

## OPERATORS ACTING ON CERTAIN BANACH SPACES OF ANALYTIC FUNCTIONS

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**ABSTRACT.** Let  $\mathcal{X}$  be a reflexive Banach space of functions analytic on a plane domain  $\Omega$  such that for every  $\lambda$  in  $\Omega$  the functional of evaluation at  $\lambda$  is bounded. Assume further that  $\mathcal{X}$  contains the constants and  $M_z$ , multiplication by the independent variable  $z$ , is a bounded operator on  $\mathcal{X}$ . We give sufficient conditions for  $M_z$  to be reflexive. In particular, we prove that the operators  $M_z$  on  $E^p(\Omega)$  and certain  $H_p^p(\beta)$  are reflexive. We also prove that the algebra of multiplication operators on Bergman spaces is reflexive, giving a simpler proof of a result of Eschmeier.

**KEY WORDS AND PHRASES.** Banach spaces of analytic functions, Smirnov domain, bounded point evaluation. 1991 SUBJECT CLASSIFICATION. Primary 47B37; Secondary 47A25.

### 1 INTRODUCTION.

Let  $\Omega$  be a bounded domain in the complex plane  $\mathbb{C}$ . Suppose  $\mathcal{X}$  is a reflexive Banach space consisting of functions that are analytic on  $\Omega$  such that  $1 \in \mathcal{X}$ , for each  $\lambda$  in  $\Omega$ , the functional  $e(\lambda): \mathcal{X} \rightarrow \mathbb{C}$  of evaluation at  $\lambda$  given by  $e(\lambda)(f) = \langle f, e(\lambda) \rangle = f(\lambda)$  is bounded, and if  $f \in \mathcal{X}$  then  $zf \in \mathcal{X}$ . Note that the last condition allows us to define  $M_z: \mathcal{X} \rightarrow \mathcal{X}$  by  $M_z f = zf$ ,  $f \in \mathcal{X}$ . It is easy to see that  $M_z$  is actually a bounded operator on  $\mathcal{X}$ . If  $\mathcal{X}$  is a Hilbert space, the operator  $M_z$  and many of its properties have been studied in Shields and Wallen [1]; Bourdon and Shapiro [2]. We would like to give some sufficient conditions so that the operator  $M_z$  becomes reflexive.

Let  $\Omega$  be a bounded open set in  $\mathbb{C}$  and let  $p$  be a real number with  $1 \leq p < \infty$ . We denote by  $L^p(\Omega)$  the  $L^p$ -space of the 2-dimensional Lebesgue measure restricted to  $\Omega$ . The space of analytic functions on  $\Omega$  is denoted by  $H(\Omega)$  and as usual  $H^\infty(\Omega)$  is the Banach space of all bounded functions analytic on  $\Omega$  equipped with the supremum norm. Each function  $f \in H^\infty(\Omega)$  induces a bounded operator  $M_f: L_a^p(\Omega) \rightarrow L_a^p(\Omega)$ ,  $g \rightarrow$

$fg$ , where  $L_a^p(\Omega)$  is the subspace of  $L^p(\Omega)$  consisting of all analytic functions. This space is called the *Bergman space*.

In this article we shall prove that the algebra  $B = \{M_f | f \in H^\infty(\Omega)\}$  is reflexive. We give a shorter proof of a result of J. Eschmeier [3] in case  $\Omega$  is a plane domain.

### 2 PRELIMINARIES.

In this section we make a few definitions and set our notation straight. If  $G$  is a bounded domain in the plane, the Carathéodory hull ( $\mathcal{C}$ -hull) of  $G$  is the complement of the closure of the unbounded component of the complement of the closure of  $G$ . It can be described as the interior of the set of all points  $z_0$  in the plane such that  $|p(z_0)| \leq \sup\{|p(z)| : z \in G\}$  for all polynomials  $p$ . An open set  $G$  is called a *Carathéodory domain* if it is equal to the component of the Carathéodory hull of  $G$  that contains it.

For the algebra  $\mathcal{B}(\mathcal{X})$  of all bounded operators on a Banach space  $\mathcal{X}$ , the weak operator topology (WOT) is the one in which a net  $A_\alpha$  converges to  $A$  if  $A_\alpha x \rightarrow Ax$  weakly,  $x \in \mathcal{X}$ .

A complex valued function  $\phi$  on  $\Omega$  for which  $\phi f \in \mathcal{X}$  for every  $f \in \mathcal{X}$  is called a *multiplier* of  $\mathcal{X}$  and the collection of all these multipliers is denoted by  $\mathcal{M}(\mathcal{X})$ . Because  $M_z$  is a bounded operator on  $\mathcal{X}$ , the adjoint  $M_z^* : \mathcal{X}^* \rightarrow \mathcal{X}^*$  satisfies  $M_z^* e(\lambda) = \lambda e(\lambda)$ . In general each multiplier  $\phi$  of  $\mathcal{X}$  determines a multiplication operator  $M_\phi$  defined by  $M_\phi f = \phi f, f \in \mathcal{X}$ . Also  $M_\phi^* e(\lambda) = \phi(\lambda) e(\lambda)$ . It is well known that each multiplier is a bounded analytic function, Shields and Wallen [1]. Indeed  $|\phi(\lambda)| \leq \|M_\phi\|$  for each  $\lambda$  in  $\Omega$ . Also  $M_\phi 1 = \phi \in \mathcal{X} \subset H(\Omega)$ . So  $\phi$  is a bounded analytic function.

Recall that if  $\mathcal{E}$  is a subalgebra of  $\mathcal{B}(\mathcal{X})$  containing the identity operator, then  $\text{Lat}(\mathcal{E})$  is by definition the lattice of all invariant subspaces of  $\mathcal{E}$ , and  $\text{Alg Lat}(\mathcal{E})$  is the algebra of all operators  $B$  in  $\mathcal{B}(\mathcal{X})$  such that  $\text{Lat}(\mathcal{E}) \subset \text{Lat}(B)$ . We say that  $\mathcal{E}$  is *reflexive* if  $\mathcal{E} = \text{Alg Lat}(\mathcal{E})$ . Obviously a reflexive algebra  $\mathcal{E}$  is (WOT)-closed. An operator  $A$  in  $\mathcal{B}(\mathcal{X})$  is said to be *reflexive* if  $\text{Alg Lat}(A) = W(A)$ , where  $W(A)$  is the smallest subalgebra of  $\mathcal{B}(\mathcal{X})$  that contains  $A$  and the identity  $I$  and is closed in the weak operator topology.

Let  $A \in \text{Alg Lat}(M_z)$  and let  $\mathcal{M}$  be a weak star closed invariant subspace of  $M_z^*$  in  $\mathcal{X}^*$ . Then  ${}^\perp \mathcal{M} \in \text{Lat}(M_z)$  and hence  ${}^\perp \mathcal{M} \in \text{Lat}(A)$ . Therefore,  $({}^\perp \mathcal{M})^\perp \in \text{Lat}(A^*)$ . Since  $\mathcal{M}$  is weak star closed,  $\mathcal{M} \in \text{Lat}(A^*)$ . Now the one-dimensional span of  $e(\lambda)$  is invariant under  $M_z^*$ . Therefore, it is invariant under  $A^*$ . We write  $A^* e(\lambda) = \phi(\lambda) e(\lambda), \lambda \in \Omega$ . So  $\langle f, A^* e(\lambda) \rangle = \phi(\lambda) \langle f, e(\lambda) \rangle; \lambda \in \Omega$ . Using the Hahn-Banach theorem we see that the linear span of  $\{e(\lambda)\}_{\lambda \in \Omega}$  is weak star dense in  $\mathcal{X}^*$ . Thus  $\phi \in \mathcal{M}(\mathcal{X})$  and  $A = M_\phi$ .

### 3 REFLEXIVITY.

In this section we consider a Banach space of functions analytic on a Carathéodory domain and give sufficient conditions for the operator of multiplication to be reflexive. A circular domain is also considered.

**THEOREM 1.** Let  $\Omega$  be a Carathéodory domain each point of which is a bounded point evaluation for a reflexive Banach space  $\mathcal{X}$  of functions analytic on  $\Omega$  which contains the constant functions and admits  $M_z$  as a bounded operator. Furthermore, if  $\|M_p\| \leq C \|p\|_\Omega$  for every polynomial  $p$ , then  $M_z$  is reflexive.

**PROOF.** Let  $A \in \text{Alg Lat}(M_z)$ . Then  $A = M_\phi$  for some multiplier  $\phi \in H^\infty(\Omega)$ . Let  $\{p_n\}$  be a sequence of polynomials such that  $\sup \|p_n\|_\Omega \leq M$  for some constant  $M$  and  $p_n(z) \rightarrow \phi(z), z \in \Omega$ . Then  $\|M_{p_n}\| \leq C \|p_n\|_\Omega \leq CM$ . Since  $\mathcal{X}$  is reflexive, the unit ball of  $\mathcal{X}$  is weakly compact. Therefore, the unit ball of  $\mathcal{B}(\mathcal{X})$  is (WOT) compact. We may assume, by passing to a subsequence if necessary, that  $M_{p_n} \rightarrow X$  (WOT) for some operator  $X$ . Thus  $M_{p_n}^* e(\lambda) \rightarrow X^* e(\lambda)$  in the weak star topology. On the other hand  $M_{p_n}^* e(\lambda) = p_n(\lambda) e(\lambda) \rightarrow \phi(\lambda) e(\lambda) = M_\phi^* e(\lambda)$  in the weak star topology for every  $\lambda \in \Omega$ . Therefore,  $X^* e_\lambda = M_\phi^* e_\lambda$  and thus  $X^* = M_\phi^*$ . Hence  $X = M_\phi$  on  $\mathcal{X}$ , which implies that  $A \in W(M_z)$  and  $M_z$  is reflexive.  $\square$

Now we use the technique of the proof of Theorem 1 to give a short proof of a result of Eschmeier [3]. We let  $B = \{M_f | f \in H^\infty(\Omega)\}$ , where  $\Omega$  is a bounded domain and  $M_f$  acts on  $L_a^p(\Omega)$ .

**THEOREM 2.** The algebra  $B$  is reflexive.

**PROOF.** Clearly  $B \subset \text{Alg Lat}(B)$ . Let  $A \in \text{Alg Lat}(B)$ . Because the one dimensional span of  $e(\lambda)$  is invariant under  $M_f^*$  for all  $f$  in  $H^\infty(\Omega)$ , it is invariant under  $A^*$ , and therefore  $A = M_\phi$  for some multiplier  $\phi$ . Thus  $B$  is a reflexive algebra.  $\square$

Next we give a few examples of Banach spaces satisfying the hypothesis of Theorem 1.

**EXAMPLE 3.** Let  $\Omega$  be an arbitrary simply connected Smirnov domain. Let  $1 < p < \infty$ . Define  $E^p(\Omega)$  to be the set of all analytic functions  $f$  on  $\Omega$  such that there exists a sequence of rectifiable Jordan curves  $C_1, C_2, \dots$  in  $\Omega$ , tending to the boundary in the sense that  $C_n$  eventually surrounds each compact subdomain of  $\Omega$ , such that  $\int_{C_n} |f(z)|^p |dz| \leq M < \infty$ . For a good source on  $E^p(\Omega)$  see Duren [4, Chapter 10]. Every function  $f$  of class  $E^p(\Omega)$  has a nontangential limit almost everywhere on  $\partial\Omega$ , which does not vanish on a set of positive measure unless  $f(z) \equiv 0$ . Furthermore,  $\int_{\partial\Omega} |f(z)|^p |dz| < \infty$ . It is convenient to identify  $E^p(\Omega)$  with its set of boundary functions. Thus  $E^p(\Omega)$  is a closed subspace of  $L^p(\partial\Omega)$  which contains the set of all polynomials, and hence its closure. Hence  $E^p(\Omega)$  is a reflexive Banach space.

Clearly  $M_z$  is bounded and  $\|M_p\| \leq \|p\|_\Omega$  for all polynomials  $p$ . Now we show that

each point of  $\Omega$  is a bounded point evaluation for  $E^p(\Omega)$ . For a fixed  $z$  in  $\Omega$ , choose  $C > 0$  such that  $\text{dist}(z, \partial\Omega) \geq C$ . Let  $f \in E^p(\Omega)$ . Then  $f^p \in E^1(\Omega)$  and it has a Cauchy representation

$$f^p(z) = \frac{1}{2\pi i} \int_{\partial\Omega} \frac{f^p(\zeta)}{\zeta - z} d\zeta, \quad z \in \Omega$$

Therefore  $|f(z)|^p \leq (1/2\pi C) \|f\|^p$ . Thus each point of  $\Omega$  is a bounded point evaluation for  $E^p(\Omega)$ . Finally, by Theorem 3.1,  $M_z$  is reflexive.

Further examples of Banach spaces satisfying the hypothesis of Theorem 1 will be presented. We also deduce that  $M_z$  acting on these spaces are reflexive. We begin with a definition.

**DEFINITION 4.** Let  $1 < p < \infty$  and let  $\{\beta(n)\}$  be a sequence of positive numbers with  $\beta(0) = 1$ . We consider the space of sequences  $f = \{\hat{f}(n)\}$  such that

$$\|f\|_p^p = \sum_{n=0}^{\infty} |\hat{f}(n)|^p |\beta(n)|^p < \infty.$$

We shall use the formal notation  $f(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^n$  for  $z \in \mathbf{D}$  the unit disc in  $\mathbf{C}$  (See Shields [5] for  $p=2$ ). Let  $H^p(\beta) = \{f | f(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^n; \|f\|_p < \infty\}$  and  $H_2^p(\beta) = \{f \in H^p(\beta) | f(z) = \sum_{n=0}^{\infty} \hat{f}(n)z^n \text{ is convergent in } \mathbf{D}\}$ .

**REMARK 5.** Define the  $\sigma$ -finite measure  $\mu$  on the positive integers by  $\mu(K) = \sum_{n \in K} \beta(n)^p, K \subseteq \mathbf{N}$ . Because  $H^p(\beta) \cong L^p(\mu)$  we conclude that  $H^p(\beta)$  is indeed a reflexive Banach space.

**REMARK 6.** If  $\{\beta(n+1)/\beta(n)\}$  is bounded, the operator of multiplication by  $z$  is a bounded operator on  $H^p(\beta)$ . Indeed  $\|M_z\| = \sup_n \frac{\beta(n+1)}{\beta(n)}$ .

In the following examples let  $q$  be the conjugate of  $p$  ( $1/p + 1/q = 1$ ).

**EXAMPLE 7.** Let  $\{1/\beta(n)\} \in \ell^q$ . If  $f \in H^p(\beta)$  and  $\lambda \in \mathbf{D}$ , we have

$$|f(\lambda)| = \left| \sum_{n=0}^{\infty} \hat{f}(n)\lambda^n \right| \leq \left( \sum_{n=0}^{\infty} |\hat{f}(n)|^p |\beta(n)|^p \right)^{1/p} \left( \sum_{n=0}^{\infty} \frac{|\lambda|^{nq}}{\beta(n)^q} \right)^{1/q}. \tag{1}$$

Therefore,  $f$  is analytic and  $\|f\|_{\mathbf{D}} \leq \|\{1/\beta(n)\}\|_q \|f\|_p$ . We conclude that  $H_2^p(\beta) = H^p(\beta) \subset H^\infty$ . Furthermore, each point of  $\mathbf{D}$  is a bounded point evaluation for  $H^p(\beta)$  and also convergence in  $H^p(\beta)$  implies uniform convergence on  $\mathbf{D}$ .

**EXAMPLE 8.** In Example 7 assume  $\beta(n) \geq 1$  for all  $n$ . In this case, it follows from (1) that  $\|f\|_K \leq C \|f\|_p$  for any compact  $K \subset \mathbf{D}$ , where  $C$  depends on  $K$ .

**EXAMPLE 9.** Let  $p > 1$ . Also suppose that  $\sup_n \frac{\beta(n+1)}{\beta(n)} = 1$  (e.g.  $\beta(n) = 1$  or  $\beta(n) = 1 + 1/n$ ). It can easily be seen that  $\overline{\mathbf{D}} = \sigma(M_z)$ . Since  $M_z$  is a contraction,  $\overline{\mathbf{D}}$  is a spectral set for  $M_z$  and  $\|M_p\| \leq \|p\|_{\mathbf{D}}$  for every polynomial  $p$ . By Theorem 1,  $M_z$  acting on  $H_2^p(\beta)$  is reflexive.

The domains considered in Theorem 1 were Carathéodory domains. We now extend the conclusion of this Theorem to a circular domain, that is, any domain obtained by removing a finite number of disjoint subdiscs from the open unit disc. In Seddighi and Yousefi [6] we have proved the analogue of the following theorem for a Hilbert subspace of  $H(\Omega)$ . For the proof combine the techniques of the proof of Theorem 1 with Seddighi and Yousefi [6, Theorem 5.1].

**THEOREM 10.** Let  $\Omega$  be a circular domain each point of which is a bounded point evaluation for a reflexive Banach subspace  $\mathcal{X}$  of  $H(\Omega)$  which contains the constants and admits multiplication by the independent variable  $z$ ,  $M_z$ , as a bounded operator. Furthermore, suppose that  $\|M_p\| \leq \|p\|_{\Omega}$  for every polynomial  $p$ . Then  $M_z$  is reflexive.

We present an example of a Banach space satisfying the hypothesis of Theorem 10.

**EXAMPLE 11.** Let  $\Omega$  be a circular domain and  $1 < p < \infty$ . Since  $L_0^p(\Omega)$  is closed in  $L^p(\Omega)$ ,  $L_0^p(\Omega)$  is reflexive. By Lemma 3.7 of Garnett [7] every point of  $\Omega$  is a bounded point evaluation for  $L_0^p(\Omega)$ . It is also clear that  $\|M_p\| \leq \|p\|_{\Omega}$  for every polynomial  $p$ . By Theorem 4 the multiplication operator  $M_z$  on  $L_0^p(\Omega)$  is reflexive.

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