



Permanents and Determinants, Weighted Isobaric Polynomials, and Integer Sequences

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Abstract

In this paper we construct two types of Hessenberg matrices with the property that every weighted isobaric polynomial (WIP) appears as a determinant of one of them, and as the permanent of the other. Every integer sequence which is linearly recurrent is representable by (an evaluation of) some linearly recurrent sequence of WIPs. WIPs are symmetric polynomials written in the elementary symmetric polynomial basis. Among them are the generalized Fibonacci polynomials and the generalized Lucas polynomials, which already have these sweeping representation properties. Among the integer sequences discussed are the Chebyshev polynomials of the 2nd kind, the Stirling numbers of the 1st and 2nd kind, the Catalan numbers, and the triangular numbers, as well as all sequences which are either multiplicative arithmetic functions or additive arithmetic functions.

1 Introduction

In this paper, we give a general method for finding permanent and determinantal representations of many families of integer sequences. These include all of the integer sequences in a recent paper of Kirgisiz and Sahin [3]. However, the methods used here are general and are part of an overarching theoretical structure. The tools that we use involve the ring of symmetric polynomials, and the methods are ones that should be congenial to workers in algebraic combinatorics.

The term *permanent* dates from Cauchy, 1821, and the modern usage of the term as permanent of a matrix goes back to Muir [14] in 1882. A permanent is computed very much like a determinant except that one ignores the parity of the elements acting from the symmetric group. The interest in the permanent of a matrix is at least two-fold. On the one hand, it has applications in graph theory, on the other hand, it is used in quantum physics. Since its applications are computational, finding economical computing techniques is a desirable end. Clearly the more zeros that occur in a matrix, the easier will be the computation of its permanent as well as its determinant.

Hessenberg matrices, *upper* and *lower*, are matrices that are nearly triangular. Specifically, an *upper Hessenberg matrix* is one with a not-necessarily zero sub-main diagonal, otherwise there are only zeros below the main diagonal; a *lower Hessenberg matrix* has a not-necessarily zero super-main diagonal, otherwise there are only zeros above the main diagonal.

The representation theorems in Kaygisiz and Sahin [3] are of this sort, as will be ours as well. After having described a very general class of polynomials, *weighted isobaric polynomials* (WIPs), whose evaluations produce a large number of interesting integer sequences (in Section 3) simply by varying the parameters in these polynomials, we then construct (in Section 8) two special Hessenberg matrices, one of which has these polynomials as permanents, the other has these polynomials as determinants.

The paper begins with a presentation of the theory of isobaric polynomials (in Section 2) following the earlier papers of Li, MacHenry, Tudose and Wong [5, 8, 7, 9, 10, 11, 12]. Isobaric polynomials are symmetric polynomials written in the elementary symmetric polynomial basis. MacHenry [8] introduced two especially important sequences of isobaric polynomials, the *generalized Fibonacci polynomial* (GFP) sequence and the *generalized Lucas polynomial* (GLP) sequence. Both of these terms, “generalized Fibonacci” and “generalized Lucas” have been used for many years, and continue to be used, but they refer to two-variable polynomials, while our polynomials have arbitrarily many variables and include the two-variable case. They appeared for the first time in MacHenry [7, 8]. GFPs and GLPs are examples of WIPs, and their sequences correspond to complete homogeneous symmetric polynomials and power sum symmetric polynomials, respectively, both of which are bases for the ring of symmetric polynomials.

The theory of isobaric polynomials can be thought of as a collection of *packages* of results following from the specification of a monic polynomial: — for the purposes of this paper — with integer coefficients. We call this polynomial the *core polynomial* (CP). We distinguish between a *generic* monic polynomial, one with variable coefficients, and a *numerical* polynomial in which the coefficients have been evaluated over, say, the ring of integers. A

package consists of a *generic core* polynomial (GCP) and its companion matrix (GCM), and of an extension of the GCM, the *infinite companion matrix* (ICM), whose right hand column contains the positively and negatively indexed GFPs, and the sums of its diagonal elements give the GLPs [9]. Each evaluation of the GCM induces the generic linear recursion of degree n (GLR(n)) [11]; it also induces a multiplicative arithmetic function (MF), and an additive arithmetic function (AddF) in the ring (unique factorization domain) of arithmetic functions [2, 5, 12], and the GCP gives families of MF and AddF [12]. Moreover, we can also think of the rational extension ring (field, if CP is irreducible) obtained from the GCP or the CP as being part of this package, so, in particular, when CP is irreducible, a class of number fields (NF(n)) is induced [11]. The Frobenius character theorem can be represented in terms of WIPs, so the GCP of degree n induces the character table of Sym(n) (ChT(n)) [5]. Thus given a GCP of degree n , we can think of the package as the collection, (GCP, GCM, ICM, GLR(n), GFP(n), GLP(n), MF(n), AddF(n), NF(n), ChT(n)). This can be thought of as the theory arising from the classical result that the coefficients of a monic polynomial are elementary symmetric functions (ESP) of its roots, that is an answer to the question, what follows from the way the roots determine the coefficients of a monic polynomial? This is somewhat analogous to the way in which Galois theory arises from asking the question: in what way do the coefficients determine the roots of the polynomial? For the history of the term *isobaric* itself, see Read, Redfield and Pólya [16, 19, 15].

In what follows we give the outline of the paper.

Section 1. Introduction

Section 2. Core polynomial, the companion matrix and isobaric polynomials.

Section 3. Weighted isobaric polynomials and the infinite companion matrix.

Section 4. Different matrix and related results.

Section 5. Convolution product.

Section 6. Isobaric logarithm, isobaric exponential operators and isobaric trigonometry.

Section 7. Multiplicative arithmetic functions.

Section 8. Hessenberg matrices.

Section 9. Permanental and determinantal representation.

Section 10. Representability of integer sequences.

Section 11. Examples.

2 Isobaric polynomials

Let

$$\mathcal{C}(X) = X^k - t_1 X^{k-1} - \dots - t_k$$

be a *generic* degree- k monic polynomial, that is, we consider the coefficients to be variables which can be evaluated over a suitable ring. In this paper, that ring will be the ring of integers, \mathbb{Z} . We call this polynomial the *core polynomial*. It is with respect to this polynomial that we shall make the following definitions. For this polynomial we construct the *companion matrix*

$$A = \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & 1 \\ t_k & t_{k-1} & t_{k-2} & \cdots & t_1 \end{pmatrix}.$$

The companion matrix will be used to generate some remarkable polynomials which we now define.

Definition 1. An *isobaric polynomial* is a polynomial on the variables t_1, t_2, \dots, t_k for $k \in \{1, 2, \dots, n, \dots\}$, with coefficients, for purposes of this paper, in \mathbb{Z} , of the form

$$P_{k,n}(t_1, t_2, \dots, t_k) = \sum_{\alpha \vdash n} C_\alpha t_1^{\alpha_1} t_2^{\alpha_2} \cdots t_k^{\alpha_k},$$

where $\alpha = \{\alpha_1, \alpha_2, \dots, \alpha_k\}$ and $\alpha \vdash n$ means that $\sum_{j=1}^k j\alpha_j = n$; that is, in a standard partition notation, $(1^{\alpha_1}, 2^{\alpha_2}, \dots, k^{\alpha_k})$ is a partition of n . n is called the *isobaric degree* of the polynomial.

Thus, isobaric polynomials are polynomials indexed by partitions of the natural numbers, or, equivalently, by Young diagrams.

Theorem 2. *The isobaric polynomials form a ring which is isomorphic to the ring of symmetric polynomials.*

In fact, the isobaric polynomials are simply the symmetric polynomials written in the elementary symmetric polynomial basis. The isomorphism is given by letting t_j go to $(-1)^{j-1}$ times the j -th elementary symmetric polynomial, for each grading k of the graded ring of symmetric polynomials [6].

3 Weighted isobaric polynomials and the infinite companion matrix

Definition 3. A *weighted isobaric polynomial* is an isobaric polynomial given by the following explicit expression:

$$P_{\omega,k,n}(t_1, t_2, \dots, t_k) = \sum_{\alpha \vdash n} \binom{|\alpha|}{\alpha_1, \dots, \alpha_k} \frac{\sum_{j=1}^k \omega_j \alpha_j}{|\alpha|} t_1^{\alpha_1} \cdots t_k^{\alpha_k},$$

where ω is the weight vector $(\omega_1, \omega_2, \dots, \omega_n, \dots)$, $\omega_j \in \mathbb{Z}$ and $|\alpha| = \alpha_1 + \cdots + \alpha_k$. The coefficient C_α is then just

$$\binom{|\alpha|}{\alpha_1, \dots, \alpha_k} \frac{\sum_{j=1}^k \omega_j \alpha_j}{|\alpha|}.$$

To make the notation simpler, we will write $P_{\omega,k,n}(t_1, t_2, \dots, t_k)$ as $P_{\omega,k,n}$.

Let the collection of weighted isobaric polynomials be denoted by \mathcal{W} . Generating functions for the polynomials in \mathcal{W} are given by:

$$\Omega(y) = 1 + \frac{\omega_1 t_1 y + \omega_2 t_2 y^2 + \omega_3 t_3 y^3 + \dots + \omega_k t_k y^k}{1 - p(y)},$$

where $p(y) = t_1 y + t_2 y^2 + t_3 y^3 + \dots + t_k y^k$. An easy induction gives the following very useful proposition.

Proposition 4. (*Linear Recursion Property*) Let $P_{\omega,k,n}$ be a weighted isobaric polynomial, then the following recursion holds:

$$P_{\omega,k,n} = \sum_{j=1}^k t_j P_{\omega,k,n-j}.$$

Two important sequences are the *generalized Fibonacci polynomials* (GFP), and the *generalized Lucas polynomials* (GLP). The weight vector for the GFPs is $(1, 1, \dots, 1, \dots)$ and for the GLPs, $(1, 2, \dots, n, \dots)$. Thus,

Corollary 5. (*Explicit formulae for GFP and GLP*)

$$F_{k,n} = \sum_{\alpha \vdash n} \binom{|\alpha|}{\alpha_1, \dots, \alpha_k} t_1^{\alpha_1} t_2^{\alpha_2} \dots t_k^{\alpha_k}.$$

$$G_{k,n} = \sum_{\alpha \vdash n} \frac{n}{|\alpha|} \binom{|\alpha|}{\alpha_1, \dots, \alpha_k} t_1^{\alpha_1} t_2^{\alpha_2} \dots t_k^{\alpha_k}.$$

The generating function given above specializes to

$$\Omega_F(y) = \frac{1}{1 - p(y)} \quad \text{for GFP,}$$

and to

$$\Omega_G(y) = \frac{1 + t_2 y^2 + \dots + (k-1)t_k y^k}{1 - p(y)} \quad \text{for GLP.}$$

Another way of producing these polynomials is through the *infinite companion matrix*, which we now define.

Definition 6. The *infinite companion matrix* A^∞ is the matrix defined by allowing the companion matrix A to operate on the row vectors of A , that is on itself.

We clearly reproduce A itself this way, and if we sequentially adjoin the orbit vectors under this operation as new rows of A , we obtain infinitely many new rows southward. If we also agree that $t_k \neq 0$, so that A is non-singular, and operate on the first row vector of A with A^{-1} , and adjoin this orbit northward to A , we obtain infinitely many rows northward, so that the resulting matrix is an $(\infty \times k)$ -matrix. As we shall see, it is a rather remarkable matrix which contains a great deal of information. Below we give a “picture” of A^∞ .

$$A_k^\infty = \begin{pmatrix} \vdots & \ddots & \vdots & \vdots \\ (-1)^{k-1}S_{(-2,1^{(k-1)})} & \cdots & -S_{(-2,1)} & S_{(-2)} \\ (-1)^{k-1}S_{(-1,1^{(k-1)})} & \cdots & -S_{(-1,1)} & S_{(-1)} \\ (-1)^{k-1}S_{(0,1^{(k-1)})} & \cdots & -S_{(0,1)} & S_{(0)} \\ (-1)^{k-1}S_{(1,1^{(k-1)})} & \cdots & -S_{(1,1)} & S_{(1)} \\ (-1)^{k-1}S_{(2,1^{(k-1)})} & \cdots & -S_{(2,1)} & S_{(2)} \\ (-1)^{k-1}S_{(3,1^{(k-1)})} & \cdots & -S_{(3,1)} & S_{(3)} \\ (-1)^{k-1}S_{(4,1^{(k-1)})} & \cdots & -S_{(4,1)} & S_{(4)} \\ \vdots & \ddots & \vdots & \vdots \end{pmatrix} = \left((-1)^{k-j} S_{(n,1^{k-j})} \right).$$

Lemma 7 ([11]). *Let v be a row vector with k components. The orbit of v under the action of A on the right, giving the ordered set vA^n , is a linearly recursive sequence with recursion parameters $\{t_1, t_2, \dots, t_k\}$. In fact, we get all k -th order linear recursions in this way.*

□

Corollary 8. *Each column of the infinite companion matrix is a linearly recursive sequence of degree k .*

Proof. It is easy to see that the orbit of any vector under the operation of A is necessarily a linear recursion with the set $\{t_j\}$ as the recursion parameters. □

Theorem 9 ([5]). *We assume that $t_k \neq 0$, so that A^{-1} is defined.*

1. *The powers of A constitute an infinite cyclic group.*
2. *The $k \times k$ contiguous blocks of A_k^∞ are the powers A_k^n of A . In particular, the $k \times k$ block whose lower right-hand corner is $S_{(0)}$ is the identity matrix, and the $k \times k$ block whose lower right-hand corner is $S_{(1)}$ is just A .*
3. *The entries in A_k^∞ are Schur-hook polynomials with arm-length n and leg-length r .*
4. *The right-hand column is the linearly recursive sequence of GFPs, the generalized Fibonacci polynomials. The traces of the infinite cyclic group generated by A , that is, the diagonal sums of A_k^∞ , is the linearly recursive sequence of GLPs, that is, the generalized Lucas polynomials.*
5. *All of the columns of A_k^∞ are linear recursions with recursion parameters $\{t_1, t_2, \dots, t_k\}$, and every linear recursion of degree k can be obtained by a suitable choice of k and evaluation of the parameters. In particular, when $k = 2$ and $t_1 = t_2 = 1$, then GFP becomes the Fibonacci sequence, and GLP becomes the Lucas sequence.*

Letting the collection of weighted isobaric polynomials be denoted by \mathcal{W} , we can define a group operation on \mathcal{W} by applying the usual component-wise addition of vectors to the weight vectors. Thus given weights ω and ω' , we can define a new weight vector $\omega + \omega'$. It is trivial to see that this induces a group structure on \mathcal{W} . Call this group $\mathcal{W}(\omega)$. Also, ring addition of two weighted isobaric polynomials with possibly different weights gives a group structure on \mathcal{W} . Call it $\mathcal{W}(+)$.

Theorem 10. $\mathcal{W}(\omega) \cong \mathcal{W}(+)$. *In fact they are identical.*

□

4 The different matrix

Consider the derivative of the core polynomial,

$$C'(X) = kX^{k-1} - (k-1)t_1X^{k-2} - \dots - t_{k-1}.$$

Define the vector $d_k = (-t_{k-1}, -2t_{k-2}, \dots, -(k-1)t_1, k)$, and construct the *different matrix*

$$D_k = \begin{pmatrix} d_k A^0 \\ d_k A \\ \vdots \\ d_k A^{k-1} \end{pmatrix}$$

obtained by operating on d_k with the companion matrix. For example, when $k = 3$,

$$D_3 = \begin{pmatrix} -t_2 & -2t_1 & 3 \\ 3t_3 & 2t_2 & t_1 \\ t_1 t_3 & 3t_3 + t_1 t_2 & t_1^2 + 2t_2 \end{pmatrix}.$$

Let $\Delta_k = \det D_k$. We obtain the *infinite different matrix* D^∞ by continuing to operate on d_k by the companion matrix, analogous to the way we obtained A_k^∞ .

It will follow from Proposition 14 and Theorem 16 that the right hand column of A_k^∞ is the GLP sequence.

Definition 11. Let p be a rational prime, then p *ramifies* if p divides Δ_k .

This definition is consistent with the usual definition of ramification when speaking about number fields.

Let p be a rational prime, and let the variables t_j take integer values. Then the sequences in \mathcal{W} are now numerical sequences, and all of the matrices defined are numerical matrices. In particular, the sequences GFP and GLP become numerical sequences.

Let $\text{GFPmod}(p)$ and $\text{GLPmod}(p)$ be the numerical sequences obtained after evaluating the variables (the t_j 's) over the field \mathbb{Z}_p for some rational prime p . Let c_p and c'_p be the periods of, respectively, $\text{GFPmod}(p)$ and $\text{GLPmod}(p)$, then we have the following theorem concerning ramification.

Theorem 12. *The rational prime p ramifies if and only if $c_p = p \times c'_p$.*

We shall prove this theorem in Section 6.

5 The convolution product

In addition to the additive structure on \mathcal{W} , there is also a multiplicative structure, namely a convolution product. This product operates on two polynomials in \mathcal{W} with the same isobaric degree to give a product of the same isobaric degree. (MacHenry and Tudose [9] called this product the *level product*). It makes use of the fact that elements in \mathcal{W} belong to linear recursive sequences. The convolution product on \mathcal{W} can also be thought of as a product on sequences of weighted isobaric polynomials.

Definition 13. Let $P_{\omega,k,n}$ and $P_{\omega',k,n}$ be two polynomials of isobaric degree n in \mathcal{W} ; their *convolution product* is defined as

$$P_{\omega,k,n} * P_{\omega',k,n} = \sum_{j=0}^n P_{\omega,k,n-j} P_{\omega',k,j}.$$

It is straightforward to show that this product is commutative, associative and distributes over addition. For the purposes of this paper this product will be used mostly to multiply a sequence by itself, or to multiply a sequence by the sequence $(1, -t_1, \dots, -t_j, \dots)$. The sequence of the second option acts as an identity for this product. We have already seen it at work in the linear recursion of Proposition 4.

If we consider the evaluations of the GFPs over the integers, we then get a family of integer sequences (one of which is, of course, the Fibonacci sequence), the product induced on these sequences turns out to be Dirichlet convolution. Under this product the family of sequences is an abelian group, one isomorphic to the group of multiplicative arithmetic functions (MF). For a proof, see Li and MacHenry and MacHenry and Wong [5, 12]. MacHenry and Tudose [9] also implicitly proved the isomorphism where the isobaric ring was used to construct q -th roots for the subgroup of rational multiplicative functions for all $q \in \mathbb{Q}$. This turns out to be one of many ways in which the isobaric polynomials, and in particular, those in \mathcal{W} act as “representing” structures. Of course, the Schur-hook polynomials are characters for the permutation characters of the symmetric group, more generally, we can represent all of the Schur polynomials in terms of the GLPs by using a version of the Jacoby-Trudi theorem, deriving a version of the Frobenius theorem giving the character table for $\text{Sym}(n)$ [5]. Number fields derived from irreducible core polynomials can also be described completely in terms of elements in \mathcal{W} [11]. MacHenry [8] gave the first completely general analogues of the Binet formulae, where again, the representation is in terms of the isobaric theory.

6 The isobaric logarithm and isobaric exponential operators

Rearick [18] introduced the notions of *logarithm* and *exponential* with respect to the ring of arithmetic functions under the Dirichlet product. Li and MacHenry [5] transported these notions to the submodule of weighted isobaric polynomials in the isobaric ring. We reproduce these notions here and show that these operators are inverse to one another, and that they have the usual properties of logarithms and exponential functions with respect to sums and products, in this case, Dirichlet (convolution) products. All of the relevant proofs appear in Li and MacHenry [5]. Moreover, the following important consequence of these theorems is

Proposition 14. *Let $F_{k,n}$ and $G_{k,n}$ be, respectively, a generalized Fibonacci polynomial and a generalized Lucas polynomial, then*

$$\mathcal{L}_n(F_{k,n}) = G_{k,n}$$

and

$$\mathcal{E}_n(G_{k,n}) = F_{k,n}.$$

Remark 15. Proposition 14 gives the well-known result that the multiplicative group MF is isomorphic to the additive group of additive arithmetic functions. Li and MacHenry [5] called two arithmetic functions that are related by this isomorphism, *companion sequences* (also see Lehmer [4]).

Moreover, Li and MacHenry [5] proved the following theorem:

Theorem 16. *Given the infinite companion matrix A_k^∞ and the infinite different matrix D_k^∞ we have*

$$\mathcal{L}(A_k^\infty) = (D_k^\infty).$$

We can now prove Theorem 12: The rational prime p ramifies if and only if $c_p = p \times c'_p$. For, if we look at $A_k^\infty \pmod{p}$ and $D_k^\infty \pmod{p}$, then $A_k^\infty \pmod{p} \cong \mathbb{Z}_{c'_p}$ and $D_k^\infty \pmod{p} \cong \mathbb{Z}_{c'_p}$. So, from the above theorem, we have that \mathcal{L} induces the exact sequence.

$$1 \rightarrow K \rightarrow \mathbb{Z}_{c_p} \rightarrow \mathbb{Z}_{c'_p} \rightarrow 1,$$

where the kernel K is $= 0$ if and only if, $p \in \Delta_k$. \square

Li and MacHenry [5] showed that the analogues to the trigonometric functions, sine, cosine, etc. can also be defined, using the isobaric exponential function. These isobaric trigonometric functions behave like hyperbolic trigonometric functions, and satisfy analogues to all of the usual hyperbolic trigonometric identities. We shall record these identities here, since we shall show in this paper that these identities can be applied to a large class of integer sequences.

We first define the isobaric sines and cosines:

Definition 17. The *isosine* of G is

$$S(G) = \frac{1}{2}(\mathcal{E}(G) - \overline{\mathcal{E}(G)});$$

and the *isocosine* of G is

$$C(G) = \frac{1}{2}(\mathcal{E}(G) + \overline{\mathcal{E}(G)}),$$

where $\overline{\mathcal{E}(G)}$ means convolution inverse of $\mathcal{E}(G)$.

Since $\mathcal{E}(G) = F$ and the convolution product induces a group structure on evaluated GFP with $-\mathbf{t}_n$ being the convolution inverse of F_n , i.e., $\overline{F_n} = -\mathbf{t}_n$, where

$$-\mathbf{t}_n = \begin{cases} 1, & \text{if } n = 0, \\ -t_n, & \text{if } n \geq 1. \end{cases}$$

Proposition 18. *The isosine and isocosine of G are as follows:*

$$\begin{aligned} C(G_n) &= \frac{1}{2}(F_n - \mathbf{t}_n), \\ S(G_n) &= \frac{1}{2}(F_n + \mathbf{t}_n). \end{aligned}$$

□

Let δ be the function whose values are $(1, 0, \dots, 0, \dots)$.

Theorem 19. *The isosine and the isocosine are related by the following “hyperbolic” formula:*

$$C(G)^{*2} - S(G)^{*2} = \delta.$$

Proof.

$$\begin{aligned} & C(G) * C(G) - S(G) * S(G) \\ = & \frac{1}{4}[\mathcal{E}(G) * \mathcal{E}(G) + \overline{\mathcal{E}(G)} * \overline{\mathcal{E}(G)} + 2(\mathcal{E}(G) * \overline{\mathcal{E}(G)})] \\ & - \frac{1}{4}[\mathcal{E}(G) * \mathcal{E}(G) + \overline{\mathcal{E}(G)} * \overline{\mathcal{E}(G)} - 2(\mathcal{E}(G) * \overline{\mathcal{E}(G)})] \\ = & \mathcal{E}(G) * \overline{\mathcal{E}(G)} \\ = & \delta. \end{aligned}$$

□

Corollary 20. *In particular,*

$$\begin{aligned} C(G_0)^{*2} - S(G_0)^{*2} &= 1; \\ C(G_n)^{*2} - S(G_n)^{*2} &= 0, \quad n > 0. \end{aligned}$$

□

Theorem 21. *Let F and G be induced by the core $[t_1, \dots, t_k]$ and F' and G' be induced by the core $[t'_1, \dots, t'_k]$ with $\mathcal{L}(F) = G$ and $\mathcal{L}(F') = G'$. Then*

$$\begin{aligned} C(G + G') &= C(G) * C(G') + S(G) * S(G'), \\ S(G + G') &= S(G) * C(G') + C(G) * S(G'). \end{aligned}$$

Proof.

$$\begin{aligned} C(G + G') &= \frac{1}{2}(\mathcal{E}(G + G') + \overline{\mathcal{E}(G + G')}) \\ &= \frac{1}{2}(\mathcal{E}(G) * \mathcal{E}(G') + \overline{\mathcal{E}(G) * \mathcal{E}(G')}) \end{aligned}$$

while

$$\begin{aligned} & C(G) * C(G') + S(G) * S(G') \\ = & \frac{1}{4}[(\mathcal{E}(G) + \overline{\mathcal{E}(G)}) * (\mathcal{E}(G') + \overline{\mathcal{E}(G')}) + (\mathcal{E}(G) - \overline{\mathcal{E}(G)}) * (\mathcal{E}(G') - \overline{\mathcal{E}(G')})] \\ = & \frac{1}{2}[(\mathcal{E}(G) * \mathcal{E}(G') + \overline{\mathcal{E}(G) * \mathcal{E}(G')})] \\ = & \frac{1}{2}[(\mathcal{E}(G) * \mathcal{E}(G') + \overline{\mathcal{E}(G) * \mathcal{E}(G')})]. \end{aligned}$$

The proof for S is analogous.

□

7 Multiplicative arithmetic functions

We remind the reader that an arithmetic function is a function $\xi : \mathbb{N} \rightarrow \mathbb{C}$. Such functions form a unique factorization domain under addition and Dirichlet convolution [2, 20]. An arithmetic function ξ is *multiplicative* if $\xi(mn) = \xi(m)\xi(n)$ whenever $(m, n) = 1$. If the first equation holds without the second equation, then ξ is said to be *completely multiplicative* (CM). The Dirichlet product on arithmetic functions is given by

$$\xi_1 * \xi_2(n) = \sum_{d|n} \xi_1\left(\frac{n}{d}\right) \xi_2(d).$$

See, e.g., McCarthy [13].

Definition 22. An arithmetic function f is *locally representable* if for each prime p there is a core polynomial or power series $[t_1, t_2, \dots, t_k, \dots]$ such that $f(p^n) = F_n$ for all $n \in \mathbb{Z}$, where $\{F_n\}$ is the GFP sequence induced by this core polynomial.

Definition 23. An arithmetic function f is *globally representable* if there is a core polynomial or power series $[t_1, t_2, \dots, t_k, \dots]$ such that $f(n) = F_n$ for all $n \in \mathbb{Z}$, where $\{F_n\}$ is the GFP sequence induced by this core polynomial.

Definition 24. An arithmetic function f is *locally linearly recursive* if for each prime p

$$f(p^n) = a_1 f(p^{n-1}) + a_2 f(p^{n-2}) + \dots + a_n f(p^0),$$

where a_i 's are determined by the core polynomial for p .

An arithmetic function f is *globally linearly recursive* if

$$f(n) = a_1 f(n-1) + a_2 f(n-2) + \dots + a_n f(1).$$

Theorem 25 ([5]). *Multiplicative functions are locally linearly recursive.* □

Remark 26. If an arithmetic function is globally representable, then it belongs to the group of units of the ring of arithmetic functions, but lies outside of the subgroup of multiplicative arithmetic functions [7, 5].

Proposition 27. *A necessary and sufficient condition that f be representable, either globally or locally, is that it be, respectively, globally or locally linearly recursive.*

Proof. Since the GFP sequence is inherently a linear recursion, it is clear that the function f must also be either a locally or globally linear recursion. On the other hand, if it is globally or locally linearly recursive, the parameters of the linear recursion determine a core polynomial (or power series) which in turn induces a suitable GFP sequence. □

Corollary 28. *Every multiplicative function is locally linearly recursive and hence locally representable.* □

Proposition 29 ([17, Theorem 4]). *Let f be an arithmetic function, then $f \in \mathcal{M}$ if and only if $Lf(m) = 0$ whenever m is not a power of a prime.*

L is the logarithm function for the ring of arithmetic functions defined by Rearick in the paper cited above, and \mathcal{M} is the convolution group of multiplicative arithmetic functions.

Remark 30. Let f be a globally and locally representable arithmetic function. Since f is globally representable there is a global representation $f \xrightarrow{\text{gr}} {}_fF$ with ${}_f\mathcal{L}({}_fF) = {}_fG$. Since f is locally representable, $f \in \mathcal{M}$ and for any prime p there is a local representation $f \xrightarrow{\text{lr}_p} {}_fF'$ with ${}_f\mathcal{L}({}_fF') = {}_fG'$. Then

$${}_fG_n = \begin{cases} {}_fG'_r, & \text{if } n = p^r, \\ 0, & \text{otherwise.} \end{cases}$$

Since ${}_fG$ and ${}_fG'$ uniquely determine ${}_fF$ and ${}_fF'$, and ${}_fF$ and ${}_fF'$ uniquely determine f , f is well-defined and in a certain sense only trivially globally defined. So Rearick's theorem, Proposition 29, tells us that an arithmetic function f can not be “non-trivially” both locally representable and globally representable.

8 Hessenberg matrices

Definition 31. A *lower Hessenberg matrix* is a matrix whose entries above the first super-diagonal are zero. An *upper Hessenberg matrix* is defined similarly with respect to entries below the first sub-diagonal.

They are especially important for computational purposes. Our main theorem involves the following lower Hessenberg matrices:

$$H_{+(\omega,k,n)} = \begin{pmatrix} t_1 & 1 & 0 & \cdots & 0 \\ t_2 & t_1 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ t_{k-1} & t_{k-2} & t_{k-3} & \cdots & 1 \\ \omega_k t_k & \omega_{k-1} t_{k-1} & \omega_{k-2} t_{k-2} & \cdots & \omega_1 t_1 \end{pmatrix},$$

and

$$H_{-(\omega,k,n)} = \begin{pmatrix} t_1 & -1 & 0 & \cdots & 0 \\ t_2 & t_1 & -1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ t_{k-1} & t_{k-2} & t_{k-3} & \cdots & -1 \\ \omega_k t_k & \omega_{k-1} t_{k-1} & \omega_{k-2} t_{k-2} & \cdots & \omega_1 t_1 \end{pmatrix},$$

Notice that these two matrices differ only in that their super-diagonals have opposite signs.

9 Permanental and determinantal representations

Definition 32. The *permanent* of a square matrix M , denoted by $\text{perm } M$ is computed by taking the determinant while ignoring the parity of the operation of the permutations on the indices.

Our main theorem is as follows:

Theorem 33. *The permanent of the lower Hessenberg matrix $H_{+(\omega,k,n)}$ and the determinate of the lower Hessenberg matrix $H_{-(\omega,k,n)}$ are connected to weighted isobaric polynomial $P_{\omega,k,n}$ as follows:*

$$\text{perm } H_{+(\omega,k,n)} = \text{perm} \begin{pmatrix} t_1 & 1 & 0 & \cdots & 0 \\ t_2 & t_1 & 1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ t_{k-1} & t_{k-2} & t_{k-3} & \cdots & 1 \\ \omega_k t_k & \omega_{k-1} t_{k-1} & \omega_{k-2} t_{k-2} & \cdots & \omega_1 t_1 \end{pmatrix} = P_{\omega,k,n}$$

and

$$\det H_{-(\omega,k,n)} = \det \begin{pmatrix} t_1 & -1 & 0 & \cdots & 0 \\ t_2 & t_1 & -1 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ t_{k-1} & t_{k-2} & t_{k-3} & \cdots & -1 \\ \omega_k t_k & \omega_{k-1} t_{k-1} & \omega_{k-2} t_{k-2} & \cdots & \omega_1 t_1 \end{pmatrix} = P_{\omega,k,n}.$$

Proof. It is convenient to follow the steps of the proof in the 4×4 -case:

$$H_{+(\omega,4,4)} = \begin{pmatrix} t_1 & 1 & 0 & 0 \\ t_2 & t_1 & 1 & 0 \\ t_3 & t_2 & t_1 & 1 \\ \omega_4 t_4 & \omega_3 t_3 & \omega_2 t_2 & \omega_1 t_1 \end{pmatrix}$$

whose permanent is easily seen to be $\omega_1 t_1^4 + (2\omega_1 + \omega_2) t_1^2 t_2 + \omega_2 t_2^2 + (\omega_1 + \omega_3) t_1 t_3 + \omega_4 t_4 = P_{\omega,4,4}$.

Moreover, it is easy to see that there is a nesting of the Hessenberg matrices from lower right hand corner to upper left, which enables an inductive proof of the theorem, as the 4×4 example above suggests.

Lemma 34. *Let $M_j = \text{perm } H_{+(\omega,k,j)}$, $j = 1, \dots, n$. Clearly $M_1 = \omega_1 t_1 = P_{\omega,1}$. Assume that our result holds for $H_{+(\omega,k,j)}$, $j = 1, \dots, n-1$, that is, that $M_{n-j} = P_{\omega,n-j}$, $j = 0, \dots, n-1$. then, $M_n = t_1 M_{n-1} + t_2 M_{n-2} + \cdots + t_{n-1} M_1 + \omega_n t_n$.*

It is easy to see that this expression is just the computation for the permanent. But the right-hand side

$$t_1 P_{\omega,n-1} + t_2 P_{\omega,n-2} + \cdots + t_{n-1} P_{\omega,1} + \omega_n t_n$$

is just $P_{\omega,n}$, by the linear recursion property of weighted isobaric polynomials. So

$$P_{\omega,n} = t_1 P_{\omega,n-1} + t_2 P_{\omega,n-2} + \cdots + t_{n-1} P_{\omega,1} + \omega_n t_n = t_1 M_{n-1} + t_2 M_{n-2} + \cdots + t_{n-1} M_1 + \omega_n t_n.$$

Thus

$$M_n = t_1 P_{\omega,n-1} + t_2 P_{\omega,n-2} + \cdots + t_{n-1} P_{\omega,1} + \omega_n t_n = P_{\omega,n}.$$

The second part of the theorem is proved by a similar argument. \square

The following theorem is a special case of a theorem of Kaygisiz and Sahin [3].

Theorem 35. *The permanents and determinants of $H_{+(\omega,k,n)}$ and $H_{-(\omega,k,n)}$ are related as follows:*

$$\begin{aligned}\text{perm } H_{+(\omega,k,n)} &= \det H_{-(\omega,k,n)}, \\ \det H_{+(\omega,k,n)} &= \text{perm } H_{-(\omega,k,n)}.\end{aligned}$$

Proof. The theorem follows from Theorem 33. □

An immediate consequence of this theorem is

Corollary 36. *An element of \mathcal{W} has a determinantal representation if and only if it has a permanental representation.* □

10 The representability of integer sequences

The power of the isobaric theory lies in the extent to which other parts of mathematics are modeled in the isobaric ring, that is, in the ring of symmetric polynomials written in the elementary symmetric polynomial basis: MacHenry and Tudose [9, 12] showed that there are faithful copies of the convolution group of multiplicative arithmetic functions and of the additive group of additive arithmetic functions in the group \mathcal{W} in the isobaric ring, in fact, using only the GFP in the first case, and the GLP in the second. Moreover, MacHenry and Tudose [9] showed how to embed either of these groups in their divisible closures, using only the tools in \mathcal{W} [1, 8]. MacHenry and Wong [11] showed that all linear recursive sequences on the integers can be represented in \mathcal{W} and that the local structure of number fields can also be described using the polynomials in \mathcal{W} . We have shown here that whenever an integer sequence is linearly recursive, or when it is the set of values of a multiplicative or an additive arithmetic function, then it is faithfully represented by sequences in \mathcal{W} . Li and MacHenry [5] gave other examples of mathematical theories modeled in terms of \mathcal{W} .

In this section we want to show how these results can be used to represent, and find relations among, a vast collection of integer sequences; in fact, almost all of the well-known, workaday sequences will appear in such a list. This places integer sequences in a unified theory with inner structure that enables easy calculation, and the discovery of new relations among the sequences.

It is useful at this point to give a summary of the different ways that we have of producing the elements of \mathcal{W} :

1. By using the Differential Lattice $\mathcal{L}(t_1^{\alpha_1} t_2^{\alpha_2} \cdots t_k^{\alpha_k})$ [9, 10].
2. By using the permanents of $H_{+(\omega,k,n)}$.
3. By using the determinants of $H_{-(\omega,k,n)}$.
4. By $P_{\omega,k,n} = \omega_n t_n * F_{k,n} = \sum_{j=1}^n \omega_j t_j F_{k,n-j}$ [9].
5. By

$$P_{\omega,k,n} = \sum_{\alpha \vdash n} \binom{|\alpha|}{\alpha_1, \dots, \alpha_k} \frac{\sum_{j=1}^k \omega_j \alpha_j}{|\alpha|} t_1^{\alpha_1} \cdots t_k^{\alpha_k}.$$

Numbers 2. and 3. are described in this paper. The fifth one is the explicit definition that results from using the methods of the differential lattice.

11 Examples

In this section, we first give an example of calculating the statistics of an integer sequence using the isobaric theory, followed by examples of some interesting representable integer sequences. We start with two well-known multiplicative arithmetic functions.

Example 37. The arithmetical functions τ and σ are respectively the arithmetical functions which give the number of divisors of n ([A000005](#) in [21]), and the sum of the divisors of n ([A000203](#) in [21]), $n \in \mathbb{N}$. They are well-known to be multiplicative functions of degree 2, and hence are defined locally, that is, at each rational prime p .

Let us see how this follows using isobaric theory: $\tau(p^n) = n + 1$. Suppose that τ is locally representable, that is, suppose $\tau(p^n) = F_{k,n}(t_1, t_2, \dots, t_k)$ for some natural number k and for some integer values of t_1, t_2, \dots, t_k : use the notation, $\tau \rightarrow {}_\tau F_{k,n}$.

For simplicity in the proof we drop the initial subscript τ . Since $p^0 = 1$, we have $F_{k,0} = 1$, and $\tau(p) = 2 = F_{k,1} = t_1$. Moreover, $\tau(p^2) = 3 = F_{k,2} = t_1^2 + t_2$, we infer that $t_2 = -1$. Again, noting that $\tau(p^3) = 4$, we compute from the fact that $F_{k,3} = t_1^3 + 2t_1t_2 + t_3$, that $t_3 = 0$. We can then compute inductively, using that multiplicative functions are locally linearly recursive, that $t_j = 0, j > 2$. Thus $k = 2$, and the core polynomial is given by $[2, -1]$.

If we apply the same line of reasoning to $\sigma(p^n) = 1 + p + \dots + p^n$, we again deduce that $k = 2$ and that the core is given by $[p + 1, -p]$. Note that letting $p = 1$ gives us back the statistics for τ . The companion sequences (i.e., the isobaric logarithm), using Proposition 4 and induction, are respectively, $(2, 2, \dots, 2, \dots)$ and $(2, p + 1, p^2 + 1, \dots, p^n + 1, \dots)$. Note that the value of $G_{k,0}$ is consistent with the general term, letting $p = 1$, and, of course, with the value of the isobaric Log of $F_{k,0}$.

Using the techniques illustrated in Example 37 above, we have the following interesting examples:

Example 38. The Euler totient function ([A007434](#) in [21]) is a MF of infinite degree; its core is a power series:

$$\begin{aligned} \varphi(p^n) &= p^n - p^{n-1} \\ [t_1, \dots, t_k, \dots] &= [p - 1, p - 1, \dots, p - 1, \dots]. \end{aligned}$$

It is locally F -representable. Its companion sequence, which is locally G -representable, is given by

$$G_0 = 2, \quad G_n = p^n - 1, \quad n > 0.$$

The trigonometric identities then apply. We leave these computations to the interested reader.

Example 39. Jordan's function ([A007434](#) in [21]), $J_k(n)$, is a multiplicative function and a generalization of the Euler function:

$$J_k(n) = p^{kn} - p^{(k-1)n}.$$

Its degree is infinite, that is, $[p^k - 1, \dots, p^k - 1, \dots]$, and it is locally F -representable. Its companion sequence is given by

$$G_0 = 2, \quad G_n = p^{kn} - 1, \quad n > 0.$$

n/k	0	1	2	3	4	5	6	7	8	9	10
0	1										
1	0	1									
2	0	1	1								
3	0	1	3	1							
4	0	1	7	6	1						
5	0	1	15	25	10	1					
6	0	1	31	90	65	15	1				
7	0	1	63	301	350	140	21	1			
8	0	1	127	966	1701	1050	266	28	1		
9	0	1	255	3025	7770	6951	2646	462	36	1	
10	0	1	511	9330	34105	42525	22827	5880	750	45	1

Table 1: Stirling numbers of the second kind, $n = 0, \dots, 10$

Example 40. The Catalan sequence ([A000108](#) in [21]), $C_n = \frac{1}{n+1} \binom{2n}{n+1}$, is F -globally representable with core $[t_j = C_{j-1}]$. We have named such a sequence, *incestuous*. Its companion sequence is number ([A001700](#) in [21]), which is

$${}_cG_n = \binom{2n-1}{n}.$$

It also has interpretations in terms of Dyck paths.

Example 41. The Chebyshev polynomials of the 2nd kind ([A011973](#) in [21]), $U_n(x)$, are globally F -representable with core $[2x, -1]$. If, however, we let $x = 1$, then we get the local F -representation of τ . Multiplicative functions belong to the group of units of the ring of arithmetic functions. However, the group of units is much larger than the group of multiplicative functions [7]. In particular, any F -globally representable function belongs to this group. Hence, for any value of x , $\{U_n(x)\}$ we obtain an element of this group.

Example 42. The Stirling numbers of the 2nd kind ([A008277](#) in [21]), usually denoted as

$$\left\{ \begin{matrix} n \\ k \end{matrix} \right\},$$

are defined by a kind of ‘‘Pascal’’ recursion relation; namely,

$$\left\{ \begin{matrix} n+1 \\ k \end{matrix} \right\} = k \left\{ \begin{matrix} n \\ k \end{matrix} \right\} + \left\{ \begin{matrix} n \\ k-1 \end{matrix} \right\}.$$

Note that the first non-zero element in each column of Table 1 is a 1, and that the set of next-to-last numbers in each row gives the triangular numbers in the correct ascending sequence.

Theorem 43. *Each column is an arithmetic function in the group of units of the ring of arithmetic functions; that is each column is a globally F -representable, degree k , arithmetic function. ([A071951](#)($n+k,k$) in [21]).*

For example, the first few core polynomials are given by

$$[1], [3, -2], [6, -11, 6], [10, -35, 50, -24], [15, -85, 225, -274, 120].$$

One will recognize these numbers as the coefficients of the polynomials otherwise given as

$$\begin{aligned} &(x - 1); \\ &(x - 1)(x - 2); \\ &(x - 1)(x - 2)(x - 3); \\ &(x - 1)(x - 2)(x - 3)(x - 4); \\ &(x - 1)(x - 2)(x - 3)(x - 4)(x - 5), \end{aligned}$$

etc.

This is not a surprise: the Stirling numbers of the second kind are given as the coefficients of the polynomials defined by so-called “falling” factorial. That is,

$$\mathcal{C}(X) = \prod_{j=1}^k (X - j).$$

$t_{k,1} = T_k$, where $t_{k,1}$ is just the recursion parameter t_1 for the k -th column, and T_k is the k -th element in the sequence of triangular numbers. The last coefficient in the core representation is $t_k = k!$.

As for Stirling numbers of the first kind ([A008275](#) in [21]), they are not representable in our sense. However, there is an unexpected and interesting relation between Stirling numbers of the 1st kind and Stirling numbers of the 2nd kind. Namely, the rows, in what might be called the Stirling triangle of the first kind, are just the absolute values of the core numbers, t_j in reverse order. For example, the 5th row of the table for Stirling numbers of the 1st kind is just

$$(0, 24, 50, 35, 10, 1).$$

The apparently extra 1 is just t_0 , the leading coefficient of the core polynomial, which we have suppressed in our notation.

As a result of these observations, we obtain

Corollary 44. *The following relations between Stirling numbers of the first kind and Stirling numbers of the second kind hold:*

$$\sum_{j=0}^{k-1} (-1)^{j-1} \left\{ \begin{matrix} n+j \\ k \end{matrix} \right\} \left[\begin{matrix} k+1 \\ k-j \end{matrix} \right] = \left\{ \begin{matrix} n+k \\ k \end{matrix} \right\},$$

where we use $\left[\begin{matrix} k+1 \\ k-j \end{matrix} \right]$ to denote Stirling numbers of the first kind.

That is, the recursion parameters of the k -th column of the table of Stirling numbers of the 2nd kind is

$$t_{k-1} = (-1)^{j-1} \left[\begin{matrix} k+1 \\ k-j \end{matrix} \right].$$

$$\begin{aligned}
F_0 &= 1 \\
F_1 &= t_1 \\
F_2 &= t_1^2 + t_2 \\
F_3 &= t_1^3 + 2t_1t_2 + t_3 \\
F_4 &= t_1^4 + 3t_1^2t_2 + t_2^2 + 2t_1t_3 + t_4 \\
F_5 &= t_1^5 + 4t_1^3t_2 + 3t_1t_2^2 + 3t_1^2t_3 + 2t_2t_3 + 2t_1t_4 + t_5 \\
F_6 &= t_1^6 + 5t_1^4t_2 + 6t_1^2t_2^2 + t_2^3 + 4t_1^3t_3 + t_3^2 + 6t_1t_2t_3 + 3t_1^2t_4 + 2t_2t_4 + 2t_1^2t_5 + t_6 \\
&\vdots
\end{aligned}$$

Table 2: Generalized Fibonacci polynomials

$$\begin{aligned}
G_0 &= k \\
G_1 &= t_1 \\
G_2 &= t_1^2 + 2t_2 \\
G_3 &= t_1^3 + 3t_1t_2 + 3t_3 \\
G_4 &= t_1^4 + 4t_1^2t_2 + 2t_2^2 + 4t_1t_3 + 4t_4 \\
G_5 &= t_1^5 + 5t_1^3t_2 + 5t_1t_2^2 + 5t_1^2t_3 + 5t_2t_3 + 5t_1t_4 + 5t_5 \\
G_6 &= t_1^6 + 6t_1^4t_2 + 6t_1^2t_2^2 + 2t_2^3 + 6t_1^3t_3 + 3t_3^2 + 12t_1t_2t_3 + 6t_1^2t_4 + 6t_2t_4 + 6t_1^2t_5 + 6t_6 \\
&\vdots
\end{aligned}$$

Table 3: Generalized Lucas polynomials

Proof. The proof follows from the definitions of the two types of sequences in terms of rising and falling factorials. \square

The companion sequence for the k -th column is just the sequence $1^n + 2^n + \dots + k^n$. When $k = 3$, for example, this is the sequence [A001550](#) in [21].

Example 45. The triangular numbers ([A000217](#) in [21]) T_k are also F -globally representable: $\mathcal{C}(X) = [3, -2, 1]$, so that $k = 3$. The companion sequence is just $\{G_n = 3\}$ for all n .

Kirgisiz and Sahin [3] pointed out that Pell numbers, Pell-Lucas numbers, bivariate Fibonacci numbers, Perrin sequences, and Exponential Perrin sequences have permanental and determinantal representations. We give their statistics here.

Example 46. Pell numbers ([A000129](#) in [21]) are F -representable with a core $[2, 1]$.

Example 47. Pell-Lucas numbers ([A113501](#) in [21]) are their companions, hence, G -representable.

Example 48. Bivariate Fibonacci numbers ([A015441](#) in [21]) are F -representable with $k = 2$.

Example 49. Perrin sequences ([A078512](#) in [21]) are G -representable with a core of $[0, 1, 1]$.

Example 50. Exponential (Padovan) Perrin sequences ([A001608](#) in [21]) are F -representable on the same core. Thus Example 49 is the companion sequence for Example 50.

We include Table 2 and Table 3 showing the first few generalized Fibonacci polynomials and the first few generalized Lucas polynomials. In those tables, we take the point of view that k goes to ∞ , and we omit it from the indices of the polynomials. When the companion matrix is non-singular, all sequence can be extended “northward”, this extension will also be omitted from those tables.

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(Concerned with sequences [A000005](#), [A000010](#), [A000108](#), [A000129](#), [A000203](#), [A000217](#), [A001550](#), [A001608](#), [A001700](#), [A001973](#), [A007434](#), [A008275](#), [A008277](#), [A011973](#), [A015441](#), [A071951](#), [A078512](#), and [A113501](#).)

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