such that the diagram

$$\begin{array}{ccc} \overline{X} \otimes k & \xrightarrow{\iota} & \mathcal{P}_X \otimes k \\ u_{\text{dR}} \downarrow & & u_{\text{rig}} \downarrow \\ \overline{Y} \otimes k & \xrightarrow{\iota} & \mathcal{P}_Y \otimes k \end{array} \quad (4)$$

is commutative.

REMARK 4.2 Notice that in (4), contrary to [Ban2] Definition 4.2 (iii), we do not impose the commutativity of the diagram

$$\begin{array}{ccc} \overline{X} & \xrightarrow{\iota} & \mathcal{P}_X \\ u_{\text{dR}} \downarrow & & u_{\text{rig}} \downarrow \\ \overline{Y} & \xrightarrow{\iota} & \mathcal{P}_Y. \end{array} \quad (5)$$

EXAMPLE 4.3 Let  $z$  be an element in  $\mathcal{O}_K^\times$ , and let  $\mathbb{G}_m$  be the syntomic datum defined in Example 3.2.1. We denote by  $z_0$  the Teichmüller representative of  $z$ . In other words,  $z_0$  is a root of unity in  $W$  such that  $z \equiv z_0 \pmod{\pi}$ . Then

$$i_z = (i_{\text{dR}}, i_{\text{rig}}) : \mathcal{O}_K \rightarrow \mathbb{G}_m$$

is a morphism of syntomic data, where  $i_{\text{dR}} : \text{Spec } \mathcal{O}_K \rightarrow \mathbb{G}_{m, \mathcal{O}_K}$  and  $i_{\text{rig}} : \text{Spf } \mathcal{O}_K \rightarrow \widehat{\mathbb{P}}_W^1$  are morphisms defined respectively by  $t \mapsto z$  and  $t \mapsto z_0$ .

Let  $u = (u_{\text{dR}}, u_{\text{rig}}) : \mathfrak{X} \rightarrow \mathfrak{Y}$  be a morphism of syntomic data. By [Ber1] (2.2.16), we have a functor  $u_{\text{rig}}^* : S_{\text{rig}}(\mathfrak{Y}) \rightarrow S_{\text{rig}}(\mathfrak{X})$ .

LEMMA 4.4 Let  $u : \mathfrak{X} \rightarrow \mathfrak{Y}$  be a morphism of syntomic data, and let  $\mathcal{M} := (M_{\text{dR}}, M_{\text{rig}}, \mathbf{p})$  be an object in  $S(\mathfrak{Y})$ . Then there exists a canonical and functorial isomorphism

$$u^*(\mathbf{p}) : \mathbf{F}_{\text{dR}}(u_{\text{dR}}^* M_{\text{dR}}) \rightarrow \mathbf{F}_{\text{rig}}(u_{\text{rig}}^* M_{\text{rig}})$$

in  $S_{\text{vec}}(\mathfrak{X})$ .

The above lemma is trivial if we assume the commutativity of (5).

*Proof.* Let  $u_{\text{vec}} : \overline{X} \rightarrow \overline{Y}$  be the morphism of formal schemes induced from  $u_{\text{dR}}$ , and denote again by  $u_{\text{vec}}$  the map induced on the associated rigid analytic space. Then we have

$$\mathbf{F}_{\text{dR}}(u_{\text{dR}}^* M_{\text{dR}}) = u_{\text{vec}}^* \mathbf{F}_{\text{dR}}(M_{\text{dR}}).$$

Let  $u_1 := \iota \circ u_{\text{vec}}$  and  $u_2 := (u_{\text{rig}} \otimes 1) \circ \iota$  be maps of formal schemes

$$u_1, u_2 : \overline{X} \rightarrow \mathcal{P}_Y \otimes \mathcal{O}_K.$$

Then  $u_{\text{vec}}^* \mathbf{F}_{\text{rig}}(M_{\text{rig}}) = u_{1K}^*(M_{\text{rig}} \otimes K)$  and  $\mathbf{F}_{\text{rig}}(u_{\text{rig}}^* M_{\text{rig}}) = u_{2K}^*(M_{\text{rig}} \otimes K)$ . Since (4) is commutative,  $u_1$  and  $u_2$  coincide on  $\overline{X}_k$ . Hence by [Ber1] Proposition (2.2.17), we have a canonical isomorphism

$$\epsilon_{1,2} : u_{1K}^*(M_{\text{rig}} \otimes K) \xrightarrow{\cong} u_{2K}^*(M_{\text{rig}} \otimes K). \tag{6}$$

The isomorphism of the lemma is the composition of the isomorphism

$$\mathbf{F}_{\text{dR}}(u_{\text{dR}}^* M_{\text{dR}}) = u_{\text{vec}}^* \mathbf{F}_{\text{dR}}(M_{\text{dR}}) \xrightarrow{\mathbf{p} \cong} u_{\text{vec}}^* \mathbf{F}_{\text{rig}}(M_{\text{rig}}).$$

with  $\epsilon_{1,2}$ .

DEFINITION 4.5 *Let  $u : \mathfrak{X} \rightarrow \mathfrak{Y}$  be a morphism of syntomic data. Then*

$$u^* : S(\mathfrak{Y}) \rightarrow S(\mathfrak{X})$$

*is the functor defined by associating to any object  $\mathcal{M} := (M_{\text{dR}}, M_{\text{rig}}, \mathbf{p})$  the object*

$$u^* \mathcal{M} = (u_{\text{dR}}^* M_{\text{dR}}, u_{\text{rig}}^* M_{\text{rig}}, u^*(\mathbf{p}))$$

*in  $S(\mathfrak{X})$ .*

5 THE SPLITTING PRINCIPLE

Let  $\mathcal{L}og^{(n)}$  be the logarithmic sheaf defined in Example 3.12. In this section, we will extend the splitting principle of [Ban1] Proposition 5.2 to the points defined in Example 4.3.

PROPOSITION 5.1 (SPLITTING PRINCIPLE) *Let  $d$  be a positive integer, and let  $z = \zeta_d$  be a primitive  $d$ -th root of unity in  $K$ . Let*

$$i_z = (i_{\text{dR}}, i_{\text{rig}}) : \mathcal{O}_K \rightarrow \mathbb{G}_m$$

*be the morphism of syntomic data of Example 4.3 corresponding to  $z$ . Then we have an isomorphism*

$$i_z^* \mathcal{L}og^{(n)} \cong \prod_{j=0}^n K(j)$$

*in  $S(\mathcal{O}_K)$ .*

The proof of the proposition will be given at the end of this section. In order to prove the proposition, it is necessary to explicitly calculate the map  $i_z^*(\mathbf{p})$  of Lemma 4.4. For this purpose, we first review the Monsky-Washnitzer interpretation of overconvergent isocrystals and the explicit description of  $\epsilon_{1,2}$  of (6) (See [Ber1] §2 and [T] §2 for details).

We assume for now that  $z$  is an arbitrary element in  $\mathcal{O}_K^\times$ . We denote by  $z_0$  the root of unity in  $W$  such that  $z \equiv z_0 \pmod{\pi}$ . Let  $A = \Gamma(\mathbb{G}_{m\mathcal{O}_K}, \mathcal{O}_{\mathbb{G}_{m\mathcal{O}_K}}) = \mathcal{O}_K[t, t^{-1}]$ . We fix a presentation

$$\mathcal{O}_K[x_1, \dots, x_n]/I \cong A$$

over  $\mathcal{O}_K$ , which defines a closed immersion

$$\mathbb{G}_{m\mathcal{O}_K} \hookrightarrow \mathbb{A}_{\mathcal{O}_K}^n.$$

Then the intersections  $U_\lambda$  of  $\mathbb{G}_{mK}^{\text{an}}$  with the ball  $B(0, \lambda^+) \subset \mathbb{A}_K^{n, \text{an}}$  for  $\lambda \rightarrow 1^+$  form a system of strict neighborhoods (Definition 3.4) of  $\widehat{\mathbb{G}}_{mK}$  in  $\mathbb{G}_{mK}^{\text{an}}$ . For  $\lambda > 1$ , we let  $A_\lambda = \Gamma(U_\lambda, \mathcal{O}_{U_\lambda})$ . Then  $\lim_{\lambda \rightarrow 1^+} A_\lambda = A^\dagger \otimes K$ , where  $A^\dagger$  is the weak completion of  $A$ .

Let  $M_{\text{vec}} = (M_{\text{vec}}, \nabla_{\text{vec}})$  be an object in  $S_{\text{vec}}(\mathbb{G}_m)$ . By [Ber1] Proposition 2.2.3,  $M_{\text{vec}}$  is of the form  $j^\dagger(M_0, \nabla_0)$ , where  $M_0$  is a coherent module with integrable connection  $\nabla_0$  on a strict neighborhood  $U_\lambda$ . Let  $M_\lambda = \Gamma(U_\lambda, M_0)$ . Then for  $\lambda' < \lambda$ , the section  $\Gamma(U_{\lambda'}, M_0)$  is given by  $M_{\lambda'} = M_\lambda \otimes_{A_\lambda} A_{\lambda'}$ , and

$$M := \Gamma(\mathbb{G}_{mK}^{\text{an}}, M_{\text{vec}}) = \varinjlim_{\lambda \rightarrow 1^+} M_\lambda. \tag{7}$$

$M$  is a projective  $A^\dagger \otimes K$ -module with integrable connection  $\nabla : M \rightarrow M \otimes \Omega_{A^\dagger \otimes K}^1$  induced from  $\nabla_0$ .

Suppose the connection  $\nabla_{\text{vec}}$  is *overconvergent*. By [Ber1] Proposition 2.2.13, for any  $\eta < 1$ , there exists  $\lambda > 1$  such that

$$\left\| \frac{1}{i!} \nabla_\lambda(\partial_t^i)(m) \right\| \eta^i \rightarrow 0 \quad (i \rightarrow \infty) \tag{8}$$

for any  $m \in M_\lambda$ . Here,  $\nabla_\lambda : M_\lambda \rightarrow M_\lambda \otimes \Omega_{A_\lambda/K}^1$  is the connection induced from  $\nabla_0$ ,  $\partial_t$  is the derivation by  $t$ , and  $\| - \|$  is a Banach norm on  $M_\lambda$ .

Let  $\mathcal{M} = (M_{\text{dR}}, M_{\text{rig}}, \mathbf{p})$  be an object in  $S(\mathbb{G}_m)$ . Then

$$M_{\text{vec}} := \mathbf{F}_{\text{rig}}(M_{\text{rig}}) = (M_{\text{rig}} \otimes_{K_0} K, \nabla_{\text{rig}} \otimes_{K_0} K)$$

is an object in  $S_{\text{vec}}(\mathbb{G}_m)$ . We have

$$i_{\text{vec}}^* \mathbf{F}_{\text{rig}}(M_{\text{rig}}) = M \otimes_{i_{\text{vec}}} K, \quad \mathbf{F}_{\text{rig}}(i_{\text{rig}}^* M_{\text{rig}}) = M \otimes_{i_{\text{rig}}} K,$$

where  $M$  is as in (7), and  $i_{\text{vec}}, i_{\text{rig}} : A^\dagger \otimes_{\mathcal{O}_K} K \rightarrow K$  are ring homomorphisms given respectively by  $t \mapsto z$  and  $t \mapsto z_0$ . By [Ber1] 2.2.17 Remarque,

$$\epsilon_{1,2} : M \otimes_{i_{\text{vec}}} K \xrightarrow{\cong} M \otimes_{i_{\text{rig}}} K$$

of (6) is given explicitly by the Taylor series

$$\epsilon_{1,2}(m \otimes_{i_{\text{vec}}} 1) = \sum_{i \geq 0} \frac{1}{i!} \nabla(\partial_t^i)(m) \otimes_{i_{\text{rig}}} (z - z_0)^i. \tag{9}$$

The existence of the Frobenius  $\Phi_M$  on  $M_{\text{rig}}$  insures that the connection  $\nabla_{\text{rig}}$  (hence  $\nabla_{\text{vec}}$ ) is overconvergent ([Ber1] Theorem 2.5.7). Since  $|z - z_0| < 1$ , the above series converges by (8).

Next, let

$$\mathcal{L}og^{(n)} := (L_{\text{dR}}^{(n)}, L_{\text{rig}}^{(n)}, \mathbf{p})$$

be the logarithmic sheaf of Example 3.12. As in (7), we  $L = \Gamma(\mathbb{G}_{mK}^{\text{an}}, L_{\text{vec}}^{(n)})$  for  $L_{\text{vec}}^{(n)} = L_{\text{rig}}^{(n)} \otimes_{K_0} K$ . Then

$$L = \prod_{j=0}^n (A^\dagger \otimes K) e_j$$

for the basis  $e_j = e_{j,\text{rig}} \otimes 1$ , and the connection is given by

$$\nabla(e_j) = e_{j+1} \otimes \frac{dt}{t} \quad (0 \leq j \leq n-1). \quad (10)$$

Let  $u_j(t)$  be the function defined in Definition 2.2.

PROPOSITION 5.2 For integers  $i, m \geq 0$ , let  $a_m^{(i)}$  be elements in  $A_K^\dagger$  such that

$$\nabla(\partial_t^i)(e_0) = \sum_{j=0}^n a_j^{(i)} e_j.$$

Then

$$\partial_t^i(u_m) = \sum_{j=0}^n a_j^{(i)} u_{m-j}.$$

In particular, we have

$$a_m^{(i)}(z_0) = \partial_t^i(u_m)(z_0). \quad (11)$$

REMARK 5.3 The definition of  $a_j^{(i)}$  implies

$$\nabla(\partial_t^i)(e_m) = \sum_{j=0}^{n-m} a_j^{(i)} e_{m+j}.$$

*Proof.* We will give the proof by induction on  $i \geq 0$ . Since  $a_0^{(0)} = 1$ , the statement is true for  $i = 0$ . Suppose for an integer  $i \geq 0$ , we have

$$\partial_t^i(u_m) = \sum_{j=0}^n a_j^{(i)} u_{m-j}. \quad (12)$$

By comparing the definition of  $a_j^{(i+1)}$  with the equality

$$\nabla(\partial_t^{i+1})(e_0) = \nabla(\partial_t) \circ \nabla(\partial_t^i)(e_0) = \sum_{j=0}^n \left( (\partial_t a_j^{(i)}) e_j + t^{-1} a_j^{(i)} e_{j+1} \right),$$

we obtain the equality

$$a_j^{(i+1)} = \partial_t a_j^{(i)} + t^{-1} a_{j-1}^{(i)}. \tag{13}$$

Similarly, from the hypothesis (12) and  $\partial_t u_m = t^{-1} u_{m-1}$ , we have

$$\partial_t^{i+1}(u_m) = \partial_t \circ \partial_t^i(u_m) = \sum_{j=0}^n \left( (\partial_t a_j^{(i)}) u_{m-j} + t^{-1} a_j^{(i)} u_{m-j-1} \right).$$

This together with (13) gives the desired result. (11) follows from the fact that since  $z_0$  is a root of unity,  $u_m(z_0) = 0$  unless  $m = 0$ .

**COROLLARY 5.4** *For any integers  $i, m \geq 0$ , we have*

$$\nabla(\partial_t^i)(e_m) \otimes_{i_{\text{rig}}} 1 = \sum_{j=0}^{n-m} (e_{m+j} \otimes_{i_{\text{rig}}} \partial_t^i(u_j)(z_0)).$$

*Proof.* The assertion follows immediately from Remark 5.3

**PROPOSITION 5.5** *We have*

$$\epsilon_{1,2}(e_m \otimes_{i_{\text{vec}}} 1) = \sum_{j=0}^{n-m} (e_{m+j} \otimes_{i_{\text{rig}}} u_j(z))$$

for the map  $\epsilon_{1,2} : L \otimes_{i_{\text{vec}}} K \rightarrow L \otimes_{i_{\text{rig}}} K$  of (9) associated to  $L$ .

*Proof.* Since  $\log(z_0) = 0$ , we have  $\partial_t^i(u_j)(z_0) = 0$  for  $i < j$ . Substituting  $z$  to the Taylor expansion of  $u_j(t)$  at  $t = z_0$  gives the equality

$$u_j(z) = \sum_{i=j}^{\infty} \frac{1}{i!} \partial_t^i(u_j)(z_0)(z - z_0)^i.$$

The proposition now follows from the definition of  $\epsilon_{1,2}$  (9) and Corollary 5.4.

Let us now return to the case when  $z = \zeta_d$  is a primitive  $d$ -th root of unity.

*Proof of Proposition 5.1.* Since the connection is the only structure preventing  $L_{\text{dR}}^{(n)}$  and  $L_{\text{rig}}^{(n)}$  from splitting, we have

$$i_{\text{dR}}^* L_{\text{dR}}^{(n)} = \prod_{j=0}^n K e_{j,\text{dR}} \quad i_{\text{rig}}^* L_{\text{rig}}^{(n)} = \prod_{j=0}^n K_0 e_{j,\text{rig}}.$$

It is sufficient to prove that the comparison isomorphism  $i_z^*(\mathbf{p})$  respects the splitting. The isomorphism

$$\mathbf{p} : i_{\text{dR}}^* L_{\text{dR}}^{(n)} \rightarrow L \otimes_{i_{\text{vec}}} K$$

is given by  $e_{j,\text{dR}} \mapsto e_{j,\text{rig}}$ . Since  $z$  is a torsion point,  $u_j(z) = 0$  for  $j \neq 0$ . Hence by Proposition 5.5,

$$\epsilon_{1,2} : L \otimes_{i_{\text{vec}}} K \rightarrow L \otimes_{i_{\text{rig}}} K$$

maps  $e_{j,\text{rig}} \otimes_{i_{\text{vec}}} 1$  to  $e_{j,\text{rig}} \otimes_{i_{\text{rig}}} 1$ . Hence  $i_z^*(\mathbf{p}) = \epsilon_{1,2} \circ \mathbf{p}$  respects the splitting. We have

$$i_z^* \mathcal{L}og^{(n)} \cong \prod_{j=0}^n K(j)$$

in  $S(\mathcal{O}_K)$  as desired.

REMARK 5.6 *The calculation of Proposition 5.5 shows that if  $z$  is an arbitrary element in  $\mathcal{O}_K^\times$ , then*

$$i_z^* \mathcal{L}og^{(n)} = (L_{z,\text{dR}}^{(n)}, L_{z,\text{rig}}^{(n)}, \mathbf{p}_z) \in S(\mathcal{O}_K),$$

where

$$L_{z,\text{dR}}^{(n)} = \prod_{j=0}^n K e_{j,\text{dR}}, \quad L_{z,\text{rig}}^{(n)} = \prod_{j=0}^n K_0 e_{j,\text{rig}},$$

and

$$\mathbf{p}_z(e_{m,\text{dR}}) = \sum_{j=0}^{n-m} e_{m+j,\text{rig}} \otimes_{K_0} u_j(z).$$

## 6 THE SPECIALIZATION OF $\text{pol}$ TO TORSION POINTS

In this section, we will first introduce the  $p$ -adic polylogarithmic extension  $\text{pol}$  calculated in [Ban1]. Then we will calculate its restriction to  $d$ -th roots of unity, where  $d$  is an integer of the form  $d = Np^r$  with  $(N, p) = 1$  and  $N > 1$ . The case  $N = 1$  will be treated in Section 8.

Let  $\mathbb{U}$  be the syntomic datum corresponding to the projective line minus three points, as defined in Definition 3.2. The  $p$ -adic polylogarithm sheaf is an extension in  $S(\mathbb{U})$  of the trivial object  $K(0)$  by the logarithmic sheaf  $\mathcal{L}og$  having a certain residue. In our previous paper, we determined the explicit shape of this sheaf.

THEOREM 6.1 ([BAN1] THEOREM 2) *The  $p$ -adic polylogarithmic extension  $\text{pol}^{(n)}$  is the extension*

$$0 \rightarrow \mathcal{L}og^{(n)} \rightarrow \text{pol}^{(n)} \rightarrow K(0) \rightarrow 0$$

in  $S(\mathbb{U})$ , given explicitly by  $\text{pol}^{(n)} := (P_{\text{dR}}^{(n)}, P_{\text{rig}}^{(n)}, \mathbf{p})$ , where:

(i)  $P_{\text{dR}}^{(n)}$  in  $S_{\text{dR}}(\mathbb{U})$  is given by

$$P_{\text{dR}}^{(n)} = \mathcal{O}_{\mathbb{P}_K^1} e_{\text{dR}} \bigoplus L_{\text{dR}}^{(n)},$$

with connection  $\nabla_{\text{dR}}(e_{\text{dR}}) = e_{1,\text{dR}} \otimes d \log(t-1)$  and Hodge filtration given by the direct sum.



(ii)  $P_{\text{rig}}^{(n)}$  in  $S_{\text{rig}}(\mathbb{U})$  is given by

$$P_{\text{rig}}^{(n)} = j^\dagger \mathcal{O}_{\mathbb{U}_k[\wp]} e_{\text{rig}} \bigoplus L_{\text{rig}}^{(n)},$$

with connection  $\nabla_{\text{rig}}(e_{\text{rig}}) = e_{1,\text{rig}} \otimes d \log(t - 1)$  and Frobenius

$$\Phi(e_{\text{rig}}) := e_{\text{rig}} + \sum_{j=1}^n (-1)^{j+1} \ell_j^{(p)}(t) e_{j,\text{rig}}. \tag{14}$$

(iii)  $\mathbf{p}$  is the isomorphism given by  $\mathbf{p}(e_{\text{dR}}) = e_{\text{rig}} \otimes 1$ .

REMARK 6.2 In [Ban1] Theorem 2, the Frobenius is written as

$$\Phi(e_{\text{rig}}) := e_{\text{rig}} + \sum_{j=1}^n (-1)^j \ell_j^{(p)}(t) e_{j,\text{rig}}.$$

This is due to an error in the calculation of the proof. The correct Frobenius is the one given in (14).

Let  $z$  be a  $d$ -th root of unity, where  $d$  is an integer of the form  $d = Np^r$  with  $(N, p) = 1$  and  $N > 1$ , and let  $z_0 \in W$  such that  $z \equiv z_0 \pmod{\pi}$ . The purpose of this section is to prove the following theorem.

THEOREM 6.3 The specialization of the polylogarithm at  $z$  is explicitly given as follows:

(i)  $i_z^* P_{\text{dR}}^{(n)} = K e_{\text{dR}} \oplus \bigoplus_{j=0}^n K e_{j,\text{dR}}$  with the natural Hodge filtration.

(ii)  $i_z^* P_{\text{rig}}^{(n)} = K_0 e_{\text{rig}} \oplus \bigoplus_{j=0}^n K_0 e_{j,\text{rig}}$  with Frobenius

$$\Phi(e_{\text{rig}}) := e_{\text{rig}} + \sum_{j=1}^n (-1)^{j+1} \ell_j^{(p)}(z_0) e_{j,\text{rig}}.$$

(iii)  $\mathbf{p}$  is the isomorphism given by

$$\mathbf{p}(e_{\text{dR}}) = e_{\text{rig}} \otimes 1 + \sum_{j=1}^n e_{j,\text{rig}} \otimes (-1)^j (D_j(z) - D_j(z_0)),$$

where  $D_j(t)$  is the function defined in Definition 2.2.

The proof of the theorem will be given at the end of this section. As in the case of  $\mathcal{L}og$ , we first consider the Monsky-Washnitzer interpretation of  $\text{pol}^{(n)}$ . Let  $B_K^\dagger = \Gamma(\mathbb{U}_K^{\text{an}}, j^\dagger \mathcal{O}_{\mathbb{U}_K^{\text{an}}})$ ,

$$P_{\text{vec}}^{(n)} := \mathbf{F}_{\text{rig}}(M_{\text{rig}}) = (M_{\text{rig}} \otimes_{K_0} K, \nabla_{\text{rig}} \otimes_{K_0} K),$$

and  $P^{(n)} = \Gamma(\mathbb{U}_K^{\text{an}}, P_{\text{vec}}^{(n)})$ . Then we have

$$P^{(n)} = B_K^\dagger e \bigoplus \prod_{j=0}^n B_K^\dagger e_j$$

where  $e = e_{\text{rig}} \otimes 1$  and  $e_j = e_{j,\text{rig}} \otimes 1$ , with connection  $\nabla(e) = e \otimes d \log(1-t)$  and  $\nabla(e_j) = e_{j+1} \otimes d \log t$ .

PROPOSITION 6.4 For integers  $i, m > 0$ , let  $b_m^{(i)}$  be elements in  $B_K^\dagger$  such that

$$\nabla(\partial_t^i)(e) = \sum_{j=1}^n (-1)^j b_j^{(i)} e_j.$$

Then

$$\partial_t^i(D_m) = \sum_{j=1}^n (-1)^{m-j} b_j^{(i)} u_{m-j}.$$

In particular, we have

$$b_m^{(i)}(z_0) = \partial_t^i(D_m)(z_0). \quad (15)$$

*Proof.* The proof is again by induction on  $i > 0$ . We first consider the case when  $i = 1$ . In this case,  $b_1^{(1)} = (1-t)^{-1}$ . Since  $\text{Li}_{m-j}(t)$  and  $u_j(t)$  satisfy the differential equations

$$\partial_t(\text{Li}_j(t)) = \frac{1}{t} \text{Li}_{j-1}(t) \quad (j \geq 1) \quad \partial_t(u_j(t)) = \frac{u_{j-1}}{t} \quad (\forall j),$$

the definition of  $D_m(t)$  (Definition 2.2) and the fact that  $u_j(t) = 0$  for  $j < 0$  implies that:

$$\begin{aligned} \partial_t(D_m) &= \sum_{j=0}^{m-1} (-1)^j \partial_t(\text{Li}_{m-j}(t) u_j(t)) \\ &= \sum_{j=0}^{m-1} \frac{(-1)^j}{t} (\text{Li}_{m-j-1}(t) u_j(t) + \text{Li}_{m-j}(t) u_{j-1}(t)) \\ &= \frac{(-1)^{m-1}}{t} \text{Li}_0(t) u_{m-1}(t) = (-1)^{m-1} \frac{u_{m-1}(t)}{1-t} \\ &= (-1)^{m-1} b_1^{(1)}(t) u_{m-1}(t). \end{aligned}$$

Hence the statement is true for  $i = 1$ . Suppose for an integer  $i \geq 1$ , we have

$$\partial_t^i(D_m) = \sum_{j=1}^n (-1)^{m-j} b_j^{(i)} u_{m-j}. \quad (16)$$

By comparing the definition of  $b_j^{(i+1)}$  with the equality

$$\nabla(\partial_t^{i+1})(e_0) = \nabla(\partial_t) \circ \nabla(\partial_t^i)(e_0) = \sum_{j=1}^n (-1)^j \left( (\partial_t b_j^{(i)})e_j + t^{-1}b_j^{(i)}e_{j+1} \right),$$

we obtain the equality

$$b_j^{(i+1)} = \partial_t b_j^{(i)} - t^{-1}b_{j-1}^{(i)} \quad (i \geq 1, j > 1). \tag{17}$$

Similarly, from the hypothesis (16) and  $\partial_t u_m = t^{-1}u_{m-1}$ , we have

$$\begin{aligned} \partial_t^{i+1}(D_m) &= \partial_t \left( \sum_{j=1}^i (-1)^{m-j} b_j^{(i)} u_{m-j} \right) \\ &= \sum_{j=1}^n (-1)^{m-j} \left( (\partial_t b_j^{(i)})u_{m-j} + t^{-1}b_j^{(i)}u_{m-j-1} \right). \end{aligned}$$

This together with (17) gives the desired result. (15) follows from the fact that since  $z_0$  is a root of unity,  $u_m(z_0) = 0$  unless  $m = 0$ .

PROPOSITION 6.5 *We have*

$$\epsilon_{1,2}(e \otimes_{i_{\text{vec}}} 1) = e \otimes_{i_{\text{rig}}} 1 + \sum_{j=1}^n (e_j \otimes_{i_{\text{rig}}} (-1)^j (D_j(z) - D_j(z_0)))$$

for the map  $\epsilon_{1,2} : P \otimes_{i_{\text{vec}}} K \rightarrow P \otimes_{i_{\text{rig}}} K$  of (9) associated to  $P$ .

*Proof.* Substituting  $z$  to the Taylor expansion of  $D_j(t)$  at  $t = z_0$  gives the equality

$$D_j(z) = \sum_{i=0}^{\infty} \frac{1}{i!} \partial_t^i (D_j)(z_0) (z - z_0)^i.$$

The proposition now follows from the definition of  $\epsilon_{1,2}$  and Proposition 6.4.

## 7 THE MAIN RESULT (CASE $N > 1$ )

The following lemma is well-known.

LEMMA 7.1 *There is a canonical isomorphism*

$$\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)) = K(j)_{\text{dR}} \tag{18}$$

for  $j > 0$ .

*Proof.* Suppose  $\widetilde{M} = (\widetilde{M}_{\text{dR}}, \widetilde{M}_{\text{rig}}, \widetilde{\mathbf{p}})$  is an extension of  $K(0)$  by  $K(j)$  in  $S(\mathcal{O}_K)$ . We have exact sequences

$$\begin{aligned} 0 \rightarrow K(j)_{\text{dR}} \rightarrow \widetilde{M}_{\text{dR}} \rightarrow K(0)_{\text{dR}} \rightarrow 0 \\ 0 \rightarrow K(j)_{\text{rig}} \rightarrow \widetilde{M}_{\text{rig}} \rightarrow K(0)_{\text{rig}} \rightarrow 0. \end{aligned}$$

Denote by  $e_{j,\text{dR}}$  and  $e_{j,\text{rig}}$  the basis of  $K(j)_{\text{dR}}$  and  $K(j)_{\text{rig}}$ , and let  $\widetilde{e}_{0,\text{dR}}$  and  $\widetilde{e}_{0,\text{rig}}$  respectively be the liftings of  $e_{0,\text{dR}}$  and  $e_{0,\text{rig}}$  in  $\widetilde{M}_{\text{dR}}$  and  $\widetilde{M}_{\text{rig}}$ . If we map  $\widetilde{e}_{0,\text{dR}}$  to  $e_{0,\text{dR}}$ , then we have an isomorphism

$$\widetilde{M}_{\text{dR}} \cong K(0)_{\text{dR}} \bigoplus K(j)_{\text{dR}}$$

in  $S_{\text{dR}}(\mathcal{O}_K)$ . Next, since the quotient of  $M$  by  $K(j)$  is isomorphic to  $K(0)$ , the Frobenius and  $\widetilde{\mathbf{p}}$  is given by

$$\begin{aligned} \widetilde{\mathbf{p}}(\widetilde{e}_{0,\text{dR}}) &= \widetilde{e}_{0,\text{rig}} \otimes 1 + e_{j,\text{rig}} \otimes a \\ \phi^*(\widetilde{e}_{0,\text{rig}}) &= \widetilde{e}_{0,\text{rig}} + ce_{j,\text{rig}} \end{aligned}$$

for some  $a \in K$  and  $c \in K_0$ . If we take  $b \in K_0$  such that  $(1 - \sigma/p^j)b = c$ , then we have an isomorphism

$$\widetilde{M}_{\text{rig}} \cong K(0)_{\text{rig}} \bigoplus K(j)_{\text{rig}}$$

in  $S_{\text{rig}}(\mathcal{O}_K)$  given by  $\widetilde{e}_{0,\text{rig}} \mapsto e_{0,\text{rig}} - be_{j,\text{rig}}$ . The above shows that we have an isomorphism

$$\widetilde{M} \cong \left( K(0)_{\text{dR}} \bigoplus K(j)_{\text{dR}}, K(0)_{\text{rig}} \bigoplus K(j)_{\text{rig}}, \mathbf{p} \right)$$

of extensions of  $K(0)$  by  $K(j)$  in  $S(\mathcal{O}_K)$ , where  $\mathbf{p}$  is the isomorphism given by

$$\begin{aligned} \mathbf{p}(e_{0,\text{dR}}) &= \widetilde{e}_{0,\text{rig}} \otimes 1 + e_{j,\text{rig}} \otimes a \\ &= e_{0,\text{rig}} \otimes 1 + e_{j,\text{rig}} \otimes (a + b). \end{aligned}$$

The canonical map of the lemma is given by associating to  $\widetilde{M}$  the element  $(a + b)e_{j,\text{dR}}$  in  $K(j)_{\text{dR}}$ .

The inverse of this canonical map is constructed by associating to  $we_{j,\text{dR}}$  in  $K(j)_{\text{dR}}$  the extension

$$\left( K(0)_{\text{dR}} \bigoplus K(j)_{\text{dR}}, K(0)_{\text{rig}} \bigoplus K(j)_{\text{rig}}, \mathbf{p} \right),$$

where

$$\mathbf{p}(e_{0,\text{dR}}) = e_{0,\text{rig}} \otimes 1 + e_{j,\text{rig}} \otimes w.$$

This construction shows that the canonical map is in fact an isomorphism.

REMARK 7.2 *Suppose  $K = K_0$ . Then by [Ban1] Theorem 1 and Example 2.8, we have an isomorphism*

$$\mathrm{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)) \xrightarrow{\cong} H_{\mathrm{syn}}^1(\mathcal{O}_K, K(j)) = K(j)_{\mathrm{rig}}. \tag{19}$$

*If  $M$  is an extension in  $S(\mathcal{O}_K)$  corresponding to  $a e_{j,\mathrm{dR}}$  in Lemma 7.1, then  $M$  maps by (19) to  $((1 - p^{-j}\sigma)a) e_{j,\mathrm{rig}}$  in  $K(j)_{\mathrm{rig}}$ .*

The following theorem is Theorem 1 of the introduction.

THEOREM 7.3 *Let  $z$  be a torsion point of order  $d = Np^r$ , where  $(N, p) = 1$  and  $N > 1$ . Then*

$$i_z^* \mathrm{pol}^{(n)} = ((-1)^j \mathrm{Li}_j(z) e_{j,\mathrm{dR}})_{j \geq 1}$$

*in*

$$\mathrm{Ext}_{S(\mathcal{O}_K)}^1(K(0), i_z^* \mathcal{L}og(1)) = \prod_{j=0}^n \mathrm{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)),$$

*where we view  $(-1)^j \mathrm{Li}_j(z) e_{j,\mathrm{dR}}$  as an element in  $\mathrm{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$  through the isomorphism of lemma 7.1*

*Proof.* By Theorem 6.3, the image of  $i_z^* \mathrm{pol}^{(n)}$  in  $\mathrm{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$  is the extension  $\widetilde{M} = (M_{\mathrm{dR}}, \widetilde{M}_{\mathrm{rig}}, \widetilde{\mathbf{p}})$  given as follows:  $M_{\mathrm{dR}}$  is the direct sum

$$M_{\mathrm{dR}} = K(0)_{\mathrm{dR}} \bigoplus K(j)_{\mathrm{dR}},$$

$\widetilde{M}_{\mathrm{rig}}$  is the extension of  $K(0)_{\mathrm{rig}}$  by  $K(j)_{\mathrm{rig}}$  with the Frobenius given by

$$\Phi(\widetilde{e}_{0,\mathrm{rig}}) = \widetilde{e}_{0,\mathrm{rig}} + (-1)^{j+1} \ell_j^{(p)}(z_0) e_{j,\mathrm{rig}}$$

for the lifting  $\widetilde{e}_{0,\mathrm{rig}}$  of  $e_{0,\mathrm{rig}}$  in  $\widetilde{M}_{\mathrm{rig}}$ , and  $\widetilde{\mathbf{p}}$  is the isomorphism given by

$$\widetilde{\mathbf{p}}(e_{0,\mathrm{dR}}) = \widetilde{e}_{0,\mathrm{rig}} \otimes 1 + e_{j,\mathrm{rig}} \otimes (-1)^j (\mathrm{Li}_j(z) - \mathrm{Li}_j(z_0)).$$

This implies that, in the notation of Lemma 7.1, we have

$$\begin{aligned} a &= (-1)^j (\mathrm{Li}_j(z) - \mathrm{Li}_j(z_0)) \\ c &= (-1)^{j+1} \ell_j^{(p)}(z_0). \end{aligned}$$

Since  $z_0$  is a root of unity prime to  $p$ , the Frobenius acts by  $\sigma(z_0) = z_0^p$ . Hence the Formula of Proposition 2.1 (iii) gives

$$\ell_j^{(p)}(z_0) = \left(1 - \frac{\sigma}{p^j}\right) \mathrm{Li}_j(z_0).$$

Again, in the notation of Lemma 7.1, we have

$$c = (-1)^{j+1} \mathrm{Li}_j(z_0).$$

Since  $a + b = (-1)^j \mathrm{Li}_j(z)$ , the construction of the canonical map shows that the image of  $i_z^* \mathrm{pol}^{(n)}$  in  $\mathrm{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$  maps to  $(-1)^j \mathrm{Li}_j(z) e_{j,\mathrm{dR}}$  in  $K(j)_{\mathrm{dR}}$ .

8 THE MAIN RESULT (CASE  $N = 1$ )

In this section, we will consider the specialization of the polylogarithm sheaf to  $p$ -th power roots of unity. As mentioned in the introduction, we will consider a slightly modified version of the polylogarithm. Let  $c > 1$  be an integer prime to  $p$ , and let  $\mathbb{U}_{c, \mathcal{O}_K}^0 = \text{Spec } \mathcal{O}_K[t, (1-t^c)^{-1}]$ . We denote by  $\mathbb{U}_c^0$  the syntomic data

$$\mathbb{U}_c^0 = (\mathbb{U}_{c, \mathcal{O}_K}^0, \mathbb{P}_{\mathcal{O}_K}^1, \widehat{\mathbb{P}}^1, \phi).$$

The multiplication by  $[c]$  map on  $\mathbb{G}_{m, \mathcal{O}_K}$  defines a morphism of syntomic datum

$$[c] : \mathbb{U}_c^0 \rightarrow \mathbb{U}.$$

DEFINITION 8.1 *We define the modified  $p$ -adic polylogarithmic  $\text{pol}_c^{(n)}$  by*

$$\text{pol}_c^{(n)} = \text{pol}^{(n)} - [c]^* \text{pol}^{(n)} \in \text{Ext}_{S(\mathbb{U}_c^0)}^1(K(0), \mathcal{L}og^{(n)}).$$

The explicit shape of  $\text{pol}_c^{(n)}$  given in Theorem 6.1 and the definition of the pull-back  $[c]^*$  gives the following proposition. Let

$$\theta_c(t) = \frac{1-t^c}{1-t}.$$

PROPOSITION 8.2 *The modified  $p$ -adic polylogarithmic  $\text{pol}_c^{(n)}$  is the extension in  $S(\mathbb{U}_c^0)$ , given explicitly by  $\text{pol}_c^{(n)} := (P_{\text{dR}}^{(n)}, P_{\text{rig}}^{(n)}, \mathbf{p})$ , where:*

(i)  $P_{\text{dR}}^{(n)}$  in  $S_{\text{dR}}(\mathbb{U}_c^0)$  is given by

$$P_{\text{dR}}^{(n)} = \mathcal{O}_{\mathbb{P}_K^1} e_{\text{dR}} \bigoplus L_{\text{dR}}^{(n)},$$

with connection  $\nabla_{c, \text{dR}}(e_{\text{dR}}) = e_{1, \text{dR}} \otimes d \log \theta_c(t)$  and Hodge filtration given by the direct sum.

(ii)  $P_{\text{rig}}^{(n)}$  in  $S_{\text{rig}}(\mathbb{U}_c^0)$  is given by

$$P_{\text{rig}}^{(n)} = j^\dagger \mathcal{O}_{\mathbb{U}_{c, k}^0[\widehat{\mathbb{P}}^1]} e_{\text{rig}} \bigoplus L_{\text{rig}}^{(n)},$$

with connection  $\nabla_{c, \text{rig}}(e_{\text{rig}}) = e_{1, \text{rig}} \otimes d \log \theta_c(t)$  and Frobenius

$$\Phi(e_{\text{rig}}) := e_{\text{rig}} + \sum_{j=1}^n (-1)^{j+1} \ell_{j, c}^{(p)}(t) e_{j, \text{rig}},$$

(iii)  $\mathbf{p}$  is the isomorphism given by  $\mathbf{p}(e_{\text{dR}}) = e_{\text{rig}} \otimes 1$ .

Let  $\mathbb{U}_{c, \mathcal{O}_K} = \text{Spec } \mathcal{O}_K[t, \theta_c(t)^{-1}]$ , and denote by  $\mathbb{U}_c$  the syntomic data

$$\mathbb{U}_c = (\mathbb{U}_{c, \mathcal{O}_K}, \mathbb{P}_{\mathcal{O}_K}^1, \widehat{\mathbb{P}}^1, \phi).$$

The explicit shape of  $\text{pol}_c^{(n)}$  given in the previous proposition shows that  $\text{pol}_c^{(n)}$  is in fact an object in  $S(\mathbb{U}_c)$ . In particular, we can specialize  $\text{pol}_c^{(n)}$  at points on the open unit disc around one.

Similar calculations as that of Theorem 6.3 with  $\ell_j^{(p)}$ ,  $D_j^{(p)}$  and  $D_j$  replaced by  $\ell_{j,c}^{(p)}$ ,  $D_{j,c}^{(p)}$  and  $D_{j,c}$  gives the following theorem, which is Theorem 2 of the introduction.

**THEOREM 8.3** *Let  $z$  be a  $p^r$ -th root of unity, and let  $z_0 = 1$ . Then the specialization of the modified polylogarithm at  $z$  is explicitly given as follows:*

(i)  $i_z^* P_{\text{dR}}^{(n)} = Ke_{\text{dR}} \oplus \bigoplus_{j=0}^n Ke_{j,\text{dR}}$  with the natural Hodge filtration.

(ii)  $i_z^* P_{\text{rig}}^{(n)} = Ke_{\text{rig}} \oplus \bigoplus_{j=0}^n Ke_{j,\text{rig}}$  with Frobenius

$$\Phi(e_{\text{rig}}) := e_{\text{rig}} + \sum_{j=1}^n (-1)^{j+1} \ell_{j,c}^{(p)}(z_0) e_{j,\text{rig}}.$$

(iii)  $\mathbf{p}_c$  is the isomorphism given by

$$\mathbf{p}_c(e_{\text{dR}}) = e_{\text{rig}} \otimes 1 + \sum_{j=1}^n e_{j,\text{rig}} \otimes (-1)^j (D_{j,c}(z) - D_{j,c}(z_0)).$$

As a corollary, we obtain the following result.

**COROLLARY 8.4** *Let  $z$  be a torsion point of order  $p^r$ . Then*

$$i_z^* \text{pol}_c^{(n)} = ((-1)^j \text{Li}_j(z) e_{j,\text{dR}})_{j \geq 1}$$

in

$$\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), i_z^* \mathcal{L}og(1)) = \prod_{j=0}^n \text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j)),$$

where we view  $(-1)^j \text{Li}_{j,c}(z) e_{j,\text{dR}}$  as an element in  $\text{Ext}_{S(\mathcal{O}_K)}^1(K(0), K(j))$  through the isomorphism of lemma 7.1

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