A Hypergeometric Version of the Modularity of Rigid Calabi–Yau Manifolds

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Abstract. We examine instances of modularity of (rigid) Calabi–Yau manifolds whose periods are expressed in terms of hypergeometric functions. The \(p\)-th coefficients \(a(p)\) of the corresponding modular form can be often read off, at least conjecturally, from the truncated partial sums of the underlying hypergeometric series modulo a power of \(p\) and from Weil’s general bounds \(|a(p)| \leq 2p^{(m-1)/2}\), where \(m\) is the weight of the form. Furthermore, the critical \(L\)-values of the modular form are predicted to be \(Q\)-proportional to the values of a related basis of solutions to the hypergeometric differential equation.

Key words: hypergeometric equation; bilateral hypergeometric series; modular form; Calabi–Yau manifold

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To Noriko Yui, with wishes to count more points on algebraic varieties rather than years!

1 A prototype

In [32] L. van Hamme stated some supercongruence analogues of Ramanujan’s formulas. The very last observation on van Hamme’s list, Conjecture (M.2) (stated here in an equivalent form), does not seem to be linked to a known formula though:

\[
\sum_{k=0}^{p-1} \left( \frac{1}{k} \right)^4 \equiv a(p) \pmod{p^3},
\]

where \(a(n)\) denote the Fourier coefficients of the unique cusp (eigen) form of weight 4 on \(\Gamma_0(8)\),

\[
f(\tau) = \sum_{n=1}^{\infty} a(n)q^n = \eta(2\tau)^4\eta(4\tau)^4 = q \prod_{m=1}^{\infty} \left( 1 - q^{2m} \right)^4 \left( 1 - q^{4m} \right)^4.
\]
Here and below we use the standard hypergeometric notation including \((r)_k = \Gamma(r + k)/\Gamma(r) = \prod_{j=0}^{k-1}(r + j)\) for Pochhammer’s symbol; also the congruence \(c_1 \equiv c_2 \pmod{p^{\ell}}\) for two rational numbers is understood as \(c_1 - c_2 \in p^\ell \mathbb{Z}_p\). The conjecture (1) was later established by T. Kilbourn in [14] built on an earlier work of S. Ahlgren and K. Ono in [1] on the modularity of the Calabi–Yau threefold \(\sum_{j=1}^4 (x_j + x_j^{-1}) = 0\).

Interestingly enough, the work of Ahlgren and Ono was motivated by proving a different family of supercongruences for the Apéry numbers

\[
A(n) = \sum_{k=0}^{\infty} \binom{n}{k}^2 \binom{n+k}{k}^2 = 4F3\left(\begin{array}{c}-n, -n, n+1, n+1 \\ 1, 1, 1\end{array}\right| 1)
\]

\[
= \sum_{k=0}^{n} \binom{n}{k}^2 \binom{n+k}{k}^2 \quad \text{for} \quad n = 0, 1, 2, \ldots
\]

conjectured by F. Beukers in [3] and established modulo \(p\) there:

\[
A\left(\frac{p-1}{2}\right) \equiv a(p) \pmod{p^2}.
\]

It is not hard to observe that

\[
A\left(\frac{p-1}{2}\right) = \sum_{k=0}^{(p-1)/2} \frac{(1-p)^2(1+p)^2}{k!4} \equiv \sum_{k=0}^{(p-1)/2} \frac{(1/2)_k^4}{k!4} \equiv \sum_{k=0}^{p-1} \frac{(1/2)_k^4}{k!4} \pmod{p^2},
\]

so that (3) follows from (1). On the other hand, the Apéry sequence and the modular parametrization of its generating series \(\sum_{n=0}^{\infty} A(n)z^n\) gives one a natural way to construct the right-hand side of (3) (namely, the eigenform (2) whose Fourier coefficients show up) modulo \(p\). This construction is performed in [3] and nicely explained in a certain generality in [33]. More recently, V. Golyshev and D. Zagier [34, Section 7] show that the \(p\)-adic interpolation of the coefficients \(a(p)\) of the newform \(f(\tau) = \eta(2\tau)^4\eta(4\tau)^4\) is part of a much more general picture that, in particular, predicts that

\[
A(-1/2) = 4F3\left(\begin{array}{c}1/2, 1/2, 1/2 \\ 1, 1, 1\end{array}\right| 1) = \sum_{k=0}^{\infty} \frac{(1/2)_k^4}{k!4}
\]

is rationally proportional to \(L(f, 2)/\pi^2\), where \(L(f, s)\) denotes the \(L\)-function of the modular form. Furthermore, they prove [34] that

\[
4F3\left(\begin{array}{c}1/2, 1/2, 1/2 \\ 1, 1, 1\end{array}\right| 1) = \frac{16L(f, 2)}{\pi^2},
\]

the identity which was independently established in [23] via a systematic expressing of critical \(L\)-values attached to cuspidal \(\eta\)-products through hypergeometric functions. Note that the identity (4) is the missing non-\(p\)-adic counterpart (M.1) of Conjecture (M.2) from [32]; the latest edition of van Hamme’s list can be found in [31] together with the details about proofs.

One of the principal results in [1] is a summation formula for Greene’s hypergeometric function, which serves as a finite-field analogue of the classical hypergeometric series given in (4). Curiously enough, R. Evans in his review [7] of [1] mentions that no summation formula is known
for this \( _4F_3\)-value in (4); the evaluation (4) established in [23, 34] thus fills in this gap in the hypergeometric literature.

A principal goal of this note is to put the pair (1), (4) in a broader context of relationship between classical generalized hypergeometric functions and the \( L \)-values of modular forms. This is performed here more in the spirit of Golyshev’s gamma structures [10] rather than hypergeometric motives [20, 22] of F. Rodriguez Villegas and others. At the same time, we do not pretend to be too broad in our exposition, mainly highlighting certain specific arithmetic and analytical perspectives which we find aesthetically appealing.

# 2 Modularity of Calabi–Yau threefolds

The Calabi–Yau threefold in Section 1 comes as a part of the complete intersection of four degree 2 surfaces in \( \mathbb{P}^5 \); the periods of the latter family of threefolds satisfy the hypergeometric equation whose unique analytical solution is

\[
_4F_3\left( \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2} \right|_{1,1,1} z \right) = \sum_{k=0}^{\infty} \frac{(1)_k}{k!^4} z^k.
\]

Namely, the fiber \( z = 1 \) corresponds to the rigid Calabi–Yau threefold \( \sum_{j=1}^{4} (x_j + x_j^{-1}) = 0 \).

There are fourteen ‘hypergeometric’ families of Calabi–Yau threefolds whose periods are solutions of hypergeometric equations with parameters \( (r, 1 - r, t, 1 - t) \), where

\[
(r, t) = \left( \frac{1}{2}, \frac{1}{2} \right), \left( \frac{1}{3}, \frac{1}{3} \right), \left( \frac{1}{4}, \frac{1}{4} \right), \left( \frac{1}{5}, \frac{1}{5} \right), \left( \frac{1}{6}, \frac{1}{6} \right), \left( \frac{1}{7}, \frac{1}{7} \right), \left( \frac{1}{8}, \frac{1}{8} \right), \left( \frac{1}{9}, \frac{1}{9} \right), \left( \frac{1}{10}, \frac{1}{10} \right), \left( \frac{1}{11}, \frac{1}{11} \right), \left( \frac{1}{12}, \frac{1}{12} \right),
\]

and the modularity from Section 1 is expected to be extendable to all families as follows.

**Observation 1.** Let a pair \((r, t)\) be from the list. For a prime \( p \) not dividing the denominators of \( r \) and \( t \), define \( a(p) \) to be the smallest (in absolute value) integer residue modulo \( p^3 \) of the partial sum

\[
\sum_{k=0}^{p-1} \frac{(r)_k(1-r)_k(t)_k(1-t)_k}{k!^4}
\]

of the hypergeometric series

\[
_4F_3\left( r, 1 - r, t, 1 - t \right|_{1,1,1} 1 \right) = \sum_{k=0}^{\infty} \frac{(r)_k(1-r)_k(t)_k(1-t)_k}{k!^4}.
\]

Then \( |a(p)| \leq 2p^{3/2} \) and \( a(p) \) are the Fourier coefficients of a suitable eigenform \( f(\tau) = q + a(2)q^2 + \cdots \) of weight 4 for some congruence subgroup of \( \text{PSL}_2(\mathbb{Z}) \).

Furthermore, introduce a special (normalized Frobenius) basis of solutions of the differential equation for

\[
F_0(z) = _4F_3\left( r, 1 - r, t, 1 - t \right|_{1,1,1} z \right)
\]

as the first coefficients in the Taylor \( \varepsilon \)-expansion of the (bilateral) hypergeometric function

\[
\sum_{n=-\infty}^{\infty} \frac{\Gamma(r + \varepsilon + n)\Gamma(1 - r + \varepsilon + n)\Gamma(t + \varepsilon + n)\Gamma(1 - t + \varepsilon + n)}{\Gamma(1 + \varepsilon + n)^4} z^{n+\varepsilon}
\]

\[
\frac{1}{\Gamma(r)\Gamma(1 - r)\Gamma(t)\Gamma(1 - t)}
\]
Then numerical calculations suggest conjectural inclusions
\[
\frac{L(f, 1)}{F_1(1)} \in \mathbb{Q}, \quad \frac{L(f, 2)}{F_2(1)} \in \mathbb{Q} \quad \text{and} \quad \frac{L(f, 3)}{F_3(1)} \in \mathbb{Q}.
\]

**Remark 1.** Observation 1 contains an explicit algorithm for reconstructing the Hecke eigenvalues \(a(p)\), so it is straightforward to compute them numerically for good primes \(p\) from the partial sums. This supercongruence part has been already exploited by F. Rodriguez Villegas in [21] who noticed that the truncated hypergeometric sums are congruent to \(a(p)\) modulo \(p^3\) and used this fact to identify the corresponding eigenforms \(f(\tau)\) and their levels. The knowledge of Hecke eigenvalues \(a(p)\) allows one to reconstruct all Fourier coefficients of \(f = \sum a(n)q^n\) from the Euler product of the \(L\)-function \(L(f, s) = \sum_{n=1}^{\infty} a(n)n^{-s}\). Missing finitely many \(a(p)\) in the Euler product has no effect on the inclusions (6).

<table>
<thead>
<tr>
<th>((r, t))</th>
<th>(f(\tau))</th>
<th>level</th>
<th>LMFDB label [15]</th>
</tr>
</thead>
<tbody>
<tr>
<td>((\frac{1}{2}, \frac{1}{2}))</td>
<td>(\eta_2^4 \eta_4^4)</td>
<td>8 = 2^3</td>
<td>8.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{2}, \frac{3}{2}))</td>
<td>(\eta_6^4 / (\eta_2^2 \eta_4^2))</td>
<td>36 = 2^2 \cdot 3^2</td>
<td>36.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{2}, \frac{1}{3}))</td>
<td>(\eta_1^4 \eta_2 / \eta_4^4)</td>
<td>16 = 2^4</td>
<td>16.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{2}, \frac{1}{6}))</td>
<td>(\eta_3^3 \eta_5 - 27 \eta_3^3 \eta_5^3)</td>
<td>27 = 3^3</td>
<td>27.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{3}, \frac{1}{3}))</td>
<td>(\eta_3^3)</td>
<td>9 = 3^2</td>
<td>9.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{3}, \frac{1}{6}))</td>
<td>(\eta_6^2 / \eta_2^2 - 8 \eta_6^2 / \eta_4^2)</td>
<td>108 = 2^2 \cdot 3^3</td>
<td>108.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{3}, \frac{1}{5}))</td>
<td>(\eta_2^3 / (\eta_6^2 \eta_2^2) + 16 \eta_6^2 \eta_2^4)</td>
<td>144 = 2^4 \cdot 3^2</td>
<td>32.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{3}, \frac{1}{5}))</td>
<td>(\eta_3^5 / (\eta_1 \eta_2^3) + 5 \eta_1 \eta_3 \eta_5^2)</td>
<td>25 = 5^2</td>
<td>25.4.1.b</td>
</tr>
<tr>
<td>((\frac{1}{3}, \frac{1}{8}))</td>
<td>(\eta_4^0 / (\eta_1 \eta_2^2))</td>
<td>128 = 2^7</td>
<td>128.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{3}, \frac{3}{8}))</td>
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<td>25.4.1.b</td>
</tr>
<tr>
<td>((\frac{1}{5}, \frac{1}{10}))</td>
<td>(\eta_1^2 \eta_2^2)</td>
<td>200 = 2^3 \cdot 5^2</td>
<td>200.4.1.a</td>
</tr>
<tr>
<td>((\frac{1}{5}, \frac{1}{12}))</td>
<td>(\eta_2^0 / (\eta_1 \eta_2^2) + 5 \eta_1 \eta_3 \eta_5^2)</td>
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</tr>
</tbody>
</table>

**Remark 2.** The prediction about the relationship between the critical \(L\)-values and the hypergeometric values \(F_1(1), F_2(1), F_3(1)\) is due to V. Golyshev, and it is a part of general phenomenon. The fact that the coefficients \(F_j(z)\) are solutions of the hypergeometric equation for \(F_0(z)\) is established in [10, Section 3]; we survey some information about this from a ‘hypergeometric’ perspective in Section 3. None of the relations in (6) seem to be proved.

Accidentally, when \(r = t = \frac{1}{2}\), we have an extra rational relation \(F_0(1) = F_2(1)/(2\pi^2)\), and it is this equality that originates the anticipated equality (4) (rigorously established!). It is the only case when \(F_0(1)\) is linearly dependent over \(Q\) with \(F_j(1)/\pi^j\) for \(j = 1, 2, 3\).
Remark 3. The case \((r, t) = \left(\frac{1}{3}, \frac{1}{4}\right)\) in Observation 1 corresponds to a particularly simple CM modular form of level 9, namely, to \(f(\tau) = \eta(3\tau)^8\). Its critical \(L\)-values possess closed-form evaluation

\[
L(\eta(3\tau)^8, 2) = \frac{\Gamma(1/3)^9}{96\pi^4} \quad \text{and} \quad L(\eta(3\tau)^8, 3) = \frac{\Gamma(1/3)^9}{144\sqrt{3}\pi^3}
\]

(the strategy for this computation is set up in Damerell’s work [6]). All 14 cases correspond to rigid Calabi–Yau threefolds defined over \(\mathbb{Q}\) and hence they do correspond to modular forms of weight 4 for some congruence subgroups of \(\text{PSL}_2(\mathbb{Z})\). Table 1 records the instances of modular forms, for which we know their eta-product expressions; the notation \(\eta_m\) stands for \(\eta(m\tau)\).

Remark 4. The eigenform \(f(\tau)\) in Observation 1, namely, the eigenvalues \(a(p)\), are related to the counting of points modulo \(p\) on the (rigid) Calabi–Yau threefold corresponding to \(z = 1\) in the family. This counting naturally leads to representations of \(a(p)\) by means of finite-field hypergeometric functions – due to J. Greene [11], D. McCarthy [18] and, in a greater generality, F. Beukers, H. Cohen, A. Mellit [4] – the representations that are used in the proof of Observation 1 in the case \(r = t = \frac{1}{2}\). All 14 cases in the observation, namely the modulo \(p^3\) supercongruences, are now proved simultaneously and rigorously in the joint paper [17] with L. Long, F.-T. Tu and N. Yui.

3 Bilateral hypergeometric functions and hypertrigonometry

In this section we will examine the bilateral hypergeometric sum

\[
mH_m(a_1, \ldots, a_m; b_1, \ldots, b_m; z; \varepsilon) = \prod_{j=1}^{m} \frac{\Gamma(b_j)}{\Gamma(a_j + \varepsilon + n)} \sum_{n=-\infty}^{\infty} \prod_{j=1}^{m} \frac{\Gamma(a_j + \varepsilon + n)}{\Gamma(b_j + \varepsilon + n)} z^{n+\varepsilon} \tag{7}
\]

from both classical [28, Chapter 6] and recent [10] perspectives. For fixed \(\varepsilon \in \mathbb{C}\) (different from the poles of the gamma functions \(\Gamma(a_j + \varepsilon + n)\)) and a generic set of complex parameters \(a_j\) and \(b_j, j = 1, \ldots, m,\) satisfying

\[
\text{Re}(b_1 + \cdots + b_m) > \text{Re}(a_1 + \cdots + a_m)
\]

the defining series converges on the unit circle \(|z| = 1\). Our principal interest will be in the case \(b_1 = \cdots = b_m = 1\). On using

\[
\left(\frac{d}{dz} + a\right) z^{n+\varepsilon} = (a + \varepsilon + n) z^{n+\varepsilon}
\]

and the basic property of the gamma function we arrive at the following.

Lemma 1. The function (7) satisfies the (linear differential) hypergeometric equation

\[
\left(z \prod_{j=1}^{m} \left(z \frac{d}{dz} + a_j\right) - \prod_{j=1}^{m} \left(z \frac{d}{dz} + b_j - 1\right)\right) mH_m(z; \varepsilon) = 0 \tag{8}
\]

on the circle \(|z| = 1\).
Lemma 3. As function of $\varepsilon$, the function (7) is periodic with period 1. Furthermore, its normalization

$$\prod_{j=1}^{m} \sin \pi (a_j + \varepsilon) \times m \mathcal{H}_m \left( a_1, \ldots, a_m \mid b_1, \ldots, b_m \mid z; \varepsilon \right)$$

(9)
is a \( C \)-linear combination of \( e^{\pi i k \varepsilon} \), where \( |k| \leq m \) and \( k \equiv m \pmod{2} \). This means that the Fourier expansion of the latter function is a finite Fourier polynomial, whose coefficients depend only on \( z \).

**Proof.** Using the reflection property of the gamma function we find

\[
\Gamma(a + \varepsilon + n) = \frac{\pi}{\sin \pi(a + \varepsilon + n)} \frac{1}{\Gamma(1 - a - \varepsilon - n)} = \frac{\pi}{\sin \pi(a + \varepsilon)} \frac{(-1)^n}{\Gamma(1 - a - \varepsilon - n)},
\]

so that

\[
m \text{H}_m(a_1, \ldots, a_m | b_1, \ldots, b_m, z; \varepsilon) = \frac{z^\varepsilon \pi^m \prod_{j=1}^m \Gamma(b_j)}{\prod_{j=1}^m \Gamma(a_j) \sin \pi(a_j + \varepsilon)} \times \sum_{n=-\infty}^{\infty} \frac{(-1)^m z^n}{\prod_{j=1}^m \Gamma(1 - a_j - \varepsilon - n) \Gamma(b_j + \varepsilon + n)}.
\]

It remains to notice that the functions

\[
\frac{1}{\prod_{j=1}^m \Gamma(1 - a_j - \varepsilon - n) \Gamma(b_j + \varepsilon + n)}
\]

are entire and estimate their growth as \( \varepsilon \to \infty \) (see [10, Theorem 1.5]).

**Remark 5.** Though Lemma 3 (and the estimates from [10]) guarantee that at most \( m + 1 \) terms show up in the Fourier expansion of (9), in reality one does not get the term \( e^{-\pi i m \varepsilon} \) (or \( e^{\pi i m \varepsilon} \)) when \( \text{Re} \ z > 0 \) (or \( \text{Re} \ z < 0 \), respectively). In the case when \( z \) is real from the interval \( 0 < z < 1 \), we still need to specify along which bank of the real line we proceed; for convenience, from now on we agree to use the upper bank.

Even more, if \( z = 1 \) and the corresponding bilateral hypergeometric series converge at this special point then the both terms \( e^{-\pi i m \varepsilon} \) and \( e^{\pi i m \varepsilon} \) in the Fourier expansion of (9) do not show up. This allows one to rigorously establish that \( F_1(1) \) and \( F_3(1)/\pi^2 \) are rationally proportional – something that could follow from (6) complemented with the Manin–Shimura relation of the critical \( L \)-values [25, 26].

### 4 A hypergeometric modularity of elliptic curves

Probably, the most classical version of the observation above refers to the modularity of elliptic curves (that is, Calabi–Yau onefolds). Our principal illustration will deal with the family

\[
E_z: \quad y^2 = x(1 - x)(x - z), \quad z \in \mathbb{C} \setminus \{0, 1, \infty\},
\]

which is a twist of the classical Legendre family of elliptic curves

\[
\tilde{E}_z: \quad y^2 = x(x - 1)(x - z), \quad z \in \mathbb{C} \setminus \{0, 1, \infty\}.
\]

In fact, performing the change \( x \mapsto 1 - x \) we see that the curves \( E_1 - z \) and \( \tilde{E}_z \) are isomorphic.

Let \( p \) be an odd prime and \( z \in \mathbb{Q} \) be \( p \)-integral not equal to 0 or 1. By Hasse’s theorem [27, Theorem V.1.1] the number of points on the curve \( \tilde{E}_z/\mathbb{F}_p \) satisfies

\[
|\#(\tilde{E}_z/\mathbb{F}_p) - (p + 1)| \leq 2\sqrt{p}.
\]
On the other hand, it follows from the proof of Theorem V.4.1(b) in [27] that

\[
\#(\hat{E}_z/F_p) - 1 \equiv (-1)^{(p-1)/2} \sum_{k=0}^{(p-1)/2} \left(\frac{p-1}{k}\right) z^k \pmod p
\]

\[
\equiv (-1)^{(p-1)/2} \sum_{k=0}^{(p-1)/2} \left(\frac{1}{2}\right)_k^2 k!^2 z^k \equiv (-1)^{(p-1)/2} \sum_{k=0}^{(p-1)/2} \left(\frac{1}{2}\right)_k^2 k!^2 z^k \pmod p.
\]

By combining the two results above we conclude that the integer \(\hat{a}(p) = \hat{a}(p; z) = #(\hat{E}_z/F_p) - (p+1)\) satisfies Weil’s bound \(|\hat{a}(p)| \leq 2\sqrt{p}\) and the congruence

\[
\hat{a}(p) \equiv \left(-\frac{4}{p}\right) \sum_{k=0}^{p-1} \left(\frac{1}{2}\right)_k^2 k!^2 z^k \pmod p,
\]

where \(\left(\frac{-4}{p}\right)\) denotes the quadratic character modulo 4. By the modularity theorem the numbers \(\hat{a}(p)\) build up to the \(L\)-function of the elliptic curve \(\hat{E}_z\),

\[
L(\hat{E}_z, s) = \prod_p \left(1 - \hat{a}(p)p^{-s} + \varepsilon_p p^{1-2s}\right)^{-1} = \sum_{n=1}^{\infty} \hat{a}(n)/n^s, \quad \varepsilon_p \in \{0, 1\}.
\]

Furthermore, the central (critical) value of \(L(\hat{E}_z, s)\) is rationally proportional to a period of the curve \(\hat{E}_z\), namely, to the period

\[
\operatorname{Re} \int_1^{\infty} \frac{dx}{\sqrt{x(x-1)(x-z)}} = \operatorname{Re} \int_0^1 \frac{dt}{\sqrt{t(1-t)(1-zt)}} = \pi \operatorname{Re} {}_2F_1\left(\frac{1}{2}, \frac{1}{2} \left| \frac{1}{z}\right)\right),
\]

where we made the change of variable \(x = 1/t\) in the former integral. The real part can be omitted when \(z < 1\).

In order to state the above for the family of elliptic curves \(E_z \simeq \hat{E}_{1-z}\) we notice first that the above calculation of the Hasse invariant from [27] implies the congruence

\[
\left(-\frac{4}{p}\right) \sum_{k=0}^{p-1} \left(\frac{1}{2}\right)_k^2 k!^2 z^k \equiv \sum_{k=0}^{p-1} \left(\frac{1}{2}\right)_k^2 (1-z)^k \pmod p.
\]

Second, writing for a real \(r\) in the range \(0 < r < 1\),

\[
F(z; \varepsilon) = \frac{1}{\Gamma(r) \Gamma(1-r)} \sum_{n=-\infty}^{\infty} \frac{\Gamma(r + \varepsilon + n) \Gamma(1-r + \varepsilon + n)}{\Gamma(1 + \varepsilon + n)^2} z^{n+\varepsilon}
\]

\[
= \frac{1}{\Gamma(r) \Gamma(1-r)} \sum_{n=0}^{\infty} \frac{\Gamma(r + \varepsilon + n) \Gamma(1-r + \varepsilon + n)}{\Gamma(1 + \varepsilon + n)^2} z^{n+\varepsilon} + O(\varepsilon^2)
\]

\[
= F_0(z) + F_1(z) \varepsilon + O(\varepsilon^2) \quad \text{as} \quad \varepsilon \to 0,
\]

where

\[
F_0(z) = {}_2F_1\left(r, 1-r \left| z\right)\right),
\]

and applying the monodromy of the hypergeometric function we obtain

\[
F_1(z) = -\Gamma(r) \Gamma(1-r) F_0(1-z) = -\frac{\pi}{\sin \pi r} F_0(1-z).
\]
This relation is valid in the cut $\mathbb{C}$-plane $\mathbb{C} \setminus (-\infty, 0] \cup [1, \infty)$ but also along the respective banks of the cuts; in particular, for the real parts, the identity
\[
\Re F_1(z) = -\frac{\pi}{\sin \pi r} \Re F_0(1 - z)
\]  
(12)
is true for any complex $z \neq 0, 1$. Using (12) with $r = \frac{1}{2}$ we can summarize our findings as follows.

**Observation 2.** Let $p > 2$ be a prime not dividing the denominator of a given $z \in \mathbb{Q} \setminus \{0, 1\}$. Define the integer $a(p) = a(p; z)$ as the absolutely smallest residue modulo $p$ of the partial sum
\[
\sum_{k=0}^{p-1} \left(\frac{1}{2}\right)_k \frac{z^k}{k!^2}
\]
(so that $-p/2 < a(p) < p/2$) of the hypergeometric function
\[
F_0(z) = 2F_1\left(\frac{\frac{1}{2}}{1}, \frac{\frac{1}{2}}{1} \Bigg| z\right) = \sum_{k=0}^{\infty} \left(\frac{1}{2}\right)_k \frac{z^k}{k!^2}.
\]
Then the number satisfies Weil’s estimate $|a(p)| < 2\sqrt{p}$.

Furthermore, form the associated $L$-function
\[
L(z, s) = \prod_p \left(1 - a(p)p^{-s} + p^{1-2s}\right)^{-1} = \sum_{n=1}^{\infty} \frac{a(n)}{n^s},
\]
where the product is over primes $p > 2$ that do not divide the denominator of $z$. Then
\[
\frac{L(E_z, 1)}{\Re F_1(z)} = -\frac{L(z, 1)}{\pi \Re F_0(1 - z)} \in \mathbb{Q},
\]  
(13)
where $F_1(z)$ originates from the $\varepsilon$-expansion (11).

Note that $a(p)$ constructed in Observation 2 may in fact differ, by a multiple of $p$, from the $p$-th Fourier coefficient of the modular form associated with $E_z$ for the range $p \leq 13$. However the change (or omission) of finite set of factors in the product defining $L(E_z, s)$ contributes by a nonzero rational factor in $L(z, 1)$, so that relation (13) is seen to be equivalent to
\[
\frac{L(E_z, 1)}{\Re F_1(z)} = -\frac{L(z, 1)}{\pi \Re F_0(1 - z)} \in \mathbb{Q}.
\]
We also stress on the fact that $L(E_z, 1)$, therefore $L(z, 1)$ in (13), vanishes when the (analytic) rank of the elliptic curve $E_z$ is positive. In such situations, numerics suggests no relation between the hypergeometric functions $F_0(z), F_1(z)$ in question and the first nonzero derivative of $L(E_z, s)$ (or of $L(z, s)$) at $s = 1$.

A similar analysis applies to three other classical hypergeometric series
\[
2F_1\left(\frac{r}{1}, \frac{1 - r}{1} \Bigg| z\right) = \sum_{k=0}^{\infty} \frac{(r)_k(1-r)_k}{k!^2} z^k, \quad \text{where} \quad r \in \{1, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}\}.
\]  
(14)
They are known to represent the periods of suitable families of elliptic curves, for example, of the pencils of elliptic curves
\[
X^2Y + Y^2Z + Z^2X = z^{1/3}XYZ,
\]
\[
X^4 + Y^2 + Z^4 = z^{1/4}XYZ \quad \text{and} \quad X^3 + Y^2 + Z^6 = z^{1/6}XYZ,
\]
respectively, in weighted projective planes \cite{29}. The corresponding Weierstrass forms are
\[ y^2 = x^3 - 3(9 - 8z)x + 2(27 - 36z + 8z^2), \]
\[ y^2 = x^3 - 27(1 + 3z)x + 54(1 - 9z) \quad \text{and} \quad y^2 = x^3 - 27x + 54(1 - 2z). \]

**Observation 3.** Take \( r \in \{ \frac{1}{3}, \frac{1}{4}, \frac{1}{5} \} \) and \( z \in \mathbb{Q} \setminus \{0, 1\} \). Let \( p \) be a prime not dividing the denominators of \( r \) and \( z \). Define the integer \( a(p) = a(p; r, z) \) as the absolutely smallest residue modulo \( p \) of the partial sum
\[ \sum_{k=0}^{p-1} \frac{(r)k(1-r)k}{k^2} z^k \]
of the hypergeometric function (14). Then the number satisfies Weil’s estimate \(|a(p)| < 2\sqrt{p}\).

Form the associated \( L \)-function
\[ L(z, s) = \prod_p \left( 1 - a(p)p^{-s} + p^{1-2s} \right)^{-1} = \sum_{n=1}^{\infty} \frac{a(n)}{n^s}, \]
where the product is over primes \( p \) that do not divide the denominators of \( r \) and \( z \). Then
\[ \frac{L(z, 1)}{\Re F_1(z)} = -\frac{L(z, 1)}{\Gamma(r)\Gamma(1-r)\Re F_0(1-z)} \in \mathbb{Q}, \]
where \( F_1(z) \) originates from the corresponding \( \varepsilon \)-expansion (11).

We can also point out the symmetry property \( a(p; r, z) = \chi(p)a(p; r, 1-z) \) valid for \( r \in \{ \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6} \} \) (see (10) for \( r = \frac{1}{2} \)) and all admissible primes \( p \) with the corresponding choice of the quadratic character
\[ \chi(\cdot) = \left( \frac{-4}{\cdot} \right), \left( \frac{-3}{\cdot} \right), \left( \frac{-2}{\cdot} \right) \text{ or } \left( \frac{-4}{\cdot} \right) \quad \text{for} \quad r = \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}, \text{ respectively.} \]

**Remark 6.** With each modular form \( f(\tau) \) of integral weight at least 2 one can canonically associate two periods \( \omega_- \) and \( \omega_+ \). When the weight higher than 2 shows up, and these are examples from Section 2 above and Section 5 below, the critical \( L \)-values \( L(f, m)/\pi^m \) represent the both periods \( \omega_- \) and \( \omega_+ \) of the modular form, so that twisting the Hecke eigenvalues \( a(p) \) by an odd character is equivalent to changing the parity of \( m \) or swapping the periods. This is an immediate consequence of the Manin–Shimura description of the critical \( L \)-values [25, 26]. In situations covered in this section the modular forms \( f(\tau) \) have weight 2; thus, the symmetry \( a(p; r, z) = \chi(p)a(p; r, 1-z) \) under the involution \( z \mapsto 1-z \) displays the interchange of the periods \( \omega_- \) and \( \omega_+ \) on the corresponding elliptic curve in the family.

The potentials of the hypergeometric description of the modularity are at least two-fold. First, they provide us with a new class of summation theorems for arithmetic instances of classical Euler–Gauss hypergeometric function (cf. [35]). Second, they allow one to deal with elliptic curves defined over algebraic extensions of \( \mathbb{Q} \) as the hypergeometric machinery works for not necessarily rational \( z \), at least formally.

### 5 Other modularity instances

One interesting message coming from Observation 1 is that \( z = 1 \) always corresponds to a rigid Calabi–Yau threefold in each hypergeometric family. Note that \( z = 1 \) happens to be a singular
Observation 4. Let \( r \in \{ \frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6} \} \) and let rational \( z \) be 1 or ‘arithmetically special’ (that is, corresponding to CM cases of the underlying modular parametrization – we address this point more specifically in Remark 7). For a prime \( p \) not dividing the denominators of \( r \) and \( z \), define \( a(p) \) to be the absolute smallest integer residue modulo \( p^2 \) of the partial sum

\[
\sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k (r)_k (1-r)_k k!^3}{z^k}.
\]

of the hypergeometric series

\[
_{3}F_{2}\left(\frac{1}{2}, r, 1-r | \frac{1}{1}, 1 \right) = \sum_{k=0}^{\infty} \frac{(\frac{1}{2})_k (r)_k (1-r)_k k!^3}{z^k}.
\]

Then \(|a(p)| \leq 2p\) and \(a(p)\) are the Fourier coefficients of a suitable eigenform \( f(\tau) = q + a(2)q^2 + \cdots \) of weight 3 for some congruence subgroup of \( \text{PSL}_2(\mathbb{Z}) \). Furthermore, in several cases we have

\[
\frac{L(f, 2)}{\pi^2} \in \mathbb{Q}[\sqrt{d}] \text{ Re}_{3}F_{2}\left(\frac{1}{2}, r, 1-r | \frac{1}{1}, 1 \right)
\]

and then also a similar inclusion for \( L(f, 1)/\pi \). Here \( d \in \mathbb{Z} \) depend on the data \( r, z \) and on the choice of \( m \) in \( L(f, m)/\pi^m \).

The following illustrations all correspond to the choice \( r = \frac{1}{2} \) and are motivated by the results established in [30]. The corresponding character \( \chi \) is trivial and we have

\[
\sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k^3}{k!^3} \equiv a_1(p) \pmod{p^2} = \begin{cases} 2(a^2 - b^2) & \text{if } p = a^2 + b^2, \ a \text{ odd}, \\ 0 & \text{if } p \equiv 3 \pmod{4}, \end{cases}
\]

\[
\sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k^3}{k!^3} (-1)^k \equiv a_2(p) \pmod{p^2}, \quad \sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k^3}{k!^3} 4^k \equiv a_3(p) \pmod{p^2},
\]

where \( a_1(n) \) denote the Fourier coefficients of the cusp form of weight 3 on \( \Gamma_1(16) \),

\[
f_1(\tau) = \sum_{n=1}^{\infty} a_1(n) q^n = \eta(4\tau)^6 = q \prod_{m=1}^{\infty} (1 - q^{4m})^6,
\]

while

\[
f_2(\tau) = \sum_{n=1}^{\infty} a_2(n) q^n = \eta(\tau)^2 \eta(2\tau) \eta(4\tau) \eta(8\tau)^2, \quad f_3(\tau) = \sum_{n=1}^{\infty} a_3(n) q^n = \eta(2\tau)^3 \eta(6\tau)^3
\]
are the cusp forms on $\Gamma_1(8)$ and $\Gamma_1(12)$, respectively. In addition, on using some hypergeometric summations and [23, Theorem 5] we obtain

$$3F_2\left( \frac{\pi}{2}, \frac{1}{2}, \frac{1}{4} \bigg| 1 \right) = \frac{\pi}{\Gamma(3/4)^4} = \frac{16L(f_1, 2)}{\pi^2} = \frac{8L(f_1, 1)}{\pi},$$

$$3F_2\left( \frac{3}{2}, \frac{3}{2}, \frac{1}{2} \bigg| -1 \right) = \frac{\Gamma(1/8)^2\Gamma(3/8)^2}{2\sqrt{2}\pi^3} = \frac{12\sqrt{2}L(f_2, 2)}{\pi^2} = \frac{12L(f_2, 1)}{\pi},$$

$$\text{Re}_3F_2\left( \frac{1}{2}, \frac{3}{2}, \frac{1}{4} \bigg| 4 \right) = \frac{3\Gamma(1/3)^6}{2^{11/3}\pi^4} = \frac{4\sqrt{3}L(f_3, 1)}{\pi}.$$ 

Also notice that algebraic transformations of underlying hypergeometric functions correspond to the ‘coincidences’ of the type

$$\sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k(\frac{1}{3})_k(\frac{3}{4})_k}{k!^3} \left( \frac{2}{27} \right)^k \equiv \sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k^3}{k!^3} \equiv a_3(p) \pmod{p^2}$$

for $p > 3$ and

$$\sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k(\frac{1}{4})_k(\frac{3}{4})_k}{k!^3} \equiv \left( \frac{-4}{p} \right) \sum_{k=0}^{p-1} \frac{(\frac{1}{2})_k^3}{k!^3} (-1)^k \equiv \left( \frac{-4}{p} \right) a_2(p) \pmod{p^2}$$

for $p > 2$. The last example is of importance in relation with the computation in [24].

**Remark 7.** Behind such examples in Observation 4, there is Clausen’s classical identity

$$2F_1\left( \frac{r, 1-r}{1} \bigg| z \right)^2 = 3F_2\left( \frac{\frac{1}{2}, r, 1-r}{1, 1} \bigg| 4z(1-z) \right)$$

(15)

valid in a neighbourhood of $z = 0$. If we write the corresponding $\epsilon$-expansions (11) and

$$F(z; \epsilon) = \frac{1}{\Gamma(\frac{1}{2})\Gamma(r)\Gamma(1-r)} \sum_{n=-\infty}^{\infty} \frac{\Gamma(\frac{1}{2} + \epsilon + n)\Gamma(r + \epsilon + n)\Gamma(1-r + \epsilon + n)}{(1 + \epsilon + n)^3} (4z(1-z))^{n+\epsilon}$$

$$= \tilde{F}_0(z) + \tilde{F}_1(z)\epsilon + \tilde{F}_2(z)\epsilon^2 + O(\epsilon^3) \quad \text{as} \quad \epsilon \to 0$$

then $\tilde{F}_0(z) = F_0(z)^2$ (as in (15)) but also $\tilde{F}_1(z) = F_0(z)F_1(z)$,

$$\tilde{F}_2(z) = \frac{1}{2} \left( \frac{\pi}{\sin \pi r} \right)^2 F_0(z)^2 + \frac{1}{2} F_1(z) = \frac{1}{2} F_1(1-z)^2 + \frac{1}{2} F_1(z)^2.$$ 

The relations follow from the particular structure of the bilateral hypergeometric functions $F(z; \epsilon)$ and $\tilde{F}(z; \epsilon)$, which we outlined in Section 3, and the following generalized Clausen identity:

$$2\tilde{F}(z; \epsilon) \cos \pi \epsilon = F(z; \epsilon)^2 e^{-\pi i \epsilon} \left( 1 - \frac{\sin^2 \pi \epsilon}{\sin^2 \pi r} \right) + F(z; 0)^2 e^{\pi i \epsilon}$$

(16)

valid for all $\epsilon \in \mathbb{R}$. The identity (16) follows from the fact that the hypergeometric differential equation for $\tilde{F}(z; \epsilon)$ is the symmetric square of the differential equation for $F(z; \epsilon)$.

Finally, we would like to point out some heuristics about why modular instances of $K3$ surfaces with Picard rank 20 correspond to the CM cases of the underlying hypergeometric functions. Notice that the functional equation for $L(f, s)$ in the case of a modular form of weight 3 and level $\ell$ implies that, for the critical values, $L(f, 2)/L(f, 1) = \pm 2\pi/\sqrt{\ell}$. If we expect
that a hypergeometric $3F_2$ function is linked to a modular $K3$ surface (with Picard rank 20), then we must have $F_2(z)/(\tau F_1(z))$ to be of the form $\sqrt{\ell}Q$ for some positive integer $\ell$. With the help of the generalized Clausen identity we then conclude that the quantity

$$\tau = \tau(z) = -\frac{iF_1(z)}{2\pi F_0(z)} = \frac{iF_0(1-z)}{2\sin \pi r F_0(z)}$$

must be an imaginary quadratic irrationality, hence its functional inversion – the modular function $z = z(\tau)$ admits a singular modulus value at this point. The fact that $z(\tau)$ is a modular parametrization of the corresponding hypergeometric function

$$F_0(z) = 2F_1\left(r, 1-r \left| \frac{1}{z}\right.\right)$$

for each $r \in \{\frac{1}{2}, \frac{1}{3}, \frac{1}{4}, \frac{1}{6}\}$ is classical – see, for example, [2, p. 91]; one also has

$$\frac{1}{2\pi i} \frac{dz}{d\tau} = z(1-z)F_0(z),$$

the result already known to Ramanujan [2, Chapter 33], [5].

A different way to explain the modularity of $K3$ surfaces with Picard number 20 is kindly communicated to us by N. Yui: Such $K3$ surfaces are all motivically modular in the sense that the lattice of transcendental cycles is of rank 2 and corresponds to a modular form of weight 3 with character for some congruence subgroup of $\text{PSL}_2(\mathbb{Z})$. They are all of CM type as the endomorphism algebra of the transcendental lattice is an imaginary quadratic field over $\mathbb{Q}$. In particular, this means that the underlying hypergeometric functions are also of CM type.

Another interesting instance corresponds to choosing $z = 1$ in the hypergeometric series

$$F_0(z) = 6F_5\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}; 1, 1, 1, 1, 1 \left| z\right.\right) = \sum_{k=0}^{\infty} \frac{\left(\frac{1}{2}\right)_6}{k!^6} z^k$$

related to a Calabi–Yau fivefold – a complete intersection of six degree 2 surfaces in $\mathbb{P}^{12}$; the associated Hodge structure for each fiber $z$ of the family can be conjecturally computed with a help of the hypergeometric motives [22]. Consider the newform

$$g(\tau) = \sum_{n=1}^{\infty} b(n)q^n = q + 20q^3 - 74q^5 - 24q^7 + 157q^9 + 124q^{11} + \cdots$$

$$= \eta(2\tau)^{12} + 32\eta(2\tau)^4\eta(8\tau)^8$$

of weight 6 on $\Gamma_0(8)$. Its coefficients satisfy Weil’s bound $|b(p)| \leq 2p^{5/2}$ and numerics suggest that

$$\sum_{k=0}^{p-1} \frac{\left(\frac{1}{2}\right)_k}{k!^6} \equiv b(p) \pmod{p^5}$$

(17)

is true for all primes $p > 2$. The explicit expression for $g(\tau)$ was kindly informed to us by J. Wan who also noticed its historical cast in [9] (see the last column of the table on p. 56 there). As we learned later, the conjecture (17) was reported in [8] and attributed to E. Mortenson; it is now shown to be true modulo $p^3$ in the joint work [19] with R. Osburn and A. Straub. Numerically, the Taylor $\varepsilon$-expansion

$$\frac{1}{\Gamma(\frac{1}{2})^6} \sum_{n=-\infty}^{\infty} \frac{\Gamma(\frac{1}{2} + \varepsilon + n)\varepsilon^k}{\Gamma(1 + \varepsilon + n)^6} z^{n+\varepsilon} = \sum_{k=0}^{5} F_k(z)\varepsilon^k + O(\varepsilon^6)$$

as $\varepsilon \to 0$
can be related, at \( z = 1 \), to the critical \( L \)-values as follows:

\[
\frac{L(g, 1)}{F_1(1)} = -\frac{1}{8}, \quad \frac{L(g, 2)}{F_2(1)} = \frac{1}{32}, \quad \frac{L(g, 3)}{F_3(1)} = -\frac{3}{448}, \quad \frac{L(g, 4)}{F_4(1)} = \frac{1}{640} \quad \text{and} \quad \frac{L(g, 5)}{F_5(1)} = -\frac{5}{12032}.
\]

As pointed out to us by F. Rodriguez Villegas and D. Roberts the related hypergeometric motive is also linked to the modular form \( f(\tau) \) from the introduction, defined in (2). Armed by this hint, we have found the related instances

\[
\sum_{k=0}^{p-1} (4k + 1) \left( \frac{1}{2} \right)_k \frac{1}{k!^6} \equiv p \alpha(p) \pmod{p^4} \quad \text{for } p > 2
\]

proved in [16, Theorem 1.2] and

\[
\sum_{k=0}^{\infty} (4k + 1) \left( \frac{1}{2} \right)_k \frac{1}{k!^6} = \frac{32}{\pi^2} L(f, 1)
\]

established in [23, equation (33)].

Our final – and personal favourite – family of examples is about known Ramanujan(-type) formulas [36] for \( \frac{1}{\pi}, \frac{1}{\pi^2} \) and their generalizations. Those fit a general picture highlighted in the observations above, except that the modular form \( f(\tau) \) is replaced by a quadratic character so that a critical \( L \)-value \( L(f, m) \) is replaced by the critical value of the corresponding Dirichlet \( L \)-series. This is transparent from supercongruence observations in [37] and, in addition, from a noncongruence (bilateral) counterpart experimentally discovered by J. Guillera in [12] (see also the related prequel [13]).

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