

**A N. BOURBAKI TYPE GENERAL THEORY AND THE
PROPERTIES OF CONTRACTING SYMBOLS AND
CORRESPONDING CONTRACTED FORMS**

SH. PKHAKADZE †

ABSTRACT. A system of contracting symbols is introduced for a N. Bourbaki type general mathematical theory corresponding to a general classical mathematical theory \mathcal{T} .

The classical formal mathematical theory corresponding to the formal theory \mathcal{T}^* of N. Bourbaki [1] is studied in [2], where a notation theory for N. Bourbaki's formal theory is developed. The goal of this paper is to study similar questions for a N. Bourbaki type general mathematical theory corresponding to the general classical mathematical theory \mathcal{T} defined in [3].

1. THE NOTATION THEORY FOR \mathcal{T}

We first recall very briefly and somewhat informally some basic definitions concerning the introduction of contracting symbols in the theory \mathcal{T} . We do not define the employed concepts in their full generality, and refer to [3] for more details.

The alphabet \mathcal{A} of \mathcal{T} may contain (a) an enumeration of *n-ary predicate variables* and/or *improper constants*, called also *n-ary predicate letters* or *n-ary relational special letters* or simply *n-ary predicates*. 0-ary predicate variables (constants, letters) are also called *propositional variables* (constants, letters); (b) an enumeration of *n-ary substantive special variables* and/or *improper constants*, called also *n-ary substantive special letters* or *n-ary functions*. 0-ary function variables (constants, letters) are called also

1991 *Mathematics Subject Classification*. 03B99.

Key words and phrases. General classical mathematical theory \mathcal{T} , N. Bourbaki type general mathematical theory, extension of the theory \mathcal{T} with contracting symbols, notation theory, contracting symbol.

* This paper is the English version of the original Russian manuscript by the late Prof. Shalva Pkhakadze (1919–1994) translated by Dr. Z. Khasidashvili who also added Section 1 which is a survey of [3].

object variables (constants, letters); (c) *operator signs* and (d) *simple operators*. Improper predicate and function constants are similar to variables in that they can be bound. All the above symbols are called *main*, in order to distinguish them from the *defined* or *contracting* symbols to be introduced below. Variables and improper constants introduced in (a) and (b) are also called *quantifier letters*.

Every simple operator has a *weight* or an *arity* n , and every operator sign σ has a *weight* or *arity* (n, m) , such that all or some (specified below) words of the form $\sigma a_1 \dots a_m$, where $a_1 \dots a_m$ are variables or improper constants (i.e., quantifier letters), are *compound operators* or *quantifiers* with weight (arity) n . Each a_i ranges (independent of other a_j 's) over all quantifier variables or letters of one of the types (a) or (b) above. Further, $n = 0$ implies $m = 0$. The occurrences of $a_1 \dots a_m$ in $\sigma a_1 \dots a_m$ are called the *operator letters* of $\sigma a_1 \dots a_m$. (Thus operator letters are occurrences of quantifier letters; the latter are not occurrences.)

Every quantifier $\sigma a_1 \dots a_m$ (and the corresponding quantifier sign σ) has a *binding indicator* which is a tuple (m_1, \dots, m_l) , where m_1, \dots, m_l is a subsequence of $1, \dots, m$. The binding indicator specifies the *binding scope* of the quantifier. When $(m_1, \dots, m_l) \neq (1, \dots, m)$, the operator is *partial*; otherwise it is *total*. For example, the integral $\int_{A_1}^{A_2} A dx$ in our notation is written as $\int x A_1 A_2 A$, where \int is a quantifier sign with arity $(3, 1)$, logicity indicator $()$, and binding indicator (3) ; x is an object variable, i.e., 0-ary function variable; and A_1, A_2, A are terms (to be defined below). So the quantifier $\int x$ binds free occurrences of x only in A .

Further, every operator has a *logicity indicator* – a tuple (n_1, \dots, n_k) , where n_1, \dots, n_k is a subsequence of $1, \dots, n$. If $(n_1, \dots, n_k) = ()$, resp. $(n_1, \dots, n_k) = (1, \dots, n)$, then the operator is called *special*, resp. *logical*; otherwise, it is *logical-special*. Finally, every operator is either *relational* or *substantive*. This classification of operators is needed to correctly construct (and distinguish between) formulas and terms, and will be clear in the next paragraph.

In \mathcal{T} , syntactically correct expressions are *forms*; a form is either a *term* or a *formula*. Terms are forms of the same *type*, and so are formulas – the former are object forms, and the latter are propositional forms. Terms and formulas are defined inductively as follows: propositional letters are formulas and object letters are terms. Further, if $\sigma a_1 \dots a_m$ is an n -ary (simple or compound) operator ($m \geq 0, n > 0$) with logicity indicator (n_1, \dots, n_k) and A_1, \dots, A_n are such forms that A_{n_1}, \dots, A_{n_k} is the maximal subsequence of formulas in the sequence A_1, \dots, A_n , then $\sigma a_1 \dots a_m A_1, \dots, A_n$ is either a formula or a term depending on whether the operator σ is relational or substantive.

Free and *bound* occurrences (of variables and improper constants) in a

form are defined as usual, where it is understood that if the binding indicator of a quantifier $\sigma a_1 \dots a_m$ is (m_1, \dots, m_l) , then its (explicit) occurrence in a form $\sigma a_1 \dots a_m A_1, \dots, A_n$ binds free occurrences of a_i only in the arguments A_{m_1}, \dots, A_{m_l} . Two forms are called *congruent* if one is obtained from the other by renaming the bound variables. A word of the form $(A_1 \dots A_n / a_1 \dots a_n) A_0$, where $A_1 \dots A_n, A_0$ are forms, $a_1 \dots a_n$ are 0-ary quantifier variables (i.e., propositional or object variables or improper constants), and A_i and a_i are of the same type, denotes the result of simultaneous substitution of $A_1 \dots A_n$ for free occurrences of $a_1 \dots a_n$ in A_0 . This may require the renaming of the bound variables and improper constants in A_0 to avoid capture of free variables of A_i after the substitution.

Let a_1, \dots, a_m be metavariables such that each a_i ranges over the class of all predicate or object quantifier variables or letters; and let A_1, \dots, A_n be metavariables such that each A_j ranges over the class of all formulas or all terms (of the theory \mathcal{T} or its extension). *Forms constructed using the metavariables $a_1, \dots, a_m, A_1, \dots, A_n$ and $(/)$ -substitutions* are defined similarly to forms, by (a) declaring that the metavariables A_1, \dots, A_n are forms of the corresponding type; (b) identifying the metavariables a_1, \dots, a_m with letters of the corresponding type; and (c) declaring that words of the form $(A'_1 \dots A'_k / a'_1 \dots a'_k) A'_0$ are forms, where A'_j are forms, a'_i are metavariables from the list a_1, \dots, a_m , and the types match (i.e., A'_i and a'_i are of the same type, $i = 1, \dots, k$).

Now we can introduce definitions of *contracting symbols* in the theory \mathcal{T} . The theory obtained from \mathcal{T} by adding contracting symbols in the alphabet (as main symbols of corresponding types) is denoted by $\tilde{\mathcal{T}}$. New symbols – operators and operator signs – are introduced in some order, and each definition has the form

$$\sigma a_1 \dots a_m A_1 \dots A_n \text{ — } B, \quad (1)$$

where $a_1, \dots, a_m, A_1, \dots, A_n$ are metavariables, each ranged over a class of quantifier letters or forms (as specified above), and B is a form constructed using metavariables and $(/)$ -substitutions. Besides main operators, B may contain *only* the previously introduced contracting symbols.

Depending on further conditions imposed on B , the contracting symbols are classified into types *I, II, II', III, IV, IV', V, VI, VI'* and *VII*. Descriptions of types *I, II, II', III* and *IV'* can be obtained by weakening some conditions in the definition of type *IV*. The remaining types *V, VI, VI'* and *VII* are introduced [3] mainly to demonstrate that, after weakening some of the restrictions imposed on the other types, many desirable properties of the class of contracting symbols are no longer valid. Therefore we do not consider these types of contracting symbols here. It will be clear from the definition below that the weight, logicity indicator and binding indicator of a contracting symbol can be determined from its definition.

Type IV: Besides the conditions specified above, the right-hand side B of definition (1) satisfies the following conditions (a_{1S}) , (b_S) , (c_1) and (d_S) :

(a_{1S}) B is a form constructed using $(/)$ -substitutions and metavariables

$$a_1 \dots a_m, A_1 \dots A_n, b_1, \dots, b_k \quad (2)$$

and containing these metavariables, where b_i is a metavariable ranged over a class of quantifier letters or quantifier variables of some type $(i = 1, \dots, k)$. All explicit occurrences of quantifier letters in B , as well as occurrences of metavariables $a_1 \dots a_m, b_1, \dots, b_k$, are bound. Further, there is a subsequence m_1, \dots, m_l of $1, \dots, n$ such that every one of the metavariables A_{m_1}, \dots, A_{m_l} is in the binding scope of quantifiers among whose operator variables occur a_1, \dots, a_m , and possibly (only) some of the metavariables b_1, \dots, b_k ; the remaining metavariables A_i (i.e., with $i \neq m_1, \dots, m_l$) may be in the scope of quantifiers whose operator variables are occurrences of some of the metavariables b_i .¹ Furthermore, $l = 0$ iff $m = 0$. Finally, the order of metavariables in the list b_1, \dots, b_k is determined by their first occurrences in B .

- (b_S) Groups of bound letters of B remain groups of bound letters after replacing the metavariables $a_1 \dots a_m$ by any letters from their range.
- (d_S) The metavariables b_1, \dots, b_k , unlike other metavariables, cannot be instanciated independent of the values of other metavariables. Namely, the system of values

$$a'_1 \dots a'_m, A'_1 \dots A'_n, b'_1, \dots, b'_k$$

of metavariables (2) is *admissible* if and only if the following two conditions are satisfied:

- (d_{1S}) The letters b'_1, \dots, b'_k are mutually different, are different from the letters $a'_1 \dots a'_m$, do not have free occurrences in the forms $A'_1 \dots A'_n$, and do not have (any) occurrences in B .
- (d_2) The indexes of the letters b'_1, \dots, b'_k are chosen minimal (recall that these letters are chosen from the alphabetic enumeration of quantifier letters of the corresponding type).
- (c_1) Let α be an admissible system of metavariables (2) with respect to an extension \tilde{T}_α of \tilde{T} ; let A' be the form of \tilde{T}_α obtained from the left-hand side of (1) by substituting the system α ; and let B' be the form of \tilde{T}_α obtained from B first by substituting the system

¹The tuple (m_1, \dots, m_l) is actually the binding indicator of σ .

α for corresponding metavariables, and then evaluating all $(/)$ -substitutions (if any) in the left to right inside-out order. Then the forms A' and B' have the same sets of free variables.²

The expression

$$A' \text{ ——— } B' \quad (3)$$

is called an *instance* of definition (1).

The definition of contracting symbols of type IV' is obtained from the above definition by removing the condition (d_2) . If we require that B contain no $(/)$ -substitutions, then from definitions of types IV and IV' we get definitions of types II and II' , respectively (the condition (c_1) above is trivially valid for such B). And if we require that the list of additional metavariables b_1, \dots, b_k be empty, we get definitions of types I and III from the definitions of types II and IV , respectively.

The following is an example of a definition of a contracting symbol of type IV (resp. IV'):

$$\exists_1 a A \text{ ——— } \exists a A \wedge \forall a \forall b (A \wedge (b/a)A \rightarrow a = b),$$

where a is an object quantifier letter (i.e., an object variable or improper constant), and b is the object quantifier letter with the minimal index (resp. any object quantifier letter) that is different from a and does not have free occurrences in A . The following (simpler) definition introduces a contracting symbol, \subseteq , of types II and II' , which is not a contracting symbol of type I .

$$\subseteq AB \text{ ——— } \forall b (b \in A \rightarrow b \in B).$$

By definition, a form A of the theory $\tilde{\mathcal{T}}$ *denotes* or is a *contracted form* of any form of the theory \mathcal{T} obtained from A by eliminating the occurrences of contracting symbols in an inside-out order, i.e., by repeated replacement of occurrences of left-hand sides of definition instances (3) with the corresponding right-hand sides, in an inside-out order. It is proved in [3] that such processes (called γ' -processes) are all finite (recall that in the right-hand side of a definition only previously defined contracting symbols may occur) and end with congruent forms of \mathcal{T} . Thus any term of $\tilde{\mathcal{T}}$ denotes exactly one form of \mathcal{T} , up to congruence. This allows us to define the axioms and inference rules in the theory $\tilde{\mathcal{T}}$ from the axioms and rules of the theory \mathcal{T} .

More general processes of reconstruction of forms of \mathcal{T} from the contracted forms, called α -processes, are also introduced in [3], which allow the elimination of contracting symbols in any order. It is shown that, for the

²We need to consider an extension $\tilde{\mathcal{T}}_a$ of $\tilde{\mathcal{T}}$ only if the alphabet of $\tilde{\mathcal{T}}$ does not contain enough symbols to detect ‘erasure’ of occurrences of free variables in the operands (arguments) of $\sigma a_1 \dots a_m$.

case of contracting symbols of types I, II, II' , all such processes again terminate with the same result, up to congruence. Algorithms for computing the exact upper and lower bounds for the number of steps of α -processes, as well as algorithms for constructing the longest and shortest α -processes, are also presented. Further results on α -processes are obtained in [4], [5].

2. THE THEORY \mathcal{T}^*

A N. Bourbaki type general mathematical theory \mathcal{T}^* corresponding to the general classical mathematical theory \mathcal{T} is defined as follows. The alphabet \mathcal{A}^* of the theory \mathcal{T}^* consists of symbols of the alphabet \mathcal{A} of the theory \mathcal{T} and of some of the symbols from the following lists:

$$\square_0, \square_1, \square_2, \dots, \quad (4)$$

$$\square^0, \square^1, \square^2, \dots. \quad (5)$$

Further, the alphabet \mathcal{A}^* contains \square_n if and only if the alphabet \mathcal{A} of the theory \mathcal{T} contains n -ary quantifier function letters, and \mathcal{A}^* contains \square^n if and only if the alphabet \mathcal{A} contains n -ary quantifier predicate letters. Symbols from the lists (3) and (4) are called quasiletters. Further, \square_n is called (*quantifier*) *n -ary substantive (function) quasiletter*, and \square^n is called (*quantifier*) *an n -ary predicate quasiletter*. Moreover, \square_0 is also called an *object quasiletter* and \square^0 is also called a *propositional quasiletter*. By definition, n -ary predicate quasiletters and n -ary predicate letters are of the same type, and similarly for n -ary function quasiletters and n -ary function letters.

In the case of the formal theory of N. Bourbaki, the alphabet contains only one quasiletter – an object quasiletter, and the symbol \square is used for \square_0 .

All definitions in the theory \mathcal{T} concerning the notion of *operator* (in particular, quantifier) remain valid for the theory \mathcal{T}^* .

Let $\sigma\delta_1 \dots \delta_m$ be a quantifier, where σ is an operator sign and $\delta_1 \dots \delta_m$ are operator letters. We call $\sigma\square'_1 \dots \square'_m$ a *quasiquantifier* if \square'_i is a quasiletter of the same type as δ_i ($i = 1, \dots, m$) and $\square'_i \bar{\square}'_j$ if and only if $\delta'_i \bar{\delta}'_j$. In the latter case, $\sigma\square'_1 \dots \square'_m$ is called the *quasiquantifier corresponding to* $\sigma\delta_1 \dots \delta_m$. The quasiquantifier corresponding to $\sigma\delta_1 \dots \delta_m$ is determined uniquely. However, the same quasiquantifier may correspond to different quantifiers. Moreover, the number of quantifiers corresponding to a given quasiquantifier is infinite.

The operator letters of a quantifier (which are occurrences of letters) fall into groups of graphically equal letters. These groups are enumerated by positive natural numbers according to the order of their first members, from left to right. Operator quasiletters in a quasiquantifier are grouped and enumerated similarly.

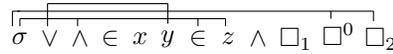
Symbols of the alphabet \mathcal{A}^* of the theory \mathcal{T}^* will also be called *signs*.

An assemblage of the theory \mathcal{T}^* , by definition, is a word of the alphabet \mathcal{A}^* of \mathcal{T}^* with *links*, where a link in an assemblage is defined as follows. A link in an assemblage A consists of vertical segments coming out of symbols of A , and of a minimal horizontal segment connecting the upper endpoints of all the above vertical segments. Further, the number of vertical segments in a link must be at least two, and there may be at most one vertical segment coming out of a symbol in the assemblage belonging to that link. It is required that the number of links in an assemblage be finite, horizontal segments not intersect, any different links cross only at separated (finite number of) points. From the conditions above it follows easily that vertical segments do not intersect. The links in an assemblage are enumerated by positive natural numbers according to the order of their first vertical segments, from left to right.

Let A be an assemblage, let C be its link, let A be the set of all symbols from which vertical segments of C are coming out, and let B be an arbitrary non-empty subset of A . Then we say that C binds or links symbols in the set B . The phrase C binds a symbol a , where a is an element of A , is interpreted similarly. By the set of symbols bound by the link C we mean the maximal set of symbols bound by C .

Clearly, any word of the alphabet \mathcal{A}^* is an assemblage of \mathcal{A}^* . The empty word is called the *empty assemblage*.

The following is an example of an assemblage:



Here the first link binds three symbols, and the second one binds four symbols.

The *base of an assemblage*, by definition, is the word obtained from the assemblage by removing all links. Hence an assemblage consists of a base and a system of links.

Let A be an assemblage, let C be a link of A , and let B be a part of the base of A . We say that the link C is *above* the part B if it is possible to draw a vertical segment out of a symbol of B that crosses the horizontal segment of C . Here B can be a symbol of the base of A .

In the above example of an assemblage, every link is above the first occurrence of the symbol \in . The first and second links are above the symbol σ (that is, above the first occurrence of the symbol σ).

We say that an assemblage B has an occurrence in an assemblage A if A can be represented as A_1, B, A_2 , where A_1 and A_2 are assemblages. The triple (A_1, B, A_2) will be called an occurrence of the assemblage B in the assemblage A . The occurrences of B in A are enumerated according to

the growth (of the length) of the first component. An occurrence will be identified with its second component.

An occurrence of an assemblage in an assemblage A will be called a *part of the assemblage* A . It is easy to see that if B is a part of an assemblage A , then the system of links of the part (assemblage) B coincides with the system of all links of A that are above B .

By definition, two assemblages are graphically equal if their bases are graphically equal, the numbers of their links are equal, and the corresponding links (i.e., links with the same numbers) bind corresponding sets of symbols (of the bases). We write the fact that A and B are graphically equal as $A \bar{\subseteq} B$. Clearly, the graphical equality of assemblages is a generalization of the graphical equality of words.

In the theory \mathcal{T}^* , formulas and terms are called forms, where formulas and terms are defined as follows:

1. If A is a propositional letter, then A is a formula.
2. If A is an object letter, then A is a term.
3. If σ is a simple n -ary operator ($n > 0$) with the logicity indicator (n_1, \dots, n_k) and A_1, \dots, A_n are such forms of the theory \mathcal{T}^* that A_{n_1}, \dots, A_{n_k} is the maximal subsequence of formulas in the sequence A_1, \dots, A_n , then $\sigma A_1, \dots, A_n$ is either a formula or a term depending on whether the operator σ is relational or substantive.
4. If $\sigma \delta_1 \dots \delta_m$ is an n -ary quantifier of the theory \mathcal{T}^* (or equivalently, of the theory \mathcal{T}) with operator letters $\delta_1 \dots \delta_m$, logicity indicator (n_1, \dots, n_k) , and binding indicator (m_1, \dots, m_e) , and A_1, \dots, A_n are such forms of the theory \mathcal{T}^* that A_{n_1}, \dots, A_{n_k} is the maximal subsequence of formulas in the sequence A_1, \dots, A_n , then $\sigma \delta_1 \dots \delta_m A_1, \dots, A_n$ denotes the assemblage obtained from the assemblage $B \bar{\subseteq} \sigma \delta_1 \dots \delta_m A_1, \dots, A_n$ in the following way. Let \square'_{k_i} denote \square^{k_i} or \square_{k_i} depending on whether δ_i is a k_i -ary predicate symbol or a k_i -ary function symbol ($i = 1, \dots, m$). Further, let $\delta_{i_1}, \dots, \delta_{i_k}$ be obtained from $\delta_1, \dots, \delta_m$ by removing the repeated occurrences ($i_1 = 1$). Then we construct a number of short vertical segments coming out of the explicit occurrence of σ in B , and enumerate them, from left to right, by positive natural numbers. Further, for every $j \in \{1, 2, \dots, k\}$, we replace every occurrence of the letter δ_{i_j} in the parts $\delta_1, \dots, \delta_m, A_{m_1}, \dots, A_{m_e}$ of the assemblage B by the quasiletter $\square'_{k_{i_j}}$ and, for every $j \in \{1, \dots, k\}$, we construct a link connecting the explicit occurrence of σ in B with the substituted occurrences of the quasiletter $\square'_{k_{i_j}}$ in such a way that the link contains the j -th vertical segment coming out of the explicit occurrence of σ in B . The thus obtained assemblage is denoted by the assemblage $\sigma \delta_1 \dots \delta_m A_1, \dots, A_n$.

5. A is a formula or a term if and only if this follows from Items 1–4 above.

The initial part of the base of the form B' , denoted by the assemblage $\sigma\delta_1\dots\delta_m A_1, \dots, A_n$ from the Item 4 of the previous definition, consisting of $m + 1$ symbols is a quasiquantifier. In a process of construction of larger forms using the form B' that quasiquantifier does not change.

By definition, a part of a form A is a part of an assemblage A that is a form. A part of the form A is called a *propositional part* or an *object part* depending on whether it is a formula or a term.

Remark. The definition of a part of a form in the theory \mathcal{T}^* is simpler than for the theory \mathcal{T} (as in the case of \mathcal{T}^* forms do not contain quantifier variables). In the theory \mathcal{T} , in a process of constructing larger forms from shorter ones, the latter forms become parts of the constructed (larger) forms. In the theory \mathcal{T}^* , this is not always the case. Shorter forms sometimes change. Sometimes shorter forms do not change, but still they do not remain parts of larger formulas when the latter are considered as assemblages. If a shorter form becomes a part of a larger form (considered as an assemblage), then it is also a part of the larger form. The above observations suggest that the language of the theory \mathcal{T} is more convenient than the language of the theory \mathcal{T}^* (for studying some questions).

Let us mention some properties of forms of the theory \mathcal{T}^* whose proofs are not difficult.

- (1) Every link of a form A of \mathcal{T}^* connects an operator sign of the form A and some occurrences of the same quasiletter to the right of that operator sign.
- (2) If L_1 and L_2 are two different links of a form of \mathcal{T}^* and A and B are the sets of corresponding occurrences of symbols (i.e., the sets of symbols bound by these links), then either A and B do not intersect, or their intersection is a singleton consisting of an operator sign.

Formulas (of \mathcal{T}^*) will be called *propositional forms* and terms will be called *object forms*. We call formulas, respectively terms, forms of the same type. Forms defined in Items 1 and 2 are called *simplest* forms – simplest formulas and simplest terms respectively.

It is easy to see that:

Proposition 1. *Let σ be an operator sign with weight (n, m) , logicity indicator (n_1, \dots, n_k) and binding indicator (m_1, \dots, m_p) , and let $\delta_1, \dots, \delta_m, \delta'_1, \dots, \delta'_m$ and $A_1, \dots, A_n, A'_1, \dots, A'_n$ be such sequences of letters and forms (respectively) that*

$$\sigma\delta_1\dots\delta_m A_1, \dots, A_n \text{ and } \sigma\delta'_1\dots\delta'_m A'_1, \dots, A'_n$$

are forms of the theory \mathcal{T}^ (i.e., are denotations of forms of \mathcal{T}^*). Further, let the following conditions be satisfied;*

1. $A_i \bar{\subseteq} A'_i$, $i \in \{1, 2, \dots, m\} \setminus \{m_1, \dots, m_p\}$.
2. If $i, j \in \{1, 2, \dots, m\}$, then $\delta'_i \bar{\subseteq} \delta'_j$ iff $\delta_i \bar{\subseteq} \delta_j$.
3. The letters $\delta'_1 \dots \delta'_m$ do not have occurrences in the bases of the assemblages A_{m_1}, \dots, A_{m_p} .
4. For every $i \in \{1, \dots, p\}$, the assemblage A'_{m_i} is obtained from A_{m_i} by simultaneous substitution of letters $\delta'_1 \dots \delta'_m$ for $\delta_1 \dots \delta_m$.

Then the forms $\sigma \delta_1 \dots \delta_m A_1, \dots, A_n$ and $\sigma \delta'_1 \dots \delta'_m A'_1, \dots, A'_n$ of the theory \mathcal{T}^* are graphically equal.

Remark. The possibility of simultaneous substitutions mentioned in Item 4 above follows from condition 2. Simultaneous substitution of letters $\delta'_1 \dots \delta'_m$ for letters $\delta_1 \dots \delta_m$ in a form A requires (exactly) the replacement of every occurrence of δ_i in A by δ'_i . This is not always possible. For example, this is impossible if $\delta_1 \bar{\subseteq} \delta_2$ but $\delta'_1 \not\bar{\subseteq} \delta'_2$.

We will consider a form A of the theory \mathcal{T} as a denotation of the uniquely determined form A^* of the theory \mathcal{T}^* , defined by the following algorithm Γ .

We add to the alphabet of \mathcal{T} a proper propositional constant t and a proper object constant a , and obtain an extension $\bar{\mathcal{T}}$ of \mathcal{T} . We denote this alphabet by $\bar{\mathcal{A}}$. The theory $\bar{\mathcal{T}}^*$ is obtained similarly.

Steps of the algorithm Γ determine a finite sequence of assemblages of the alphabet $\bar{\mathcal{A}} \cup \{(\, ,)\}$

$$A_0 \bar{\subseteq} A, A_1, \dots, A_k,$$

whose last member is A^* . Further, A_k is obtained from A_{k-1} by removing all parentheses; and every A_i ($i = 0, 1, \dots, k-1$) satisfies the following conditions:

A part of the assemblage A_i may be an expression enclosed in a pair of parentheses. Every such an expression without the enclosing pair of parentheses is a form of \mathcal{T}^* ; and if we identify these expressions with t or a depending on their type, then A_i becomes a form of the theory $\bar{\mathcal{T}}$. Furthermore, A_{k-1} does not contain quantifiers, and all the preceding members do.

Finally, A_{i+1} is obtained from A_i ($i = 0, 1, \dots, n-2$) as follows.

We find in A_i the first from the right quantifier $\sigma \delta_1 \dots \delta_m$ with operands A'_1, \dots, A'_n , where every expression enclosed in a pair of parentheses as above is considered as the formula t or the term a . Further, by considering the expressions with parentheses as forms of the theory \mathcal{T}^* (by removing the parentheses), these operands become forms A''_1, \dots, A''_n of the theory \mathcal{T}^* (as they do not contain quantifiers). Further, we find the assemblage denoted by the assemblage $\sigma \delta_1 \dots \delta_m A''_1, \dots, A''_n$ (as this is done in Item 4 of the definition of a form). We enclose thus obtained form of the theory \mathcal{T}^* in parentheses and substitute it for the part $\sigma \delta_1 \dots \delta_m A'_1, \dots, A'_n$ in A_i .

Now it is easy to prove

Theorem 1. *Any form A of the theory \mathcal{T} is a denotation of the uniquely determined form A^* of the theory \mathcal{T}^* . Further, two forms of the theory \mathcal{T} denote the same form of the theory \mathcal{T}^* if and only if the two forms are congruent. And if a form A of the theory \mathcal{T} is a denotation of the form A^* of the theory \mathcal{T}^* , then either both forms are formulas, or both are terms (of the corresponding theories).*

It follows from the above theorem that, in order to determine the class of all forms of the theory \mathcal{T} that denote a form A^* of the theory \mathcal{T}^* , it is enough to determine one denotation A of A^* . (The class of denotations of the form A^* is the class of all forms of \mathcal{T} that are congruent to A^* .)

A form A of the theory \mathcal{T} which denotes a given form A^* of the theory \mathcal{T}^* can be determined by the following algorithm Γ_1 .

1. The zero step of the algorithm Γ needs to determine whether the assemblage A^* contains an operator sign with a link. If there is a such an operator sign in A^* , then the algorithm Γ does not halt with the zero step. Otherwise, the algorithm halts with the result $A_0 \bar{\square} A^*$, and A_0 (i.e., A^*) is a form of the theory \mathcal{T} and is a denotation of the form A^* . In the latter case, A^* does not contain an operator sign and its denotation is determined uniquely (the class of forms congruent to A_0 ($A_0 \bar{\square} A^*$) is a singleton containing A_0 (i.e., A^*)).
2. If $i > 0$ and the algorithm does not halt with the $(i-1)$ -th step, then the i -th step is defined as follows (it is assumed that A_k denotes the result of the k -th step).

Find in the assemblage A_i the first from the right quantifier sign σ with a link. Let C be the first link associated with σ . Replace all symbols different from σ and linked by C by the quantifier letter δ satisfying the following conditions:

- (a) The type of δ and the type of the symbols that it replaces are the same (the replaced symbols are graphically equal quasiletters; that quasiletter will be denoted by \square).
- (b) For every $j \in \{i_1, \dots, i_k\}$, δ is admissible as the j -th operator letter in the quantifier obtained from σ , where i_1, \dots, i_k are the numbers of occurrences of \square in the part of the assemblage A_{i-1} consisting of m symbols following σ .
- (c) δ does not occur in A_{i-1} .
- (d) The subscript of δ is minimal.

The existence of such a quantifier variable δ follows from the definition of forms. It is not difficult to choose such a quantifier letter, and the last condition is introduced to guarantee its uniqueness.

Finally, we need to remove the above link C .

The thus obtained assemblage is the result of the i -th step and is denoted by A_i . The i -th steps needs also to determine whether the

assemblage A_i contains an operator sign with a link. If there is such an operator sign in A_i , then the algorithm does not halt. Otherwise, the algorithm halts with the result $A_i - A_i$ is a form of the theory \mathcal{T} and is the desirable denotation of the form A^* (any link of a form in the theory \mathcal{T}^* is associated with an operator sign). Clearly, the type of the form A_i coincides with the type of the form A^* .

We will use forms of the theory $\tilde{\mathcal{T}}$ to denote forms of theory \mathcal{T}^* (where $\tilde{\mathcal{T}}$ is the extension of the theory \mathcal{T} with contracting symbols of types $I - IV$, II' and IV') as follows: If the form \tilde{A} of the theory $\tilde{\mathcal{T}}$ denotes a form A of the theory \mathcal{T} , and A denotes the form A^* of the theory \mathcal{T}^* , then we will assume that \tilde{A} is a denotation of the form A^* of the theory \mathcal{T}^* , and that contracting symbols of the theory \mathcal{T} , of one of the types above, are contracting symbols for the theory \mathcal{T}^* of the same type.

REFERENCES

1. N. Bourbaki, *Éléments de mathématique. Théorie des ensembles*, Chapitres 1 et 2. *Troisième édition*, Hermann, Paris, 1966.
2. Kh. M. Rukhaia, The description of a derived formal mathematical \mathcal{T}^* theory. (Russian) *Tbiliss. Gos. Univ. Inst. Prikl. Mat. Trudy* **21**(1983), 75–98.
3. Sh. S. Pkhakadze, Some problems of the notation theory. (Russian) *Tbilisi University Press, Tbilisi*, 1977.
4. V. Sh. Pkhakadze, Some properties of α -processes. (Russian) *Tbiliss. Gos. Univ. Inst. Prikl. Mat. Trudy* **26**(1988), 34–59.
5. V. Sh. Pkhakadze, Substitution theorems and connection between δ -processes and α -processes. (Russian) *Tbiliss. Gos. Univ. Inst. Prikl. Mat. Trudy* **26**(1988), 60–106.

(Received 17.05.1994; translated and revised 22.09.1997)