

## ON FACTORIZATION OF INTEGERS WITH RESTRICTIONS ON THE EXPONENTS

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### Abstract

For a fixed  $k \in \mathbb{N}$  we consider a multiplicative basis in  $\mathbb{N}$  such that every  $n \in \mathbb{N}$  has the unique factorization as product of elements from the basis with the exponents not exceeding  $k$ . We introduce the notion of  $k$ -multiplicativity of arithmetic functions, and study several arithmetic functions naturally defined in  $k$ -arithmetics. We study a generalized Euler function and prove analogs of the Wirsing and Delange theorems for  $k$ -arithmetics.

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### 1. Introduction

Let

$$n = \prod_{p \in \mathbf{P}} p^{n_p} \tag{1}$$

be the canonical factorization of an integer  $n$  to prime powers. In other words, the set  $\{p \in \mathbf{P}\}$  constitutes a multiplicative basis in  $\mathbb{N}$  and  $n_p$  can take any non-negative integer values. In the paper we consider other multiplicative bases such that any integer has a unique factorization with the exponents not exceeding some prescribed value  $k$ . For example, if  $k = 1$ , then the only such basis is

$$Q^{(1)} = \{p^{2^j}, p \in \mathbf{P}, j = 0, 1, \dots, \infty\},$$

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and every integer  $n$  has the unique factorization

$$n = \prod_{q \in Q^{(1)}} q^{n_q}, \quad n_q \in \{0, 1\}.$$

For arbitrary  $k$ , the basis is

$$Q^{(k)} = \{p^{(k+1)^j}, p \in \mathbf{P}, j = 0, 1, \dots, \infty\},$$

and the corresponding  $k$ -factorization is

$$n = \prod_{q \in Q^{(k)}} q^{n_q}, \quad n_q \in \{0, 1, \dots, k\}. \tag{2}$$

**Example 1** For  $k = 2$  we have the ordered basis

$$Q^{(2)} = \{2, 3, 5, 7, 8, 11, 13, 17, 19, 23, 27, 29, \dots, 512, \dots\},$$

and, for example,  $35831808 = 2^2 \cdot 3^1 \cdot 8^1 \cdot 27^2 \cdot 512^1$ .

Notice that the standard prime basis  $\mathbf{P}$  is the limiting for  $k$  tending to  $\infty$ , and, by definition,  $\mathbf{P} = Q^{(\infty)}$ .

For a fixed  $k$  we use the term  $k$ -primes for the elements of  $Q^{(k)}$ . We say that a number  $d$  is  $k$ -divisor of  $n$  if the exponents in the  $k$ -factorization of  $d$ ,  $d_q$ , do not exceed  $n_q$ .

In this paper we study some non-trivial generalizations of classical arithmetic functions for the introduced  $k$ -factorization. It is organized as follows. We start with some basic relations in Section 2. In Section 3 we define  $k$ -unitary and  $k$ -polynitary divisors and demonstrate that in contrast to the standard  $\infty$ -arithmetics in  $k$ -arithmetics the maximal divisors are  $(k - 1)$ -ary divisors. In Section 4 we study the  $k$ -integer part of ratios and provide an explicit and asymptotic expressions for it. A  $k$ -equivalent of the Euler totient function is introduced in Section 5, and an explicit and asymptotic formula for it is given. The classical asymptotic Mertens formula is generalized in Section 6. In Section 7 we study the average of the sums of  $k$ -divisors and some other generalized number-theoretic functions. In Section 8 we prove some results about relations between the classical Euler totient function and its  $k$ -generalization. In Section 9 we develop  $k$ -analogs of the classical Delange and Wirsing theorems for  $k$ -multiplicative functions. Generalizations of the perfect numbers are considered in Section 10. In Section 11 we study bases associated with  $k$ -prime numbers for different  $k$ 's. Open problems are summarized in Section 12.

Particular cases of the described problems were considered earlier. The case of  $k = 1$  was introduced in 1981 in [16]. Later in [18] a class of multiplicative functions for  $k = 1$  was addressed. In 1990 G. L. Cohen [5] introduced the so-called infinitary arithmetics coinciding with the considered situation when  $k = 1$ . In the same paper Cohen treated *infinitary perfect numbers* coinciding with *harmonic numbers* from [17]. These numbers

were also considered in [12, 18, 23]. Cohen and Hagis [6] analyzed some arithmetic functions associated with infinitary divisors. A survey of unitary and infinitary analogs of arithmetic functions is by Finch [10], see also Weisstein [23]. Notice that D. Suryanarayana [20] introduced a definition of  $k$ -ary divisors different from the one considered in this paper.

## 2. Basic Relations

Let  $k \in \mathbb{N}$ . Consider the factorization of  $n_p$ , see (1), in the basis of  $(k + 1)$ ,

$$n_p = \sum_{i \geq 0} a_i (k + 1)^i, \tag{3}$$

where  $a_i = a_i(n_p)$  are integers belonging to  $[0, k]$ . Substituting (3) into (1) we find

$$n = \prod_{p \in \mathbf{P}} \prod_{i \geq 0} p^{a_i (k+1)^i} = \prod_{p \in \mathbf{P}} \prod_{i \geq 0} \left( p^{(k+1)^i} \right)^{a_i}. \tag{4}$$

The uniqueness of (1) and (3) yields the uniqueness of factorization (4). Therefore, the unique multiplicative basis in  $k$ -arithmetic consists of  $k$ -primes

$$Q^{(k)} = \left\{ p^{(k+1)^{j-1}} : p \in \mathbf{P}, j \in \mathbb{N} \right\}, \tag{5}$$

and by (4) the canonical factorization of an integer  $n$  is

$$n = \prod_{q \in Q^{(k)}} q^{n_q^{(k)}}, \tag{6}$$

with  $n_q^{(k)} \leq k$ .

To every  $n$  we associate the finite multi-set  $Q_n^{(k)}$  of  $k$ -primes of multiplicity defined by (6). For every  $k \geq 1$  we assume  $Q_1^{(k)} = \emptyset$ .

**Definition 1** A number  $d$  is called a  $k$ -divisor of  $n$  if  $Q_d^{(k)} \subseteq Q_n^{(k)}$ .

We write  $d_k \mid n$  if  $d$  is a  $k$ -divisor of  $n$ . Set

$$(n_1, n_2)_k = \max_{d_k \mid n_1, d_k \mid n_2} d. \tag{7}$$

It is easy to see that  $Q_{(n_1, n_2)_k}^{(k)} = Q_{n_1}^{(k)} \cap Q_{n_2}^{(k)}$ .

**Definition 2** Numbers  $n_1$  and  $n_2$  are called mutually  $k$ -prime if  $(n_1, n_2)_k = 1$ .

Notice that the mutual  $k$ -primality for  $k \geq 1$  is weaker than the mutual primality in the ordinary arithmetics. Indeed,  $(n_1, n_2) = 1$  yields  $(n_1, n_2)_k = 1$  for any  $k > 1$ . However, the last equality could be valid also when  $(n_1, n_2) > 1$ . For example,  $\left(2^{(k+1)^2}, 3 \cdot 2^{k+2}\right)_k = 1$  for every  $k$ .

**Definition 3** A function  $\theta(n)$  is called  $k$ -multiplicative if it is defined for all natural  $n$  in such a way that  $\theta(n) \neq 0$ , and for mutually  $k$ -prime  $n_1$  and  $n_2$  we have

$$\theta(n_1 n_2) = \theta(n_1) \theta(n_2). \tag{8}$$

From the definition it follows that  $\theta(1) = 1$ . In the conventional arithmetics the  $k$ -multiplicative functions can be positioned in between the multiplicative functions and strongly-multiplicative functions (for which (8) holds for any  $n_1$  and  $n_2$ ). Let us list several examples of  $k$ -analogs following from (6) for generalizations of well-known properties of multiplicative functions, see e.g. [4, 13].

Let  $\theta(n)$  be a  $k$ -multiplicative function. Then, using  $q$  to denote  $k$ -primes, we have

$$\sum_{d \perp_k n} \theta(d) = \prod_{q \perp_k n} \left( 1 + \sum_{i=1}^{n_q^{(k)}} \theta(q^i) \right) \quad \text{and} \tag{9}$$

$$\sum_{d \perp_k n} \mu_k(d) \theta(d) = \prod_{q \perp_k n} (1 - \theta(q)), \tag{10}$$

where

$$\mu_k(n) = \begin{cases} 0, & \text{if there exists } q \in Q^{(k)} : q^2 \perp_k n, \\ (-1)^{\sum_{q \perp_k n} 1}, & \text{otherwise.} \end{cases} \tag{11}$$

The function  $\mu_k(n)$  is the analog of the Möbius function in  $k$ -arithmetics. A distinction of  $\mu_k(n)$  from  $\mu(n)$  is, for example, in that when  $\mu_k(n) \neq 0$  then  $n$  is not necessary square-free in the conventional sense.

When  $\theta(q) = 1$  it follows from (10) that

$$\sum_{d \perp_k n} \mu_k(d) = \begin{cases} 0, & \text{if } n > 1, \\ 1, & \text{if } n = 1. \end{cases} \tag{12}$$

Furthermore, (see, e.g., [4] for the absolutely converging series  $\sum_{n=1}^{\infty} \theta(n)$ ) we have

$$\sum_{n=1}^{\infty} \theta(n) = \prod_{q \in Q^{(k)}} (1 + \theta(q) + \dots + \theta(q^k)). \tag{13}$$

Considering here  $\mu_k(n) \theta(n)$  instead of  $\theta(n)$ , by (11), we find

$$\sum_{n=1}^{\infty} \mu_k(n) \theta(n) = \prod_{q \in Q^{(k)}} (1 - \theta(q)). \tag{14}$$

On the other hand, for  $\theta(n) = n^{-s}$ ,  $\Re(s) > 1$ , an analog of the Euler identity in  $k$ -arithmetics follows:

$$\zeta(s) = \prod_{q \in Q^{(k)}} \frac{1 - q^{-(k+1)s}}{1 - q^{-s}}. \tag{15}$$

### 3. Unitary and Polynitary Divisors

Recall that a unitary divisor of a number  $n$  in classical arithmetics is a divisor  $d$  of  $n$  for which  $(\frac{n}{d}, d) = 1$ . Let  $(n, m)^{(1)}$  stand for the greatest unitary divisor of  $n$  and  $m$ . Then a divisor  $d$  is called bunitary if  $(\frac{n}{d}, d)^{(1)} = 1$ . Analogously we may inductively define  $\ell$ -ary divisors of  $n$  satisfying  $(\frac{n}{d}, d)^{(\ell-1)} = 1$ . We write in this case  $d |^{(\ell)} n$ . The infinitary divisors are limiting in this process ( $\ell = \infty$ ). As it was mentioned, they were introduced by G. L. Cohen [5].

**Definition 4** *If  $d |_k n$ , then  $d$  is called  $k$ -unitary, or a  $(1)_k$ -ary divisor of  $n$ , if  $(\frac{n}{d}, d)_k = 1$ .*

Let us denote by  $(n, m)_k^{(1)}$  the greatest common  $k$ -unitary divisor of  $n$  and  $m$ .

**Definition 5** *If  $d |_k n$  then  $d$  is called  $k$ -bunitary, or a  $(2)_k$ -ary divisor, if  $(\frac{n}{d}, d)_k^{(1)} = 1$ . Furthermore, if  $(n, m)_k^{(\ell-1)}$  is the greatest common  $(\ell - 1)_k$ -ary divisor of  $n$  and  $m$ , then a divisor  $d$  of  $n$  is called  $(\ell)_k$ -ary if  $(\frac{n}{d}, d)_k^{(\ell-1)} = 1$ .  $\square$*

By convention,  $d$  is called a  $(0)_k$ -ary divisor if  $d |_k n$ . We will write  $d |_k^{(\ell)} n$  when we wish to indicate that  $d$  is an  $(\ell)_k$ -ary divisor of  $n$ .

Let us show that, in contrast to the classical arithmetics, in  $k$ -arithmetics,  $k < \infty$ , there exist divisors of maximal index  $\ell$ . Such are  $(k - 1)_k$ -ary divisors. The following iterations of the considered process do not change the  $(k - 1)_k$ -arity of the divisors. In other words, whenever  $\ell \geq k - 1$ , the  $(\ell)_k$ -ary divisors are  $(k - 1)_k$ -ary divisors.

For the proof we employ the following statement due to Cohen [5]:

*If  $p$  is a prime, then for an integer  $y \in [0, \ell]$ , and an integer  $x \in [0, y]$ , we have*

$$p^x |^{(\ell-1)} p^y \Leftrightarrow p^x |^{(y-1)} p^y. \tag{16}$$

To prove it one should use a trivial fact that the only factors of  $p^y$  are  $1, p, \dots, p^y$ . For  $y \leq k$  this is true for any  $k$ -prime  $q \in Q^{(k)}$ . Therefore, analogously to (16) for  $y \in [0, k]$ , we have

$$p^x |_k^{(k-1)} p^y \Leftrightarrow p^x |_k^{(y-1)} p^y. \tag{17}$$

Analogously, by (16), for  $y \in [0, k + 1]$ , we obtain

$$p^x |^{(k)} p^y \Leftrightarrow p^x |^{(y-1)} p^y. \tag{18}$$

However, the last identity is valid in both, the conventional and  $k$ -arithmetics. Indeed, for  $y \leq k$  by (17) and (18),

$$p^x |^{(k-1)}_k p^y \Leftrightarrow p^x |^{(k)}_k p^y,$$

and for  $y = k + 1$ , (18) becomes a tautology. Therefore, in  $k$ -arithmetics we have for all  $y$ ,

$$p^x |^{(k)}_k p^y \Leftrightarrow p^x |^{(k-1)}_k p^y,$$

and the claim easily follows.

In particular,

$$p^x |^{(1)}_1 p^y \Leftrightarrow p^x |^{(0)}_1 p^y,$$

or  $p^x |_1 p^y$ , i.e. in 1-arithmetics all the divisors are 1-unitary.

#### 4. The Function $\lfloor \frac{x}{m} \rfloor^{(k)}$

Let us introduce the function  $\lfloor \frac{x}{m} \rfloor^{(k)}$  being a natural generalization of the function  $\lfloor \frac{x}{m} \rfloor$  as a function in  $x$  for a fixed  $m$ . We use  $\lfloor \frac{x}{m} \rfloor^{(k)}$  to denote the number of integers not exceeding  $x$  for which  $m$  is a  $k$ -divisor. In contrast to  $\lfloor \frac{x}{m} \rfloor$ , as will be seen from what follows, there is in principle no algorithm for computing  $\lfloor \frac{x}{m} \rfloor^{(k)}$ , if the canonical factorization of  $m$  in  $k$ -arithmetics is not known. Moreover, without such factorization it is impossible even to compute the main asymptotical term of  $\lfloor \frac{x}{m} \rfloor^{(k)}$  for  $x \rightarrow \infty$ . The following theorem gives an explicit expression for  $\lfloor \frac{x}{m} \rfloor^{(k)}$ .

##### 4.1. An Exact Formula for $\lfloor \frac{x}{m} \rfloor^{(k)}$

**Theorem 1** *Let  $m = q_1^{\ell_1} q_2^{\ell_2} \dots q_r^{\ell_r}$ ,  $1 \leq \ell_i \leq k$ , be the  $k$ -factorization of  $m$  (notice, that the indices of  $q_i$ 's are not necessary their consecutive numbers in the ordered sequence of  $Q^{(k)}$ ). Then for positive real  $x \geq m$ ,*

$$\lfloor \frac{x}{m} \rfloor^{(k)} = \sum_{j_1 \geq \ell_1} \sum_{j_2 \geq \ell_2} \dots \sum_{j_r \geq \ell_r} \left( \left( \prod_{i=1}^r a_{j_i}^{(\ell_i)} \right) \left\lfloor \frac{x}{\prod_{i=1}^r q_i^{j_i}} \right\rfloor \right), \tag{19}$$

where for fixed  $\ell > 0$ ,

$$a_j^{(\ell)} = \begin{cases} 1 & \text{if } j \equiv \ell \pmod{k+1}, \\ -1 & \text{if } j \equiv 0 \pmod{k+1}, \\ 0 & \text{otherwise.} \end{cases} \tag{20}$$

To prove the theorem we will need the following lemma.

**Lemma 1** *Let  $m$  and  $n$  be positive integers, and  $m = q_1^{\ell_1} q_2^{\ell_2} \dots q_r^{\ell_r}$ ,  $1 \leq \ell_i \leq k$ , be the factorization of  $m$  to powers of  $k$ -prime numbers. Let, moreover,  $\nu_j \geq 1$  be the maximal power of  $k$ -prime  $q_j$  dividing  $n$ ,  $j = 1, \dots, r$ . Then  $m \mid_k n$  if and only if*

$$\nu_j \geq \ell_j, \quad \nu_j \equiv \ell_j, \ell_j + 1, \dots, k \pmod{k+1}, \quad j = 1, 2, \dots, r. \tag{21}$$

*Proof.* Denote by  $i_j$  the maximal power of  $q_j^{k+1}$  dividing  $n$ ,  $j = 1, 2, \dots, r$ . Notice that  $q_j^{\ell_j} \mid_k n$  if and only if

$$q_j^{\ell_j} \mid \frac{n}{(q_j^{k+1})^{i_j}}.$$

Thus  $q_j^{\ell_j} \mid_k n$  if and only if  $q_j^{(k+1)i_j + \ell_j} \mid n$ . Since  $1 \leq \ell_j \leq k$ ,

$$\nu_j \in [(k+1)i_j + \ell_j, (k+1)i_j + k], \quad j = 1, 2, \dots, r.$$

Indeed, increasing  $\nu_j$  we violate the assumption about maximality of  $i_j$ . □

*Proof of Theorem 1.* Let  $x$  be a positive real. Consider all possible collections of  $r$  non-negative integers  $\alpha_1, \alpha_2, \dots, \alpha_r$ , satisfying  $q_1^{\alpha_1} q_2^{\alpha_2} \dots q_r^{\alpha_r} \leq x$ . Let us partition the sequence  $1, 2, \dots, [x]$  into non-intersecting classes  $T_{\alpha_1, \dots, \alpha_r}$  according to the rule:

$h \in T_{\alpha_1, \dots, \alpha_r}$  if

$$\max \left\{ \tau \geq 0 : q_i^\tau \mid_k h \right\} = \alpha_i, \quad i = 1, 2, \dots, r.$$

Using inclusion-exclusion for the cardinalities of the classes we obtain

$$\begin{aligned} |T_{\alpha_1, \dots, \alpha_r}| &= [\lambda] - \sum_{1 \leq i \leq r} \left\lfloor \frac{\lambda}{q_i} \right\rfloor + \sum_{1 \leq i < j \leq r} \left\lfloor \frac{\lambda}{q_i q_j} \right\rfloor - \\ &- \sum_{1 \leq i < j < \ell \leq r} \left\lfloor \frac{\lambda}{q_i q_j q_\ell} \right\rfloor + \dots + (-1)^r \left\lfloor \frac{\lambda}{q_1 q_2 \dots q_r} \right\rfloor, \end{aligned} \tag{22}$$

where

$$\lambda = \frac{x}{q_1^{\alpha_1} q_2^{\alpha_2} \dots q_r^{\alpha_r}}.$$

By Lemma 1,  $m \mid q_1^{\alpha_1} q_2^{\alpha_2} \dots q_r^{\alpha_r}$  if and only if  $\alpha_j \equiv \ell_j, \ell_j + 1, \dots, k \pmod{k + 1}$ ,  $j = 1, 2, \dots, r$ . Therefore,

$$\left\lfloor \frac{x}{m} \right\rfloor^{(k)} = \sum_{\alpha_j \equiv \ell_j, \ell_j + 1, \dots, k \pmod{k+1}, j=1,2,\dots,r} |T_{\alpha_1, \dots, \alpha_r}|. \tag{23}$$

Specifically, let us consider the summands with  $\ell_j \leq \alpha_j \leq k + 1$  (other groups of summands  $\pmod{k + 1}$  are considered analogously). Let us correspond to every summand of form  $\left\lfloor \frac{\lambda}{q_{i_1} q_{i_2} \dots q_{i_s}} \right\rfloor$  in (22) the monomial  $x_1^{\alpha_1} x_2^{\alpha_2} \dots x_r^{\alpha_r} \cdot x_{i_1} x_{i_2} \dots x_{i_s}$ . Clearly it is a one-to-one correspondence. Assuming that for a linear combination of summands we correspond the same linear combination of the images, we find that to  $|T_{\alpha_1, \dots, \alpha_r}|$ , see (22), corresponds the polynomial

$$P_{\alpha_1, \dots, \alpha_r}(x_1, \dots, x_r) = x_1^{\alpha_1} x_2^{\alpha_2} \dots x_r^{\alpha_r} \sum_{s=0}^r (-1)^s \prod_{1 \leq i_1 < i_2 < \dots < i_s \leq r} x_{i_1} x_{i_2} \dots x_{i_s},$$

and to the considered subsum of (23) corresponds the polynomial

$$\begin{aligned} R(x_1, \dots, x_r) &= \sum_{\alpha_j = \ell_j, \ell_j + 1, \dots, k; j=1,2,\dots,r} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_r^{\alpha_r} \cdot \\ &\cdot \sum_{s=0}^r (-1)^s \prod_{1 \leq i_1 < i_2 < \dots < i_s \leq r} x_{i_1} x_{i_2} \dots x_{i_s}. \end{aligned} \tag{24}$$

The statement of the theorem is true if we manage to prove that

$$R(x_1, \dots, x_r) = \sum_{\ell_1 \leq i_1 \leq k+1} \sum_{\ell_2 \leq i_2 \leq k+1} \dots \sum_{\ell_r \leq i_r \leq k+1} \left( \prod_{s=1}^r a_{i_s}^{(\ell_s)} \right) x_1^{i_1} x_2^{i_2} \dots x_r^{i_r}. \tag{25}$$

Since

$$\sum_{s=0}^r (-1)^s \prod_{1 \leq i_1 < i_2 < \dots < i_s \leq r} x_{i_1} x_{i_2} \dots x_{i_s} = \prod_{j=1}^r (1 - x_j),$$

we find from (24) that

$$R(x_1, \dots, x_r) = \prod_{j=1}^r \sum_{\alpha_j = \ell_j}^k (x_j^{\alpha_j} - x_j^{\alpha_j + 1}) = \prod_{j=1}^r (x_j^{\ell_j} - x_j^{k+1}),$$

and (25) follows. □

**Example 2** Let  $k = 2$ ,  $m = 12$ ,  $x = 100$ . Then  $q_1 = 2$ ,  $q_2 = 3$ ,  $\ell_1 = 2$ ,  $\ell_2 = 1$ , and by (19) we have

$$\left\lfloor \frac{100}{12} \right\rfloor^{(2)} = \sum_{j_1 \geq 2} \sum_{j_2 \geq 1} a_{j_1}^{(2)} a_{j_2}^{(1)} \left\lfloor \frac{100}{2^{j_1} 3^{j_2}} \right\rfloor,$$

where

$$a_{j_1}^{(2)} = \begin{cases} 1, & \text{if } j_1 \equiv 2 \pmod{3} \\ -1, & \text{if } j_1 \equiv 0 \pmod{3} \\ 0, & \text{otherwise} \end{cases}$$

and

$$a_{j_2}^{(1)} = \begin{cases} 1, & \text{if } j_2 \equiv 1 \pmod{3} \\ -1, & \text{if } j_2 \equiv 0 \pmod{3} \\ 0, & \text{otherwise} \end{cases}$$

Therefore, we have

$$\begin{aligned} \left\lfloor \frac{100}{12} \right\rfloor^{(2)} &= a_2^{(2)} a_1^{(1)} \left\lfloor \frac{100}{2^2 3} \right\rfloor + a_3^{(2)} a_1^{(1)} \left\lfloor \frac{100}{2^3 3} \right\rfloor + a_4^{(2)} a_1^{(1)} \left\lfloor \frac{100}{2^4 3} \right\rfloor \\ &\quad + a_5^{(2)} a_1^{(1)} \left\lfloor \frac{100}{2^5 3} \right\rfloor + a_2^{(2)} a_2^{(1)} \left\lfloor \frac{100}{2^2 3^2} \right\rfloor + a_3^{(2)} a_2^{(1)} \left\lfloor \frac{100}{2^3 3^2} \right\rfloor \\ &= \left\lfloor \frac{100}{2^2 3} \right\rfloor - \left\lfloor \frac{100}{2^3 3} \right\rfloor + \left\lfloor \frac{100}{2^5 3} \right\rfloor \\ &= 8 - 4 + 1 = 5. \end{aligned}$$

Indeed, we have five numbers not exceeding 100, which are 2-multiples of 12: 12, 36, 60, 84, 96.  $\square$

Notice that when  $x = n$ , the value  $\left\lfloor \frac{n}{m} \right\rfloor^{(k)}$  is a complicated arithmetic function in two variables which, in contrast to the conventional function  $\left\lfloor \frac{n}{m} \right\rfloor = \left\lfloor \frac{n}{m} \right\rfloor^{(\infty)}$ , is not homogeneous, i.e., in general  $\left\lfloor \frac{n}{m} \right\rfloor^{(k)} \neq \left\lfloor \frac{nt}{mt} \right\rfloor^{(k)}$ .

**Example 3** Though  $\frac{25}{3} = \frac{50}{6} = \frac{600}{72} = \frac{100}{12} = \frac{300}{36}$ , we have

$$\left\lfloor \frac{25}{3} \right\rfloor^{(2)} = 8, \left\lfloor \frac{50}{6} \right\rfloor^{(2)} = 7, \left\lfloor \frac{600}{72} \right\rfloor^{(2)} = 6, \left\lfloor \frac{100}{12} \right\rfloor^{(2)} = 5, \left\lfloor \frac{300}{36} \right\rfloor^{(2)} = 4.$$

The question about the minimum of  $\left\lfloor \frac{nt}{mt} \right\rfloor^{(k)}$  in  $t$  is an interesting open problem in  $k$ -arithmetics.

#### 4.2. Asymptotic Formula for $\left\lfloor \frac{x}{m} \right\rfloor^{(k)}$

**Theorem 2** a) If  $m = q_1^{\ell_1} q_2^{\ell_2} \dots q_r^{\ell_r}$ ,  $1 \leq \ell_i \leq k$ ,  $q_i \in Q^{(k)}$ , then

$$\left\lfloor \frac{x}{m} \right\rfloor^{(k)} = x \prod_{i=1}^r \frac{q_i^{k+1-\ell_i} - 1}{q_i^{k+1} - 1} + \theta \ln^r x, \tag{26}$$

such that

$$|\theta| \leq \prod_{i=1}^r \frac{1}{\ln q_i}.$$

b) For any  $\varepsilon > 0$  there exists a constant  $a_\varepsilon > 0$  depending only on  $\varepsilon$ , such that uniformly in  $m \leq x$  the following inequality holds:

$$\left| \left\lfloor \frac{x}{m} \right\rfloor^{(k)} - x \prod_{i=1}^r \frac{q_i^{k+1-\ell_i} - 1}{q_i^{k+1} - 1} \right| \leq a_\varepsilon x^\varepsilon. \tag{27}$$

*Proof.* a) Omitting in the right-hand side of (19) the floor function and taking into account that by (20),

$$\sum_{j \geq \ell} a_j^{(\ell)} \frac{1}{q^j} = \sum_{i \geq 1} \left( \frac{1}{q^{(k+1)(i-1)+\ell}} - \frac{1}{q^{(k+1)i}} \right) = \frac{q^{k+1-\ell} - 1}{q^{k+1} - 1}, \tag{28}$$

we obtain instead of the right-hand side of (19) the following value:

$$x \prod_{i=1}^r \frac{q_i^{k+1-\ell_i} - 1}{q_i^{k+1} - 1}.$$

The remainder in (26) is an evident estimate for the number of non-zero summands in (19).

b) Notice that the number of divisors  $m$  is at least  $2^r$ , which, by the Wiman-Ramanujan theorem (see e.g. [14]), for large enough  $n > n_\delta$  does not exceed

$$2^{(1+\delta)\frac{\ln x}{\ln \ln x}} \tag{29}$$

uniformly in  $m \leq x$ . Therefore,

$$r \leq (1 + \delta) \frac{\ln x}{\ln \ln x}. \tag{30}$$

Furthermore, the number of non-zero summands in the right-hand side of (19) does not exceed the number of solutions in natural numbers  $k_1, k_2, \dots, k_r$  of the inequality

$$\prod_{i=1}^r 2^{k_i} \leq x, \tag{31}$$

or, which is the same, the number of solutions to the inequality

$$k_1 + k_2 + \dots + k_r \leq \lfloor \log_2 x \rfloor. \tag{32}$$

Denote by  $c(r, n)$  the number of compositions of  $n$  with exactly  $r$  parts. It is well known [1] that  $c(r, n) = \binom{n-1}{r-1}$ . Therefore, the number  $N_x$  of solutions to (32) is

$$\sum_{i=1}^{\lfloor \log_2 x \rfloor} c(r, i) = \sum_{i=1}^{\lfloor \log_2 x \rfloor} \binom{i-1}{r-1} = \binom{\lfloor \log_2 x \rfloor + 1}{r} - \delta_{r,1} \leq \binom{\lfloor \log_2 2x \rfloor}{r} \leq \binom{\lfloor \log_2 2x \rfloor}{(1+\delta)\frac{\ln x}{\ln \ln x}}, \tag{33}$$

where the last inequality follows from (30). Now using the Stirling approximation we obtain

$$N_x \leq x^{\frac{\ln \ln \ln x}{\ln \ln x}} (1 + o(x)). \tag{34}$$

Therefore, for  $x > x_\varepsilon$ ,

$$N_x \leq x^\varepsilon. \tag{35}$$

It is only left to choose the constant  $a_\varepsilon$  in such a way that (35) will hold as well for  $x \leq x_\varepsilon$ .  $\square$

**Remark 1** It is known [14] that estimate (29) cannot be essentially improved, i.e. there are infinitely many  $n \leq x$  for which the number of divisors exceeds  $2^{(1-\delta)\frac{\ln x}{\ln \ln x}}$ . Let us show that also in the case  $k < \infty$ , (29) cannot be essentially improved when  $k$ -divisors are used instead of the conventional divisors.

Indeed,  $\prod_{p \leq N, p \in \mathbf{P}} p \sim e^{N+o(N)}$ . Let us consider the most distinct from the conventional case  $k = 1$ . For  $r$  large enough consider  $n = \prod_{p \leq p_r} p$ . We have

$$n \leq e^{p_r(1+\varepsilon)} \Rightarrow p_r \geq \frac{\ln n}{1+\varepsilon}, \quad r \geq \pi \left( \frac{\ln n}{1+\varepsilon} \right) \geq \frac{\frac{\ln n}{1+\varepsilon}(1-\varepsilon)}{\ln \ln n - \ln(1+\varepsilon)}.$$

Therefore, for  $2^r$  divisors of  $n$  (coinciding with 1-divisors) we have

$$2^r \geq 2^{\frac{(\ln n)(1-2\varepsilon)}{\ln \ln n - \ln(1+\varepsilon)}} \leq 2^{(1-\delta)\frac{\ln n}{\ln \ln n}}$$

for a relevant small enough  $\delta$ . It is easy to check that (34) cannot be essentially improved by a more accurate estimate of the number of summands in the right-hand side of (19), i.e., the number

$$k_1 \ln q_1 + \dots + k_r \ln q_r \leq \lfloor \ln x \rfloor, \tag{36}$$

instead of the estimate (31). It is known [3] that the number of solutions to (36) in natural numbers is  $O\left(\frac{\ln^r x}{r! \prod_{i \leq r} \ln q_i}\right)$ . This by more cumbersome calculations using (30) also yields (34).  $\square$

**Example 4** The obtained asymptotics provide accurate enough estimates even for small numbers. For example, using the main term (27), we get (with rounding)

$$\left\lfloor \frac{25}{3} \right\rfloor^{(2)} = 7.7, \left\lfloor \frac{50}{6} \right\rfloor^{(2)} = 6.6, \left\lfloor \frac{600}{72} \right\rfloor^{(2)} = 5.7, \left\lfloor \frac{100}{12} \right\rfloor^{(2)} = 4.4, \left\lfloor \frac{300}{36} \right\rfloor^{(2)} = 3.3.$$

This can be compared to the exact values 8, 7, 6, 5, and 4 appearing in Example 3.  $\square$

### 5. A $k$ -analog of the Euler Function

Let us consider a  $k$ -analog of the Euler function in  $k$ -arithmetics:

$$\varphi_k(n) = \sum_{1 \leq j \leq n: (j,n)_k=1} 1. \tag{37}$$

**Example 5** It is easy to check that

$$\varphi_1(100) = 77, \varphi_2(100) = 46, \varphi_3(100) = 43, \varphi_4(100) = 42, \varphi_5(100) = 41,$$

and  $\varphi_k(100) = 40$  for  $k \geq 6$ .

Notice that it is convenient considering the Euler function along with the more general function

$$\varphi_k(x, n) = \sum_{1 \leq j \leq x: (j,n)_k=1} 1, \tag{38}$$

such that  $\varphi_k(n) = \varphi_k(n, n)$ .

**Theorem 3**

$$\varphi_k(x, n) = \sum_{d_k \mid n} \mu_k(d) \left\lfloor \frac{x}{d} \right\rfloor^{(k)}. \tag{39}$$

**Remark 2** Consider a structurally close to  $\varphi(n)$ , but not having a clear arithmetic sense, multiplicative function

$$\tilde{\varphi}_k(n) = \sum_{d_k \mid n} \mu_k(d) \frac{n}{d}. \tag{40}$$

Notice, that in contrast to  $\tilde{\varphi}_k(n)$  the function  $\varphi_k(n)$  is not multiplicative. In particular the equality

$$\sum_{d_k \mid n} \varphi_k(d) = n,$$

is not valid here (though is correct for (40)). Therefore, and as well since  $\left\lfloor \frac{n}{d} \right\rfloor^{(k)}$  is not a function of the ratio  $\frac{n}{d}$ , apparently there is no way to use here the  $k$ -analog of the Möbius inversion:

$$\sum_{d_k \mid n} f(d) = F(n) \Rightarrow \sum_{d_k \mid n} \mu_k(d) F\left(\frac{n}{d}\right) = f(n).$$

Moreover, it follows from (40) that

$$\tilde{\varphi}_k(n) = n \prod_{q_k \mid n, q \in Q^{(k)}} \left(1 - \frac{1}{q}\right). \tag{41}$$

We will see later that this means that  $\tilde{\varphi}_k(n)$  even asymptotically does not approximate  $\varphi_k(n)$ . Nevertheless we will demonstrate that  $\varphi_k(n)$  is accurately approximated by some simple enough multiplicative function.

*Proof of Theorem 3.* For every fixed  $n$  let us consider the  $k$ -multiplicative function  $\lambda_n^{(k)}(m)$  defined on the powers of  $k$ -primes as

$$\lambda_n^{(k)}(q^\ell) = \begin{cases} 1, & \text{if } q^\ell \mid_k n; \\ 0, & \text{otherwise,} \end{cases} \quad 1 \leq \ell \leq k.$$

Then for every  $m \leq n$  we have

$$\lambda_n^{(k)}(m) = \begin{cases} 1, & \text{if } m \mid_k n; \\ 0, & \text{otherwise.} \end{cases} \tag{42}$$

It follows from (38) and (42) that

$$\varphi_k(x, n) = \sum_{1 \leq j \leq x} \prod_{q \mid_k n} (1 - \lambda_j^{(k)}(q)). \tag{43}$$

Indeed, if there is no  $k$ -prime, which simultaneously  $k$ -divides  $n$  and  $k$ -divides  $j$ , then  $(j, n)_k = 1$  and

$$\prod_{q \mid_k n} (1 - \lambda_j^{(k)}(q)) = 1.$$

If there is at least one  $k$ -prime  $q$  that  $k$ -divides simultaneously  $n$  and  $j$ , then

$$\prod_{q \mid_k n} (1 - \lambda_j^{(k)}(q)) = 0.$$

This gives (43). Let us rewrite now (10) for  $\theta(d) = \lambda_j^{(k)}(d)$ . We have

$$\prod_{q \mid_k n} (1 - \lambda_j^{(k)}(q)) = \sum_{d \mid_k n} \mu_k(d) \lambda_j^{(k)}(d). \tag{44}$$

We deduce from (43) and (44)

$$\varphi_k(x, n) = \sum_{1 \leq j \leq x} \sum_{d \mid_k n} \mu_k(d) \lambda_j^{(k)}(d) = \sum_{d \mid_k n} \mu_k(d) \sum_{1 \leq j \leq x} \lambda_j^{(k)}(d). \tag{45}$$

However, it follows from (42) that

$$\sum_{1 \leq j \leq x} \lambda_j^{(k)}(d) = \left\lfloor \frac{x}{d} \right\rfloor^{(k)}, \tag{46}$$

and (45) and (46) yield (39). □

Now we are in a position to present an explicit expression for  $\varphi_k(n)$ .

**Theorem 4** Let  $q_1, q_2, \dots, q_r$ , be all  $k$ -prime  $k$ -divisors of  $n$ . Then

$$\varphi_k(x, n) = \sum (-1)^{\tau_1 + \tau_2 + \dots + \tau_r} \left\lfloor \frac{x}{q_1^{t_1} q_2^{t_2} \dots q_r^{t_r}} \right\rfloor, \tag{47}$$

where the summation is over all non-negative  $t_1, t_2, \dots, t_r$ , for which  $t_i \equiv 0 \pmod{k+1}$  or  $t_i \equiv 1 \pmod{k+1}$ , and

$$\tau_i = \begin{cases} 0, & \text{if } t_i \equiv 0 \pmod{k+1}, \\ 1, & \text{if } t_i \equiv 1 \pmod{k+1}, \end{cases} \tag{48}$$

$i = 1, 2, \dots, r$ .

*Proof.* According to Theorem 3 it is sufficient to consider the divisors of  $n$  having the form  $d = q_{i_1} q_{i_2} \dots q_{i_h}$ ,  $1 \leq i_1 < i_2 < \dots < i_h \leq r$ . By Theorem 1 in this case

$$\left\lfloor \frac{x}{d} \right\rfloor^{(k)} = \sum_{j_1 \geq 1} \sum_{j_2 \geq 1} \dots \sum_{j_h \geq 1} \left( \prod_{i=1}^h a_{j_i}^{(1)} \right) \left\lfloor \frac{x}{q_{i_1}^{j_1} q_{i_2}^{j_2} \dots q_{i_h}^{j_h}} \right\rfloor, \tag{49}$$

where

$$a_j^{(1)} = \begin{cases} 1, & \text{if } j \equiv 1 \pmod{k+1}, \\ -1, & \text{if } j \equiv 0 \pmod{k+1}, \\ 0, & \text{otherwise.} \end{cases} \tag{50}$$

Set

$$\theta(j) = \begin{cases} 0, & \text{if } j \equiv 0 \pmod{k+1}, \\ 1, & \text{if } j \equiv 1 \pmod{k+1}. \end{cases} \tag{51}$$

Then by (49)-(50) we have

$$\left\lfloor \frac{x}{d} \right\rfloor^{(k)} = \sum (-1)^{\theta(j_1) + \theta(j_2) + \dots + \theta(j_h) + h} \left\lfloor \frac{x}{q_{i_1}^{j_1} q_{i_2}^{j_2} \dots q_{i_h}^{j_h}} \right\rfloor,$$

where the summation is over all  $j_i \geq 1$  for which  $j_i \equiv 0 \pmod{k+1}$  or  $j_i \equiv 1 \pmod{k+1}$ . Hence for  $d = q_{i_1} q_{i_2} \dots q_{i_h}$ ,

$$\mu_k(d) \left\lfloor \frac{x}{d} \right\rfloor^{(k)} = \sum (-1)^{\theta(j_1) + \theta(j_2) + \dots + \theta(j_h)} \left\lfloor \frac{x}{q_{i_1}^{j_1} q_{i_2}^{j_2} \dots q_{i_h}^{j_h}} \right\rfloor.$$

By Theorem 3 then

$$\varphi_k(x, n) = \sum_{1 \leq i_1 < i_2 < \dots < i_h \leq r} \sum (-1)^{\theta(j_1) + \theta(j_2) + \dots + \theta(j_h)} \left\lfloor \frac{x}{q_{i_1}^{j_1} q_{i_2}^{j_2} \dots q_{i_h}^{j_h}} \right\rfloor, \tag{52}$$

where the internal summation is over all  $j_i \geq 1$  satisfying either  $j \equiv 0 \pmod{k+1}$  or  $j \equiv 1 \pmod{k+1}$ . Clearly (47) follows from (52) and (51).  $\square$

**Example 6** For  $n = x = 100$  ( $r = 2, q_1 = 2, q_2 = 5$ ) we have by (47) and (48),

$$\begin{aligned} \varphi_2(100) &= \sum_{t_1 \geq 0, t_1 \equiv 0 \text{ or } 1 \pmod 3} \sum_{t_2 \geq 0, t_2 \equiv 0 \text{ or } 1 \pmod 3} (-1)^{\tau_1 + \tau_2} \left\lfloor \frac{100}{2^{t_1} 5^{t_2}} \right\rfloor = \\ &= 100 - \frac{100}{2} + \left\lfloor \frac{100}{8} \right\rfloor - \left\lfloor \frac{100}{16} \right\rfloor + \left\lfloor \frac{100}{64} \right\rfloor - \\ &\quad - \frac{100}{5} + \frac{100}{2 \cdot 5} - \left\lfloor \frac{100}{8 \cdot 5} \right\rfloor + \left\lfloor \frac{100}{16 \cdot 5} \right\rfloor = 46. \end{aligned}$$

Now we treat the asymptotic behavior of  $\varphi_k(n)$ .

**Theorem 5**

$$\varphi_k(x, n) = \kappa_k(n)x + O((nx)^\varepsilon), \tag{53}$$

where

$$\kappa_k(n) = \prod_{q_k \mid n} \left( 1 + \frac{1}{q} + \dots + \frac{1}{q^k} \right)^{-1},$$

and the implied constant only depends on  $\varepsilon$ .

*Proof.* Set

$$n^* = \prod_{q_k \mid n} \frac{q^{k+1} - 1}{q^k - 1}. \tag{54}$$

Using Theorem 3 and the uniform estimate (27) for  $\ell_i = 1, i = 1, 2, \dots, r$ , we find

$$\begin{aligned} \left| \varphi_k(x, n) - x \sum_{d_k \mid n} \frac{\mu_k(d)}{d^*} \right| &= \left| \sum_{d_k \mid n} \mu_k(d) \left( \left\lfloor \frac{x}{d} \right\rfloor^{(k)} - \frac{x}{d^*} \right) \right| \leq \\ &\leq \sum_{d_k \mid n} \left| \left\lfloor \frac{x}{d} \right\rfloor^{(k)} - \frac{x}{d^*} \right| \leq a_\varepsilon x^\varepsilon \sum_{d_k \mid n} 1 = a_\varepsilon x^\varepsilon \tau^{(k)}(n) \leq a_\varepsilon x^\varepsilon \tau(n), \end{aligned} \tag{55}$$

where  $\tau^{(k)}(n)$  is the number of  $k$ -divisors of  $n$ , and  $\tau(n)$  is the number of conventional divisors of  $n$ . By the Wiman-Ramanujan theorem (see e.g. [14]) for  $\delta > 0$  and  $n > n_0(\delta)$ ,

$$\tau(n) < n^{\frac{(1+\delta)\ln 2}{\ln \ln n}} < n^\varepsilon, \quad n \geq n_\varepsilon.$$

By (55) and (53) this means that it is sufficient to demonstrate that

$$\sum_{d_k \mid n} \frac{\mu_k(d)}{d^*} = \prod_{q_k \mid n} \left( 1 + \frac{1}{q} + \dots + \frac{1}{q^k} \right)^{-1}. \tag{56}$$

This easily follows from (10) if one sets  $\theta(n) = (n^*)^{-1}$ . □

Theorem 5 is a generalization of the main result of [16] which can be deduced from it setting  $k = 1, x = n$ . As well Theorem 14 of [6] is a particular case of our theorem when  $k = 1$ .

Notice that for the values  $\varphi_k(n)$  presented in Example 5, using the main term (53) for  $x = n$  we obtain the following approximations:  $\varphi_1(100) \approx 76.9$  (the exact value 77),  $\varphi_2(100) \approx 46.1(46)$ ,  $\varphi_3(100) \approx 42.7(43)$ ,  $\varphi_4(100) \approx 41.3(42)$ ,  $\varphi_5(100) \approx 40.6(41)$ , and  $\varphi_k(100) \approx 40.3(40)$  for  $k \geq 6$ . Thus the approximation is quite accurate even for small  $n$ 's.

### 6. Sums of the Values of the $k$ -Euler Function

The following double summation formula due to Mertens (see e.g. [9]) is well known:

$$\sum_{i=1}^n \varphi(i) = \frac{3}{\pi^2} n^2 + O(n \ln n). \tag{57}$$

Consider  $\sum_{i=1}^n \varphi_k(n)$ . We will need an estimate for the following sum:

$$\nu_k(x, d) = \sum_{1 \leq i \leq x: d_k \mid i} i \tag{58}$$

for the numbers of the form

$$d = q_1 q_2 \dots q_r, \quad q_1 < q_2 < \dots < q_r, \quad q_i \in Q^{(k)}, i = 1, 2, \dots, r. \tag{59}$$

**Lemma 2** *Let  $d$  be of the form (59). Then for any  $\varepsilon > 0$  there exists a  $b_\varepsilon > 0$ , such that*

$$\left| \nu_k(x, d) - \frac{x^2}{2d^*} \right| \leq b_\varepsilon x^{1+\varepsilon}, \tag{60}$$

where

$$d^* = \prod_{i=1}^r \frac{q_i^{k+1} - 1}{q_i^k - 1}. \tag{61}$$

*Proof.* By (27) when  $\ell_i = 1$  uniformly in  $d \leq x$  we have

$$\left[ \frac{x}{d} \right]^{(k)} = \sum_{1 \leq i \leq x: d_k \mid i} 1 = \frac{x}{d^*} + O(x^\varepsilon). \tag{62}$$

Using the Stieltjes integration with the integrator  $\left[ \frac{x}{d} \right]^{(k)}$  (assuming  $d$  is a constant) we obtain the sought results from (62). □

**Theorem 6** We have for any  $\varepsilon > 0$ ,

$$\sum_{n \leq x} \varphi_k(n) = \frac{x^2}{2} \prod_{q \in Q^{(k)}} \left( 1 - \left( \frac{q^k - 1}{q^{k+1} - 1} \right)^2 \right) + o(x^{1+\varepsilon}).$$

*Proof.* Using (39) and (62) for  $x = n$  we find

$$\begin{aligned} \sum_{n \leq x} \varphi_k(n) &= \sum_{n \leq x} \sum_{d \mid_k n} \mu_k(d) \left[ \frac{n}{d} \right]^{(k)} = \\ &= \sum_{n \leq x} n \sum_{d \mid_k n} \frac{\mu_k(d)}{d^*} + o(x^{1+\varepsilon}) = \sum_{d \leq x} \frac{\mu_k(d)}{d^*} \sum_{n \leq x: d \mid_k n} n + o(x^{1+\varepsilon}). \end{aligned}$$

From Lemma 2 now we get

$$\begin{aligned} \sum_{n \leq x} \varphi_k(n) &= \sum_{d \leq x} \frac{\mu_k(d)}{d^*} \left( \frac{x^2}{2d^*} + o(x^{1+\varepsilon}) \right) = \\ &= \frac{x^2}{2} \sum_{d \leq x} \frac{\mu_k(d)}{(d^*)^2} + o \left( x^{1+\varepsilon} \sum_{d \leq x} \frac{\mu_k(d)}{d^*} \right). \end{aligned} \tag{63}$$

Notice that since

$$d^* = \prod_{i=1}^r \frac{q_i^{k+1} - 1}{q_i^k - 1} > \prod_{i=1}^r q_i = d,$$

we have

$$\left| \sum_{d \leq x} \frac{\mu_k(d)}{d^*} \right| \leq \sum_{d \leq x} \frac{1}{d} = O(\log x).$$

Moreover,

$$\left| \sum_{d \geq x} \frac{\mu_k(d)}{(d^*)^2} \right| \leq \sum_{d > x} \frac{1}{d^2} \leq \frac{1}{x-1}.$$

Therefore, by (63),

$$\sum_{n \leq x} \varphi_k(n) = \frac{x^2}{2} \sum_{i=1}^{\infty} \frac{\mu_k(i)}{(i^*)^2} + o(x^{1+\varepsilon}),$$

where by (61)  $n^*$  is a  $k$ -multiplicative function.

Finally, using (14) for  $\theta(n) = (n^*)^{-2}$  we obtain the claim. □

In particular, when  $k = 1$ , a result from [6] follows from the theorem:

$$\sum_{n \leq x} \varphi_1(n) = \frac{x^2}{2} \prod_{q \in Q^{(1)}} \left( 1 - \frac{1}{(q+1)^2} \right) + o(x^{1+\varepsilon}) = 0.3666252769 \dots x^2 + o(x^{1+\varepsilon}).$$

Here (and in what follows) the constant was computed in [10], see also [7, 11] for efficient computational methods.

When  $k \rightarrow \infty$  we find from the theorem that

$$\sum_{n \leq x} \varphi(n) \sim \frac{x^2}{2} \prod_{p \in \mathbf{P}} \left(1 - \frac{1}{p^2}\right) = \frac{3}{\pi^2} x^2. \tag{64}$$

### 7. Other Functions

Let us consider several natural arithmetical functions.

1. The function  $\tilde{\varphi}_k(n)$  has been defined above in (40) and (41) as a second (formal) generalization of the Euler totient function. For  $k = 1$ ,  $\tilde{\varphi}_1(n)$  was considered in [6] and [10]. For it we analogously deduce:

$$\begin{aligned} \sum_{n \leq x} \tilde{\varphi}_k(n) &= \sum_{n \leq x} \sum_{d \mid_k n} \mu_k(d) \frac{n}{d} = \sum_{n \leq x} n \sum_{d \mid_k n} \frac{\mu_k(d)}{d} = \\ &= \sum_{d \leq x} \frac{\mu_k(d)}{d} \sum_{n \leq x: d \mid_k n} n = \sum_{d \leq x} \frac{\mu_k(d)}{d} \nu_k(x, d) = \\ &= \sum_{d \leq x} \frac{\mu_k(d)}{d} \left( \frac{x^2}{2d^*} + o(x^{1+\varepsilon}) \right) = \frac{x^2}{2} \sum_{i=1}^{\infty} \frac{\mu_k(i)}{i i^*} + o(x^{1+\varepsilon}) = \\ &= \frac{x^2}{2} \prod_{q \in Q^{(k)}} \left( 1 - \frac{q^k - 1}{(q^{k+1} - 1)q} \right) + o(x^{1+\varepsilon}). \end{aligned}$$

In particular, when  $k = 1$ ,

$$\sum_{n \leq x} \varphi_1(n) \sim \frac{x^2}{2} \prod_{q \in Q^{(1)}} \left( 1 - \frac{1}{q(q+1)} \right) = 0.3289358388 \dots x^2,$$

like in [6], the constant has been computed in [10]. If  $k \rightarrow \infty$  we arrive again at the classical expression (64).

2. The summatory function for sums of  $k$ -divisors.

Consider  $\sum_{n \leq x} \sigma_k(n)$  where  $\sigma_k(n)$  is the sum of  $k$ -divisors of  $n$ . Analogously to Lemma 2 we obtain from (27) the following result for the function  $\nu_k(x, d)$  from (58):

$$\nu_k(x, d) = \frac{x^2}{2d^*} + o(x^{1+\varepsilon}), \tag{65}$$

where

$$d^* = \prod_{q_k \mid d} \frac{q^{k+1} - 1}{q^{k+1-d_q^{(k)}} - 1}, \tag{66}$$

and  $d_q^{(k)}$  is defined by the factorization (6) for  $n = d$ . Furthermore,

$$\begin{aligned} \sum_{n \leq x} \sigma_k(n) &= \sum_{n \leq x} \sum_{d_k \mid n} \frac{n}{d} = \sum_{n \leq x} n \sum_{d_k \mid n} \frac{1}{d} = \\ &= \sum_{d \leq x} \frac{1}{d} \sum_{n \leq x: d_k \mid n} n = \sum_{d \leq x} \frac{\nu_k(x, d)}{d} = \\ &= \sum_{d \leq x} \frac{1}{d} \left( \frac{x^2}{2d^*} + o(x^{1+\varepsilon}) \right) = \frac{x^2}{2} \sum_{i=1}^{\infty} \frac{1}{ii^*} + o(x^{1+\varepsilon}). \end{aligned}$$

Setting in (13),  $\theta(n) = \frac{1}{nn^*}$  and noticing that by (66),

$$\theta(q^j) = \frac{q^{k-j+1} - 1}{(q^{k+1} - 1)q^j}, \quad j = 1, 2, \dots, k,$$

we find

$$\begin{aligned} \sum_{n \leq x} \sigma_k(n) &= \frac{x^2}{2} \prod_{q \in Q^{(k)}} \left( 1 + \frac{q^{k+1}}{q^{k+1} - 1} \sum_{j=1}^k \frac{1}{q^{2j}} - \frac{1}{q^{k+1} - 1} \sum_{j=1}^k \frac{1}{q^j} \right) + o(x^{1+\varepsilon}) = \\ &= \frac{x^2}{2} \prod_{q \in Q^{(k)}} \left( 1 + \frac{q^k - 1}{q^k(q^2 - 1)} \right) + o(x^{1+\varepsilon}). \end{aligned}$$

In particular, when  $k = 1$ ,

$$\sum_{n \leq x} \sigma_1(n) \sim \frac{x^2}{2} \prod_{q \in Q^{(1)}} \left( 1 + \frac{1}{q(q+1)} \right) = 0.7307182421 \dots x^2,$$

coinciding with [6, 10]. When  $k \rightarrow \infty$  we obtain a result from [10],

$$\sum_{n \leq x} \sigma(n) \sim \frac{x^2}{2} \prod_{p \in \mathbf{P}} \left( 1 + \frac{1}{p^2 - 1} \right) = \frac{\zeta(2)}{2} x^2 = \frac{\pi^2}{12} x^2.$$

### 3. The numbers $k$ -free from the $(i + 1)$ -st powers.

Consider the sequence  $S_k(i)$  of numbers in  $k$ -arithmetics for which in the canonical representation (6) only the powers not exceeding  $i$  are allowed,  $i \leq k - 1$ . Let us find the asymptotics for the sum,  $\sum_{n \in S_k^{(i)}} 1$ . Using inclusion-exclusion we have

$$\sum_{n \in S_k^{(i)}} 1 = [x] - \sum_{q \leq x} \left[ \frac{x}{q^{i+1}} \right]^{(k)} + \sum_{q_1 < q_2 \leq x} \left[ \frac{x}{q_1^{i+1} q_2^{i+1}} \right]^{(k)} - \dots =$$

$$\begin{aligned}
 &= \sum_{n \leq x} \sum_{d^{i+1} \mid_k n} \mu_k(d) = \sum_{d \leq x^{\frac{1}{i+1}}} \mu_k(d) \sum_{n \leq x: d^{i+1} \mid_k n} 1 = \\
 &= \sum_{d \leq x^{\frac{1}{i+1}}} \mu_k(d) \left\lfloor \frac{x}{d^{i+1}} \right\rfloor^{(k)} = \sum_{d \leq x^{\frac{1}{i+1}}} \mu_k(d) \left( \frac{x}{(d^{i+1})^*} + o(x^\varepsilon) \right),
 \end{aligned}$$

where the last equality is by Theorem 2. Therefore,

$$\sum_{n \in S_k^{(i)}} 1 = x \sum_{d \leq x^{\frac{1}{i+1}}} \frac{\mu_k(d)}{(d^{i+1})^*} + o\left(x^{\frac{1}{i+1} + \varepsilon}\right) = x \sum_{d=1}^{\infty} \frac{\mu_k(d)}{(d^{i+1})^*} + o\left(x^{\frac{1}{i+1} + \varepsilon}\right).$$

Since  $\frac{1}{(n^{i+1})^*}$  is a  $k$ -multiplicative function for a fixed  $i$ , by (14) we conclude that

$$\sum_{n \in S_k^{(i)}} 1 = x \prod_{q \in Q^{(k)}} \left( 1 - \frac{q^{k-i} - 1}{q^{k+1} - i} \right) + o\left(x^{\frac{1}{i+1} + \varepsilon}\right).$$

In particular, when  $k \rightarrow \infty$  we obtain the known result, cf. [21],

$$\sum_{n \in S_\infty^{(i)}} 1 \sim x \prod_{p \in P} \left( 1 - \frac{1}{p^{i+1}} \right) = \frac{x}{\zeta(i+1)}.$$

#### 4. $k$ -complete numbers.

A number  $n$  is called  $k$ -complete if in its factorization (6) at least one  $k$ -prime has power  $k$ . Let  $F_k(x)$  be the number of  $k$ -complete numbers not exceeding  $x$ . For  $k = 1$  clearly  $F_1(x) = \lfloor x \rfloor - 1$ . Using inclusion-exclusion, for  $k \geq 2$ , we deduce

$$\begin{aligned}
 F_k(x) &= - \sum_{n \leq x^{\frac{1}{k}}} \sum_{d > 1: d^k \mid_k n} \mu_k(d) = \left\lfloor x^{\frac{1}{k}} \right\rfloor - \sum_{n \leq x^{\frac{1}{k}}} \sum_{d \geq 1: d^k \mid_k n} \mu_k(d) = \\
 &= \left\lfloor x^{\frac{1}{k}} \right\rfloor - \sum_{1 \leq d \leq x^{\frac{1}{k}}} \mu_k(d) \sum_{n \leq x^{\frac{1}{k}}: d^k \mid_k n} 1 = \\
 &= \left\lfloor x^{\frac{1}{k}} \right\rfloor - \sum_{1 \leq d \leq x^{\frac{1}{k}}} \mu_k(d) \left\lfloor \frac{x^{\frac{1}{k}}}{d^k} \right\rfloor^{(k)} = \\
 &= \left\lfloor x^{\frac{1}{k}} \right\rfloor - \sum_{1 \leq d \leq x^{\frac{1}{k}}} \left( \mu_k(d) \frac{x^{\frac{1}{k}}}{(d^k)^*} + o(x^\varepsilon) \right),
 \end{aligned}$$

where the last equality is due to Theorem 2. Furthermore,

$$F_k(x) = \left\lfloor x^{\frac{1}{k}} \right\rfloor - x^{\frac{1}{k}} \sum_{1 \leq d \leq x^{\frac{1}{k}}} \frac{\mu_k(d)}{(d^k)^*} + o\left(x^{\frac{1}{k} + \varepsilon}\right) =$$

$$= x^{\frac{1}{k}} \left( 1 - \sum_{d=1}^{\infty} \frac{\mu_k(d)}{(d^k)^*} \right) + o(x^{\frac{1}{k}+\varepsilon}).$$

Since  $(n^k)^*$  is  $k$ -multiplicative, then by (14) for  $\theta(n) = (n^k)^*$  we have finally for  $k \geq 2$ ,

$$F_k(x) = x^{\frac{1}{k}} \left( 1 - \prod_{q \in Q^{(k)}} \left( 1 - \frac{q-1}{q^{k+1}-1} \right) \right) + o(x^{\frac{1}{k}+\varepsilon}).$$

### 8. Relations Between $\varphi_k(n)$ and $\varphi(n)$

Since for all  $n$  and  $k$  we have  $\varphi_k(n) \geq \varphi(n)$ , it is of interest to study the equation

$$\varphi_k(n) - \varphi(n) = c, \tag{67}$$

for a nonnegative constant  $c$ .

**Theorem 7** *For any nonnegative integer  $c$  the equation (67) has an infinite number of solutions.*

*Proof.* 1) Let  $c = 0$ . Assume  $n = p^a$ , where  $p$  is a prime,  $a \geq 1$ . Let  $(k+1)^{m-1} \leq a \leq (k+1)^m$ , and  $a$  has the following representation in the basis  $k+1$ :

$$a = (\alpha_{m-1}, \alpha_{m-2}, \dots, \alpha_0)_{k+1}, \quad 0 \leq \alpha_i \leq k, \quad \alpha_{m-1} \geq 1.$$

Let us demonstrate that  $\varphi_k(p^a) = \varphi(p^a)$  if and only if all  $\alpha_i \geq 1$ ,  $i = 0, 1, \dots, m-2$ . Indeed, let there exist  $j \leq m-2$  such that  $\alpha_j = 0$ . Then  $p^{(k+1)^j} \leq p^{(k+1)^{m-2}} < p^a$ , but  $\left(p^{(k+1)^j}, p^a\right)_k = 1$ . Therefore,  $\varphi_k(p^a) > \varphi(p^a)$ , and we are done. In the opposite direction, if  $\varphi_k(p^a) > \varphi(p^a)$ , then there exists  $j \leq m-2$ , for which  $\left(p^{(k+1)^j}, p^a\right)_k = 1$ , but then  $\alpha_j = 0$ . In particular, if  $\alpha_0 = \alpha_1 = \dots = \alpha_{m-1} = 1$  then, by the above claim, for the number  $a = 1 + (k+1) + (k+1)^2 + \dots + (k+1)^{m-1} = \frac{(k+1)^m - 1}{k}$  we have

$$\varphi_k \left( p^{\frac{1}{k}((k+1)^m - 1)} \right) = \varphi \left( p^{\frac{1}{k}((k+1)^m - 1)} \right)$$

for every prime  $p$  and integer  $m$ .

2) Let now  $c \geq 1$ . It is well known, see e.g. [14], that for any  $\varepsilon > 0$  there exists  $x_0(\varepsilon)$  such that for every  $x > x_0(\varepsilon)$  between  $x$  and  $(1+\varepsilon)x$  there is a prime. Set  $\varepsilon = \frac{1}{c}$ . Choose a prime  $p > \max \left( \frac{x_0(\frac{1}{c})}{c}, c \right)$ , and set  $x = cp$ . Then  $x > x_0(\frac{1}{c})$ . Therefore, between  $cp$  and  $(1 + \frac{1}{c})cp = (c+1)p$ , there is a prime. Let us denote it by  $q$ :  $cp < q < (c+1)p$ . We will show now that

$$\varphi_k(p^k q) = \varphi(p^k q) + c. \tag{68}$$

Indeed, since  $c < p$ , the numbers

$$p^{k+1}, 2p^{k+1}, \dots, cp^{k+1},$$

are mutually  $k$ -prime with  $p^k q$ . Moreover  $cp^{k+1} < p^k q$ , but  $(c+1)p^{k+1} > p^k q$ . This implies (68). The theorem is proved since for every  $c$  there exists an infinite set of possibilities for the choice of relevant  $p$  and  $q$ .  $\square$

Let us prove another statement about the intermediate position of  $\varphi_k(n)$  between  $\varphi(n)$  and  $n$ .

**Theorem 8**

$$\liminf_{n \rightarrow \infty} \frac{\varphi_k(n)}{n} = 0, \quad \limsup_{n \rightarrow \infty} \frac{\varphi_k(n)}{\varphi(n)} = \infty.$$

*Proof.* Set  $n = n_m = \prod_{i=1}^m p_i$ ,  $m \geq 1$ , where  $p_n$  is the  $n$ -th prime. By Theorem 5

$$\frac{\varphi_k(n_m)}{n_m} = \prod_{i=1}^m \left(1 + \frac{1}{p_i} + \dots + \frac{1}{p_i^k}\right)^{-1} + o(n_m^{-1+\varepsilon}). \tag{69}$$

We have

$$\begin{aligned} \prod_{i=1}^m \left(1 + \frac{1}{p_i} + \dots + \frac{1}{p_i^k}\right) &\geq \prod_{i=1}^m \left(1 + \frac{1}{p_i}\right) = \\ &= \frac{\prod_{i=1}^m \left(1 - \frac{1}{p_i^2}\right)}{\prod_{i=1}^m \left(1 - \frac{1}{p_i}\right)} = \frac{e^\gamma}{\zeta(2)} \ln p_m, \end{aligned}$$

where for the last equality see [14], and  $\gamma$  is the Euler constant. Thus (69) yields the first statement of the theorem.

Furthermore, for  $n = n_m^{k+1}$  we deduce

$$\frac{\varphi_k(n_m^{k+1})}{\varphi(n_m^{k+1})} = \frac{\prod_{i=1}^m \left(1 + \frac{1}{p_i^{k+1}} + \dots + \frac{1}{p_i^{k(k+1)}}\right)^{-1} + o(n_m^{(k+1)(-1+\varepsilon)})}{\prod_{i=1}^m \left(1 - \frac{1}{p_i}\right)}. \tag{70}$$

Since

$$\frac{\prod_{i=1}^m \left(1 + \frac{1}{p_i^{k+1}} + \dots + \frac{1}{p_i^{k(k+1)}}\right)^{-1}}{\prod_{i=1}^m \left(1 - \frac{1}{p_i}\right)} > \frac{\prod_{i=1}^m \left(1 - \frac{1}{p_i^{k+1}}\right)}{\prod_{i=1}^m \left(1 - \frac{1}{p_i}\right)} = \frac{e^\gamma}{\zeta(k+1)} \ln p_m,$$

(70) yields the second statement of the theorem.  $\square$

Furthermore, let us show that the following result is valid.

**Theorem 9** For any natural number  $k$ ,

$$\liminf_{n \rightarrow \infty} \frac{\varphi_k(n) \ln \ln n}{n} = e^{-\gamma}, \tag{71}$$

where  $\gamma$  is the Euler constant.

*Proof.* The statement of the theorem is well known in the conventional case  $k = \infty$ . Since for every natural  $k$  we have  $\varphi_k(n) \geq \varphi(n)$ , we conclude

$$\liminf_{n \rightarrow \infty} \frac{\varphi_k(n) \ln \ln n}{n} \geq e^{-\gamma}.$$

Thus, to prove the theorem it is sufficient to present a sequence  $\{n_m\}$  on which the equality holds. We will demonstrate that the sequence

$$n_m = \prod_{q \in Q^{(k)}, q \leq \ln m} q \tag{72}$$

satisfies the requirement. Notice that for  $k$ -primes we have

$$\prod_{q \in Q^{(k)}, q \leq n} q \sim e^{n+o(n)}. \tag{73}$$

Consequently for the sequence (72) we have

$$n_m \sim e^{\ln m + o(\ln m)} = m^{1+o(1)}. \tag{74}$$

Using (74) and Theorem 5 we find

$$\begin{aligned} \frac{\varphi_k(n_m) \ln \ln n_m}{n_m} &= (\ln \ln n_m) \prod_{q \leq \ln m} \left(1 + \frac{1}{q} + \dots + \frac{1}{q^k}\right)^{-1} + O(n_m^{-1+\varepsilon}) = \\ &= (\ln \ln n_m) \prod_{q \leq \ln m} \left( \left(1 - \frac{1}{q^{k+1}}\right)^{-1} \left(1 - \frac{1}{q}\right) \right) + O(n_m^{-1+\varepsilon}) = \\ &= (\ln \ln n_m) \prod_{q \leq \ln m} \left(1 - \frac{1}{q^{k+1}}\right)^{-1} \prod_{p \leq \ln m} \left(1 - \frac{1}{p}\right) \cdot \\ &\quad \cdot \left( \frac{\prod_{q \leq \ln m} \left(1 - \frac{1}{q}\right)}{\prod_{p \leq \ln m} \left(1 - \frac{1}{p}\right)} \right) + O(n_m^{-1+\varepsilon}). \end{aligned}$$

However, from the definition of the set  $Q^{(k)}$  we have that

$$\frac{\prod_{q \leq \ln m} \left(1 - \frac{1}{q}\right)}{\prod_{p \leq \ln m} \left(1 - \frac{1}{p}\right)} = \prod_{q \leq (\ln m)^{\frac{1}{k+1}}} \left(1 - \frac{1}{q^{k+1}}\right). \tag{75}$$

Thus,

$$\frac{\varphi_k(n_m) \ln \ln n_m}{n_m} = (\ln \ln n_m) \prod_{(\ln m)^{\frac{1}{k+1}} \leq q \leq \ln m} \left(1 - \frac{1}{q^{k+1}}\right)^{-1} \prod_{p \leq \ln m} \left(1 - \frac{1}{p}\right) + O(n_m^{-1+\varepsilon}). \quad (76)$$

It is well known (see e.g. [14]) that

$$\prod_{p \leq x} \left(1 - \frac{1}{p}\right) = \frac{e^{-\gamma}}{\ln x} \left(1 + O\left(e^{-c\sqrt{\ln x}}\right)\right), \quad (77)$$

where  $c$  is a positive constant.

Let us also estimate

$$\prod_{(\ln m)^{\frac{1}{k+1}} \leq q \leq \ln m} \left(1 - \frac{1}{q^{k+1}}\right)^{-1}.$$

Since for  $0 < x < 1$  we have

$$-\ln(1-x) = \int_0^x \frac{dt}{1-t} \leq \frac{x}{1-x},$$

for  $m$  large enough we deduce

$$\begin{aligned} \ln \prod_{(\ln m)^{\frac{1}{k+1}} \leq q \leq \ln m} \left(1 - \frac{1}{q^{k+1}}\right)^{-1} &\leq \sum_{(\ln m)^{\frac{1}{k+1}} \leq q \leq \ln m} \frac{1}{(q-1)^{k+1}} \leq \\ &\leq \int_{(\ln m)^{\frac{1}{k+1}-1}}^{\ln m-1} \frac{dt}{t^{k+1}} = O\left((\ln m)^{-\frac{k}{k+1}}\right). \end{aligned}$$

Therefore,

$$\prod_{(\ln m)^{\frac{1}{k+1}} \leq q \leq \ln m} \left(1 - \frac{1}{q^{k+1}}\right)^{-1} = 1 + O\left((\ln m)^{-\frac{k}{k+1}}\right). \quad (78)$$

Taking into account that from (74) it follows that

$$\frac{\ln \ln n_m}{\ln \ln m} = 1 + o\left(\frac{1}{\ln \ln m}\right),$$

we deduce from (76), (77) for  $x = \ln m$ , and (78) that

$$\frac{\varphi_k(n_m) \ln \ln n_m}{n_m} = e^{-\gamma} \left(1 + O\left(\frac{1}{\ln \ln m}\right)\right).$$

□

Let us also mention that since

$$e^{c\sqrt{\ln x}} = o\left(x^{\frac{k}{k+1}}\right),$$

i.e.,  $x^{-\frac{k}{k+1}} = o(e^{-c\sqrt{\ln x}})$ , it follows from (75), (77) and (78) that the following equality, being a  $k$ -generalization of (77), holds:

$$\prod_{q \leq x} \left(1 - \frac{1}{q}\right) = \frac{e^{-\gamma}}{\ln x} \left( \prod_{q \in Q^{(k)}} \left(1 - \frac{1}{q^{k+1}}\right) \right) \left(1 + O(e^{-c\sqrt{x}})\right). \tag{79}$$

Applying  $\ln$  to (79), after simple transformations we find

$$\sum_{q \leq x} \frac{1}{q} = \ln \ln x + \gamma - \sum_{q \in Q^{(k)}} \sum_{j \geq 2} \frac{1}{jq^j} + \sum_{q \in Q^{(k)}} \sum_{j \geq 1} \frac{1}{jq^{(k+1)j}} + O(e^{-c\sqrt{\ln x}}) = \ln \ln x + B_k + O(e^{-c\sqrt{\ln x}}),$$

where

$$B_k = \gamma + \sum_{q \in Q^{(k)}} \frac{1}{q^{k+1}} - \sum_{q \in Q^{(k)}} \sum_{j \geq 2} \frac{1}{jq^j} \left(1 - \frac{1}{q^{kj}}\right).$$

The latter for  $k = \infty$  becomes

$$B = \gamma - \sum_p \sum_{j \geq 2} \frac{1}{jp^j} = 0.2614972128 \dots$$

and is known as the prime reciprocal constant, the Mertens constant, the Kronecker constant, or the Hadamard-de La Vallée-Poussin constant.

### 9. Analogs of the Wirsing and Delange Theorems

Since the  $k$ -multiplicative functions are multiplicative as well in the conventional sense, all the theorems about multiplicative functions are formally valid for  $k$ -multiplicative functions. However, it is worth noticing that from the point of view of the standard arithmetics the  $k$ -multiplicative functions are "under-defined" since they are defined only on a small subset of the set of prime numbers. For example, when  $k = 2$  this subset is  $\{p, p^2, p^3, p^6, p^9, p^{18}, \dots\}$  and the direct application of known asymptotic theorems about multiplicative functions seems to be impossible. Therefore, the question about  $k$ -analogs of such theorems is non-trivial. For this goal we have chosen the well known theorems of Wirzing and Delange. Note that the condition on  $k$ -multiplicativity allows an essential simplification of the statements of these theorems.

**Theorem 10** *a) (Wirzing, see [13]) Let  $h(n)$  be a multiplicative function satisfying*

- 1)  $h(n) \geq 0, n = 1, 2, \dots;$
- 2)  $h(p^\nu) \leq c_1 c_2^\nu, p \in \mathbf{P}, \nu = 1, 2, \dots, c_2 < 2;$
- 3)  $\sum_{p \leq x, p \in \mathbf{P}} h(p) = (\tau + o(1)) \frac{x}{\ln x},$  where  $\tau \geq 0,$  is a constant.

Then, for  $x \rightarrow \infty$ ,

$$\sum_{n \leq x} h(n) = \left( \frac{e^{-\gamma\tau}}{\Gamma(\tau)} + o(1) \right) \frac{x}{\ln x} \prod_{p \leq x, p \in \mathbf{P}} \left( 1 + \frac{h(p)}{p} + \frac{h(p^2)}{p^2} \dots \right) \quad (80)$$

where  $\Gamma(x)$  is the gamma-function, and  $\gamma$  is the Euler constant.

b) (*k*-analog) Let  $h(n)$  be a *k*-multiplicative function satisfying

- 1)  $h(n) \geq 0, n = 1, 2, \dots;$
- 2)  $h(q^r) \leq c, q \in Q^{(k)}, r = 1, 2, \dots, k;$
- 3)  $\sum_{q \leq x, q \in Q^{(k)}} h(q) = (\tau + o(1)) \frac{x}{\ln x}$ , where  $\tau \geq 0$ , is a constant.

Then,

$$\sum_{n \leq x} h(n) = \left( \frac{e^{-\gamma\tau}}{\Gamma(\tau)} + o(1) \right) \frac{x}{\ln x} \prod_{q \leq x, q \in Q^{(k)}} \left( 1 + \frac{h(q)}{q} + \dots + \frac{h(q^k)}{q^k} \right). \quad (81)$$

**Theorem 11** a) (*Delange*, see [13]) Let  $h(n)$  be a multiplicative function such that

- 1)  $|h(n)| \leq 1, n = 1, 2, \dots;$
- 2) the series  $\sum_{p \in \mathbf{P}} \frac{1-h(p)}{p}$  converges.

Then,

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{m=1}^n h(m) = \prod_{p \in \mathbf{P}} \left( 1 - \frac{1}{p} \right) \left( 1 + \sum_{j=1}^{\infty} \frac{h(p^j)}{p^j} \right). \quad (82)$$

b) (*k*-analog) Let  $h(n)$  be a *k*-multiplicative function satisfying

- 1)  $|h(n)| \leq 1, n = 1, 2, \dots;$
- 2) the series  $\sum_{q \in Q^{(k)}} \frac{1-h(q)}{q}$  converges.

Then,

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{m=1}^n h(m) = \prod_{q \in Q^{(k)}} \frac{q-1}{q^{k+1}-1} \sum_{i=0}^k q^{k-i} h(q^i). \quad (83)$$

*Proof.* We just prove the *k*-analog of the Delange theorem, the proof the previous theorem is similar. Notice that under the conditions of b) of Theorem 11, the conditions of a) are

also valid. We have

$$\begin{aligned}
 1 - \frac{1}{p} &= \frac{1 - \frac{1}{p}}{1 - \frac{1}{p^{k+1}}} \cdot \frac{1 - \frac{1}{p^{k+1}}}{1 - \frac{1}{p^{(k+1)^2}}} \cdot \frac{1 - \frac{1}{p^{(k+1)^2}}}{1 - \frac{1}{p^{(k+1)^3}}} \cdots \\
 &= \prod_{\ell=0}^{\infty} \left( 1 + \frac{1}{p^{(k+1)^\ell}} + \frac{1}{p^{2(k+1)^\ell}} + \cdots + \frac{1}{p^{k(k+1)^\ell}} \right)^{-1} \\
 &= \prod_{q \in Q^{(k)}: p|q} \left( 1 + \frac{1}{q} + \frac{1}{q^2} + \cdots + \frac{1}{q^k} \right)^{-1}. \tag{84}
 \end{aligned}$$

Further, using the  $k$ -multiplicativity of  $h(n)$  for a fixed  $p \in \mathbf{P}$  we have

$$1 + \sum_{j=1}^{\infty} \frac{h(p^j)}{p^j} = \prod_{q \in Q^{(k)}: p|q} \left( 1 + \frac{h(q)}{q} + \cdots + \frac{h(q^k)}{q^k} \right),$$

and consequently

$$\prod_{p \in \mathbf{P}} \left( 1 + \sum_{j=1}^{\infty} \frac{h(p^j)}{p^j} \right) = \prod_{q \in Q^{(k)}} \left( 1 + \frac{h(q)}{q} + \cdots + \frac{h(q^k)}{q^k} \right). \tag{85}$$

Substituting (84) and (85) into (82) we find:

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{m=1}^n h(m) = \prod_{q \in Q^{(k)}} \left( 1 + \frac{1}{q} + \frac{1}{q^2} + \cdots + \frac{1}{q^k} \right)^{-1} \left( 1 + \frac{h(q)}{q} + \frac{h(q^2)}{q^2} + \cdots + \frac{h(q^k)}{q^k} \right),$$

and (83) follows. □

### 10. Perfect Numbers

A number  $n$  is called  $k$ -perfect if it equals to the sum of its proper positive  $k$ -divisors. It follows from (6) that any  $k$ -perfect number satisfies

$$\sigma_k(n) = \prod_{q_k \mid n} \frac{q^{n_q+1} - 1}{q - 1} = 2n, \tag{86}$$

where  $\sigma_k(n)$  is the sum of  $k$ -divisors of  $n$ . For example, 28 is  $k$ -perfect for all  $k \geq 2$ .

Consider a sequence  $S = \{n_k\}_{k \in T}$ , such that  $n_k$  is a  $k$ -perfect number of the form

$$n_k = 2^{k+1}(2l - 1), \tag{87}$$

where  $(2l - 1)$  possesses the conventional factorization (1) into primes having powers not exceeding  $k$ , and  $T$  is the set of such  $k$  for which there exists at least one  $k$ -perfect

number of the form (87). We will address such numbers as being of type  $S$ . Hence by (86) for  $n_k$  we have

$$\sigma_k(n_k) = (2^{k+1} + 1) \prod_{p|2l-1} \frac{p^{n_p+1} - 1}{p - 1} = 2^{k+2}(2l - 1).$$

**Example 7** In the following table we present examples of  $k$ -perfect numbers of type  $S$  for every  $k$ ,  $1 \leq k \leq 10$ .

$k$	$n$
1	$2^2 \cdot 3 \cdot 5$
2	$2^3 \cdot 3^2 \cdot 7 \cdot 13$
3	$2^4 \cdot 3^2 \cdot 7 \cdot 13 \cdot 17$
4	$2^5 \cdot 3^2 \cdot 7 \cdot 11 \cdot 13$
5	$2^6 \cdot 3 \cdot 5 \cdot 7 \cdot 13$
6	$2^7 \cdot 3^2 \cdot 7 \cdot 11 \cdot 13 \cdot 43$
7	$2^8 \cdot 3^2 \cdot 7 \cdot 11 \cdot 13 \cdot 43 \cdot 257$
8	$2^9 \cdot 3^3 \cdot 5^2 \cdot 19 \cdot 31$
9	$2^{10} \cdot 3 \cdot 5^2 \cdot 7 \cdot 31 \cdot 41$
10	$2^{11} \cdot 3^3 \cdot 5^2 \cdot 19 \cdot 31 \cdot 683$

Notice that in the examples with the pairs of  $k$ -perfect numbers of type  $S$  for  $k_1 = 2$ ,  $k_2 = 3$ ;  $k_1 = 2$ ,  $k_2 = 4$ ;  $k_1 = 4$ ,  $k_2 = 6$ ;  $k_1 = 6$ ,  $k_2 = 7$ ;  $k_1 = 8$ ,  $k_2 = 10$ ; the ratio of the  $k$ -perfect numbers in these pairs are  $2^{k_2-k_1}p$  where  $p$  is a prime not dividing the first number in the pair. The corresponding primes in the examples are 17, 11, 43, 257, and 683. This hints at a general description of all such pairs of type  $S$ .

**Theorem 12** *Let  $n_1$  be a  $k$ -perfect number of type  $S$ . For  $n_2$  to be a  $(k + m)$ -perfect number of type  $S$  having the form*

$$n_2 = 2^m n_1 p,$$

*where  $p$  is a prime,  $p$  does not divide  $n_1$ , it is necessary and sufficient that one of the following conditions is valid*

1.  $m = 1$ ,  $p$  is a Fermat prime of the form  $2^{k+2} + 1$ ;
2.  $m = 2$ ,  $p$  is a prime of the form  $\frac{2^{k+3}+1}{3}$  for an even  $k$ .

Theorem 12 claims that there are no considered pairs of  $k$ -perfect numbers of type  $S$  if  $k_2 - k_1 \geq 3$ . Under validity of the conjecture that there is a finite number of Fermat primes, the number of considered pairs satisfying  $k_2 - k_1 = 1$  is also finite. Finally, if  $k_2 - k_1 = 2$ , notice that  $\frac{2^{k+3}+1}{3}$  can be prime only if  $k + 3$  is prime. It is conjectured in

[2] that the number of primes having form  $\frac{2^p+1}{3}$  is infinite and, moreover, the number of such primes not exceeding  $x$  is approximately  $e^\gamma \log_2 x$ ,  $\gamma$  is the Euler constant.

For the proof of Theorem 12 we will need the following result.

**Lemma 3** *The Diophantine equation*

$$2^{k+m+1} + 1 = n(2^m - 1), \tag{88}$$

for  $k \geq 1, m \geq 1, n \geq 1$ , has only the following solutions:

$$m = 1, k \in \mathbb{N}, n = 2^{k+2} + 1, \tag{89}$$

$$m = 2, k = 2r, r \in \mathbb{N}, n = \frac{2^{k+3} + 1}{3}. \tag{90}$$

*Proof.* The case  $m = 1$  is trivial. Assume  $m \geq 2$ . Let

$$k = rm + s, \quad 0 \leq s \leq m - 1. \tag{91}$$

We have

$$(2^m - 1) \sum_{i=0}^{\lfloor k/m \rfloor} 2^{k-mi} = 2^{k-rm}(2^{m(r+1)} - 1) = 2^{k+m} - 2^s.$$

Therefore,

$$2^{k+m} \equiv 2^s \pmod{2^m - 1},$$

and

$$2^{k+m+1} + 1 \equiv 2^{s+1} + 1 \pmod{2^m - 1}.$$

Now, from (88) it follows that

$$2^{s+1} + 1 \equiv 0 \pmod{2^m - 1}. \tag{92}$$

Since  $2(2^m - 1) > 2^m + 1 \geq 2^{s+1} + 1$ , (92) is possible only if  $2^{s+1} + 1 = 2^m - 1$ , or  $2^{m-1} - 2^s = 1$ . The last relation can be valid only if  $m = 2, s = 0$ . Therefore, by (91),  $k = 2r$ . This gives (90).  $\square$

*Proof of Theorem 12.* Let  $n_1$  be a  $k$ -perfect number of type  $S$ , i.e.

$$n_1 = 2^{k+1}(2l - 1), \tag{93}$$

where the factorization (1) of  $(2l - 1)$  has powers of the primes not exceeding  $k$ . This means that

$$\sigma_k(2l - 1) = \sigma(2l - 1).$$

By (86) specified for  $n_1$  and by the  $k$ -multiplicativity of  $\sigma_k(n)$  we have

$$(2^{k+1} + 1)\sigma(2l - 1) = 2^{k+2}(2l - 1). \tag{94}$$

Furthermore, by (86), the number

$$n_2 = 2^{k+m+1}(2l-1)p, p \nmid n_1, \tag{95}$$

is a  $(k+m)$ -perfect number of type  $S$  if and only if

$$(2^{k+m+1} + 1) \sigma(2l-1)(p+1) = 2^{k+m+2}(2l-1)p. \tag{96}$$

Dividing (96) by (94) we find

$$\frac{(2^{k+m+1} + 1)(p+1)}{2^{k+1} + 1} = 2^m p,$$

yielding

$$p = \frac{2^{k+m+1} + 1}{2^m - 1}.$$

By Lemma 3 only two possibilities are relevant:

1)  $m = 1, p = 2^{k+2} + 1.$

Clearly,  $k+2$  cannot have odd prime factors. Therefore,  $k+2 = 2^{\nu-1}, \nu \in \mathbb{N}$ . Thus,  $p = 2^{2^{\nu-1}} + 1$ , i.e. is a Fermat prime.

2)  $m = 2, p = \frac{2^{k+3}+1}{3}.$

In this case,  $k+3$  is prime.

Let us demonstrate, in the opposite direction, that if  $n_1$  from (93) is  $k$ -perfect, then  $n_2$  from (95) for

$$p = 2^{k+2} + 1 \tag{97}$$

is  $(k+1)$ -perfect, and for

$$p = \frac{2^{k+3} + 1}{3}, \quad k \text{ even}, \tag{98}$$

is  $(k+2)$ -perfect.

Indeed, when (97) holds, multiplying (94) by  $2(2^{k+2} + 1) = 2p$ , and noticing that  $2(2^{k+1} + 1) = p + 1$ , we find

$$(2^{k+2} + 1)(p+1)\sigma(2l-1) = 2^{k+3}(2l-1)p,$$

corresponding to (96) for  $m = 1$ . Therefore, the number  $n_2$  is  $(k+1)$ -perfect for  $m = 1$ .

Under (98), multiplying (94) by  $4\frac{2^{k+3}+1}{3} = 4p$ , and noticing that  $\frac{4}{3}(2^{k+1} + 1) = p + 1$ , we find

$$(2^{k+3} + 1)(p+1)\sigma(2l-1) = 2^{k+4}(2l-1)p,$$

corresponding to (96) for  $m = 2$ . Therefore,  $n_2$  is  $(k+2)$ -perfect for  $m = 2$ . □

**Example 8** For  $k = 14$  the number  $2^{16} + 1$  is a Fermat prime. It is easily checked using (86) that

$$2^{15} \cdot 3^4 \cdot 7 \cdot 11^3 \cdot 31 \cdot 61 \cdot 83 \cdot 331 \tag{99}$$

is 14-prime. Therefore using Theorem 12 with  $m = 1$  we find the following 15-perfect number

$$2^{16} \cdot 3^4 \cdot 7 \cdot 11^3 \cdot 31 \cdot 61 \cdot 83 \cdot 331 \cdot 65537.$$

When  $k = 10$ , the number  $\frac{2^{13}+1}{3} = 2731$ , is prime. Using Theorem 12 for  $m = 2$ , we have, along with the last number in the table of Example 7, the following 12-perfect number:

$$2^{13} \cdot 3^3 \cdot 5^2 \cdot 19 \cdot 31 \cdot 683 \cdot 2731. \tag{100}$$

Furthermore, using 14-perfect number (99), and taking into account that  $\frac{2^{17}+1}{3} = 43691$  is prime, we find analogously the following 16-perfect number:

$$2^{17} \cdot 3^4 \cdot 7 \cdot 11^3 \cdot 31 \cdot 61 \cdot 83 \cdot 331 \cdot 43691,$$

which in turn yields, since  $\frac{2^{19}+1}{3} = 174763$  is prime, the following 18-perfect number

$$2^{19} \cdot 3^4 \cdot 7 \cdot 11^3 \cdot 31 \cdot 61 \cdot 83 \cdot 331 \cdot 43691 \cdot 174763.$$

**Example 9** Notice that for the same  $k$  we may have different  $k$ -perfect numbers of type  $S$ . For example, when  $k = 8$ , along with the number given in Example 7, we have another 8-perfect number

$$2^9 \cdot 3^4 \cdot 7 \cdot 11^2 \cdot 19^2 \cdot 127.$$

Therefore, by Theorem 12, we find a 10-perfect number which differs from the corresponding number in Example 7,

$$2^{11} \cdot 3^4 \cdot 7 \cdot 11^2 \cdot 19^2 \cdot 127 \cdot 683,$$

which in turn yields yet another 12-perfect number different from (100),

$$2^{13} \cdot 3^4 \cdot 7 \cdot 11^2 \cdot 19^2 \cdot 127 \cdot 683 \cdot 2731.$$

## 11. Mixed Multiplicative Factorizations

Consider an infinite sequence of positive integers  $\mathbf{k} = (k_1, k_2, \dots)$ . Let us introduce the corresponding multiplicative basis

$$Q^{(\mathbf{k})} = \left\{ p_i^{(k_i+1)^{j-1}} \mid p_i \text{ being the } i\text{-th prime, } i, j \in \mathbb{N} \right\}. \tag{101}$$

Analogously to (6) we find the unique factorization for every  $n \in \mathbb{N}$  in this basis:

$$n = \prod_{q \in Q^{(\mathbf{k})}} q^{n_q^{(\mathbf{k})}}, \tag{102}$$

where  $n_q^{(\mathbf{k})} \leq k_i$ , if  $q$  is divisible by  $p_i$ . Note that some of  $k_i$ 's can be assumed to be  $\infty$ .

Analogously we introduce the notion of divisibility  $m_{\mathbf{k}} \mid n$ , the greatest common divisor

$$(m, n)_{\mathbf{k}} = \max_{d_{\mathbf{k}} \mid m, d_{\mathbf{k}} \mid n} d,$$

and all the above-defined functions. For example, the Möbius function is defined as

$$\mu_{\mathbf{k}}(n) = \begin{cases} (-1)^{\sum q_{\mathbf{k}} \mid n} & \text{if all } n_q^{(\mathbf{k})} = 1 \\ 0 & \text{otherwise.} \end{cases}$$

Furthermore,

$$\left\lfloor \frac{x}{m} \right\rfloor^{(\mathbf{k})} = \sum_{n \leq x: m_{\mathbf{k}} \mid n} 1,$$

$$\varphi_{\mathbf{k}}(x, n) = \sum_{1 \leq j \leq x: (j, n)_{\mathbf{k}} = 1} 1, \quad \varphi_{\mathbf{k}}(n) = \varphi_{\mathbf{k}}(n, n).$$

Notice that from the proofs of Theorems 2 and 5 it follows that the asymptotic formula (53) is uniform also in  $k$ . Let  $I_n$  be the set of indices of the primes dividing  $n$ . We have the following generalizations of Theorems 5 and 6.

**Theorem 13**

$$\varphi_{\mathbf{k}}(x, n) = \kappa_{\mathbf{k}}(n)x + O((nx)^\varepsilon),$$

where

$$\kappa_{\mathbf{k}}(n) = \prod_{i \in I_n} \prod_{q_{k_i} \mid n: p_i \mid q} \left( 1 + \frac{1}{q} + \dots + \frac{1}{q^{k_i}} \right)^{-1}.$$

**Theorem 14**

$$\sum_{n \leq x} \varphi_{\mathbf{k}}(n) = a_{\mathbf{k}}x^2 + o(x^{1+\varepsilon}),$$

where

$$a_{\mathbf{k}} = \frac{1}{2} \prod_{i=1}^{\infty} \prod_{q \in Q^{(\mathbf{k})}: p_i \mid q} \left( 1 - \left( \frac{q^{k_i} - 1}{q^{k_i+1} - 1} \right)^2 \right). \tag{103}$$

Note that we have continuum of mixed multiplicative bases.

## 12. Open Problems

1. Find asymptotics for  $\sum_{n \leq x} d_k(n)$  where  $d_k(n)$  is the number of  $k$ -factors of  $n$  (the Dirichlet  $k$ -divisors problem). It is well known that for  $k = \infty$  by the classical result due to Dirichlet

$$\sum_{n \leq x} d(n) = x \ln x + (2\gamma - 1)x + O\left(x^{\frac{1}{2}}\right), \tag{104}$$

where  $\gamma$  is the Euler constant. For better estimates of the residual term see [8, 15] and references therein. When  $k = 1$  the only known estimate [6] is

$$\sum_{n \leq x} d_1(n) = c_1 x \ln x + (2\gamma_1 - c_1)x + o\left(x^{\frac{1}{2}+\varepsilon}\right),$$

where

$$c_1 = \prod_{q \in Q^{(1)}} \left(1 - \frac{1}{(q+1)^2}\right) = 0.73325055\dots$$

and  $\gamma_1$  is a constant. It would be natural to conjecture that

$$\sum_{n \leq x} d_k(n) = c_k x \ln x + (2\gamma_k - c_k)x + o\left(x^{\frac{1}{2}+\varepsilon}\right),$$

so that

$$\lim_{k \rightarrow \infty} c_k = 1, \quad \lim_{k \rightarrow \infty} \gamma_k = \gamma.$$

2. Find the sum of  $k$ -complete numbers not exceeding  $x$  (see Section 7).

3. A number  $n$  is called  $k$ -compact if in its  $k$ -factorization (6) all  $k$ -primes are pairwise mutually prime (in the conventional sense). In particular all natural numbers are  $\infty$ -compact. The following are open problems: a) find the number of  $k$ -compact numbers not exceeding  $x$ ; b) find the sum of  $k$ -compact numbers not exceeding  $x$ .

4. a) Is the size of the union of the sets of  $k$ -perfect number of type  $S$ ,  $k = 1, 2, \dots$  (see Section 10) infinite? In other words, whether the table of Example 7 has an infinite number of rows?

b) Is there a value  $k$  for which the set of  $k$ -perfect numbers of type  $S$  is empty? We conjecture that there is. For instance we do not know if there are 11-perfect and/or 13-perfect numbers of type  $S$ .

5. Estimate the least term of the sequence  $\{n_k^{(c)}\}$  and the density of this sequence (see Theorem 7).

6. a) Do we have for every  $k \in \mathbb{N}$  an infinite number of  $n$  such that  $\varphi_k(n) = n\kappa_k(n)$  (see Theorem 5 for  $x = n$ )? Notice that for  $n \leq 1000$  there are only 6 solutions to the equation  $\varphi_1(n) = n\kappa_1(n)$  [18], namely, 1, 6, 60, 120, 360, 816.

b) For every  $x \leq 1000$ , we have that the number of those  $n \leq x$  for which  $n\kappa_1(n) > \varphi_1(n)$  is greater than the number of those not satisfying the inequality. For example, when  $x = 1000$  the number of such  $n$  is 565. Is that true for all  $x$ ?

7. For every pair of mutually prime  $m$  and  $n$ , find  $\min_{t \in \mathbb{N}} \lfloor \frac{nt}{mt} \rfloor$  (see Section 4).

8. N. P. Romanov, see [14], proved that

$$\sum_{n=2}^{\infty} \frac{\varphi(n)}{n} x^n = \frac{6}{\pi^2} \sum_{n=1}^{\infty} \frac{\mu(n)}{n^2} \prod_{p|n, p \in \mathbf{P}} \left(1 - \frac{1}{p^2}\right)^{-1} S_n(x),$$

where

$$S_n(x) = \sum_{\rho=\rho(n)} \frac{\rho^2 x^2}{1 - \rho x},$$

with the summation over all primitive  $n$ -th roots of unity. Find a  $k$ -analog of this identity for  $\sum_{n=2}^{\infty} \frac{\varphi_k(n)}{n} x^n$ .

9. Is the set of constants  $a_{\mathbf{k}}$  (see (103)) everywhere dense in the interval  $[a_{\mathbf{k}_1}, a_{\mathbf{k}_2}]$ , where  $\mathbf{k}_1 = (\infty, \infty, \dots)$  and  $\mathbf{k}_2 = (1, 1, \dots)$ , i.e., in the interval

$$\left[ \frac{3}{\pi^2}, \frac{1}{2} \prod_{q \in Q^{(1)}} \left(1 - \frac{1}{(q+1)^2}\right) \right] = [0.303963551 \dots, 0.3666252769 \dots]?$$

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